

# Formalization of Forcing in Isabelle/ZF

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## Abstract

We formalize the theory of forcing in the set theory framework of Isabelle/ZF. Under the assumption of the existence of a countable transitive model of  $ZFC$ , we construct a proper generic extension and show that the latter also satisfies  $ZFC$ .

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## 1 Introduction

We formalize the theory of forcing. We work on top of the Isabelle/ZF framework developed by Paulson and Grabczewski [4]. Our mechanization is described in more detail in our papers [1] (LSFA 2018), [2], and [3] (IJCAR 2020).

### Release notes

We have improved several aspects of our development before submitting it to the AFP:

1. Our session `Forcing` depends on the new release of `ZF-Constructible`.
2. We streamlined the commands for synthesizing renames and formulas.
3. The command that synthesizes formulas produces the lemmas for them (the synthesized term is a formula and the equivalence between the satisfaction of the synthesized term and the relativized term).
4. Consistently use of structured proofs using `Isar` (except for one coming from a schematic goal command).

A cross-linked HTML version of the development can be found at <https://cs.famaf.unc.edu.ar/~pedro/forcing/>.

## 2 Forcing notions

This theory defines a locale for forcing notions, that is, preorders with a distinguished maximum element.

```
theory Forcing_Notions
imports ZF-Constructible.Relative
begin
```

## 2.1 Basic concepts

We say that two elements  $p, q$  are *compatible* if they have a lower bound in  $P$

**definition**  $compat\_in :: i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $compat\_in(A, r, p, q) \equiv \exists d \in A . \langle d, p \rangle \in r \wedge \langle d, q \rangle \in r$

**definition**  
 $is\_compat\_in :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**  
 $is\_compat\_in(M, A, r, p, q) \equiv \exists d[M]. d \in A \wedge (\exists dp[M]. pair(M, d, p, dp) \wedge dp \in r \wedge$   
 $(\exists dq[M]. pair(M, d, q, dq) \wedge dq \in r))$

**lemma**  $compat\_inI$  :  
 $\llbracket d \in A ; \langle d, p \rangle \in r ; \langle d, g \rangle \in r \rrbracket \Longrightarrow compat\_in(A, r, p, g)$   
 $\langle proof \rangle$

**lemma**  $refl\_compat$ :  
 $\llbracket refl(A, r) ; \langle p, q \rangle \in r \mid p = q \mid \langle q, p \rangle \in r ; p \in A ; q \in A \rrbracket \Longrightarrow compat\_in(A, r, p, q)$   
 $\langle proof \rangle$

**lemma**  $chain\_compat$ :  
 $refl(A, r) \Longrightarrow linear(A, r) \Longrightarrow (\forall p \in A. \forall q \in A. compat\_in(A, r, p, q))$   
 $\langle proof \rangle$

**lemma**  $subset\_fun\_image$ :  $f: N \rightarrow P \Longrightarrow f''N \subseteq P$   
 $\langle proof \rangle$

**lemma**  $refl\_monot\_domain$ :  $refl(B, r) \Longrightarrow A \subseteq B \Longrightarrow refl(A, r)$   
 $\langle proof \rangle$

**locale**  $forcing\_notion =$   
**fixes**  $P$   $leq$   $one$   
**assumes**  $one\_in\_P$ :  $one \in P$   
**and**  $leq\_preord$ :  $preorder\_on(P, leq)$   
**and**  $one\_max$ :  $\forall p \in P. \langle p, one \rangle \in leq$   
**begin**

**abbreviation**  $Leq :: [i, i] \Rightarrow o$  (**infixl**  $\preceq$  50)  
**where**  $x \preceq y \equiv \langle x, y \rangle \in leq$

**lemma**  $refl\_leq$ :  
 $r \in P \Longrightarrow r \preceq r$   
 $\langle proof \rangle$

A set  $D$  is *dense* if every element  $p \in P$  has a lower bound in  $D$ .

**definition**  
 $dense :: i \Rightarrow o$  **where**  
 $dense(D) \equiv \forall p \in P. \exists d \in D . d \preceq p$

There is also a weaker definition which asks for a lower bound in  $D$  only for the elements below some fixed element  $q$ .

**definition**

$dense\_below :: i \Rightarrow i \Rightarrow o$  **where**  
 $dense\_below(D, q) \equiv \forall p \in P. p \preceq q \longrightarrow (\exists d \in D. d \in P \wedge d \preceq p)$

**lemma**  $P\_dense$ :  $dense(P)$

$\langle proof \rangle$

**definition**

$increasing :: i \Rightarrow o$  **where**  
 $increasing(F) \equiv \forall x \in F. \forall p \in P. x \preceq p \longrightarrow p \in F$

**definition**

$compat :: i \Rightarrow i \Rightarrow o$  **where**  
 $compat(p, q) \equiv compat\_in(P, leq, p, q)$

**lemma**  $leq\_transD$ :  $a \preceq b \Longrightarrow b \preceq c \Longrightarrow a \in P \Longrightarrow b \in P \Longrightarrow c \in P \Longrightarrow a \preceq c$

$\langle proof \rangle$

**lemma**  $leq\_transD'$ :  $A \subseteq P \Longrightarrow a \preceq b \Longrightarrow b \preceq c \Longrightarrow a \in A \Longrightarrow b \in P \Longrightarrow c \in P \Longrightarrow a \preceq c$

$\langle proof \rangle$

**lemma**  $compatD[dest!]$ :  $compat(p, q) \Longrightarrow \exists d \in P. d \preceq p \wedge d \preceq q$

$\langle proof \rangle$

**abbreviation**  $Incompatible :: [i, i] \Rightarrow o$  (**infixl**  $\perp$  50)

**where**  $p \perp q \equiv \neg compat(p, q)$

**lemma**  $compatI[intro!]$ :  $d \in P \Longrightarrow d \preceq p \Longrightarrow d \preceq q \Longrightarrow compat(p, q)$

$\langle proof \rangle$

**lemma**  $denseD [dest]$ :  $dense(D) \Longrightarrow p \in P \Longrightarrow \exists d \in D. d \preceq p$

$\langle proof \rangle$

**lemma**  $denseI [intro!]$ :  $\llbracket \bigwedge p. p \in P \Longrightarrow \exists d \in D. d \preceq p \rrbracket \Longrightarrow dense(D)$

$\langle proof \rangle$

**lemma**  $dense\_belowD [dest]$ :

**assumes**  $dense\_below(D, p) \quad q \in P \quad q \preceq p$

**shows**  $\exists d \in D. d \in P \wedge d \preceq q$

$\langle proof \rangle$

**lemma**  $dense\_belowI [intro!]$ :

**assumes**  $\bigwedge q. q \in P \Longrightarrow q \preceq p \Longrightarrow \exists d \in D. d \in P \wedge d \preceq q$

**shows**  $dense\_below(D, p)$

$\langle proof \rangle$

**lemma** *dense\_below\_cong*:  $p \in P \implies D = D' \implies \text{dense\_below}(D, p) \longleftrightarrow \text{dense\_below}(D', p)$   
 ⟨proof⟩

**lemma** *dense\_below\_cong'*:  $p \in P \implies \llbracket \bigwedge x. x \in P \implies Q(x) \longleftrightarrow Q'(x) \rrbracket \implies$   
 $\text{dense\_below}(\{q \in P. Q(q)\}, p) \longleftrightarrow \text{dense\_below}(\{q \in P. Q'(q)\}, p)$   
 ⟨proof⟩

**lemma** *dense\_below\_mono*:  $p \in P \implies D \subseteq D' \implies \text{dense\_below}(D, p) \implies \text{dense\_below}(D', p)$   
 ⟨proof⟩

**lemma** *dense\_below\_under*:  
**assumes**  $\text{dense\_below}(D, p)$   $p \in P$   $q \in P$   $q \preceq p$   
**shows**  $\text{dense\_below}(D, q)$   
 ⟨proof⟩

**lemma** *ideal\_dense\_below*:  
**assumes**  $\bigwedge q. q \in P \implies q \preceq p \implies q \in D$   
**shows**  $\text{dense\_below}(D, p)$   
 ⟨proof⟩

**lemma** *dense\_below\_dense\_below*:  
**assumes**  $\text{dense\_below}(\{q \in P. \text{dense\_below}(D, q)\}, p)$   $p \in P$   
**shows**  $\text{dense\_below}(D, p)$   
 ⟨proof⟩

A filter is an increasing set  $G$  with all its elements being compatible in  $G$ .

**definition**

*filter* ::  $i \Rightarrow o$  **where**  
 $\text{filter}(G) \equiv G \subseteq P \wedge \text{increasing}(G) \wedge (\forall p \in G. \forall q \in G. \text{compat\_in}(G, \text{leq}, p, q))$

**lemma** *filterD* :  $\text{filter}(G) \implies x \in G \implies x \in P$   
 ⟨proof⟩

**lemma** *filter\_leqD* :  $\text{filter}(G) \implies x \in G \implies y \in P \implies x \preceq y \implies y \in G$   
 ⟨proof⟩

**lemma** *filter\_imp\_compat*:  $\text{filter}(G) \implies p \in G \implies q \in G \implies \text{compat}(p, q)$   
 ⟨proof⟩

**lemma** *low\_bound\_filter*: — says the compatibility is attained inside  $G$   
**assumes**  $\text{filter}(G)$  **and**  $p \in G$  **and**  $q \in G$   
**shows**  $\exists r \in G. r \preceq p \wedge r \preceq q$   
 ⟨proof⟩

We finally introduce the upward closure of a set and prove that the closure of  $A$  is a filter if its elements are compatible in  $A$ .

**definition**

*upclosure* ::  $i \Rightarrow i$  **where**  
 $\text{upclosure}(A) \equiv \{p \in P. \exists a \in A. a \preceq p\}$

**lemma** *upclosureI* [*intro*] :  $p \in P \implies a \in A \implies a \preceq p \implies p \in \text{upclosure}(A)$   
(*proof*)

**lemma** *upclosureE* [*elim*] :  
 $p \in \text{upclosure}(A) \implies (\bigwedge x a. x \in P \implies a \in A \implies a \preceq x \implies R) \implies R$   
(*proof*)

**lemma** *upclosureD* [*dest*] :  
 $p \in \text{upclosure}(A) \implies \exists a \in A. (a \preceq p) \wedge p \in P$   
(*proof*)

**lemma** *upclosure\_increasing* :  
**assumes**  $A \subseteq P$   
**shows**  $\text{increasing}(\text{upclosure}(A))$   
(*proof*)

**lemma** *upclosure\_in\_P*:  $A \subseteq P \implies \text{upclosure}(A) \subseteq P$   
(*proof*)

**lemma** *A\_sub\_upclosure*:  $A \subseteq P \implies A \subseteq \text{upclosure}(A)$   
(*proof*)

**lemma** *elem\_upclosure*:  $A \subseteq P \implies x \in A \implies x \in \text{upclosure}(A)$   
(*proof*)

**lemma** *closure\_compat\_filter*:  
**assumes**  $A \subseteq P$  ( $\forall p \in A. \forall q \in A. \text{compat\_in}(A, \text{leq}, p, q)$ )  
**shows**  $\text{filter}(\text{upclosure}(A))$   
(*proof*)

**lemma** *aux\_RS1*:  $f \in N \rightarrow P \implies n \in N \implies f^n \in \text{upclosure}(f \text{ `` } N)$   
(*proof*)

**lemma** *decr\_succ\_decr*:  
**assumes**  $f \in \text{nat} \rightarrow P$   $\text{preorder\_on}(P, \text{leq})$   
 $\forall n \in \text{nat}. \langle f \text{ ' } \text{succ}(n), f \text{ ' } n \rangle \in \text{leq}$   
 $m \in \text{nat}$   
**shows**  $n \in \text{nat} \implies n \leq m \implies \langle f \text{ ' } m, f \text{ ' } n \rangle \in \text{leq}$   
(*proof*)

**lemma** *decr\_seq\_linear*:  
**assumes**  $\text{refl}(P, \text{leq})$   $f \in \text{nat} \rightarrow P$   
 $\forall n \in \text{nat}. \langle f \text{ ' } \text{succ}(n), f \text{ ' } n \rangle \in \text{leq}$   
 $\text{trans}[P](\text{leq})$   
**shows**  $\text{linear}(f \text{ `` } \text{nat}, \text{leq})$   
(*proof*)

**end**



## 2.2 Towards Rasiowa-Sikorski Lemma (RSL)

**locale** *countable\_generic* = *forcing\_notion* +  
**fixes**  $\mathcal{D}$   
**assumes** *countable\_subs\_of\_P*:  $\mathcal{D} \in \text{nat} \rightarrow \text{Pow}(P)$   
**and** *seq\_of\_denses*:  $\forall n \in \text{nat}. \text{dense}(\mathcal{D}'n)$

**begin**

**definition**

*D\_generic* ::  $i \Rightarrow o$  **where**  
*D\_generic*( $G$ )  $\equiv \text{filter}(G) \wedge (\forall n \in \text{nat}. (\mathcal{D}'n) \cap G \neq \emptyset)$

The next lemma identifies a sufficient condition for obtaining RSL.

**lemma** *RS\_sequence\_imp\_rasiowa\_sikorski*:

**assumes**  
 $p \in P \ f : \text{nat} \rightarrow P \ f'0 = p$   
 $\bigwedge n. n \in \text{nat} \implies f' \text{succ}(n) \preceq f'n \wedge f' \text{succ}(n) \in \mathcal{D}'n$   
**shows**  
 $\exists G. p \in G \wedge D\_generic(G)$

*<proof>*

**end**

**lemma** *Pi\_rangeD*:

**assumes**  $f \in \text{Pi}(A, B) \ b \in \text{range}(f)$   
**shows**  $\exists a \in A. f'a = b$   
*<proof>*

Now, the following recursive definition will fulfill the requirements of lemma *RS\_sequence\_imp\_rasiowa\_sikorski*

**consts** *RS\_seq* ::  $[i, i, i, i, i] \Rightarrow i$

**primrec**

$RS\_seq(0, P, leq, p, enum, \mathcal{D}) = p$   
 $RS\_seq(\text{succ}(n), P, leq, p, enum, \mathcal{D}) =$   
 $enum'(\mu m. \langle enum'm, RS\_seq(n, P, leq, p, enum, \mathcal{D}) \rangle \in leq \wedge enum'm \in \mathcal{D}'n)$

**context** *countable\_generic*

**begin**

**lemma** *countable\_RS\_sequence\_aux*:

**fixes**  $p \ enum$   
**defines**  $f(n) \equiv RS\_seq(n, P, leq, p, enum, \mathcal{D})$   
**and**  $Q(q, k, m) \equiv enum'm \preceq q \wedge enum'm \in \mathcal{D}'k$   
**assumes**  $n \in \text{nat} \ p \in P \ P \subseteq \text{range}(enum) \ enum: \text{nat} \rightarrow M$   
 $\bigwedge x \ k. x \in P \implies k \in \text{nat} \implies \exists q \in P. q \preceq x \wedge q \in \mathcal{D}'k$   
**shows**  
 $f(\text{succ}(n)) \in P \wedge f(\text{succ}(n)) \preceq f(n) \wedge f(\text{succ}(n)) \in \mathcal{D}'n$   
*<proof>*

```

lemma countable_RS_sequence:
  fixes p enum
  defines f ≡ λn∈nat. RS_seq(n,P,leq,p,enum,D)
  and Q(q,k,m) ≡ enum‘m ≤ q ∧ enum‘m ∈ D ‘ k
  assumes n∈nat p∈P P ⊆ range(enum) enum:nat→M
  shows
    f‘0 = p f‘succ(n) ≤ f‘n ∧ f‘succ(n) ∈ D ‘ n f‘succ(n) ∈ P
  ⟨proof⟩

lemma RS_seq_type:
  assumes n ∈ nat p∈P P ⊆ range(enum) enum:nat→M
  shows RS_seq(n,P,leq,p,enum,D) ∈ P
  ⟨proof⟩

lemma RS_seq_funtype:
  assumes p∈P P ⊆ range(enum) enum:nat→M
  shows (λn∈nat. RS_seq(n,P,leq,p,enum,D)): nat → P
  ⟨proof⟩

lemmas countable_rasiowa_sikorski =
  RS_sequence_imp_rasiowa_sikorski[OF RS_seq_funtype countable_RS_sequence(1,2)]

end

end

```

### 3 A pointed version of DC

```

theory Pointed_DC imports ZF.AC

```

```

begin

```

This proof of DC is from Moschovakis "Notes on Set Theory"

```

consts dc_witness :: i ⇒ i ⇒ i ⇒ i ⇒ i ⇒ i

```

```

primrec

```

```

  wit0 : dc_witness(0,A,a,s,R) = a

```

```

  witrec : dc_witness(succ(n),A,a,s,R) = s‘{x∈A. ⟨dc_witness(n,A,a,s,R),x⟩∈R }

```

```

lemma witness_into_A [TC]:

```

```

  assumes a∈A

```

```

    (∀X . X ≠ 0 ∧ X ⊆ A → s‘X ∈ X)

```

```

    ∀y∈A. {x∈A. ⟨y,x⟩∈R } ≠ 0 n∈nat

```

```

  shows dc_witness(n, A, a, s, R) ∈ A

```

```

  ⟨proof⟩

```

```

lemma witness_related :

```

```

  assumes a∈A

```

```

    (∀X . X ≠ 0 ∧ X ⊆ A → s‘X ∈ X)

```

$\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \quad n \in \text{nat}$   
**shows**  $\langle \text{dc\_witness}(n, A, a, s, R), \text{dc\_witness}(\text{succ}(n), A, a, s, R) \rangle \in R$   
 $\langle \text{proof} \rangle$

**lemma** *witness\_funtype*:

**assumes**  $a \in A$   
 $(\forall X. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X)$   
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0$   
**shows**  $(\lambda n \in \text{nat}. \text{dc\_witness}(n, A, a, s, R)) \in \text{nat} \rightarrow A$  (**is**  $?f \in \_ \rightarrow \_$ )  
 $\langle \text{proof} \rangle$

**lemma** *witness\_to\_fun*: **assumes**  $a \in A$

$(\forall X. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X)$   
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0$   
**shows**  $\exists f \in \text{nat} \rightarrow A. \forall n \in \text{nat}. f^n = \text{dc\_witness}(n, A, a, s, R)$   
 $\langle \text{proof} \rangle$

**theorem** *pointed\_DC* :

**assumes**  $(\forall x \in A. \exists y \in A. \langle x, y \rangle \in R)$   
**shows**  $\forall a \in A. (\exists f \in \text{nat} \rightarrow A. f^0 = a \wedge (\forall n \in \text{nat}. \langle f^n, f^{\text{succ}(n)} \rangle \in R))$   
 $\langle \text{proof} \rangle$

**lemma** *aux\_DC\_on\_AxNat2* :  $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in R \implies$   
 $\forall x \in A \times \text{nat}. \exists y \in A \times \text{nat}. \langle x, y \rangle \in \{\langle a, b \rangle \in R. \text{snd}(b) = \text{succ}(\text{snd}(a))\}$   
 $\langle \text{proof} \rangle$

**lemma** *infer\_snd* :  $c \in A \times B \implies \text{snd}(c) = k \implies c = \langle \text{fst}(c), k \rangle$   
 $\langle \text{proof} \rangle$

**corollary** *DC\_on\_Ax\_nat* :

**assumes**  $(\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in R) \quad a \in A$   
**shows**  $\exists f \in \text{nat} \rightarrow A. f^0 = a \wedge (\forall n \in \text{nat}. \langle \langle f^n, n \rangle, \langle f^{\text{succ}(n)}, \text{succ}(n) \rangle \rangle \in R)$  (**is**  
 $\exists x \in \_. ?P(x)$ )  
 $\langle \text{proof} \rangle$

**lemma** *aux\_sequence\_DC* :

**assumes**  $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S^n$   
 $R = \{\langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times \text{nat}) \times (A \times \text{nat}). \langle x, y \rangle \in S^m\}$   
**shows**  $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in R$   
 $\langle \text{proof} \rangle$

**lemma** *aux\_sequence\_DC2* :  $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S^n \implies$

$\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in \{\langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times \text{nat}) \times (A \times \text{nat}).$   
 $\langle x, y \rangle \in S^m\}$   
 $\langle \text{proof} \rangle$

**lemma** *sequence\_DC*:

**assumes**  $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S^n$   
**shows**  $\forall a \in A. (\exists f \in \text{nat} \rightarrow A. f^0 = a \wedge (\forall n \in \text{nat}. \langle f^n, f^{\text{succ}(n)} \rangle \in S^{\text{succ}(n)}))$

*<proof>*

**end**

## 4 The general Rasiowa-Sikorski lemma

**theory** *Rasiowa\_Sikorski* **imports** *Forcing\_Notions Pointed\_DC* **begin**

**context** *countable\_generic*  
**begin**

**lemma** *RS\_relation*:

**assumes**  $p \in P$   $n \in \text{nat}$

**shows**  $\exists y \in P. \langle p, y \rangle \in (\lambda m \in \text{nat}. \{ \langle x, y \rangle \in P \times P. y \preceq x \wedge y \in \mathcal{D}'(\text{pred}(m)) \})'n$   
*<proof>*

**lemma** *DC\_imp\_RS\_sequence*:

**assumes**  $p \in P$

**shows**  $\exists f. f: \text{nat} \rightarrow P \wedge f'0 = p \wedge$

$(\forall n \in \text{nat}. f' \text{succ}(n) \preceq f'n \wedge f' \text{succ}(n) \in \mathcal{D}'n)$

*<proof>*

**theorem** *rasiowa\_sikorski*:

$p \in P \implies \exists G. p \in G \wedge D\text{-generic}(G)$

*<proof>*

**end**

**end**

## 5 Auxiliary results on arithmetic

**theory** *Nat\_Miscellanea* **imports** *ZF* **begin**

Most of these results will get used at some point for the calculation of arities.

**lemmas** *nat\_succI = Ord\_succ\_mem\_iff [THEN iffD2, OF nat\_into\_Ord]*

**lemma** *nat\_succD* :  $m \in \text{nat} \implies \text{succ}(n) \in \text{succ}(m) \implies n \in m$

*<proof>*

**lemmas** *zero\_in = ltD [OF nat\_0\_le]*

**lemma** *in\_n\_in\_nat* :  $m \in \text{nat} \implies n \in m \implies n \in \text{nat}$

*<proof>*

**lemma** *in\_succ\_in\_nat* :  $m \in \text{nat} \implies n \in \text{succ}(m) \implies n \in \text{nat}$

*<proof>*

**lemma** *ltI\_neg* :  $x \in \text{nat} \implies j \leq x \implies j \neq x \implies j < x$   
(proof)

**lemma** *succ\_pred\_eq* :  $m \in \text{nat} \implies m \neq 0 \implies \text{succ}(\text{pred}(m)) = m$   
(proof)

**lemma** *succ\_ltI* :  $\text{succ}(j) < n \implies j < n$   
(proof)

**lemma** *succ\_In* :  $n \in \text{nat} \implies \text{succ}(j) \in n \implies j \in n$   
(proof)

**lemmas** *succ\_leD = succ\_leE* [OF *leI*]

**lemma** *succpred\_leI* :  $n \in \text{nat} \implies n \leq \text{succ}(\text{pred}(n))$   
(proof)

**lemma** *succpred\_n0* :  $\text{succ}(n) \in p \implies p \neq 0$   
(proof)

**lemma** *funcI* :  $f \in A \rightarrow B \implies a \in A \implies b = f \text{ ` } a \implies \langle a, b \rangle \in f$   
(proof)

**lemmas** *natEin = natE* [OF *lt\_nat\_in\_nat*]

**lemma** *succ\_in* :  $\text{succ}(x) \leq y \implies x \in y$   
(proof)

**lemmas** *Un\_least\_lt\_iffn = Un\_least\_lt\_iff* [OF *nat\_into\_Ord nat\_into\_Ord*]

**lemma** *pred\_le2* :  $n \in \text{nat} \implies m \in \text{nat} \implies \text{pred}(n) \leq m \implies n \leq \text{succ}(m)$   
(proof)

**lemma** *pred\_le* :  $n \in \text{nat} \implies m \in \text{nat} \implies n \leq \text{succ}(m) \implies \text{pred}(n) \leq m$   
(proof)

**lemma** *Un\_leD1* :  $\text{Ord}(i) \implies \text{Ord}(j) \implies \text{Ord}(k) \implies i \cup j \leq k \implies i \leq k$   
(proof)

**lemma** *Un\_leD2* :  $\text{Ord}(i) \implies \text{Ord}(j) \implies \text{Ord}(k) \implies i \cup j \leq k \implies j \leq k$   
(proof)

**lemma** *gt1* :  $n \in \text{nat} \implies i \in n \implies i \neq 0 \implies i \neq 1 \implies 1 < i$   
(proof)

**lemma** *pred\_mono* :  $m \in \text{nat} \implies n \leq m \implies \text{pred}(n) \leq \text{pred}(m)$   
(proof)

**lemma** *succ\_mono* :  $m \in \text{nat} \implies n \leq m \implies \text{succ}(n) \leq \text{succ}(m)$   
 ⟨proof⟩

**lemma** *pred2\_Un*:  
 assumes  $j \in \text{nat} \ m \leq j \ n \leq j$   
 shows  $\text{pred}(\text{pred}(m \cup n)) \leq \text{pred}(\text{pred}(j))$   
 ⟨proof⟩

**lemma** *nat\_union\_abs1* :  
 [  $\text{Ord}(i) ; \text{Ord}(j) ; i \leq j$  ]  $\implies i \cup j = j$   
 ⟨proof⟩

**lemma** *nat\_union\_abs2* :  
 [  $\text{Ord}(i) ; \text{Ord}(j) ; i \leq j$  ]  $\implies j \cup i = j$   
 ⟨proof⟩

**lemma** *nat\_un\_max* :  $\text{Ord}(i) \implies \text{Ord}(j) \implies i \cup j = \text{max}(i,j)$   
 ⟨proof⟩

**lemma** *nat\_max\_ty* :  $\text{Ord}(i) \implies \text{Ord}(j) \implies \text{Ord}(\text{max}(i,j))$   
 ⟨proof⟩

**lemma** *le\_not\_lt\_nat* :  $\text{Ord}(p) \implies \text{Ord}(q) \implies \neg p \leq q \implies q \leq p$   
 ⟨proof⟩

**lemmas** *nat\_simp\_union* = *nat\_un\_max nat\_max\_ty max\_def*

**lemma** *le\_succ* :  $x \in \text{nat} \implies x \leq \text{succ}(x)$  ⟨proof⟩

**lemma** *le\_pred* :  $x \in \text{nat} \implies \text{pred}(x) \leq x$   
 ⟨proof⟩

**lemma** *Un\_le\_compat* :  $o \leq p \implies q \leq r \implies \text{Ord}(o) \implies \text{Ord}(p) \implies \text{Ord}(q) \implies$   
 $\text{Ord}(r) \implies o \cup q \leq p \cup r$   
 ⟨proof⟩

**lemma** *Un\_le* :  $p \leq r \implies q \leq r \implies$   
 $\text{Ord}(p) \implies \text{Ord}(q) \implies \text{Ord}(r) \implies$   
 $p \cup q \leq r$   
 ⟨proof⟩

**lemma** *Un\_leI3* :  $o \leq r \implies p \leq r \implies q \leq r \implies$   
 $\text{Ord}(o) \implies \text{Ord}(p) \implies \text{Ord}(q) \implies \text{Ord}(r) \implies$   
 $o \cup p \cup q \leq r$   
 ⟨proof⟩

**lemma** *diff\_mono* :  
 assumes  $m \in \text{nat} \ n \in \text{nat} \ p \in \text{nat} \ m < n \ p \leq m$   
 shows  $m \# - p < n \# - p$   
 ⟨proof⟩

**lemma** *pred\_Un*:

$x \in \text{nat} \implies y \in \text{nat} \implies \text{Arith.pred}(\text{succ}(x) \cup y) = x \cup \text{Arith.pred}(y)$   
 $x \in \text{nat} \implies y \in \text{nat} \implies \text{Arith.pred}(x \cup \text{succ}(y)) = \text{Arith.pred}(x) \cup y$   
(proof)

**lemma** *le\_natI* :  $j \leq n \implies n \in \text{nat} \implies j \in \text{nat}$

(proof)

**lemma** *le\_natE* :  $n \in \text{nat} \implies j < n \implies j \in n$

(proof)

**lemma** *diff\_cancel* :

**assumes**  $m \in \text{nat}$   $n \in \text{nat}$   $m < n$

**shows**  $m \# -n = 0$

(proof)

**lemma** *leD* : **assumes**  $n \in \text{nat}$   $j \leq n$

**shows**  $j < n \mid j = n$

(proof)

## 5.1 Some results in ordinal arithmetic

The following results are auxiliary to the proof of wellfoundedness of the relation *freqR*

**lemma** *max\_cong* :

**assumes**  $x \leq y$   $\text{Ord}(y)$   $\text{Ord}(z)$  **shows**  $\text{max}(x,y) \leq \text{max}(y,z)$

(proof)

**lemma** *max\_commutes* :

**assumes**  $\text{Ord}(x)$   $\text{Ord}(y)$

**shows**  $\text{max}(x,y) = \text{max}(y,x)$

(proof)

**lemma** *max\_cong2* :

**assumes**  $x \leq y$   $\text{Ord}(y)$   $\text{Ord}(z)$   $\text{Ord}(x)$

**shows**  $\text{max}(x,z) \leq \text{max}(y,z)$

(proof)

**lemma** *max\_D1* :

**assumes**  $x = y$   $w < z$   $\text{Ord}(x)$   $\text{Ord}(w)$   $\text{Ord}(z)$   $\text{max}(x,w) = \text{max}(y,z)$

**shows**  $z \leq y$

(proof)

**lemma** *max\_D2* :

**assumes**  $w = y \vee w = z$   $x < y$   $\text{Ord}(x)$   $\text{Ord}(w)$   $\text{Ord}(y)$   $\text{Ord}(z)$   $\text{max}(x,w) = \text{max}(y,z)$

**shows**  $x < w$

(proof)

```

lemma oadd_lt_mono2 :
  assumes Ord(n) Ord( $\alpha$ ) Ord( $\beta$ )  $\alpha < \beta$   $x < n$   $y < n$   $0 < n$ 
  shows  $n ** \alpha ++ x < n ** \beta ++ y$ 
  <proof>
end

```

## 6 Automatic synthesis of formulas

```

theory Synthetic_Definition
imports ZF-Constructible.Formula
keywords
  synthesize :: thy_decl % ML
and
  synthesize_note :: thy_decl % ML
and
  from_schematic

```

```

begin
<ML>

```

The `synthetic_def` function extracts definitions from schematic goals. A new definition is added to the context.

```

end

```

## 7 Aids to internalize formulas

```

theory Internalizations
imports
  ZF-Constructible.DPow_absolute
  Synthetic_Definition
begin

```

We found it useful to have slightly different versions of some results in ZF-Constructible:

```

lemma nth_closed :
  assumes  $env \in list(A)$   $0 \in A$ 
  shows  $nth(n, env) \in A$ 
  <proof>

```

```

lemmas FOL_sats_iff = sats_Nand_iff sats_Forall_iff sats_Neg_iff sats_And_iff
  sats_Or_iff sats_Implies_iff sats_Iff_iff sats_Exists_iff

```

```

lemma nth_ConsI:  $\llbracket nth(n, l) = x; n \in nat \rrbracket \implies nth(succ(n), Cons(a, l)) = x$ 
  <proof>

```

```

lemmas nth_rules = nth_0 nth_ConsI nat_0I nat_succI

```



**lemmas** *sep\_rules* = *nth\_0 nth\_ConsI FOL\_iff\_sats function\_iff\_sats*  
*fun\_plus\_iff\_sats successor\_iff\_sats*  
*omega\_iff\_sats FOL\_sats\_iff Replace\_iff\_sats*

Also a different compilation of lemmas (*termsep\_rules*) used in formula synthesis

**lemmas** *fm\_defs* =  
*omega\_fm\_def limit\_ordinal\_fm\_def empty\_fm\_def typed\_function\_fm\_def*  
*pair\_fm\_def upair\_fm\_def domain\_fm\_def function\_fm\_def succ\_fm\_def*  
*cons\_fm\_def fun\_apply\_fm\_def image\_fm\_def big\_union\_fm\_def union\_fm\_def*  
*relation\_fm\_def composition\_fm\_def field\_fm\_def ordinal\_fm\_def range\_fm\_def*  
*transset\_fm\_def subset\_fm\_def Replace\_fm\_def*

**lemmas** *formulas\_def* = *fm\_defs*  
*is\_iterates\_fm\_def iterates\_MH\_fm\_def is\_wfrec\_fm\_def is\_recfun\_fm\_def is\_transrec\_fm\_def*  
*is\_nat\_case\_fm\_def quasinat\_fm\_def number1\_fm\_def ordinal\_fm\_def finite\_ordinal\_fm\_def*  
*cartprod\_fm\_def sum\_fm\_def Inr\_fm\_def Inl\_fm\_def*  
*formula\_functor\_fm\_def*  
*Memrel\_fm\_def transset\_fm\_def subset\_fm\_def pre\_image\_fm\_def restriction\_fm\_def*  
*list\_functor\_fm\_def tl\_fm\_def quasulist\_fm\_def Cons\_fm\_def Nil\_fm\_def*

$\langle ML \rangle$

**end**

## 8 Some enhanced theorems on recursion

**theory** *Recursion\_Thms* **imports** *ZF.Epsilon* **begin**

We prove results concerning definitions by well-founded recursion on some relation  $R$  and its transitive closure  $R^*$

**lemma** *fld\_restrict\_eq* :  $a \in A \implies (r \cap A \times A)^{-\{a\}} = (r^{-\{a\}} \cap A)$   
 $\langle proof \rangle$

**lemma** *fld\_restrict\_mono* :  $relation(r) \implies A \subseteq B \implies r \cap A \times A \subseteq r \cap B \times B$   
 $\langle proof \rangle$

**lemma** *fld\_restrict\_dom* :  
**assumes**  $relation(r)$   $domain(r) \subseteq A$   $range(r) \subseteq A$   
**shows**  $r \cap A \times A = r$   
 $\langle proof \rangle$

**definition** *tr\_down* ::  $[i, i] \Rightarrow i$   
**where**  $tr\_down(r, a) = (r^+)^{-\{a\}}$

**lemma** *tr\_downD* :  $x \in tr\_down(r, a) \implies \langle x, a \rangle \in r^+$   
 $\langle proof \rangle$

**lemma** *pred\_down* :  $\text{relation}(r) \implies r^{-\{a\}} \subseteq \text{tr\_down}(r,a)$   
 ⟨*proof*⟩

**lemma** *tr\_down\_mono* :  $\text{relation}(r) \implies x \in r^{-\{a\}} \implies \text{tr\_down}(r,x) \subseteq \text{tr\_down}(r,a)$   
 ⟨*proof*⟩

**lemma** *rest\_eq* :  
**assumes**  $\text{relation}(r)$  **and**  $r^{-\{a\}} \subseteq B$  **and**  $a \in B$   
**shows**  $r^{-\{a\}} = (r \cap B \times B)^{-\{a\}}$   
 ⟨*proof*⟩

**lemma** *wfrec\_restr\_eq* :  $r' = r \cap A \times A \implies \text{wfrec}[A](r,a,H) = \text{wfrec}(r',a,H)$   
 ⟨*proof*⟩

**lemma** *wfrec\_restr* :  
**assumes**  $rr: \text{relation}(r)$  **and**  $wfr: wf(r)$   
**shows**  $a \in A \implies \text{tr\_down}(r,a) \subseteq A \implies \text{wfrec}(r,a,H) = \text{wfrec}[A](r,a,H)$   
 ⟨*proof*⟩

**lemmas** *wfrec\_tr\_down* = *wfrec\_restr*[*OF* \_ \_ \_ *subset\_refl*]

**lemma** *wfrec\_trans\_restr* :  $\text{relation}(r) \implies wf(r) \implies \text{trans}(r) \implies r^{-\{a\}} \subseteq A \implies$   
 $a \in A \implies$   
 $\text{wfrec}(r, a, H) = \text{wfrec}[A](r, a, H)$   
 ⟨*proof*⟩

**lemma** *field\_trancl* :  $\text{field}(r^+) = \text{field}(r)$   
 ⟨*proof*⟩

**definition**  
 $Rrel :: [i \Rightarrow i \Rightarrow o, i] \Rightarrow i$  **where**  
 $Rrel(R,A) \equiv \{z \in A \times A. \exists x y. z = \langle x, y \rangle \wedge R(x,y)\}$

**lemma** *RrelI* :  $x \in A \implies y \in A \implies R(x,y) \implies \langle x,y \rangle \in Rrel(R,A)$   
 ⟨*proof*⟩

**lemma** *Rrel\_mem*:  $Rrel(\text{mem},x) = \text{Memrel}(x)$   
 ⟨*proof*⟩

**lemma** *relation\_Rrel*:  $\text{relation}(Rrel(R,d))$   
 ⟨*proof*⟩

**lemma** *field\_Rrel*:  $\text{field}(Rrel(R,d)) \subseteq d$   
 ⟨*proof*⟩

**lemma** *Rrel\_mono* :  $A \subseteq B \implies Rrel(R,A) \subseteq Rrel(R,B)$   
 ⟨*proof*⟩

**lemma** *Rrel\_restr\_eq* :  $Rrel(R,A) \cap B \times B = Rrel(R,A \cap B)$   
 ⟨proof⟩

**lemma** *field\_Memrel* :  $field(Memrel(A)) \subseteq A$   
 ⟨proof⟩

**lemma** *restrict\_trancl\_Rrel*:  
 assumes  $R(w,y)$   
 shows  $restrict(f,Rrel(R,d)-\{\!-\}\{y\})'w$   
        $= restrict(f,(Rrel(R,d)^\wedge +)-\{\!-\}\{y\})'w$   
 ⟨proof⟩

**lemma** *restrict\_trans\_eq*:  
 assumes  $w \in y$   
 shows  $restrict(f,Memrel(eclose(\{x\}))- \{\!-\}\{y\})'w$   
        $= restrict(f,(Memrel(eclose(\{x\}))^\wedge +)- \{\!-\}\{y\})'w$   
 ⟨proof⟩

**lemma** *wf\_eq\_trancl*:  
 assumes  $\bigwedge f y . H(y,restrict(f,R-\{\!-\}\{y\})) = H(y,restrict(f,R^\wedge +-\{\!-\}\{y\}))$   
 shows  $wfrec(R, x, H) = wfrec(R^\wedge +, x, H)$  (is  $wfrec(?r,-) = wfrec(?r',-)$ )  
 ⟨proof⟩

end

## 9 Relativization of the cumulative hierarchy

**theory** *Relative\_Univ*  
 imports  
   *ZF-Constructible.Rank*  
   *Internalizations*  
   *Recursion\_Thms*

**begin**

**declare** (in *M\_trivial*) *powerset\_abs*[*simp*]

**lemma** *Collect\_inter\_Transset*:  
 assumes  
    $Transset(M) \ b \in M$   
 shows  
    $\{x \in b . P(x)\} = \{x \in b . P(x)\} \cap M$   
 ⟨proof⟩

**lemma** (in *M\_trivial*) *family\_union\_closed*:  $\llbracket strong\_replacement(M, \lambda x y. y = f(x)); M(A); \forall x \in A. M(f(x)) \rrbracket$

$\implies M(\bigcup x \in A. f(x))$   
 ⟨proof⟩

**definition**

$HVfrom :: [i \Rightarrow o, i, i, i] \Rightarrow i$  **where**  
 $HVfrom(M, A, x, f) \equiv A \cup (\bigcup y \in x. \{a \in Pow(f^y). M(a)\})$

**definition**

$is\_powapply :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
 $is\_powapply(M, f, y, z) \equiv M(z) \wedge (\exists fy[M]. fun\_apply(M, f, y, fy) \wedge powerset(M, fy, z))$

**lemma**  $is\_powapply\_closed$ :  $is\_powapply(M, f, y, z) \implies M(z)$   
 ⟨proof⟩

**definition**

$is\_HVfrom :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**  
 $is\_HVfrom(M, A, x, f, h) \equiv \exists U[M]. \exists R[M]. union(M, A, U, h)$   
 $\wedge big\_union(M, R, U) \wedge is\_Replace(M, x, is\_powapply(M, f), R)$

**definition**

$is\_Vfrom :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
 $is\_Vfrom(M, A, i, V) \equiv is\_transrec(M, is\_HVfrom(M, A), i, V)$

**definition**

$is\_Vset :: [i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_Vset(M, i, V) \equiv \exists z[M]. empty(M, z) \wedge is\_Vfrom(M, z, i, V)$

## 9.1 Formula synthesis

**schematic\_goal**  $sats\_is\_powapply\_fm\_auto$ :

**assumes**

$f \in nat \ y \in nat \ z \in nat \ env \in list(A) \ 0 \in A$

**shows**

$is\_powapply(\#\#A, nth(f, env), nth(y, env), nth(z, env))$   
 $\longleftrightarrow sats(A, ?ipa\_fm(f, y, z), env)$

⟨proof⟩

**schematic\_goal**  $is\_powapply\_iff\_sats$ :

**assumes**

$nth(f, env) = ff \ nth(y, env) = yy \ nth(z, env) = zz \ 0 \in A$   
 $f \in nat \ y \in nat \ z \in nat \ env \in list(A)$

**shows**

$is\_powapply(\#\#A, ff, yy, zz) \longleftrightarrow sats(A, ?is\_one\_fm(a, r), env)$

*<proof>*

**definition**

$Hrank :: [i, i] \Rightarrow i$  **where**  
 $Hrank(x, f) = (\bigcup y \in x. succ(f^y))$

**definition**

$PHrank :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
 $PHrank(M, f, y, z) \equiv M(z) \wedge (\exists fy[M]. fun\_apply(M, f, y, fy) \wedge successor(M, fy, z))$

**definition**

$is\_Hrank :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
 $is\_Hrank(M, x, f, hc) \equiv (\exists R[M]. big\_union(M, R, hc) \wedge is\_Replace(M, x, PHrank(M, f), R))$

**definition**

$rrank :: i \Rightarrow i$  **where**  
 $rrank(a) \equiv Memrel(eclose(\{a\}))^+$

**lemma (in  $M\_eclose$ )**  $wf\_rrank : M(x) \Longrightarrow wf(rrank(x))$   
*<proof>*

**lemma (in  $M\_eclose$ )**  $trans\_rrank : M(x) \Longrightarrow trans(rrank(x))$   
*<proof>*

**lemma (in  $M\_eclose$ )**  $relation\_rrank : M(x) \Longrightarrow relation(rrank(x))$   
*<proof>*

**lemma (in  $M\_eclose$ )**  $rrank\_in\_M : M(x) \Longrightarrow M(rrank(x))$   
*<proof>*

## 9.2 Absoluteness results

**locale**  $M\_eclose\_pow = M\_eclose +$   
**assumes**

$power\_ax : power\_ax(M)$  **and**  
 $powapply\_replacement : M(f) \Longrightarrow strong\_replacement(M, is\_powapply(M, f))$  **and**  
 $HVfrom\_replacement : \llbracket M(i) ; M(A) \rrbracket \Longrightarrow$   
 $transrec\_replacement(M, is\_HVfrom(M, A), i)$  **and**  
 $PHrank\_replacement : M(f) \Longrightarrow strong\_replacement(M, PHrank(M, f))$  **and**  
 $is\_Hrank\_replacement : M(x) \Longrightarrow wfrec\_replacement(M, is\_Hrank(M), rrank(x))$

**begin**

**lemma**  $is\_powapply\_abs: \llbracket M(f); M(y) \rrbracket \Longrightarrow is\_powapply(M, f, y, z) \longleftrightarrow M(z) \wedge z$   
 $= \{x \in Pow(f^y). M(x)\}$   
*<proof>*

**lemma**  $\llbracket M(A); M(x); M(f); M(h) \rrbracket \implies$   
 $is\_HVfrom(M, A, x, f, h) \longleftrightarrow$   
 $(\exists R[M]. h = A \cup \bigcup R \wedge is\_Replace(M, x, \lambda x y. y = \{x \in Pow(f'x) . M(x)\},$   
 $R))$   
 $\langle proof \rangle$

**lemma** *Replace\_is\_powapply*:  
**assumes**  
 $M(R) M(A) M(f)$   
**shows**  
 $is\_Replace(M, A, is\_powapply(M, f), R) \longleftrightarrow R = Replace(A, is\_powapply(M, f))$   
 $\langle proof \rangle$

**lemma** *powapply\_closed*:  
 $\llbracket M(y); M(f) \rrbracket \implies M(\{x \in Pow(f'y) . M(x)\})$   
 $\langle proof \rangle$

**lemma** *RepFun\_is\_powapply*:  
**assumes**  
 $M(R) M(A) M(f)$   
**shows**  
 $Replace(A, is\_powapply(M, f)) = RepFun(A, \lambda y. \{x \in Pow(f'y) . M(x)\})$   
 $\langle proof \rangle$

**lemma** *RepFun\_powapply\_closed*:  
**assumes**  
 $M(f) M(A)$   
**shows**  
 $M(Replace(A, is\_powapply(M, f)))$   
 $\langle proof \rangle$

**lemma** *Union\_powapply\_closed*:  
**assumes**  
 $M(x) M(f)$   
**shows**  
 $M(\bigcup y \in x. \{a \in Pow(f'y) . M(a)\})$   
 $\langle proof \rangle$

**lemma** *relation2\_HVfrom*:  $M(A) \implies relation2(M, is\_HVfrom(M, A), HVfrom(M, A))$   
 $\langle proof \rangle$

**lemma** *HVfrom\_closed* :  
 $M(A) \implies \forall x[M]. \forall g[M]. function(g) \longrightarrow M(HVfrom(M, A, x, g))$   
 $\langle proof \rangle$

**lemma** *transrec\_HVfrom*:  
**assumes**  $M(A)$   
**shows**  $Ord(i) \implies \{x \in Vfrom(A, i) . M(x)\} = transrec(i, HVfrom(M, A))$   
 $\langle proof \rangle$

**lemma** *Vfrom\_abs*:  $\llbracket M(A); M(i); M(V); Ord(i) \rrbracket \implies is\_Vfrom(M, A, i, V) \longleftrightarrow V = \{x \in Vfrom(A, i). M(x)\}$   
 ⟨proof⟩

**lemma** *Vfrom\_closed*:  $\llbracket M(A); M(i); Ord(i) \rrbracket \implies M(\{x \in Vfrom(A, i). M(x)\})$   
 ⟨proof⟩

**lemma** *Vset\_abs*:  $\llbracket M(i); M(V); Ord(i) \rrbracket \implies is\_Vset(M, i, V) \longleftrightarrow V = \{x \in Vset(i). M(x)\}$   
 ⟨proof⟩

**lemma** *Vset\_closed*:  $\llbracket M(i); Ord(i) \rrbracket \implies M(\{x \in Vset(i). M(x)\})$   
 ⟨proof⟩

**lemma** *Hrank\_trancl*:  $Hrank(y, restrict(f, Memrel(eclose(\{x\})) - \{\{y\}\})) = Hrank(y, restrict(f, (Memrel(eclose(\{x\})) \wedge +) - \{\{y\}\}))$   
 ⟨proof⟩

**lemma** *rank\_trancl*:  $rank(x) = wfrec(rrank(x), x, Hrank)$   
 ⟨proof⟩

**lemma** *univ\_PHrank*:  $\llbracket M(z); M(f) \rrbracket \implies univalent(M, z, PHrank(M, f))$   
 ⟨proof⟩

**lemma** *PHrank\_abs*:  
 $\llbracket M(f); M(y) \rrbracket \implies PHrank(M, f, y, z) \longleftrightarrow M(z) \wedge z = succ(f'y)$   
 ⟨proof⟩

**lemma** *PHrank\_closed*:  $PHrank(M, f, y, z) \implies M(z)$   
 ⟨proof⟩

**lemma** *Replace\_PHrank\_abs*:  
**assumes**  
 $M(z) M(f) M(hr)$   
**shows**  
 $is\_Replace(M, z, PHrank(M, f), hr) \longleftrightarrow hr = Replace(z, PHrank(M, f))$   
 ⟨proof⟩

**lemma** *RepFun\_PHrank*:  
**assumes**  
 $M(R) M(A) M(f)$   
**shows**  
 $Replace(A, PHrank(M, f)) = RepFun(A, \lambda y. succ(f'y))$   
 ⟨proof⟩

**lemma** *RepFun\_PHrank\_closed*:  
**assumes**

```

    M(f) M(A)
  shows
    M(Replace(A,PHrank(M,f)))
  <proof>

```

```

lemma relation2_Hrank :
  relation2(M,is_Hrank(M),Hrank)
  <proof>

```

```

lemma Union_PHrank_closed:
  assumes
    M(x) M(f)
  shows
    M( $\bigcup y \in x. succ(f^y)$ )
  <proof>

```

```

lemma is_Hrank_closed :
  M(A)  $\implies \forall x[M]. \forall g[M]. function(g) \longrightarrow M(Hrank(x,g))$ 
  <proof>

```

```

lemma rank_closed: M(a)  $\implies M(rank(a))$ 
  <proof>

```

```

lemma M_into_Vset:
  assumes M(a)
  shows  $\exists i[M]. \exists V[M]. ordinal(M,i) \wedge is_Vfrom(M,0,i,V) \wedge a \in V$ 
  <proof>

```

```

end
end

```

## 10 Interface between set models and Constructibility

This theory provides an interface between Paulson's relativization results and set models of ZFC. In particular, it is used to prove that the locale *forcing\_data* is a sublocale of all relevant locales in ZF-Constructibility (*M\_trivial*, *M\_basic*, *M\_eclose*, etc).

```

theory Interface
  imports
    Nat_Miscellanea
    Relative_Univ
  begin

  syntax

```



$\_sats :: [i, i, i] \Rightarrow o \ ((-, - \models -) [36,36,36] 60)$

**translations**

$(M, env \models \varphi) \equiv CONST \ sats(M, \varphi, env)$

**abbreviation**

$dec10 :: i \ (10) \ \mathbf{where} \ 10 \equiv succ(9)$

**abbreviation**

$dec11 :: i \ (11) \ \mathbf{where} \ 11 \equiv succ(10)$

**abbreviation**

$dec12 :: i \ (12) \ \mathbf{where} \ 12 \equiv succ(11)$

**abbreviation**

$dec13 :: i \ (13) \ \mathbf{where} \ 13 \equiv succ(12)$

**abbreviation**

$dec14 :: i \ (14) \ \mathbf{where} \ 14 \equiv succ(13)$

**definition**

$infinity\_ax :: (i \Rightarrow o) \Rightarrow o \ \mathbf{where}$

$infinity\_ax(M) \equiv$

$(\exists I[M]. (\exists z[M]. empty(M, z) \wedge z \in I) \wedge (\forall y[M]. y \in I \longrightarrow (\exists sy[M]. successor(M, y, sy) \wedge sy \in I)))$

**definition**

$choice\_ax :: (i \Rightarrow o) \Rightarrow o \ \mathbf{where}$

$choice\_ax(M) \equiv \forall x[M]. \exists a[M]. \exists f[M]. ordinal(M, a) \wedge surjection(M, a, x, f)$

**context  $M\_basic$  begin**

**lemma  $choice\_ax\_abs$  :**

$choice\_ax(M) \longleftrightarrow (\forall x[M]. \exists a[M]. \exists f[M]. Ord(a) \wedge f \in surj(a, x))$

$\langle proof \rangle$

**end**

**definition**

$wellfounded\_trancl :: [i \Rightarrow o, i, i, i] \Rightarrow o \ \mathbf{where}$

$wellfounded\_trancl(M, Z, r, p) \equiv$

$\exists w[M]. \exists wx[M]. \exists rp[M].$

$w \in Z \ \& \ pair(M, w, p, wx) \ \& \ tran\_closure(M, r, rp) \ \& \ wx \in rp$

**lemma  $empty\_intf$  :**

$infinity\_ax(M) \Longrightarrow$

$(\exists z[M]. empty(M, z))$

$\langle proof \rangle$

```

lemma Transset_intf :
  Transset(M)  $\implies$   $y \in x \implies x \in M \implies y \in M$ 
  <proof>

locale M_ZF =
  fixes M
  assumes
    upair_ax:      upair_ax(##M) and
    Union_ax:      Union_ax(##M) and
    power_ax:      power_ax(##M) and
    extensionality: extensionality(##M) and
    foundation_ax: foundation_ax(##M) and
    infinity_ax:   infinity_ax(##M) and
    separation_ax:  $\varphi \in \text{formula} \implies \text{env} \in \text{list}(M) \implies$ 
       $\text{arity}(\varphi) \leq 1 \ \#\ + \ \text{length}(\text{env}) \implies$ 
      separation(##M,  $\lambda x. \text{sats}(M, \varphi, [x] \ @ \ \text{env})$ ) and
    replacement_ax:  $\varphi \in \text{formula} \implies \text{env} \in \text{list}(M) \implies$ 
       $\text{arity}(\varphi) \leq 2 \ \#\ + \ \text{length}(\text{env}) \implies$ 
      strong_replacement(##M,  $\lambda x y. \text{sats}(M, \varphi, [x, y] \ @ \ \text{env})$ )

```

```

locale M_ZF_trans = M_ZF +
  assumes
    trans_M:      Transset(M)
begin

```

```

lemmas transitivity = Transset_intf[OF trans_M]

```

## 10.1 Interface with *M\_trivial*

```

lemma zero_in_M:  $0 \in M$ 
<proof>

```

```

end

```

```

sublocale M_ZF_trans  $\subseteq$  M_trans ##M
<proof>

```

```

sublocale M_ZF_trans  $\subseteq$  M_trivial ##M
<proof>

```

```

context M_ZF_trans
begin

```

## 10.2 Interface with *M\_basic*

```

schematic_goal inter_fm_auto:
  assumes
     $\text{nth}(i, \text{env}) = x \ \text{nth}(j, \text{env}) = B$ 
     $i \in \text{nat} \ j \in \text{nat} \ \text{env} \in \text{list}(A)$ 
  shows

```

$(\forall y \in A . y \in B \longrightarrow x \in y) \longleftrightarrow \text{sats}(A, ?ifm(i, j), env)$   
 ⟨proof⟩

**lemma** *inter\_sep\_intf* :

**assumes**

$A \in M$

**shows**

$\text{separation}(\#\#M, \lambda x . \forall y \in M . y \in A \longrightarrow x \in y)$

⟨proof⟩

**schematic\_goal** *diff\_fm\_auto*:

**assumes**

$\text{nth}(i, env) = x \text{ nth}(j, env) = B$

$i \in \text{nat } j \in \text{nat } env \in \text{list}(A)$

**shows**

$x \notin B \longleftrightarrow \text{sats}(A, ?dfm(i, j), env)$

⟨proof⟩

**lemma** *diff\_sep\_intf* :

**assumes**

$B \in M$

**shows**

$\text{separation}(\#\#M, \lambda x . x \notin B)$

⟨proof⟩

**schematic\_goal** *cprod\_fm\_auto*:

**assumes**

$\text{nth}(i, env) = z \text{ nth}(j, env) = B \text{ nth}(h, env) = C$

$i \in \text{nat } j \in \text{nat } h \in \text{nat } env \in \text{list}(A)$

**shows**

$(\exists x \in A . x \in B \wedge (\exists y \in A . y \in C \wedge \text{pair}(\#\#A, x, y, z))) \longleftrightarrow \text{sats}(A, ?cpfm(i, j, h), env)$

⟨proof⟩

**lemma** *cartprod\_sep\_intf* :

**assumes**

$A \in M$

**and**

$B \in M$

**shows**

$\text{separation}(\#\#M, \lambda z . \exists x \in M . x \in A \wedge (\exists y \in M . y \in B \wedge \text{pair}(\#\#M, x, y, z)))$

⟨proof⟩

**schematic\_goal** *im\_fm\_auto*:

**assumes**

$\text{nth}(i, env) = y \text{ nth}(j, env) = r \text{ nth}(h, env) = B$

$i \in \text{nat } j \in \text{nat } h \in \text{nat } env \in \text{list}(A)$

**shows**  
 $(\exists p \in A. p \in r \ \& \ (\exists x \in A. x \in B \ \& \ \text{pair}(\#\#A, x, y, p))) \longleftrightarrow \text{sats}(A, ?\text{imfm}(i, j, h), \text{env})$   
 $\langle \text{proof} \rangle$

**lemma** *image\_sep\_intf* :

**assumes**

$A \in M$

**and**

$r \in M$

**shows**

$\text{separation}(\#\#M, \lambda y. \exists p \in M. p \in r \ \& \ (\exists x \in M. x \in A \ \& \ \text{pair}(\#\#M, x, y, p)))$

$\langle \text{proof} \rangle$

**schematic\_goal** *con\_fm\_auto*:

**assumes**

$\text{nth}(i, \text{env}) = z \ \text{nth}(j, \text{env}) = R$

$i \in \text{nat} \ j \in \text{nat} \ \text{env} \in \text{list}(A)$

**shows**

$(\exists p \in A. p \in R \ \& \ (\exists x \in A. \exists y \in A. \text{pair}(\#\#A, x, y, p) \ \& \ \text{pair}(\#\#A, y, x, z)))$

$\longleftrightarrow \text{sats}(A, ?\text{cfm}(i, j), \text{env})$

$\langle \text{proof} \rangle$

**lemma** *converse\_sep\_intf* :

**assumes**

$R \in M$

**shows**

$\text{separation}(\#\#M, \lambda z. \exists p \in M. p \in R \ \& \ (\exists x \in M. \exists y \in M. \text{pair}(\#\#M, x, y, p) \ \& \ \text{pair}(\#\#M, y, x, z)))$

$\langle \text{proof} \rangle$

**schematic\_goal** *rest\_fm\_auto*:

**assumes**

$\text{nth}(i, \text{env}) = z \ \text{nth}(j, \text{env}) = C$

$i \in \text{nat} \ j \in \text{nat} \ \text{env} \in \text{list}(A)$

**shows**

$(\exists x \in A. x \in C \ \& \ (\exists y \in A. \text{pair}(\#\#A, x, y, z)))$

$\longleftrightarrow \text{sats}(A, ?\text{rfm}(i, j), \text{env})$

$\langle \text{proof} \rangle$

**lemma** *restrict\_sep\_intf* :

**assumes**

$A \in M$

**shows**

$\text{separation}(\#\#M, \lambda z. \exists x \in M. x \in A \ \& \ (\exists y \in M. \text{pair}(\#\#M, x, y, z)))$

$\langle \text{proof} \rangle$

**schematic\_goal** *comp\_fm\_auto*:

**assumes**

$nth(i, env) = xz \ nth(j, env) = S \ nth(h, env) = R$

$i \in nat \ j \in nat \ h \in nat \ env \in list(A)$

**shows**

$(\exists x \in A. \exists y \in A. \exists z \in A. \exists xy \in A. \exists yz \in A.$

$pair(\#\#A, x, z, xz) \ \& \ pair(\#\#A, x, y, xy) \ \& \ pair(\#\#A, y, z, yz) \ \& \ xy \in S$

$\& \ yz \in R)$

$\longleftrightarrow sats(A, ?cfm(i, j, h), env)$

$\langle proof \rangle$

**lemma** *comp\_sep\_intf* :

**assumes**

$R \in M$

**and**

$S \in M$

**shows**

$separation(\#\#M, \lambda xz. \exists x \in M. \exists y \in M. \exists z \in M. \exists xy \in M. \exists yz \in M.$

$pair(\#\#M, x, z, xz) \ \& \ pair(\#\#M, x, y, xy) \ \& \ pair(\#\#M, y, z, yz) \ \& \ xy \in S$

$\& \ yz \in R)$

$\langle proof \rangle$

**schematic\_goal** *pred\_fm\_auto*:

**assumes**

$nth(i, env) = y \ nth(j, env) = R \ nth(h, env) = X$

$i \in nat \ j \in nat \ h \in nat \ env \in list(A)$

**shows**

$(\exists p \in A. p \in R \ \& \ pair(\#\#A, y, X, p)) \longleftrightarrow sats(A, ?pfm(i, j, h), env)$

$\langle proof \rangle$

**lemma** *pred\_sep\_intf*:

**assumes**

$R \in M$

**and**

$X \in M$

**shows**

$separation(\#\#M, \lambda y. \exists p \in M. p \in R \ \& \ pair(\#\#M, y, X, p))$

$\langle proof \rangle$

**schematic\_goal** *mem\_fm\_auto*:

**assumes**

$nth(i, env) = z \ i \in nat \ env \in list(A)$

**shows**

$(\exists x \in A. \exists y \in A. pair(\#\#A, x, y, z) \ \& \ x \in y) \longleftrightarrow sats(A, ?mfm(i), env)$

$\langle proof \rangle$

**lemma** *memrel\_sep\_intf*:

*separation*( $\#\#M, \lambda z. \exists x \in M. \exists y \in M. \text{pair}(\#\#M, x, y, z) \ \& \ x \in y$ )  
*<proof>*

**schematic\_goal** *recfun\_fm\_auto*:

**assumes**

$\text{nth}(i1, \text{env}) = x \ \text{nth}(i2, \text{env}) = r \ \text{nth}(i3, \text{env}) = f \ \text{nth}(i4, \text{env}) = g \ \text{nth}(i5, \text{env}) = a$

$\text{nth}(i6, \text{env}) = b \ i1 \in \text{nat} \ i2 \in \text{nat} \ i3 \in \text{nat} \ i4 \in \text{nat} \ i5 \in \text{nat} \ i6 \in \text{nat} \ \text{env} \in \text{list}(A)$

**shows**

$(\exists xa \in A. \exists xb \in A. \text{pair}(\#\#A, x, a, xa) \ \& \ xa \in r \ \& \ \text{pair}(\#\#A, x, b, xb) \ \& \ xb \in r$   
 $\&$

$(\exists fx \in A. \exists gx \in A. \text{fun\_apply}(\#\#A, f, x, fx) \ \& \ \text{fun\_apply}(\#\#A, g, x, gx)$   
 $\& \ fx \neq gx)$

$\longleftrightarrow \text{sats}(A, ?rffm(i1, i2, i3, i4, i5, i6), \text{env})$

*<proof>*

**lemma** *is\_recfun\_sep\_intf* :

**assumes**

$r \in M \ f \in M \ g \in M \ a \in M \ b \in M$

**shows**

*separation*( $\#\#M, \lambda x. \exists xa \in M. \exists xb \in M.$

$\text{pair}(\#\#M, x, a, xa) \ \& \ xa \in r \ \& \ \text{pair}(\#\#M, x, b, xb) \ \& \ xb \in r \ \&$

$(\exists fx \in M. \exists gx \in M. \text{fun\_apply}(\#\#M, f, x, fx) \ \& \ \text{fun\_apply}(\#\#M, g, x, gx)$

$\&$

$fx \neq gx)$

*<proof>*

**schematic\_goal** *funsp\_fm\_auto*:

**assumes**

$\text{nth}(i, \text{env}) = p \ \text{nth}(j, \text{env}) = z \ \text{nth}(h, \text{env}) = n$

$i \in \text{nat} \ j \in \text{nat} \ h \in \text{nat} \ \text{env} \in \text{list}(A)$

**shows**

$(\exists f \in A. \exists b \in A. \exists nb \in A. \exists \text{cnbf} \in A. \text{pair}(\#\#A, f, b, p) \ \& \ \text{pair}(\#\#A, n, b, nb) \ \&$   
*is\_cons*( $\#\#A, nb, f, \text{cnbf}$ )  $\&$

*upair*( $\#\#A, \text{cnbf}, \text{cnbf}, z$ )  $\longleftrightarrow \text{sats}(A, ?fsfm(i, j, h), \text{env})$

*<proof>*

**lemma** *funspace\_succ\_rep\_intf* :

**assumes**

$n \in M$

**shows**

*strong\_replacement*( $\#\#M,$

$\lambda p z. \exists f \in M. \exists b \in M. \exists nb \in M. \exists cnbf \in M.$   
 $pair(\#\#M, f, b, p) \ \& \ pair(\#\#M, n, b, nb) \ \& \ is\_cons(\#\#M, nb, f, cnbf)$   
 $\&$   
 $upair(\#\#M, cnbf, cnbf, z)$   
 $\langle proof \rangle$

**lemmas**  $M\_basic\_sep\_instances =$   
 $inter\_sep\_intf \ diff\_sep\_intf \ cartprod\_sep\_intf$   
 $image\_sep\_intf \ converse\_sep\_intf \ restrict\_sep\_intf$   
 $pred\_sep\_intf \ memrel\_sep\_intf \ comp\_sep\_intf \ is\_recfun\_sep\_intf$

**end**

**sublocale**  $M\_ZF\_trans \subseteq M\_basic \ \#\#M$   
 $\langle proof \rangle$

### 10.3 Interface with $M\_trancl$

**schematic\_goal**  $rtran\_closure\_mem\_auto:$   
**assumes**  
 $nth(i, env) = p \ nth(j, env) = r \ nth(k, env) = B$   
 $i \in nat \ j \in nat \ k \in nat \ env \in list(A)$   
**shows**  
 $rtran\_closure\_mem(\#\#A, B, r, p) \longleftrightarrow sats(A, ?rcfm(i, j, k), env)$   
 $\langle proof \rangle$

**lemma** (in  $M\_ZF\_trans$ )  $rtrancl\_separation\_intf:$   
**assumes**  
 $r \in M$   
**and**  
 $A \in M$   
**shows**  
 $separation(\#\#M, rtran\_closure\_mem(\#\#M, A, r))$   
 $\langle proof \rangle$

**schematic\_goal**  $rtran\_closure\_fm\_auto:$   
**assumes**  
 $nth(i, env) = r \ nth(j, env) = rp$   
 $i \in nat \ j \in nat \ env \in list(A)$   
**shows**  
 $rtran\_closure(\#\#A, r, rp) \longleftrightarrow sats(A, ?rtc(i, j), env)$   
 $\langle proof \rangle$

**schematic\_goal**  $trans\_closure\_fm\_auto:$   
**assumes**

$nth(i, env) = r \ nth(j, env) = rp$   
 $i \in nat \ j \in nat \ env \in list(A)$   
**shows**  
 $tran\_closure(\#\#A, r, rp) \longleftrightarrow sats(A, ?tc(i, j), env)$   
 $\langle proof \rangle$

$\langle ML \rangle$

**schematic\_goal** *wellfounded\_trancl\_fm\_auto*:

**assumes**  
 $nth(i, env) = p \ nth(j, env) = r \ nth(k, env) = B$   
 $i \in nat \ j \in nat \ k \in nat \ env \in list(A)$   
**shows**  
 $wellfounded\_trancl(\#\#A, B, r, p) \longleftrightarrow sats(A, ?wtf(i, j, k), env)$   
 $\langle proof \rangle$

**context** *M\_ZF\_trans*

**begin**

**lemma** *wftrancl\_separation\_intf*:

**assumes**  
 $r \in M$  **and**  $Z \in M$   
**shows**  
 $separation(\#\#M, wellfounded\_trancl(\#\#M, Z, r))$   
 $\langle proof \rangle$

Proof that  $nat \in M$

**lemma** *finite\_sep\_intf*:  $separation(\#\#M, \lambda x. x \in nat)$   
 $\langle proof \rangle$

**lemma** *nat\_subset\_I'*:

$\llbracket I \in M ; 0 \in I ; \bigwedge x. x \in I \implies succ(x) \in I \rrbracket \implies nat \subseteq I$   
 $\langle proof \rangle$

**lemma** *nat\_subset\_I*:  $\exists I \in M. nat \subseteq I$

$\langle proof \rangle$

**lemma** *nat\_in\_M*:  $nat \in M$

$\langle proof \rangle$

**end**

**sublocale** *M\_ZF\_trans*  $\subseteq$  *M\_trancl*  $\#\#M$

$\langle proof \rangle$

## 10.4 Interface with *M\_eclse*

**lemma** *repl\_sats*:

**assumes**



$sat:\bigwedge x z. x \in M \implies z \in M \implies sats(M, \varphi, Cons(x, Cons(z, env))) \longleftrightarrow P(x, z)$   
**shows**  
 $strong\_replacement(\#\#M, \lambda x z. sats(M, \varphi, Cons(x, Cons(z, env)))) \longleftrightarrow$   
 $strong\_replacement(\#\#M, P)$   
 $\langle proof \rangle$

**lemma (in  $M\_ZF\_trans$ )  $list\_repl1\_intf$ :**  
**assumes**  
 $A \in M$   
**shows**  
 $iterates\_replacement(\#\#M, is\_list\_functor(\#\#M, A), 0)$   
 $\langle proof \rangle$

**lemma (in  $M\_ZF\_trans$ )  $iterates\_repl\_intf$  :**  
**assumes**  
 $v \in M$  **and**  
 $is\_fm: is\_F\_fm \in formula$  **and**  
 $arty: arity(is\_F\_fm) = 2$  **and**  
 $satsf: \bigwedge a b env'. \llbracket a \in M ; b \in M ; env' \in list(M) \rrbracket$   
 $\implies is\_F(a, b) \longleftrightarrow sats(M, is\_F\_fm, [b, a]@env')$   
**shows**  
 $iterates\_replacement(\#\#M, is\_F, v)$   
 $\langle proof \rangle$

**lemma (in  $M\_ZF\_trans$ )  $formula\_repl1\_intf$  :**  
 $iterates\_replacement(\#\#M, is\_formula\_functor(\#\#M), 0)$   
 $\langle proof \rangle$

**lemma (in  $M\_ZF\_trans$ )  $nth\_repl\_intf$ :**  
**assumes**  
 $l \in M$   
**shows**  
 $iterates\_replacement(\#\#M, \lambda l' t. is\_tl(\#\#M, l', t), l)$   
 $\langle proof \rangle$

**lemma (in  $M\_ZF\_trans$ )  $eclose\_repl1\_intf$ :**  
**assumes**  
 $A \in M$   
**shows**  
 $iterates\_replacement(\#\#M, big\_union(\#\#M), A)$   
 $\langle proof \rangle$

**lemma (in  $M\_ZF\_trans$ )  $list\_repl2\_intf$ :**  
**assumes**

$A \in M$   
**shows**  
 $strong\_replacement(\#\#M, \lambda n y. n \in nat \ \& \ is\_iterates(\#\#M, is\_list\_functor(\#\#M, A),$   
 $0, n, y))$   
 $\langle proof \rangle$

**lemma** (in  $M\_ZF\_trans$ )  $formula\_repl2\_intf$ :  
 $strong\_replacement(\#\#M, \lambda n y. n \in nat \ \& \ is\_iterates(\#\#M, is\_formula\_functor(\#\#M),$   
 $0, n, y))$   
 $\langle proof \rangle$

**lemma** (in  $M\_ZF\_trans$ )  $eclose\_repl2\_intf$ :  
**assumes**  
 $A \in M$   
**shows**  
 $strong\_replacement(\#\#M, \lambda n y. n \in nat \ \& \ is\_iterates(\#\#M, big\_union(\#\#M),$   
 $A, n, y))$   
 $\langle proof \rangle$

**sublocale**  $M\_ZF\_trans \subseteq M\_datatypes \ \#\#M$   
 $\langle proof \rangle$

**sublocale**  $M\_ZF\_trans \subseteq M\_eclose \ \#\#M$   
 $\langle proof \rangle$

**definition**  
 $powerset\_fm :: [i, i] \Rightarrow i \ \mathbf{where}$   
 $powerset\_fm(A, z) \equiv Forall(Iff(Member(0, succ(z)), subset\_fm(0, succ(A))))$

**lemma**  $powerset\_type [TC]$ :  
 $\llbracket x \in nat; y \in nat \rrbracket \Longrightarrow powerset\_fm(x, y) \in formula$   
 $\langle proof \rangle$

**definition**  
 $is\_powapply\_fm :: [i, i, i] \Rightarrow i \ \mathbf{where}$   
 $is\_powapply\_fm(f, y, z) \equiv$   
 $Exists(And(fun\_apply\_fm(succ(f), succ(y), 0),$   
 $Forall(Iff(Member(0, succ(succ(z))),$   
 $Forall(Implies(Member(0, 1), Member(0, 2))))))$

**lemma**  $is\_powapply\_type [TC]$  :  
 $\llbracket f \in nat; y \in nat; z \in nat \rrbracket \Longrightarrow is\_powapply\_fm(f, y, z) \in formula$   
 $\langle proof \rangle$

**declare** *is\_powapply\_fm\_def* [*fm\_definitions add*]

**lemma** *sats\_is\_powapply\_fm* :

**assumes**

$f \in \text{nat } y \in \text{nat } z \in \text{nat } \text{env} \in \text{list}(A) \ 0 \in A$

**shows**

$\text{is\_powapply}(\#\#A, \text{nth}(f, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$

$\longleftrightarrow \text{sats}(A, \text{is\_powapply\_fm}(f, y, z), \text{env})$

*<proof>*

**lemma** (**in** *M\_ZF\_trans*) *powapply\_repl* :

**assumes**

$f \in M$

**shows**

$\text{strong\_replacement}(\#\#M, \text{is\_powapply}(\#\#M, f))$

*<proof>*

**definition**

$\text{PHrank\_fm} :: [i, i, i] \Rightarrow i$  **where**

$\text{PHrank\_fm}(f, y, z) \equiv \text{Exists}(\text{And}(\text{fun\_apply\_fm}(\text{succ}(f), \text{succ}(y), 0)$   
 $\quad, \text{succ\_fm}(0, \text{succ}(z))))$

**lemma** *PHrank\_type* [*TC*]:

$\llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat} \rrbracket \Longrightarrow \text{PHrank\_fm}(x, y, z) \in \text{formula}$

*<proof>*

**lemma** (**in** *M\_ZF\_trans*) *sats\_PHrank\_fm*:

$\llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(M) \rrbracket$

$\Longrightarrow \text{sats}(M, \text{PHrank\_fm}(x, y, z), \text{env}) \longleftrightarrow$

$\text{PHrank}(\#\#M, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$

*<proof>*

**lemma** (**in** *M\_ZF\_trans*) *phrank\_repl* :

**assumes**

$f \in M$

**shows**

$\text{strong\_replacement}(\#\#M, \text{PHrank}(\#\#M, f))$

*<proof>*

**definition**

$\text{is\_Hrank\_fm} :: [i, i, i] \Rightarrow i$  **where**

$is\_Hrank\_fm(x,f,hc) \equiv \text{Exists}(\text{And}(\text{big\_union\_fm}(0,\text{succ}(hc)),$   
 $\text{Replace\_fm}(\text{succ}(x),\text{PHrank\_fm}(\text{succ}(\text{succ}(\text{succ}(f))),0,1),0)))$

**lemma** *is\_Hrank\_type* [TC]:  
 $\llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat} \rrbracket \implies is\_Hrank\_fm(x,y,z) \in \text{formula}$   
 $\langle \text{proof} \rangle$

**lemma** (in *M\_ZF\_trans*) *sats\_is\_Hrank\_fm*:  
 $\llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; env \in \text{list}(M) \rrbracket$   
 $\implies \text{sats}(M, is\_Hrank\_fm(x,y,z), env) \longleftrightarrow$   
 $is\_Hrank(\#\#M, nth(x, env), nth(y, env), nth(z, env))$   
 $\langle \text{proof} \rangle$

**declare** *is\_Hrank\_fm\_def* [fm\_definitions add]  
**declare** *PHrank\_fm\_def* [fm\_definitions add]

**lemma** (in *M\_ZF\_trans*) *wfrec\_rank* :  
**assumes**  
 $X \in M$   
**shows**  
 $wfrec\_replacement(\#\#M, is\_Hrank(\#\#M), rrank(X))$   
 $\langle \text{proof} \rangle$

**definition**  
 $is\_HVfrom\_fm :: [i,i,i,i] \Rightarrow i$  **where**  
 $is\_HVfrom\_fm(A,x,f,h) \equiv \text{Exists}(\text{Exists}(\text{And}(\text{union\_fm}(A \#+ 2,1,h \#+ 2),$   
 $\text{And}(\text{big\_union\_fm}(0,1),$   
 $\text{Replace\_fm}(x \#+ 2, is\_powapply\_fm(f \#+ 4,0,1),0))))))$   
**declare** *is\_HVfrom\_fm\_def* [fm\_definitions add]

**lemma** *is\_HVfrom\_type* [TC]:  
 $\llbracket A \in \text{nat}; x \in \text{nat}; f \in \text{nat}; h \in \text{nat} \rrbracket \implies is\_HVfrom\_fm(A,x,f,h) \in \text{formula}$   
 $\langle \text{proof} \rangle$

**lemma** *sats\_is\_HVfrom\_fm* :  
 $\llbracket a \in \text{nat}; x \in \text{nat}; f \in \text{nat}; h \in \text{nat}; env \in \text{list}(A); 0 \in A \rrbracket$   
 $\implies \text{sats}(A, is\_HVfrom\_fm(a,x,f,h), env) \longleftrightarrow$   
 $is\_HVfrom(\#\#A, nth(a, env), nth(x, env), nth(f, env), nth(h, env))$   
 $\langle \text{proof} \rangle$

**lemma** *is\_HVfrom\_iff\_sats*:  
**assumes**  
 $nth(a, env) = aa \quad nth(x, env) = xx \quad nth(f, env) = ff \quad nth(h, env) = hh$   
 $a \in \text{nat} \quad x \in \text{nat} \quad f \in \text{nat} \quad h \in \text{nat} \quad env \in \text{list}(A) \quad 0 \in A$   
**shows**  
 $is\_HVfrom(\#\#A, aa, xx, ff, hh) \longleftrightarrow \text{sats}(A, is\_HVfrom\_fm(a,x,f,h), env)$   
 $\langle \text{proof} \rangle$

**schematic\_goal** *sats\_is\_Vset\_fm\_auto*:

**assumes**

$i \in \text{nat}$   $v \in \text{nat}$   $\text{env} \in \text{list}(A)$   $0 \in A$   
 $i < \text{length}(\text{env})$   $v < \text{length}(\text{env})$

**shows**

$\text{is\_Vset}(\#\#A, \text{nth}(i, \text{env}), \text{nth}(v, \text{env}))$   
 $\longleftrightarrow \text{sats}(A, ?\text{ivs\_fm}(i, v), \text{env})$   
(*proof*)

**schematic\_goal** *is\_Vset\_iff\_sats*:

**assumes**

$\text{nth}(i, \text{env}) = ii$   $\text{nth}(v, \text{env}) = vv$   
 $i \in \text{nat}$   $v \in \text{nat}$   $\text{env} \in \text{list}(A)$   $0 \in A$   
 $i < \text{length}(\text{env})$   $v < \text{length}(\text{env})$

**shows**

$\text{is\_Vset}(\#\#A, ii, vv) \longleftrightarrow \text{sats}(A, ?\text{ivs\_fm}(i, v), \text{env})$   
(*proof*)

**lemma** (**in** *M\_ZF\_trans*) *memrel\_eclose\_sing* :

$a \in M \implies \exists sa \in M. \exists esa \in M. \exists mesa \in M.$

$\text{upair}(\#\#M, a, a, sa) \ \& \ \text{is\_eclose}(\#\#M, sa, esa) \ \& \ \text{membership}(\#\#M, esa, mesa)$   
(*proof*)

**lemma** (**in** *M\_ZF\_trans*) *trans\_repl\_HVFrom* :

**assumes**

$A \in M$   $i \in M$

**shows**

$\text{transrec\_replacement}(\#\#M, \text{is\_HVfrom}(\#\#M, A), i)$   
(*proof*)

**sublocale** *M\_ZF\_trans*  $\subseteq$  *M\_eclose\_pow*  $\#\#M$

(*proof*)

**lemma** (**in** *M\_ZF\_trans*) *repl\_gen* :

**assumes**

$f\_abs: \bigwedge x y. \llbracket x \in M; y \in M \rrbracket \implies \text{is\_F}(\#\#M, x, y) \longleftrightarrow y = f(x)$

**and**

$f\_sats: \bigwedge x y. \llbracket x \in M; y \in M \rrbracket \implies$   
 $\text{sats}(M, f\_fm, \text{Cons}(x, \text{Cons}(y, \text{env}))) \longleftrightarrow \text{is\_F}(\#\#M, x, y)$

**and**

$f\_form: f\_fm \in \text{formula}$

**and**

$f\_arty: \text{arity}(f\_fm) = 2$

**and**

$\text{env} \in \text{list}(M)$

**shows**

*strong\_replacement*( $\#\#M, \lambda x y. y = f(x)$ )  
 <proof>

**lemma** (in *M\_ZF\_trans*) *sep\_in\_M* :

**assumes**

$\varphi \in \text{formula } env \in \text{list}(M)$

$\text{arity}(\varphi) \leq 1 \ \#\# \text{length}(env) \ A \in M$  **and**

$\text{sats}Q: \bigwedge x. x \in M \implies \text{sats}(M, \varphi, [x]@env) \longleftrightarrow Q(x)$

**shows**

$\{y \in A . Q(y)\} \in M$

<proof>

**end**

## 11 Transitive set models of ZF

This theory defines the locale *M\_ZF\_trans* for transitive models of ZF, and the associated *forcing\_data* that adds a forcing notion

**theory** *Forcing\_Data*

**imports**

*Forcing\_Notions*

*Interface*

**begin**

**lemma** *Transset\_M* :

$\text{Transset}(M) \implies y \in x \implies x \in M \implies y \in M$

<proof>

**locale** *M\_ctm* = *M\_ZF\_trans* +

**fixes** *enum*

**assumes** *M\_countable*:  $enum \in \text{bij}(\text{nat}, M)$

**begin**

**lemma** *tuples\_in\_M*:  $A \in M \implies B \in M \implies \langle A, B \rangle \in M$

<proof>

### 11.1 Collects in M

**lemma** *Collect\_in\_M\_0p* :

**assumes**

$Q_{fm} : Q_{fm} \in \text{formula}$  **and**

$Q_{arty} : \text{arity}(Q_{fm}) = 1$  **and**

$Q_{sats} : \bigwedge x. x \in M \implies \text{sats}(M, Q_{fm}, [x]) \longleftrightarrow \text{is}_Q(\#\#M, x)$  **and**

$Q_{abs} : \bigwedge x. x \in M \implies \text{is}_Q(\#\#M, x) \longleftrightarrow Q(x)$  **and**

$A \in M$   
**shows**  
 $Collect(A, Q) \in M$   
 ⟨proof⟩

**lemma** *Collect\_in\_M\_2p* :

**assumes**  
 $Q_{fm} : Q_{fm} \in \text{formula}$  **and**  
 $Q_{arty} : \text{arity}(Q_{fm}) = 3$  **and**  
 $params\_M : y \in M \ z \in M$  **and**  
 $Q_{sats} : \bigwedge x. x \in M \implies \text{sats}(M, Q_{fm}, [x, y, z]) \longleftrightarrow \text{is\_}Q(\#\#M, x, y, z)$  **and**  
 $Q_{abs} : \bigwedge x. x \in M \implies \text{is\_}Q(\#\#M, x, y, z) \longleftrightarrow Q(x, y, z)$  **and**  
 $A \in M$   
**shows**  
 $Collect(A, \lambda x. Q(x, y, z)) \in M$   
 ⟨proof⟩

**lemma** *Collect\_in\_M\_4p* :

**assumes**  
 $Q_{fm} : Q_{fm} \in \text{formula}$  **and**  
 $Q_{arty} : \text{arity}(Q_{fm}) = 5$  **and**  
 $params\_M : a1 \in M \ a2 \in M \ a3 \in M \ a4 \in M$  **and**  
 $Q_{sats} : \bigwedge x. x \in M \implies \text{sats}(M, Q_{fm}, [x, a1, a2, a3, a4]) \longleftrightarrow \text{is\_}Q(\#\#M, x, a1, a2, a3, a4)$   
**and**  
 $Q_{abs} : \bigwedge x. x \in M \implies \text{is\_}Q(\#\#M, x, a1, a2, a3, a4) \longleftrightarrow Q(x, a1, a2, a3, a4)$  **and**  
 $A \in M$   
**shows**  
 $Collect(A, \lambda x. Q(x, a1, a2, a3, a4)) \in M$   
 ⟨proof⟩

**lemma** *Repl\_in\_M* :

**assumes**  
 $f_{fm} : f_{fm} \in \text{formula}$  **and**  
 $f_{ar} : \text{arity}(f_{fm}) \leq 2 \ \#\ + \ \text{length}(env)$  **and**  
 $fsats : \bigwedge x \ y. x \in M \implies y \in M \implies \text{sats}(M, f_{fm}, [x, y] @ env) \longleftrightarrow \text{is\_}f(x, y)$  **and**  
 $fabs : \bigwedge x \ y. x \in M \implies y \in M \implies \text{is\_}f(x, y) \longleftrightarrow y = f(x)$  **and**  
 $fclosed : \bigwedge x. x \in A \implies f(x) \in M$  **and**  
 $A \in M \ env \in \text{list}(M)$   
**shows**  $\{f(x). x \in A\} \in M$   
 ⟨proof⟩

**end**

## 11.2 A forcing locale and generic filters

**locale** *forcing\_data* = *forcing\_notion* + *M\_ctm* +  
**assumes** *P\_in\_M*:  $P \in M$   
**and** *leq\_in\_M*:  $leq \in M$

**begin**

**lemma** *transD* :  $Transset(M) \implies y \in M \implies y \subseteq M$   
*<proof>*

**lemmas**  $P\_sub\_M = transD[OF trans\_M P\_in\_M]$

**definition**

$M\_generic :: i \Rightarrow o$  **where**  
 $M\_generic(G) \equiv filter(G) \wedge (\forall D \in M. D \subseteq P \wedge dense(D) \longrightarrow D \cap G \neq 0)$

**lemma** *M\_genericD* [*dest*]:  $M\_generic(G) \implies x \in G \implies x \in P$   
*<proof>*

**lemma** *M\_generic\_leqD* [*dest*]:  $M\_generic(G) \implies p \in G \implies q \in P \implies p \preceq q \implies q \in G$   
*<proof>*

**lemma** *M\_generic\_compatD* [*dest*]:  $M\_generic(G) \implies p \in G \implies r \in G \implies \exists q \in G. q \preceq p \wedge q \preceq r$   
*<proof>*

**lemma** *M\_generic\_denseD* [*dest*]:  $M\_generic(G) \implies dense(D) \implies D \subseteq P \implies D \in M \implies \exists q \in G. q \in D$   
*<proof>*

**lemma** *G\_nonempty*:  $M\_generic(G) \implies G \neq 0$   
*<proof>*

**lemma** *one\_in\_G* :  
**assumes**  $M\_generic(G)$   
**shows**  $one \in G$   
*<proof>*

**lemma** *G\_subset\_M*:  $M\_generic(G) \implies G \subseteq M$   
*<proof>*

**declare** *iff\_trans* [*trans*]

**lemma** *generic\_filter\_existence*:  
 $p \in P \implies \exists G. p \in G \wedge M\_generic(G)$   
*<proof>*

**end**

**lemma** (**in** *M\_trivial*) *compat\_in\_abs* :  
**assumes**



```

    M(A) M(r) M(p) M(q)
  shows
    is_compat_in(M,A,r,p,q)  $\longleftrightarrow$  compat_in(A,r,p,q)
  <proof>

context forcing_data begin

definition
  compat_in_fm :: [i,i,i,i]  $\Rightarrow$  i where
  compat_in_fm(A,r,p,q)  $\equiv$ 
    Exists(And(Member(0,succ(A)),Exists(And(pair_fm(1,p#+2,0),
      And(Member(0,r#+2),
        Exists(And(pair_fm(2,q#+3,0),Member(0,r#+3))))))))))

lemma compat_in_fm_type[TC] :
  [ A $\in$ nat;r $\in$ nat;p $\in$ nat;q $\in$ nat ]  $\Longrightarrow$  compat_in_fm(A,r,p,q) $\in$ formula
  <proof>

lemma sats_compat_in_fm:
  assumes
    A $\in$ nat r $\in$ nat p $\in$ nat q $\in$ nat env $\in$ list(M)
  shows
    sats(M,compat_in_fm(A,r,p,q),env)  $\longleftrightarrow$ 
      is_compat_in(##M,nth(A,env),nth(r,env),nth(p,env),nth(q,env))
  <proof>

end

end

```

## 12 The ZFC axioms, internalized

```

theory Internal.ZFC.Axioms
  imports
    Forcing_Data

begin

schematic_goal ZF_union_auto:
  Union_ax(##A)  $\longleftrightarrow$  (A, []  $\models$  ?zfunion)
  <proof>

  <ML>

schematic_goal ZF_power_auto:
  power_ax(##A)  $\longleftrightarrow$  (A, []  $\models$  ?zfpow)
  <proof>

  <ML>

```

**schematic\_goal** *ZF\_pairing\_auto*:  
 $upair\_ax(\#\#A) \longleftrightarrow (A, [] \models ?zfpair)$   
 ⟨proof⟩

⟨ML⟩

**schematic\_goal** *ZF\_foundation\_auto*:  
 $foundation\_ax(\#\#A) \longleftrightarrow (A, [] \models ?zfpow)$   
 ⟨proof⟩

⟨ML⟩

**schematic\_goal** *ZF\_extensionality\_auto*:  
 $extensionality(\#\#A) \longleftrightarrow (A, [] \models ?zfpow)$   
 ⟨proof⟩

⟨ML⟩

**schematic\_goal** *ZF\_infinity\_auto*:  
 $infinity\_ax(\#\#A) \longleftrightarrow (A, [] \models (? \varphi(i,j,h)))$   
 ⟨proof⟩

⟨ML⟩

**schematic\_goal** *ZF\_choice\_auto*:  
 $choice\_ax(\#\#A) \longleftrightarrow (A, [] \models (? \varphi(i,j,h)))$   
 ⟨proof⟩

⟨ML⟩

**syntax**  
 $\_choice :: i (AC)$

**translations**  
 $AC \rightarrow CONST\ ZF\_choice\_fm$

**lemmas** *ZFC\_fm\_defs* = *ZF\_extensionality\_fm\_def* *ZF\_foundation\_fm\_def* *ZF\_pairing\_fm\_def*  
*ZF\_union\_fm\_def* *ZF\_infinity\_fm\_def* *ZF\_power\_fm\_def* *ZF\_choice\_fm\_def*

**lemmas** *ZFC\_fm\_sats* = *ZF\_extensionality\_auto* *ZF\_foundation\_auto* *ZF\_pairing\_auto*  
*ZF\_union\_auto* *ZF\_infinity\_auto* *ZF\_power\_auto* *ZF\_choice\_auto*

**definition**  
 $ZF\_fin :: i$  **where**  
 $ZF\_fin \equiv \{ ZF\_extensionality\_fm, ZF\_foundation\_fm, ZF\_pairing\_fm, ZF\_union\_fm, ZF\_infinity\_fm, ZF\_power\_fm \}$

**definition**  
 $ZFC\_fin :: i$  **where**

$ZFC\_fin \equiv ZF\_fin \cup \{ZF\_choice\_fm\}$

**lemma**  $ZFC\_fin\_type : ZFC\_fin \subseteq formula$   
 ⟨proof⟩

## 12.1 The Axiom of Separation, internalized

**lemma**  $iterates\_Forall\_type [TC]:$   
 $\llbracket n \in nat; p \in formula \rrbracket \implies Forall^n(p) \in formula$   
 ⟨proof⟩

**lemma**  $last\_init\_eq :$   
**assumes**  $l \in list(A)$   $length(l) = succ(n)$   
**shows**  $\exists a \in A. \exists l' \in list(A). l = l'@[a]$   
 ⟨proof⟩

**lemma**  $take\_drop\_eq :$   
**assumes**  $l \in list(M)$   
**shows**  $\bigwedge n. n < succ(length(l)) \implies l = take(n,l) @ drop(n,l)$   
 ⟨proof⟩

**lemma**  $list\_split :$   
**assumes**  $n \leq succ(length(rest))$   $rest \in list(M)$   
**shows**  $\exists re \in list(M). \exists st \in list(M). rest = re @ st \wedge length(re) = pred(n)$   
 ⟨proof⟩

**lemma**  $sats\_nForall:$   
**assumes**  
 $\varphi \in formula$   
**shows**  
 $n \in nat \implies ms \in list(M) \implies$   
 $M, ms \models (Forall^n(\varphi)) \longleftrightarrow$   
 $(\forall rest \in list(M). length(rest) = n \longrightarrow M, rest @ ms \models \varphi)$   
 ⟨proof⟩

**definition**  
 $sep\_body\_fm :: i \Rightarrow i$  **where**  
 $sep\_body\_fm(p) \equiv Forall(Exists(Forall($   
 $Iff(Member(0,1),And(Member(0,2),$   
 $incr\_bv1^2(p))))))$

**lemma**  $sep\_body\_fm\_type [TC]: p \in formula \implies sep\_body\_fm(p) \in formula$   
 ⟨proof⟩

**lemma**  $sats\_sep\_body\_fm:$   
**assumes**  
 $\varphi \in formula$   $ms \in list(M)$   $rest \in list(M)$   
**shows**  
 $M, rest @ ms \models sep\_body\_fm(\varphi) \longleftrightarrow$

$separation(\#\#M, \lambda x. M, [x] @ rest @ ms \models \varphi)$   
 ⟨proof⟩

**definition**

$ZF\_separation\_fm :: i \Rightarrow i$  **where**  
 $ZF\_separation\_fm(p) \equiv Forall \wedge (pred(arity(p)))(sep\_body\_fm(p))$

**lemma**  $ZF\_separation\_fm\_type$  [TC]:  $p \in formula \implies ZF\_separation\_fm(p) \in formula$   
 ⟨proof⟩

**lemma**  $sats\_ZF\_separation\_fm\_iff$ :

**assumes**

$\varphi \in formula$

**shows**

$(M, [] \models (ZF\_separation\_fm(\varphi)))$

$\longleftrightarrow$

$(\forall env \in list(M). arity(\varphi) \leq 1 \# + length(env) \longrightarrow$   
 $separation(\#\#M, \lambda x. M, [x] @ env \models \varphi))$

⟨proof⟩

## 12.2 The Axiom of Replacement, internalized

**schematic\_goal**  $sats\_univalent\_fm\_auto$ :

**assumes**

$Q\_iff\_sats: \wedge x y z. x \in A \implies y \in A \implies z \in A \implies$   
 $Q(x, z) \longleftrightarrow (A, Cons(z, Cons(y, Cons(x, env))) \models Q1\_fm)$   
 $\wedge x y z. x \in A \implies y \in A \implies z \in A \implies$   
 $Q(x, y) \longleftrightarrow (A, Cons(z, Cons(y, Cons(x, env))) \models Q2\_fm)$

**and**

$asms: nth(i, env) = B \ i \in nat \ env \in list(A)$

**shows**

$univalent(\#\#A, B, Q) \longleftrightarrow A, env \models ?ufm(i)$

⟨proof⟩

⟨ML⟩

**lemma**  $univalent\_fm\_type$  [TC]:  $q1 \in formula \implies q2 \in formula \implies i \in nat \implies$   
 $univalent\_fm(q2, q1, i) \in formula$   
 ⟨proof⟩

**lemma**  $sats\_univalent\_fm$  :

**assumes**

$Q\_iff\_sats: \wedge x y z. x \in A \implies y \in A \implies z \in A \implies$   
 $Q(x, z) \longleftrightarrow (A, Cons(z, Cons(y, Cons(x, env))) \models Q1\_fm)$   
 $\wedge x y z. x \in A \implies y \in A \implies z \in A \implies$   
 $Q(x, y) \longleftrightarrow (A, Cons(z, Cons(y, Cons(x, env))) \models Q2\_fm)$

**and**

$asms: nth(i, env) = B \ i \in nat \ env \in list(A)$

**shows**

$A, env \models \text{univalent\_fm}(Q1\_fm, Q2\_fm, i) \longleftrightarrow \text{univalent}(\#\#A, B, Q)$   
{proof}

**definition**

$\text{swap\_vars} :: i \Rightarrow i$  **where**  
 $\text{swap\_vars}(\varphi) \equiv$   
 $\text{Exists}(\text{Exists}(\text{And}(\text{Equal}(0,3), \text{And}(\text{Equal}(1,2), \text{iterates}(\lambda p. \text{incr\_bv}(p)'2, 2, \varphi))))))$

**lemma**  $\text{swap\_vars\_type}[TC]$  :

$\varphi \in \text{formula} \implies \text{swap\_vars}(\varphi) \in \text{formula}$   
{proof}

**lemma**  $\text{sats\_swap\_vars}$  :

$[x, y] @ env \in \text{list}(M) \implies \varphi \in \text{formula} \implies$   
 $M, [x, y] @ env \models \text{swap\_vars}(\varphi) \longleftrightarrow M, [y, x] @ env \models \varphi$   
{proof}

**definition**

$\text{univalent\_Q1} :: i \Rightarrow i$  **where**  
 $\text{univalent\_Q1}(\varphi) \equiv \text{incr\_bv1}(\text{swap\_vars}(\varphi))$

**definition**

$\text{univalent\_Q2} :: i \Rightarrow i$  **where**  
 $\text{univalent\_Q2}(\varphi) \equiv \text{incr\_bv}(\text{swap\_vars}(\varphi))'0$

**lemma**  $\text{univalent\_Qs\_type}[TC]$ :

**assumes**  $\varphi \in \text{formula}$   
**shows**  $\text{univalent\_Q1}(\varphi) \in \text{formula}$   $\text{univalent\_Q2}(\varphi) \in \text{formula}$   
{proof}

**lemma**  $\text{sats\_univalent\_fm\_assm}$ :

**assumes**  
 $x \in A$   $y \in A$   $z \in A$   $env \in \text{list}(A)$   $\varphi \in \text{formula}$   
**shows**  
 $(A, ([x, z] @ env) \models \varphi) \longleftrightarrow (A, \text{Cons}(z, \text{Cons}(y, \text{Cons}(x, env)))) \models (\text{univalent\_Q1}(\varphi))$   
 $(A, ([x, y] @ env) \models \varphi) \longleftrightarrow (A, \text{Cons}(z, \text{Cons}(y, \text{Cons}(x, env)))) \models (\text{univalent\_Q2}(\varphi))$   
{proof}

**definition**

$\text{rep\_body\_fm} :: i \Rightarrow i$  **where**  
 $\text{rep\_body\_fm}(p) \equiv \text{Forall}(\text{Implies}(\text{univalent\_fm}(\text{univalent\_Q1}(\text{incr\_bv}(p)'2), \text{univalent\_Q2}(\text{incr\_bv}(p)'2), 0), \text{Exists}(\text{Forall}(\text{Iff}(\text{Member}(0,1), \text{Exists}(\text{And}(\text{Member}(0,3), \text{incr\_bv}(\text{incr\_bv}(p)'2)'2))))))$

**lemma**  $\text{rep\_body\_fm\_type}[TC]$ :  $p \in \text{formula} \implies \text{rep\_body\_fm}(p) \in \text{formula}$

{proof}

**lemmas**  $ZF\_replacement\_simps = formula\_add\_params1[of \ \varphi \ 2 \ - \ M \ [-, -]]$   
 $sats\_incr\_bv\_iff[of \ - \ - \ M \ - \ []]$  — simplifies iterates of  $\lambda x. incr\_bv(x) \cdot 0$   
 $sats\_incr\_bv\_iff[of \ - \ - \ M \ - \ [-, -]]$  — simplifies  $\lambda x. incr\_bv(x) \cdot 2$   
 $sats\_incr\_bv1\_iff[of \ - \ - \ M]$   $sats\_swap\_vars$  **for**  $\varphi \ M$

**lemma**  $sats\_rep\_body\_fm:$

**assumes**

$\varphi \in formula$   $ms \in list(M)$   $rest \in list(M)$

**shows**

$M, rest @ ms \models rep\_body\_fm(\varphi) \longleftrightarrow$

$strong\_replacement(\#\#M, \lambda x y. M, [x, y] @ rest @ ms \models \varphi)$

$\langle proof \rangle$

**definition**

$ZF\_replacement\_fm :: i \Rightarrow i$  **where**

$ZF\_replacement\_fm(p) \equiv Forall^{\wedge}(pred(pred(arity(p))))(rep\_body\_fm(p))$

**lemma**  $ZF\_replacement\_fm\_type$  [TC]:  $p \in formula \Longrightarrow ZF\_replacement\_fm(p) \in formula$

$\langle proof \rangle$

**lemma**  $sats\_ZF\_replacement\_fm\_iff:$

**assumes**

$\varphi \in formula$

**shows**

$(M, [] \models (ZF\_replacement\_fm(\varphi)))$

$\longleftrightarrow$

$(\forall env \in list(M). arity(\varphi) \leq 2 \ \#\ + \ length(env) \longrightarrow$

$strong\_replacement(\#\#M, \lambda x y. M, [x, y] @ env \models \varphi))$

$\langle proof \rangle$

**definition**

$ZF\_inf :: i$  **where**

$ZF\_inf \equiv \{ZF\_separation\_fm(p) . p \in formula\} \cup \{ZF\_replacement\_fm(p) . p \in formula\}$

**lemma**  $Un\_subset\_formula: A \subseteq formula \wedge B \subseteq formula \Longrightarrow A \cup B \subseteq formula$

$\langle proof \rangle$

**lemma**  $ZF\_inf\_subset\_formula : ZF\_inf \subseteq formula$

$\langle proof \rangle$

**definition**

$ZFC :: i$  **where**

$ZFC \equiv ZF\_inf \cup ZFC\_fin$

**definition**

$ZF :: i$  **where**

$ZF \equiv ZF\_inf \cup ZF\_fin$

**definition**

$ZF\_minus\_P :: i$  **where**  
 $ZF\_minus\_P \equiv ZF - \{ ZF\_power\_fm \}$

**lemma**  $ZFC\_subset\_formula$ :  $ZFC \subseteq formula$   
*<proof>*

Satisfaction of a set of sentences

**definition**

$satT :: [i,i] \Rightarrow o$  ( $- \models -$  [36,36] 60) **where**  
 $A \models \Phi \equiv \forall \varphi \in \Phi. (A, [] \models \varphi)$

**lemma**  $satTI$  [intro!]:  
**assumes**  $\bigwedge \varphi. \varphi \in \Phi \implies A, [] \models \varphi$   
**shows**  $A \models \Phi$   
*<proof>*

**lemma**  $satTD$  [dest]:  $A \models \Phi \implies \varphi \in \Phi \implies A, [] \models \varphi$   
*<proof>*

**lemma**  $sats\_ZFC\_iff\_sats\_ZF\_AC$ :  
 $(N \models ZFC) \longleftrightarrow (N \models ZF) \wedge (N, [] \models AC)$   
*<proof>*

**lemma**  $M\_ZF\_iff\_M\_satT$ :  $M\_ZF(M) \longleftrightarrow (M \models ZF)$   
*<proof>*

**end**

## 13 Renaming of variables in internalized formulas

**theory**  $Renaming$

**imports**

$Nat\_Miscellanea$   
 $ZF\_Constructible\_Formula$

**begin**

**lemma**  $app\_nm$  :  
**assumes**  $n \in nat$   $m \in nat$   $f \in n \rightarrow m$   $x \in nat$   
**shows**  $f'x \in nat$   
*<proof>*

### 13.1 Renaming of free variables

**definition**

$union\_fun :: [i,i,i,i] \Rightarrow i$  **where**  
 $union\_fun(f,g,m,p) \equiv \lambda j \in m \cup p. \text{if } j \in m \text{ then } f'j \text{ else } g'j$

**lemma** *union\_fun\_type*:  
**assumes**  $f \in m \rightarrow n$   
 $g \in p \rightarrow q$   
**shows**  $\text{union\_fun}(f,g,m,p) \in m \cup p \rightarrow n \cup q$   
 $\langle \text{proof} \rangle$

**lemma** *union\_fun\_action* :  
**assumes**  
 $env \in \text{list}(M)$   
 $env' \in \text{list}(M)$   
 $\text{length}(env) = m \cup p$   
 $\forall i . i \in m \longrightarrow \text{nth}(f^i, env') = \text{nth}(i, env)$   
 $\forall j . j \in p \longrightarrow \text{nth}(g^j, env') = \text{nth}(j, env)$   
**shows**  $\forall i . i \in m \cup p \longrightarrow$   
 $\text{nth}(i, env) = \text{nth}(\text{union\_fun}(f,g,m,p)^i, env')$   
 $\langle \text{proof} \rangle$

**lemma** *id\_fn\_type* :  
**assumes**  $n \in \text{nat}$   
**shows**  $\text{id}(n) \in n \rightarrow n$   
 $\langle \text{proof} \rangle$

**lemma** *id\_fn\_action*:  
**assumes**  $n \in \text{nat}$   $env \in \text{list}(M)$   
**shows**  $\bigwedge j . j < n \implies \text{nth}(j, env) = \text{nth}(\text{id}(n)^j, env)$   
 $\langle \text{proof} \rangle$

**definition**  
 $\text{sum} :: [i,i,i,i,i] \Rightarrow i$  **where**  
 $\text{sum}(f,g,m,n,p) \equiv \lambda j \in m \# + p . \text{if } j < m \text{ then } f^j \text{ else } (g^{(j \# - m)}) \# + n$

**lemma** *sum\_inl*:  
**assumes**  $m \in \text{nat}$   $n \in \text{nat}$   
 $f \in m \rightarrow n$   $x \in m$   
**shows**  $\text{sum}(f,g,m,n,p)^x = f^x$   
 $\langle \text{proof} \rangle$

**lemma** *sum\_inr*:  
**assumes**  $m \in \text{nat}$   $n \in \text{nat}$   $p \in \text{nat}$   
 $g \in p \rightarrow q$   $m \leq x < m \# + p$   
**shows**  $\text{sum}(f,g,m,n,p)^x = g^{(x \# - m)} \# + n$   
 $\langle \text{proof} \rangle$

**lemma** *sum\_action* :  
**assumes**  $m \in \text{nat}$   $n \in \text{nat}$   $p \in \text{nat}$   $q \in \text{nat}$



$f \in m \rightarrow n \quad g \in p \rightarrow q$   
 $env \in list(M)$   
 $env' \in list(M)$   
 $env1 \in list(M)$   
 $env2 \in list(M)$   
 $length(env) = m$   
 $length(env1) = p$   
 $length(env') = n$   
 $\bigwedge i . i < m \implies nth(i, env) = nth(f^i, env')$   
 $\bigwedge j . j < p \implies nth(j, env1) = nth(g^j, env2)$   
**shows**  $\forall i . i < m\# + p \longrightarrow$   
 $nth(i, env @ env1) = nth(sum(f, g, m, n, p)^i, env' @ env2)$   
 <proof>

**lemma** *sum\_type* :  
**assumes**  $m \in nat \quad n \in nat \quad p \in nat \quad q \in nat$   
 $f \in m \rightarrow n \quad g \in p \rightarrow q$   
**shows**  $sum(f, g, m, n, p) \in (m\# + p) \rightarrow (n\# + q)$   
 <proof>

**lemma** *sum\_type\_id* :  
**assumes**  
 $f \in length(env) \rightarrow length(env')$   
 $env \in list(M)$   
 $env' \in list(M)$   
 $env1 \in list(M)$   
**shows**  
 $sum(f, id(length(env1)), length(env), length(env'), length(env1)) \in$   
 $(length(env)\# + length(env1)) \rightarrow (length(env')\# + length(env1))$   
 <proof>

**lemma** *sum\_type\_id\_aux2* :  
**assumes**  
 $f \in m \rightarrow n$   
 $m \in nat \quad n \in nat$   
 $env1 \in list(M)$   
**shows**  
 $sum(f, id(length(env1)), m, n, length(env1)) \in$   
 $(m\# + length(env1)) \rightarrow (n\# + length(env1))$   
 <proof>

**lemma** *sum\_action\_id* :  
**assumes**  
 $env \in list(M)$   
 $env' \in list(M)$   
 $f \in length(env) \rightarrow length(env')$   
 $env1 \in list(M)$   
 $\bigwedge i . i < length(env) \implies nth(i, env) = nth(f^i, env')$   
**shows**  $\bigwedge i . i < length(env)\# + length(env1) \implies$

$nth(i, env @ env1) = nth(sum(f, id(length(env1)), length(env), length(env'), length(env1))) 'i, env' @ env1)$   
 ⟨proof⟩

**lemma** *sum\_action\_id\_aux* :

**assumes**

$f \in m \rightarrow n$   
 $env \in list(M)$   
 $env' \in list(M)$   
 $env1 \in list(M)$   
 $length(env) = m$   
 $length(env') = n$   
 $length(env1) = p$

$\bigwedge i . i < m \implies nth(i, env) = nth(f 'i, env')$

**shows**  $\bigwedge i . i < m \# + length(env1) \implies$

$nth(i, env @ env1) = nth(sum(f, id(length(env1)), m, n, length(env1))) 'i, env' @ env1)$

⟨proof⟩

**definition**

$sum\_id :: [i, i] \Rightarrow i$  **where**

$sum\_id(m, f) \equiv sum(\lambda x \in 1 . x, f, 1, 1, m)$

**lemma** *sum\_id0* :  $m \in nat \implies sum\_id(m, f) '0 = 0$

⟨proof⟩

**lemma** *sum\_idS* :  $p \in nat \implies q \in nat \implies f \in p \rightarrow q \implies x \in p \implies sum\_id(p, f) '(succ(x))$

$= succ(f 'x)$

⟨proof⟩

**lemma** *sum\_id\_tc\_aux* :

$p \in nat \implies q \in nat \implies f \in p \rightarrow q \implies sum\_id(p, f) \in 1 \# + p \rightarrow 1 \# + q$

⟨proof⟩

**lemma** *sum\_id\_tc* :

$n \in nat \implies m \in nat \implies f \in n \rightarrow m \implies sum\_id(n, f) \in succ(n) \rightarrow succ(m)$

⟨proof⟩

## 13.2 Renaming of formulas

**consts** *ren* ::  $i \Rightarrow i$

**primrec**

$ren(Member(x, y)) =$

$(\lambda n \in nat . \lambda m \in nat . \lambda f \in n \rightarrow m . Member(f 'x, f 'y))$

$ren(Equal(x, y)) =$

$(\lambda n \in nat . \lambda m \in nat . \lambda f \in n \rightarrow m . Equal(f 'x, f 'y))$

$ren(Nand(p, q)) =$

$(\lambda n \in nat . \lambda m \in nat . \lambda f \in n \rightarrow m . Nand(ren(p) 'n 'm 'f, ren(q) 'n 'm 'f))$

$ren(Forall(p)) =$   
 $(\lambda n \in nat . \lambda m \in nat . \lambda f \in n \rightarrow m . Forall (ren(p) 'succ(n) 'succ(m) 'sum\_id(n,f)))$

**lemma** *arity\_meml* :  $l \in nat \implies Member(x,y) \in formula \implies arity(Member(x,y)) \leq l \implies x \in l$   
 $\langle proof \rangle$

**lemma** *arity\_memr* :  $l \in nat \implies Member(x,y) \in formula \implies arity(Member(x,y)) \leq l \implies y \in l$   
 $\langle proof \rangle$

**lemma** *arity\_eql* :  $l \in nat \implies Equal(x,y) \in formula \implies arity(Equal(x,y)) \leq l \implies x \in l$   
 $\langle proof \rangle$

**lemma** *arity\_eqr* :  $l \in nat \implies Equal(x,y) \in formula \implies arity(Equal(x,y)) \leq l \implies y \in l$   
 $\langle proof \rangle$

**lemma** *nand\_ar1* :  $p \in formula \implies q \in formula \implies arity(p) \leq arity(Nand(p,q))$   
 $\langle proof \rangle$

**lemma** *nand\_ar2* :  $p \in formula \implies q \in formula \implies arity(q) \leq arity(Nand(p,q))$   
 $\langle proof \rangle$

**lemma** *nand\_ar1D* :  $p \in formula \implies q \in formula \implies arity(Nand(p,q)) \leq n \implies arity(p) \leq n$   
 $\langle proof \rangle$

**lemma** *nand\_ar2D* :  $p \in formula \implies q \in formula \implies arity(Nand(p,q)) \leq n \implies arity(q) \leq n$   
 $\langle proof \rangle$

**lemma** *ren\_tc* :  $p \in formula \implies$   
 $(\bigwedge n m f . n \in nat \implies m \in nat \implies f \in n \rightarrow m \implies ren(p) 'n 'm 'f \in formula)$   
 $\langle proof \rangle$

**lemma** *arity-ren* :

**fixes**  $p$

**assumes**  $p \in formula$

**shows**  $\bigwedge n m f . n \in nat \implies m \in nat \implies f \in n \rightarrow m \implies arity(p) \leq n \implies$   
 $arity(ren(p) 'n 'm 'f) \leq m$

$\langle proof \rangle$

**lemma** *arity\_forallE* :  $p \in formula \implies m \in nat \implies arity(Forall(p)) \leq m \implies$   
 $arity(p) \leq succ(m)$

$\langle proof \rangle$

**lemma** *env\_coincidence\_sum\_id* :

**assumes**  $m \in nat n \in nat$

$\varrho \in list(A) \varrho' \in list(A)$

$f \in n \rightarrow m$

```

     $\bigwedge i . i < n \implies nth(i, \varrho) = nth(f^i, \varrho')$ 
     $a \in A \ j \in succ(n)$ 
    shows  $nth(j, Cons(a, \varrho)) = nth(sum\_id(n, f)^j, Cons(a, \varrho'))$ 
    <proof>

lemma sats_iff_sats_ren :
  fixes  $\varphi$ 
  assumes  $\varphi \in formula$ 
  shows  $\llbracket n \in nat ; m \in nat ; \varrho \in list(M) ; \varrho' \in list(M) ; f \in n \rightarrow m ;$ 
     $arity(\varphi) \leq n ;$ 
     $\bigwedge i . i < n \implies nth(i, \varrho) = nth(f^i, \varrho') \rrbracket \implies$ 
     $sats(M, \varphi, \varrho) \longleftrightarrow sats(M, ren(\varphi)^n m f, \varrho')$ 
  <proof>

end
theory Renaming_Auto
  imports
    Renaming
    ZF.Finite
    ZF.List
  keywords
    rename :: thy_decl % ML
  and
    simple_rename :: thy_decl % ML
  and
    src
  and
    tgt
  abbrevs
    simple_rename =

begin

lemmas app_fun = apply_iff[THEN iffD1]
lemmas nat_succI = nat_succ_iff[THEN iffD2]
<ML>
end

```

## 14 Automatic relativization of terms.

```

theory Relativization
  imports ZF-Constructible.Formula
    ZF-Constructible.Relative
    ZF-Constructible.Datatype_absolute
  keywords
    relativize :: thy_decl % ML
  and
    relativize_tm :: thy_decl % ML
  and

```

*reldb\_add* :: *thy\_decl* % *ML*

**begin**

*<ML>*

**lemmas** *relative\_abs* =

*M\_trans.empty\_abs*  
*M\_trans.pair\_abs*  
*M\_trivial.cartprod\_abs*  
*M\_trans.union\_abs*  
*M\_trans.inter\_abs*  
*M\_trans.setdiff\_abs*  
*M\_trans.Union\_abs*  
*M\_trivial.cons\_abs*

*M\_trivial.successor\_abs*  
*M\_trans.Collect\_abs*  
*M\_trans.Replace\_abs*  
*M\_trivial.lambda\_abs2*  
*M\_trans.image\_abs*

*M\_trivial.nat\_case\_abs*

*M\_trivial.omega\_abs*  
*M\_basic.sum\_abs*  
*M\_trivial.Inl\_abs*  
*M\_trivial.Inr\_abs*  
*M\_basic.converse\_abs*  
*M\_basic.vimage\_abs*  
*M\_trans.domain\_abs*  
*M\_trans.range\_abs*  
*M\_basic.field\_abs*  
*M\_basic.apply\_abs*

*M\_basic.composition\_abs*  
*M\_trans.restriction\_abs*  
*M\_trans.Inter\_abs*  
*M\_trivial.is\_funspace\_abs*  
*M\_trivial.bool\_of\_o\_abs*  
*M\_trivial.not\_abs*  
*M\_trivial.and\_abs*  
*M\_trivial.or\_abs*  
*M\_trivial.Nil\_abs*  
*M\_trivial.Cons\_abs*

*M\_trivial.list\_case\_abs*  
*M\_trivial.hd\_abs*  
*M\_trivial.tl\_abs*

**lemmas** *datatype\_abs* =

```

M_datatypes.list_N_abs
M_datatypes.list_abs
M_datatypes.formula_N_abs
M_datatypes.formula_abs
M_eclose.is_eclose_n_abs
M_eclose.eclose_abs
M_datatypes.length_abs
M_datatypes.nth_abs
M_trivial.Member_abs
M_trivial.Equal_abs
M_trivial.Nand_abs
M_trivial.Forall_abs
M_datatypes.depth_abs
M_datatypes.formula_case_abs

```

```

declare relative_abs[absolut]
declare datatype_abs[absolut]

```

⟨ML⟩

```

declare relative_abs[Rel]

```

```

declare datatype_abs[Rel]

```

```

end

```

## 15 Names and generic extensions

```

theory Names

```

```

imports

```

```

  Forcing_Data

```

```

  Interface

```

```

  Recursion_Thms

```

```

  Relativization

```

```

  Synthetic_Definition

```

```

begin

```

```

definition

```

```

  SepReplace :: [i, i⇒i, i⇒ o] ⇒ i where

```

```

  SepReplace(A,b,Q) ≡ {y . x∈A, y=b(x) ∧ Q(x)}

```

```

syntax

```

```

  _SepReplace :: [i, ptrn, i, o] ⇒ i ((1{- .. / - ∈ -, -})

```

```

translations

```

```

  {b .. x∈A, Q} => CONST SepReplace(A, λx. b, λx. Q)

```

```

lemma Sep_and_Replace: {b(x) .. x∈A, P(x) } = {b(x) . x∈{y∈A. P(y)}}

```

```

  ⟨proof⟩

```

**lemma** *SepReplace\_subset* :  $A \subseteq A' \implies \{b \ .. \ x \in A, Q\} \subseteq \{b \ .. \ x \in A', Q\}$   
 ⟨proof⟩

**lemma** *SepReplace\_iff* [*simp*]:  $y \in \{b(x) \ .. \ x \in A, P(x)\} \longleftrightarrow (\exists x \in A. y = b(x) \ \& \ P(x))$   
 ⟨proof⟩

**lemma** *SepReplace\_dom\_implies* :  
 $(\bigwedge x . x \in A \implies b(x) = b'(x)) \implies \{b(x) \ .. \ x \in A, Q(x)\} = \{b'(x) \ .. \ x \in A, Q(x)\}$   
 ⟨proof⟩

**lemma** *SepReplace\_pred\_implies* :  
 $\forall x. Q(x) \longrightarrow b(x) = b'(x) \implies \{b(x) \ .. \ x \in A, Q(x)\} = \{b'(x) \ .. \ x \in A, Q(x)\}$   
 ⟨proof⟩

## 15.1 The well-founded relation *ed*

**lemma** *eclose\_sing* :  $x \in \text{eclose}(a) \implies x \in \text{eclose}(\{a\})$   
 ⟨proof⟩

**lemma** *ecloseE* :  
**assumes**  $x \in \text{eclose}(A)$   
**shows**  $x \in A \vee (\exists B \in A . x \in \text{eclose}(B))$   
 ⟨proof⟩

**lemma** *eclose\_singE* :  $x \in \text{eclose}(\{a\}) \implies x = a \vee x \in \text{eclose}(a)$   
 ⟨proof⟩

**lemma** *in\_eclose\_sing* :  
**assumes**  $x \in \text{eclose}(\{a\}) \ a \in \text{eclose}(z)$   
**shows**  $x \in \text{eclose}(\{z\})$   
 ⟨proof⟩

**lemma** *in\_dom\_in\_eclose* :  
**assumes**  $x \in \text{domain}(z)$   
**shows**  $x \in \text{eclose}(z)$   
 ⟨proof⟩

termed *ed* is the well-founded relation on which *val* is defined.

**definition**  
 $ed :: [i, i] \Rightarrow o$  **where**  
 $ed(x, y) \equiv x \in \text{domain}(y)$

**definition**  
 $edrel :: i \Rightarrow i$  **where**  
 $edrel(A) \equiv Rrel(ed, A)$

**lemma** *edI*[*intro!*]:  $t \in \text{domain}(x) \implies ed(t, x)$

*<proof>*

**lemma** *edD[dest!]*:  $ed(t,x) \implies t \in domain(x)$   
*<proof>*

**lemma** *rank\_ed*:  
**assumes**  $ed(y,x)$   
**shows**  $succ(rank(y)) \leq rank(x)$   
*<proof>*

**lemma** *edrel\_dest [dest]*:  $x \in edrel(A) \implies \exists a \in A. \exists b \in A. x = \langle a,b \rangle$   
*<proof>*

**lemma** *edrelD* :  $x \in edrel(A) \implies \exists a \in A. \exists b \in A. x = \langle a,b \rangle \wedge a \in domain(b)$   
*<proof>*

**lemma** *edrelI [intro!]*:  $x \in A \implies y \in A \implies x \in domain(y) \implies \langle x,y \rangle \in edrel(A)$   
*<proof>*

**lemma** *edrel\_trans*:  $Transset(A) \implies y \in A \implies x \in domain(y) \implies \langle x,y \rangle \in edrel(A)$   
*<proof>*

**lemma** *domain\_trans*:  $Transset(A) \implies y \in A \implies x \in domain(y) \implies x \in A$   
*<proof>*

**lemma** *relation\_edrel* :  $relation(edrel(A))$   
*<proof>*

**lemma** *field\_edrel* :  $field(edrel(A)) \subseteq A$   
*<proof>*

**lemma** *edrel\_sub\_memrel*:  $edrel(A) \subseteq trancl(Memrel(eclose(A)))$   
*<proof>*

**lemma** *wf\_edrel* :  $wf(edrel(A))$   
*<proof>*

**lemma** *ed\_induction*:  
**assumes**  $\bigwedge x. [\bigwedge y. ed(y,x) \implies Q(y)] \implies Q(x)$   
**shows**  $Q(a)$   
*<proof>*

**lemma** *dom\_under\_edrel\_eclose*:  $edrel(eclose(\{x\})) - \{x\} = domain(x)$   
*<proof>*

**lemma** *ed\_eclose* :  $\langle y,z \rangle \in edrel(A) \implies y \in eclose(z)$   
*<proof>*



**lemma** *tr\_edrel\_eclose* :  $\langle y, z \rangle \in \text{edrel}(\text{eclose}(\{x\}))^+ \implies y \in \text{eclose}(z)$   
 $\langle \text{proof} \rangle$

**lemma** *restrict\_edrel\_eq* :  
**assumes**  $z \in \text{domain}(x)$   
**shows**  $\text{edrel}(\text{eclose}(\{x\})) \cap \text{eclose}(\{z\}) \times \text{eclose}(\{z\}) = \text{edrel}(\text{eclose}(\{z\}))$   
 $\langle \text{proof} \rangle$

**lemma** *tr\_edrel\_subset* :  
**assumes**  $z \in \text{domain}(x)$   
**shows**  $\text{tr\_down}(\text{edrel}(\text{eclose}(\{x\})), z) \subseteq \text{eclose}(\{z\})$   
 $\langle \text{proof} \rangle$

**definition**

$Hv :: [i, i, i, i] \Rightarrow i$  **where**  
 $Hv(P, G, x, f) \equiv \{ f'y .. y \in \text{domain}(x), \exists p \in P. \langle y, p \rangle \in x \wedge p \in G \}$

The function *val* interprets a name in  $M$  according to a (generic) filter  $G$ .  
 Note the definition in terms of the well-founded recursor.

**definition**

$val :: [i, i, i] \Rightarrow i$  **where**  
 $val(P, G, \tau) \equiv \text{wfrec}(\text{edrel}(\text{eclose}(\{\tau\})), \tau, Hv(P, G))$

**definition**

$GenExt :: [i, i, i] \Rightarrow i$   $(-[-] [71, 1])$   
**where**  $M^P[G] \equiv \{ val(P, G, \tau). \tau \in M \}$

**abbreviation** (in *forcing\_notion*)

$GenExt\_at\_P :: i \Rightarrow i \Rightarrow i$   $(-[-] [71, 1])$   
**where**  $M[G] \equiv M^P[G]$

**context** *M\_ctm*

**begin**

**lemma** *upairM* :  $x \in M \implies y \in M \implies \{x, y\} \in M$   
 $\langle \text{proof} \rangle$

**lemma** *singletonM* :  $a \in M \implies \{a\} \in M$   
 $\langle \text{proof} \rangle$

**end**

## 15.2 Values and check-names

**context** *forcing\_data*

**begin**

**definition**

$Hcheck :: [i,i] \Rightarrow i$  **where**  
 $Hcheck(z,f) \equiv \{ \langle f^i y, one \rangle . y \in z \}$

**definition**

$check :: i \Rightarrow i$  **where**  
 $check(x) \equiv transrec(x, Hcheck)$

**lemma checkD:**

$check(x) = wfrec(Memrel(eclose(\{x\})), x, Hcheck)$   
 $\langle proof \rangle$

**definition**

$rcheck :: i \Rightarrow i$  **where**  
 $rcheck(x) \equiv Memrel(eclose(\{x\}))^+$

**lemma Hcheck\_trancl:**  $Hcheck(y, restrict(f, Memrel(eclose(\{x\})) - \{y\}))$

$= Hcheck(y, restrict(f, (Memrel(eclose(\{x\}))^+ - \{y\}))$

$\langle proof \rangle$

**lemma check\_trancl:**  $check(x) = wfrec(rcheck(x), x, Hcheck)$

$\langle proof \rangle$

**lemma rcheck\_in\_M :**

$x \in M \implies rcheck(x) \in M$

$\langle proof \rangle$

**lemma aux\_def\_check:**  $x \in y \implies$

$wfrec(Memrel(eclose(\{y\})), x, Hcheck) =$

$wfrec(Memrel(eclose(\{x\})), x, Hcheck)$

$\langle proof \rangle$

**lemma def\_check :**  $check(y) = \{ \langle check(w), one \rangle . w \in y \}$

$\langle proof \rangle$

**lemma def\_checkS :**

**fixes**  $n$

**assumes**  $n \in nat$

**shows**  $check(succ(n)) = check(n) \cup \{ \langle check(n), one \rangle \}$

$\langle proof \rangle$

**lemma field\_Memrel2 :**

**assumes**  $x \in M$

**shows**  $field(Memrel(eclose(\{x\}))) \subseteq M$

$\langle proof \rangle$

**lemma aux\_def\_val:**

**assumes**  $z \in domain(x)$

**shows**  $wfrec(edrel(eclose(\{x\})),z,Hv(P,G)) = wfrec(edrel(eclose(\{z\})),z,Hv(P,G))$   
 ⟨proof⟩

The next lemma provides the usual recursive expression for the definition of term *val*.

**lemma** *def\_val*:  $val(P,G,x) = \{val(P,G,t) .. t \in domain(x) , \exists p \in P . \langle t,p \rangle \in x \wedge p \in G\}$   
 ⟨proof⟩

**lemma** *val\_mono* :  $x \subseteq y \implies val(P,G,x) \subseteq val(P,G,y)$   
 ⟨proof⟩

Check-names are the canonical names for elements of the ground model. Here we show that this is the case.

**lemma** *valcheck* :  $one \in G \implies one \in P \implies val(P,G,check(y)) = y$   
 ⟨proof⟩

**lemma** *val\_of\_name* :  
 $val(P,G,\{x \in A \times P . Q(x)\}) = \{val(P,G,t) .. t \in A , \exists p \in P . Q(\langle t,p \rangle) \wedge p \in G\}$   
 ⟨proof⟩

**lemma** *val\_of\_name\_alt* :  
 $val(P,G,\{x \in A \times P . Q(x)\}) = \{val(P,G,t) .. t \in A , \exists p \in P \cap G . Q(\langle t,p \rangle)\}$   
 ⟨proof⟩

**lemma** *val\_only\_names*:  $val(P,F,\tau) = val(P,F,\{x \in \tau . \exists t \in domain(\tau) . \exists p \in P . x = \langle t,p \rangle\})$   
 (is  $_ = val(P,F,?name)$ )  
 ⟨proof⟩

**lemma** *val\_only\_pairs*:  $val(P,F,\tau) = val(P,F,\{x \in \tau . \exists t p . x = \langle t,p \rangle\})$   
 ⟨proof⟩

**lemma** *val\_subset\_domain\_times\_range*:  $val(P,F,\tau) \subseteq val(P,F,domain(\tau) \times range(\tau))$   
 ⟨proof⟩

**lemma** *val\_subset\_domain\_times\_P*:  $val(P,F,\tau) \subseteq val(P,F,domain(\tau) \times P)$   
 ⟨proof⟩

**lemma** *val\_of\_elem*:  $\langle \vartheta,p \rangle \in \pi \implies p \in G \implies p \in P \implies val(P,G,\vartheta) \in val(P,G,\pi)$   
 ⟨proof⟩

**lemma** *elem\_of\_val*:  $x \in val(P,G,\pi) \implies \exists \vartheta \in domain(\pi) . val(P,G,\vartheta) = x$   
 ⟨proof⟩

**lemma** *elem\_of\_val\_pair*:  $x \in val(P,G,\pi) \implies \exists \vartheta . \exists p \in G . \langle \vartheta,p \rangle \in \pi \wedge val(P,G,\vartheta) = x$   
 ⟨proof⟩

**lemma** *elem\_of\_val\_pair'*:

**assumes**  $\pi \in M \ x \in \text{val}(P, G, \pi)$   
**shows**  $\exists \vartheta \in M. \exists p \in G. \langle \vartheta, p \rangle \in \pi \wedge \text{val}(P, G, \vartheta) = x$   
 $\langle \text{proof} \rangle$

**lemma** *GenExtD*:  
 $x \in M[G] \implies \exists \tau \in M. x = \text{val}(P, G, \tau)$   
 $\langle \text{proof} \rangle$

**lemma** *GenExtI*:  
 $x \in M \implies \text{val}(P, G, x) \in M[G]$   
 $\langle \text{proof} \rangle$

**lemma** *Transset\_MG* :  $\text{Transset}(M[G])$   
 $\langle \text{proof} \rangle$

**lemmas** *transitivity\_MG* =  $\text{Transset\_intf}[OF \ \text{Transset\_MG}]$

**lemma** *check\_n\_M* :  
**fixes**  $n$   
**assumes**  $n \in \text{nat}$   
**shows**  $\text{check}(n) \in M$   
 $\langle \text{proof} \rangle$

**definition**  
 $\text{PHcheck} :: [i, i, i, i] \Rightarrow o$  **where**  
 $\text{PHcheck}(o, f, y, p) \equiv p \in M \wedge (\exists \text{fy}[\#\#M]. \text{fun\_apply}(\#\#M, f, y, \text{fy}) \wedge \text{pair}(\#\#M, \text{fy}, o, p))$

**definition**  
 $\text{is\_Hcheck} :: [i, i, i, i] \Rightarrow o$  **where**  
 $\text{is\_Hcheck}(o, z, f, hc) \equiv \text{is\_Replace}(\#\#M, z, \text{PHcheck}(o, f), hc)$

**lemma** *one\_in\_M*:  $\text{one} \in M$   
 $\langle \text{proof} \rangle$

**lemma** *def\_PHcheck*:  
**assumes**  
 $z \in M \ f \in M$   
**shows**  
 $\text{Hcheck}(z, f) = \text{Replace}(z, \text{PHcheck}(\text{one}, f))$   
 $\langle \text{proof} \rangle$

**definition**  
 $\text{PHcheck\_fm} :: [i, i, i, i] \Rightarrow i$  **where**  
 $\text{PHcheck\_fm}(o, f, y, p) \equiv \text{Exists}(\text{And}(\text{fun\_apply\_fm}(\text{succ}(f), \text{succ}(y), 0), \text{pair\_fm}(0, \text{succ}(o), \text{succ}(p))))$

**declare** *PHcheck\_fm\_def* [*fm\_definitions*]

**lemma** *PHcheck\_type* [*TC*]:

$\llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; u \in \text{nat} \rrbracket \implies \text{PHcheck\_fm}(x,y,z,u) \in \text{formula}$   
*<proof>*

**lemma** *sats\_PHcheck\_fm* [*simp*]:

$\llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; u \in \text{nat}; \text{env} \in \text{list}(M) \rrbracket$   
 $\implies \text{sats}(M, \text{PHcheck\_fm}(x,y,z,u), \text{env}) \longleftrightarrow$   
 $\text{PHcheck}(\text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}), \text{nth}(u, \text{env}))$   
*<proof>*

**definition**

*is\_Hcheck\_fm* :: [*i, i, i, i*]  $\Rightarrow$  *i* **where**

*is\_Hcheck\_fm*(*o, z, f, hc*)  $\equiv$  *Replace\_fm*(*z, PHcheck\_fm*(*succ*(*succ*(*o*)), *succ*(*succ*(*f*)), *0, 1*), *hc*)

**declare** *is\_Hcheck\_fm\_def* [*fm\_definitions*]

**lemma** *is\_Hcheck\_type* [*TC*]:

$\llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; u \in \text{nat} \rrbracket \implies \text{is\_Hcheck\_fm}(x,y,z,u) \in \text{formula}$   
*<proof>*

**lemma** *sats\_is\_Hcheck\_fm* [*simp*]:

$\llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; u \in \text{nat}; \text{env} \in \text{list}(M) \rrbracket$   
 $\implies \text{sats}(M, \text{is\_Hcheck\_fm}(x,y,z,u), \text{env}) \longleftrightarrow$   
 $\text{is\_Hcheck}(\text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}), \text{nth}(u, \text{env}))$   
*<proof>*

**lemma** *wfrec\_Hcheck* :

**assumes**

*X*  $\in$  *M*

**shows**

*wfrec\_replacement*( $\#\#M, \text{is\_Hcheck}(\text{one}), \text{rcheck}(X)$ )

*<proof>*

**lemma** *repl\_PHcheck* :

**assumes**

*f*  $\in$  *M*

**shows**

*strong\_replacement*( $\#\#M, \text{PHcheck}(\text{one}, f)$ )

*<proof>*

**lemma** *univ\_PHcheck* :  $\llbracket z \in M; f \in M \rrbracket \implies \text{univalent}(\#\#M, z, \text{PHcheck}(\text{one}, f))$

*<proof>*

**lemma** *relation2\_Hcheck* :

*relation2*( $\#\#M, is\_Hcheck(one), Hcheck$ )  
 ⟨proof⟩

**lemma** *PHcheck\_closed* :  
 $\llbracket z \in M ; f \in M ; x \in z ; PHcheck(one, f, x, y) \rrbracket \implies (\#\#M)(y)$   
 ⟨proof⟩

**lemma** *Hcheck\_closed* :  
 $\forall y \in M. \forall g \in M. function(g) \longrightarrow Hcheck(y, g) \in M$   
 ⟨proof⟩

**lemma** *wf\_rcheck* :  $x \in M \implies wf(rcheck(x))$   
 ⟨proof⟩

**lemma** *trans\_rcheck* :  $x \in M \implies trans(rcheck(x))$   
 ⟨proof⟩

**lemma** *relation\_rcheck* :  $x \in M \implies relation(rcheck(x))$   
 ⟨proof⟩

**lemma** *check\_in\_M* :  $x \in M \implies check(x) \in M$   
 ⟨proof⟩

**end**

**definition**  
*is\_singleton* ::  $[i \Rightarrow o, i, i] \Rightarrow o$  **where**  
*is\_singleton*( $A, x, z$ )  $\equiv \exists c[A]. empty(A, c) \wedge is\_cons(A, x, c, z)$

**lemma** (**in** *M\_trivial*) *singleton\_abs[simp]* :  $\llbracket M(x) ; M(s) \rrbracket \implies is\_singleton(M, x, s)$   
 $\longleftrightarrow s = \{x\}$   
 ⟨proof⟩

**definition**  
*singleton\_fm* ::  $[i, i] \Rightarrow i$  **where**  
*singleton\_fm*( $i, j$ )  $\equiv Exists(And(empty\_fm(0), cons\_fm(succ(i), 0, succ(j))))$

**declare** *singleton\_fm\_def*[*fm\_definitions*]

**lemma** *singleton\_type*[*TC*] :  $\llbracket x \in nat ; y \in nat \rrbracket \implies singleton\_fm(x, y) \in formula$   
 ⟨proof⟩

**lemma** *is\_singleton\_iff\_sats*:  
 $\llbracket nth(i, env) = x ; nth(j, env) = y ;$   
 $i \in nat ; j \in nat ; env \in list(A) \rrbracket$   
 $\implies is\_singleton(\#\#A, x, y) \longleftrightarrow sats(A, singleton\_fm(i, j), env)$   
 ⟨proof⟩

**context** *forcing\_data* **begin**

**definition**

*is\_rcheck* :: [*i*,*i*] ⇒ *o* **where**  
*is\_rcheck*(*x*,*z*) ≡ ∃ *r* ∈ *M*. *tran\_closure*(##*M*,*r*,*z*) ∧ (∃ *ec* ∈ *M*. *membership*(##*M*,*ec*,*r*)  
 ∧  
 (∃ *s* ∈ *M*. *is\_singleton*(##*M*,*x*,*s*) ∧ *is\_eclose*(##*M*,*s*,*ec*)))

**lemma** *rcheck\_abs*[*Rel*] :

[[ *x* ∈ *M* ; *r* ∈ *M* ]] ⇒ *is\_rcheck*(*x*,*r*) ↔ *r* = *rcheck*(*x*)  
 ⟨*proof*⟩

**schematic\_goal** *rcheck\_fm\_auto*:

**assumes**

*i* ∈ *nat* *j* ∈ *nat* *env* ∈ *list*(*M*)

**shows**

*is\_rcheck*(*nth*(*i*,*env*),*nth*(*j*,*env*)) ↔ *sats*(*M*,*?rch*(*i*,*j*),*env*)

⟨*proof*⟩

⟨*ML*⟩

**definition**

*is\_check* :: [*i*,*i*] ⇒ *o* **where**  
*is\_check*(*x*,*z*) ≡ ∃ *rch* ∈ *M*. *is\_rcheck*(*x*,*rch*) ∧ *is\_wfrec*(##*M*,*is\_Hcheck*(*one*),*rch*,*x*,*z*)

**lemma** *check\_abs*[*Rel*] :

**assumes**

*x* ∈ *M* *z* ∈ *M*

**shows**

*is\_check*(*x*,*z*) ↔ *z* = *check*(*x*)

⟨*proof*⟩

**definition**

*check\_fm* :: [*i*,*i*,*i*] ⇒ *i* **where**  
 [*fm\_definitions*] :  
*check\_fm*(*x*,*o*,*z*) ≡ *Exists*(*And*(*rcheck\_fm*(1##+*x*,0),  
*is\_wfrec\_fm*(*is\_Hcheck\_fm*(6##+*o*,2,1,0),0,1##+*x*,1##+*z*)))

**lemma** *check\_fm\_type*[*TC*] :

[[ *x* ∈ *nat*; *o* ∈ *nat*; *z* ∈ *nat* ]] ⇒ *check\_fm*(*x*,*o*,*z*) ∈ *formula*  
 ⟨*proof*⟩

**lemma** *sats\_check\_fm* :

**assumes**

*nth*(*o*,*env*) = *one* *x* ∈ *nat* *z* ∈ *nat* *o* ∈ *nat* *env* ∈ *list*(*M*) *x* < *length*(*env*) *z* <  
*length*(*env*)

**shows**  
 $sats(M, check\_fm(x,o,z), env) \longleftrightarrow is\_check(nth(x,env),nth(z,env))$   
 ⟨proof⟩

**lemma** *check\_replacement*:  
 $\{check(x). x \in P\} \in M$   
 ⟨proof⟩

**lemma** *pair\_check* :  $\llbracket p \in M ; y \in M \rrbracket \implies (\exists c \in M. is\_check(p,c) \wedge pair(\#\#M,c,p,y))$   
 $\longleftrightarrow y = \langle check(p), p \rangle$   
 ⟨proof⟩

**lemma** *M\_subset\_MG* :  $one \in G \implies M \subseteq M[G]$   
 ⟨proof⟩

The name for the generic filter

**definition**  
 $G\_dot :: i$  **where**  
 $G\_dot \equiv \{ \langle check(p), p \rangle . p \in P \}$

**lemma** *G\_dot\_in\_M* :  
 $G\_dot \in M$   
 ⟨proof⟩

**lemma** *val\_G\_dot* :  
**assumes**  $G \subseteq P$   
 $one \in G$   
**shows**  $val(P,G,G\_dot) = G$   
 ⟨proof⟩

**lemma** *G\_in\_Gen\_Ext* :  
**assumes**  $G \subseteq P$  **and**  $one \in G$   
**shows**  $G \in M[G]$   
 ⟨proof⟩

**lemma** *fst\_snd\_closed*:  $p \in M \implies fst(p) \in M \wedge snd(p) \in M$   
 ⟨proof⟩

**end**

**locale** *G\_generic* = *forcing\_data* +  
**fixes**  $G :: i$   
**assumes**  $generic : M\_generic(G)$   
**begin**



**lemma** *zero\_in\_MG* :

$0 \in M[G]$

$\langle$ *proof* $\rangle$

**lemma** *G\_nonempty*:  $G \neq 0$

$\langle$ *proof* $\rangle$

**end**

**end**

## 16 Well-founded relation on names

**theory** *FreceR* **imports** *Names Synthetic\_Definition* **begin**

**lemmas** *sep\_rules'* = *nth\_0 nth\_ConsI FOL\_iff\_sats function\_iff\_sats*

*fun\_plus\_iff\_sats omega\_iff\_sats FOL\_sats\_iff*

*freceR* is the well-founded relation on names that allows us to define forcing for atomic formulas.

**definition**

*is\_hcomp* ::  $[i \Rightarrow o, i \Rightarrow i \Rightarrow o, i \Rightarrow i \Rightarrow o, i, i] \Rightarrow o$  **where**

$is\_hcomp(M, is\_f, is\_g, a, w) \equiv \exists z[M]. is\_g(a, z) \wedge is\_f(z, w)$

**lemma** (in *M\_trivial*) *hcomp\_abs*:

**assumes**

*is\_f\_abs*:  $\bigwedge a z. M(a) \Longrightarrow M(z) \Longrightarrow is\_f(a, z) \longleftrightarrow z = f(a)$  **and**

*is\_g\_abs*:  $\bigwedge a z. M(a) \Longrightarrow M(z) \Longrightarrow is\_g(a, z) \longleftrightarrow z = g(a)$  **and**

*g\_closed*:  $\bigwedge a. M(a) \Longrightarrow M(g(a))$

$M(a) M(w)$

**shows**

$is\_hcomp(M, is\_f, is\_g, a, w) \longleftrightarrow w = f(g(a))$

$\langle$ *proof* $\rangle$

**definition**

*hcomp\_fm* ::  $[i \Rightarrow i \Rightarrow i, i \Rightarrow i \Rightarrow i, i, i] \Rightarrow i$  **where**

$hcomp\_fm(pf, pg, a, w) \equiv Exists(And(pg(succ(a), 0), pf(0, succ(w))))$

**lemma** *sats\_hcomp\_fm*:

**assumes**

*f\_iff\_sats*:  $\bigwedge a b z. a \in nat \Longrightarrow b \in nat \Longrightarrow z \in M \Longrightarrow$

$is\_f(nth(a, Cons(z, env)), nth(b, Cons(z, env))) \longleftrightarrow sats(M, pf(a, b), Cons(z, env))$

**and**

*g\_iff\_sats*:  $\bigwedge a b z. a \in nat \Longrightarrow b \in nat \Longrightarrow z \in M \Longrightarrow$

$is\_g(nth(a, Cons(z, env)), nth(b, Cons(z, env))) \longleftrightarrow sats(M, pg(a, b), Cons(z, env))$

**and**

$a \in nat w \in nat env \in list(M)$

**shows**

$sats(M, hcomp\_fm(pf, pg, a, w), env) \longleftrightarrow is\_hcomp(\#\#M, is\_f, is\_g, nth(a, env), nth(w, env))$

$\langle$ *proof* $\rangle$

**definition**

$f_{type} :: i \Rightarrow i$  **where**  
 $f_{type} \equiv fst$

**definition**

$name1 :: i \Rightarrow i$  **where**  
 $name1(x) \equiv fst(snd(x))$

**definition**

$name2 :: i \Rightarrow i$  **where**  
 $name2(x) \equiv fst(snd(snd(x)))$

**definition**

$cond\_of :: i \Rightarrow i$  **where**  
 $cond\_of(x) \equiv snd(snd(snd((x))))$

**lemma** *components\_simp*:

$f_{type}(\langle f, n1, n2, c \rangle) = f$   
 $name1(\langle f, n1, n2, c \rangle) = n1$   
 $name2(\langle f, n1, n2, c \rangle) = n2$   
 $cond\_of(\langle f, n1, n2, c \rangle) = c$   
 $\langle proof \rangle$

**definition** *eclose\_n* ::  $[i \Rightarrow i, i] \Rightarrow i$  **where**

$eclose\_n(name, x) = eclose(\{name(x)\})$

**definition**

$ecloseN :: i \Rightarrow i$  **where**  
 $ecloseN(x) = eclose\_n(name1, x) \cup eclose\_n(name2, x)$

**lemma** *components\_in\_eclose* :

$n1 \in ecloseN(\langle f, n1, n2, c \rangle)$   
 $n2 \in ecloseN(\langle f, n1, n2, c \rangle)$   
 $\langle proof \rangle$

**lemmas** *names\_simp* = *components\_simp*(2) *components\_simp*(3)**lemma** *ecloseNI1* :

**assumes**  $x \in eclose(n1) \vee x \in eclose(n2)$   
**shows**  $x \in ecloseN(\langle f, n1, n2, c \rangle)$   
 $\langle proof \rangle$

**lemmas** *ecloseNI* = *ecloseNI1***lemma** *ecloseN\_mono* :

**assumes**  $u \in ecloseN(x)$   $name1(x) \in ecloseN(y)$   $name2(x) \in ecloseN(y)$

**shows**  $u \in \text{eclose}N(y)$   
 ⟨proof⟩

**definition**

$\text{is\_fst} :: (i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $\text{is\_fst}(M, x, t) \equiv (\exists z[M]. \text{pair}(M, t, z, x)) \vee$   
 $(\neg(\exists z[M]. \exists w[M]. \text{pair}(M, w, z, x)) \wedge \text{empty}(M, t))$

**definition**

$\text{fst\_fm} :: [i, i] \Rightarrow i$  **where**  
 $\text{fst\_fm}(x, t) \equiv \text{Or}(\text{Exists}(\text{pair\_fm}(\text{succ}(t), 0, \text{succ}(x))),$   
 $\text{And}(\text{Neg}(\text{Exists}(\text{Exists}(\text{pair\_fm}(0, 1, 2 \ \#\ + \ x)))), \text{empty\_fm}(t)))$

**lemma**  $\text{sats\_fst\_fm}$  :

$\llbracket x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A) \rrbracket$   
 $\implies \text{sats}(A, \text{fst\_fm}(x, y), \text{env}) \longleftrightarrow$   
 $\text{is\_fst}(\#\ \# \ A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}))$   
 ⟨proof⟩

**definition**

$\text{is\_ftype} :: (i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $\text{is\_ftype} \equiv \text{is\_fst}$

**definition**

$\text{ftype\_fm} :: [i, i] \Rightarrow i$  **where**  
 $\text{ftype\_fm} \equiv \text{fst\_fm}$

**lemma**  $\text{is\_ftype\_iff\_sats}$ :

**assumes**  
 $\text{nth}(a, \text{env}) = aa \ \text{nth}(b, \text{env}) = bb \ a \in \text{nat} \ b \in \text{nat} \ \text{env} \in \text{list}(A)$   
**shows**  
 $\text{is\_ftype}(\#\ \# \ A, aa, bb) \longleftrightarrow \text{sats}(A, \text{ftype\_fm}(a, b), \text{env})$   
 ⟨proof⟩

**definition**

$\text{is\_snd} :: (i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $\text{is\_snd}(M, x, t) \equiv (\exists z[M]. \text{pair}(M, z, t, x)) \vee$   
 $(\neg(\exists z[M]. \exists w[M]. \text{pair}(M, z, w, x)) \wedge \text{empty}(M, t))$

**definition**

$\text{snd\_fm} :: [i, i] \Rightarrow i$  **where**  
 $\text{snd\_fm}(x, t) \equiv \text{Or}(\text{Exists}(\text{pair\_fm}(0, \text{succ}(t), \text{succ}(x))),$   
 $\text{And}(\text{Neg}(\text{Exists}(\text{Exists}(\text{pair\_fm}(1, 0, 2 \ \#\ + \ x)))), \text{empty\_fm}(t)))$

**lemma**  $\text{sats\_snd\_fm}$  :

$\llbracket x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A) \rrbracket$

$\implies \text{sats}(A, \text{snd\_fm}(x,y), \text{env}) \longleftrightarrow$   
 $\text{is\_snd}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))$   
 <proof>

**definition**

$\text{is\_name1} :: (i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $\text{is\_name1}(M, x, t2) \equiv \text{is\_hcomp}(M, \text{is\_fst}(M), \text{is\_snd}(M), x, t2)$

**definition**

$\text{name1\_fm} :: [i, i] \Rightarrow i$  **where**  
 $\text{name1\_fm}(x, t) \equiv \text{hcomp\_fm}(\text{fst\_fm}, \text{snd\_fm}, x, t)$

**lemma**  $\text{sats\_name1\_fm}$  :

$\llbracket x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A) \rrbracket$   
 $\implies \text{sats}(A, \text{name1\_fm}(x,y), \text{env}) \longleftrightarrow$   
 $\text{is\_name1}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))$   
 <proof>

**lemma**  $\text{is\_name1\_iff\_sats}$ :

**assumes**  
 $\text{nth}(a,\text{env}) = aa \text{ nth}(b,\text{env}) = bb \ a \in \text{nat} \ b \in \text{nat} \ \text{env} \in \text{list}(A)$   
**shows**  
 $\text{is\_name1}(\#\#A, aa, bb) \longleftrightarrow \text{sats}(A, \text{name1\_fm}(a,b), \text{env})$   
 <proof>

**definition**

$\text{is\_snd\_snd} :: (i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $\text{is\_snd\_snd}(M, x, t) \equiv \text{is\_hcomp}(M, \text{is\_snd}(M), \text{is\_snd}(M), x, t)$

**definition**

$\text{snd\_snd\_fm} :: [i, i] \Rightarrow i$  **where**  
 $\text{snd\_snd\_fm}(x, t) \equiv \text{hcomp\_fm}(\text{snd\_fm}, \text{snd\_fm}, x, t)$

**lemma**  $\text{sats\_snd2\_fm}$  :

$\llbracket x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A) \rrbracket$   
 $\implies \text{sats}(A, \text{snd\_snd\_fm}(x,y), \text{env}) \longleftrightarrow$   
 $\text{is\_snd\_snd}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))$   
 <proof>

**definition**

$\text{is\_name2} :: (i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $\text{is\_name2}(M, x, t3) \equiv \text{is\_hcomp}(M, \text{is\_fst}(M), \text{is\_snd\_snd}(M), x, t3)$

**definition**

$\text{name2\_fm} :: [i, i] \Rightarrow i$  **where**  
 $\text{name2\_fm}(x, t3) \equiv \text{hcomp\_fm}(\text{fst\_fm}, \text{snd\_snd\_fm}, x, t3)$

**lemma**  $\text{sats\_name2\_fm}$  :

$\llbracket x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A) \rrbracket$

$\implies \text{sats}(A, \text{name2\_fm}(x, y), \text{env}) \longleftrightarrow$   
 $\text{is\_name2}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}))$   
 <proof>

**lemma** *is\_name2\_iff\_sats*:

**assumes**

$\text{nth}(a, \text{env}) = aa \ \text{nth}(b, \text{env}) = bb \ a \in \text{nat} \ b \in \text{nat} \ \text{env} \in \text{list}(A)$

**shows**

$\text{is\_name2}(\#\#A, aa, bb) \longleftrightarrow \text{sats}(A, \text{name2\_fm}(a, b), \text{env})$

<proof>

**definition**

*is\_cond\_of* ::  $(i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  **where**

$\text{is\_cond\_of}(M, x, t4) \equiv \text{is\_hcomp}(M, \text{is\_snd}(M), \text{is\_snd\_snd}(M), x, t4)$

**definition**

*cond\_of\_fm* ::  $[i, i] \Rightarrow i$  **where**

$\text{cond\_of\_fm}(x, t4) \equiv \text{hcomp\_fm}(\text{snd\_fm}, \text{snd\_snd\_fm}, x, t4)$

**lemma** *sats\_cond\_of\_fm* :

$\llbracket x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A) \rrbracket$

$\implies \text{sats}(A, \text{cond\_of\_fm}(x, y), \text{env}) \longleftrightarrow$

$\text{is\_cond\_of}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}))$

<proof>

**lemma** *is\_cond\_of\_iff\_sats*:

**assumes**

$\text{nth}(a, \text{env}) = aa \ \text{nth}(b, \text{env}) = bb \ a \in \text{nat} \ b \in \text{nat} \ \text{env} \in \text{list}(A)$

**shows**

$\text{is\_cond\_of}(\#\#A, aa, bb) \longleftrightarrow \text{sats}(A, \text{cond\_of\_fm}(a, b), \text{env})$

<proof>

**lemma** *components\_type[TC]* :

**assumes**  $a \in \text{nat} \ b \in \text{nat}$

**shows**

$\text{ftype\_fm}(a, b) \in \text{formula}$

$\text{name1\_fm}(a, b) \in \text{formula}$

$\text{name2\_fm}(a, b) \in \text{formula}$

$\text{cond\_of\_fm}(a, b) \in \text{formula}$

<proof>

**lemmas** *components\_iff\_sats* = *is\_ftype\_iff\_sats is\_name1\_iff\_sats is\_name2\_iff\_sats*

*is\_cond\_of\_iff\_sats*

**lemmas** *components\_defs* = *fst\_fm\_def ftype\_fm\_def snd\_fm\_def snd\_snd\_fm\_def hcomp\_fm\_def*

*name1\_fm\_def name2\_fm\_def cond\_of\_fm\_def*

**definition**

*is\_eclose\_n* ::  $[i \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, i, i] \Rightarrow o$  **where**

$is\_eclose\_n(N, is\_name, en, t) \equiv$   
 $\exists n1 [N]. \exists s1 [N]. is\_name(N, t, n1) \wedge is\_singleton(N, n1, s1) \wedge is\_eclose(N, s1, en)$

**definition**

$eclose\_n1\_fm :: [i, i] \Rightarrow i$  **where**  
 $eclose\_n1\_fm(m, t) \equiv Exists(Exists(And(And(name1\_fm(t\#+2, 0), singleton\_fm(0, 1)), is\_eclose\_fm(1, m\#+2))))$

**definition**

$eclose\_n2\_fm :: [i, i] \Rightarrow i$  **where**  
 $eclose\_n2\_fm(m, t) \equiv Exists(Exists(And(And(name2\_fm(t\#+2, 0), singleton\_fm(0, 1)), is\_eclose\_fm(1, m\#+2))))$

**definition**

$is\_ecloseN :: [i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_ecloseN(N, en, t) \equiv \exists en1 [N]. \exists en2 [N].$   
 $is\_eclose\_n(N, is\_name1, en1, t) \wedge is\_eclose\_n(N, is\_name2, en2, t) \wedge$   
 $union(N, en1, en2, en)$

**definition**

$ecloseN\_fm :: [i, i] \Rightarrow i$  **where**  
 $ecloseN\_fm(en, t) \equiv Exists(Exists(And(eclose\_n1\_fm(1, t\#+2),$   
 $And(eclose\_n2\_fm(0, t\#+2), union\_fm(1, 0, en\#+2))))$

**lemma**  $ecloseN\_fm\_type$  [TC] :

$\llbracket en \in nat ; t \in nat \rrbracket \Longrightarrow ecloseN\_fm(en, t) \in formula$   
 $\langle proof \rangle$

**lemma**  $sats\_ecloseN\_fm$  [simp]:

$\llbracket en \in nat ; t \in nat ; env \in list(A) \rrbracket$   
 $\Longrightarrow sats(A, ecloseN\_fm(en, t), env) \longleftrightarrow is\_ecloseN(\#\#A, nth(en, env), nth(t, env))$   
 $\langle proof \rangle$

**definition**

$frecR :: i \Rightarrow i \Rightarrow o$  **where**  
 $frecR(x, y) \equiv$   
 $(ftype(x) = 1 \wedge ftype(y) = 0$   
 $\wedge (name1(x) \in domain(name1(y)) \cup domain(name2(y)) \wedge (name2(x) =$   
 $name1(y) \vee name2(x) = name2(y))))$   
 $\vee (ftype(x) = 0 \wedge ftype(y) = 1 \wedge name1(x) = name1(y) \wedge name2(x) \in$   
 $domain(name2(y)))$

**lemma**  $frecR\_ftypeD$  :

**assumes**  $frecR(x, y)$   
**shows**  $(ftype(x) = 0 \wedge ftype(y) = 1) \vee (ftype(x) = 1 \wedge ftype(y) = 0)$   
 $\langle proof \rangle$

**lemma**  $frecRI1$ :  $s \in domain(n1) \vee s \in domain(n2) \Longrightarrow frecR(\langle 1, s, n1, q \rangle, \langle 0,$

$n1, n2, q^{\wedge}$ )  
(proof)

**lemma** *frecRI1*':  $s \in \text{domain}(n1) \cup \text{domain}(n2) \implies \text{frecR}(\langle 1, s, n1, q \rangle, \langle 0, n1, n2, q^{\wedge} \rangle)$   
(proof)

**lemma** *frecRI2*:  $s \in \text{domain}(n1) \vee s \in \text{domain}(n2) \implies \text{frecR}(\langle 1, s, n2, q \rangle, \langle 0, n1, n2, q^{\wedge} \rangle)$   
(proof)

**lemma** *frecRI2'*:  $s \in \text{domain}(n1) \cup \text{domain}(n2) \implies \text{frecR}(\langle 1, s, n2, q \rangle, \langle 0, n1, n2, q^{\wedge} \rangle)$   
(proof)

**lemma** *frecRI3*:  $\langle s, r \rangle \in n2 \implies \text{frecR}(\langle 0, n1, s, q \rangle, \langle 1, n1, n2, q^{\wedge} \rangle)$   
(proof)

**lemma** *frecRI3'*:  $s \in \text{domain}(n2) \implies \text{frecR}(\langle 0, n1, s, q \rangle, \langle 1, n1, n2, q^{\wedge} \rangle)$   
(proof)

**lemma** *frecR\_iff* :  
 $\text{frecR}(x,y) \longleftrightarrow$   
 $(\text{ftype}(x) = 1 \wedge \text{ftype}(y) = 0$   
 $\wedge (\text{name1}(x) \in \text{domain}(\text{name1}(y)) \cup \text{domain}(\text{name2}(y)) \wedge (\text{name2}(x) =$   
 $\text{name1}(y) \vee \text{name2}(x) = \text{name2}(y))))$   
 $\vee (\text{ftype}(x) = 0 \wedge \text{ftype}(y) = 1 \wedge \text{name1}(x) = \text{name1}(y) \wedge \text{name2}(x) \in$   
 $\text{domain}(\text{name2}(y)))$   
(proof)

**lemma** *frecR\_D1* :  
 $\text{frecR}(x,y) \implies \text{ftype}(y) = 0 \implies \text{ftype}(x) = 1 \wedge$   
 $(\text{name1}(x) \in \text{domain}(\text{name1}(y)) \cup \text{domain}(\text{name2}(y)) \wedge (\text{name2}(x) =$   
 $\text{name1}(y) \vee \text{name2}(x) = \text{name2}(y)))$   
(proof)

**lemma** *frecR\_D2* :  
 $\text{frecR}(x,y) \implies \text{ftype}(y) = 1 \implies \text{ftype}(x) = 0 \wedge$   
 $\text{ftype}(x) = 0 \wedge \text{ftype}(y) = 1 \wedge \text{name1}(x) = \text{name1}(y) \wedge \text{name2}(x) \in$   
 $\text{domain}(\text{name2}(y))$   
(proof)

**lemma** *frecR\_DI* :  
**assumes**  $\text{frecR}(\langle a,b,c,d \rangle, \langle \text{ftype}(y), \text{name1}(y), \text{name2}(y), \text{cond\_of}(y) \rangle)$   
**shows**  $\text{frecR}(\langle a,b,c,d \rangle, y)$   
(proof)

**definition**

$is\_frecR :: [i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_frecR(M, x, y) \equiv \exists ftx[M]. \exists n1x[M]. \exists n2x[M]. \exists fty[M]. \exists n1y[M]. \exists n2y[M].$   
 $\exists dn1[M]. \exists dn2[M].$   
 $is\_ftype(M, x, ftx) \wedge is\_name1(M, x, n1x) \wedge is\_name2(M, x, n2x) \wedge$   
 $is\_ftype(M, y, fty) \wedge is\_name1(M, y, n1y) \wedge is\_name2(M, y, n2y)$   
 $\wedge is\_domain(M, n1y, dn1) \wedge is\_domain(M, n2y, dn2) \wedge$   
 $(number1(M, ftx) \wedge empty(M, fty) \wedge (n1x \in dn1 \vee n1x \in dn2) \wedge (n2x$   
 $= n1y \vee n2x = n2y))$   
 $\vee (empty(M, ftx) \wedge number1(M, fty) \wedge n1x = n1y \wedge n2x \in dn2))$

**schematic\_goal** *sats\_frecR\_fm\_auto*:**assumes**
 $i \in nat \ j \in nat \ env \in list(A) \ nth(i, env) = a \ nth(j, env) = b$ 
**shows**
 $is\_frecR(\#\#A, a, b) \longleftrightarrow sats(A, ?fr\_fm(i, j), env)$ 
*<proof>**<ML>***lemma** *eq\_ftypep\_not\_frecR*:**assumes**  $ftype(x) = ftype(y)$ **shows**  $\neg frecR(x, y)$ *<proof>***definition**
 $rank\_names :: i \Rightarrow i$  **where**
 $rank\_names(x) \equiv max(rank(name1(x)), rank(name2(x)))$ 
**lemma** *rank\_names\_types* [TC]:**shows**  $Ord(rank\_names(x))$ *<proof>***definition**
 $mtype\_form :: i \Rightarrow i$  **where**
 $mtype\_form(x) \equiv if\ rank(name1(x)) < rank(name2(x))\ then\ 0\ else\ 2$ 
**definition**
 $type\_form :: i \Rightarrow i$  **where**
 $type\_form(x) \equiv if\ ftype(x) = 0\ then\ 1\ else\ mtype\_form(x)$ 
**lemma** *type\_form\_tc* [TC]:**shows**  $type\_form(x) \in \mathbb{3}$ *<proof>***lemma** *frecR\_le\_rnk\_names* :**assumes**  $frecR(x, y)$



**shows**  $rank\_names(x) \leq rank\_names(y)$   
 ⟨proof⟩

**definition**

$\Gamma :: i \Rightarrow i$  **where**  
 $\Gamma(x) = 3 ** rank\_names(x) ++ type\_form(x)$

**lemma**  $\Gamma\_type$  [TC]:

**shows**  $Ord(\Gamma(x))$   
 ⟨proof⟩

**lemma**  $\Gamma\_mono$  :

**assumes**  $frecR(x,y)$   
**shows**  $\Gamma(x) < \Gamma(y)$   
 ⟨proof⟩

**definition**

$frecrel :: i \Rightarrow i$  **where**  
 $frecrel(A) \equiv Rrel(frecR,A)$

**lemma**  $frecrelI$  :

**assumes**  $x \in A \ y \in A \ frecR(x,y)$   
**shows**  $\langle x,y \rangle \in frecrel(A)$   
 ⟨proof⟩

**lemma**  $frecrelD$  :

**assumes**  $\langle x,y \rangle \in frecrel(A1 \times A2 \times A3 \times A4)$   
**shows**  $f_{type}(x) \in A1 \ f_{type}(y) \in A1$   
 $name1(x) \in A2 \ name1(y) \in A2 \ name2(x) \in A3 \ name2(y) \in A3$   
 $cond\_of(x) \in A4 \ cond\_of(y) \in A4$   
 $frecR(x,y)$   
 ⟨proof⟩

**lemma**  $wf\_frecrel$  :

**shows**  $wf(frecrel(A))$   
 ⟨proof⟩

**lemma**  $core\_induction\_aux$ :

**fixes**  $A1 \ A2 :: i$   
**assumes**  
 $Transset(A1)$   
 $\bigwedge \tau \ \vartheta \ p. \ p \in A2 \Longrightarrow \llbracket \bigwedge q \ \sigma. \llbracket q \in A2 ; \sigma \in domain(\vartheta) \rrbracket \Longrightarrow Q(0,\tau,\sigma,q) \rrbracket \Longrightarrow$   
 $Q(1,\tau,\vartheta,p)$   
 $\bigwedge \tau \ \vartheta \ p. \ p \in A2 \Longrightarrow \llbracket \bigwedge q \ \sigma. \llbracket q \in A2 ; \sigma \in domain(\tau) \cup domain(\vartheta) \rrbracket \Longrightarrow$   
 $Q(1,\sigma,\tau,q) \wedge Q(1,\sigma,\vartheta,q) \rrbracket \Longrightarrow Q(0,\tau,\vartheta,p)$   
**shows**  $a \in 2 \times A1 \times A1 \times A2 \Longrightarrow Q(f_{type}(a), name1(a), name2(a), cond\_of(a))$   
 ⟨proof⟩

**lemma** *def\_frecrel* :  $frecrel(A) = \{z \in A \times A. \exists x y. z = \langle x, y \rangle \wedge frecR(x,y)\}$   
 ⟨proof⟩

**lemma** *frecrel\_fst\_snd*:

$frecrel(A) = \{z \in A \times A .$   
 $ftype(fst(z)) = 1 \wedge$   
 $ftype(snd(z)) = 0 \wedge name1(fst(z)) \in domain(name1(snd(z))) \cup do-$   
 $main(name2(snd(z))) \wedge$   
 $(name2(fst(z)) = name1(snd(z)) \vee name2(fst(z)) = name2(snd(z)))$   
 $\vee (ftype(fst(z)) = 0 \wedge$   
 $ftype(snd(z)) = 1 \wedge name1(fst(z)) = name1(snd(z)) \wedge name2(fst(z)) \in$   
 $domain(name2(snd(z))))\}$   
 ⟨proof⟩

**end**

## 17 Arities of internalized formulas

**theory** *Arities*

**imports** *Frecrel*

**begin**

**lemma** *arity\_upair\_fm* :  $\llbracket t1 \in nat ; t2 \in nat ; up \in nat \rrbracket \implies$   
 $arity(upair\_fm(t1,t2,up)) = \bigcup \{succ(t1), succ(t2), succ(up)\}$   
 ⟨proof⟩

**lemma** *arity\_pair\_fm* :  $\llbracket t1 \in nat ; t2 \in nat ; p \in nat \rrbracket \implies$   
 $arity(pair\_fm(t1,t2,p)) = \bigcup \{succ(t1), succ(t2), succ(p)\}$   
 ⟨proof⟩

**lemma** *arity\_composition\_fm* :  
 $\llbracket r \in nat ; s \in nat ; t \in nat \rrbracket \implies arity(composition\_fm(r,s,t)) = \bigcup \{succ(r),$   
 $succ(s), succ(t)\}$   
 ⟨proof⟩

**lemma** *arity\_domain\_fm* :  
 $\llbracket r \in nat ; z \in nat \rrbracket \implies arity(domain\_fm(r,z)) = succ(r) \cup succ(z)$   
 ⟨proof⟩

**lemma** *arity\_range\_fm* :  
 $\llbracket r \in nat ; z \in nat \rrbracket \implies arity(range\_fm(r,z)) = succ(r) \cup succ(z)$   
 ⟨proof⟩

**lemma** *arity\_union\_fm* :  
 $\llbracket x \in nat ; y \in nat ; z \in nat \rrbracket \implies arity(union\_fm(x,y,z)) = \bigcup \{succ(x), succ(y),$   
 $succ(z)\}$   
 ⟨proof⟩

**lemma** *arity\_image\_fm* :

$\llbracket x \in \text{nat} ; y \in \text{nat} ; z \in \text{nat} \rrbracket \implies \text{arity}(\text{image\_fm}(x,y,z)) = \bigcup \{ \text{succ}(x), \text{succ}(y), \text{succ}(z) \}$   
*<proof>*

**lemma** *arity\_pre\_image\_fm* :

$\llbracket x \in \text{nat} ; y \in \text{nat} ; z \in \text{nat} \rrbracket \implies \text{arity}(\text{pre\_image\_fm}(x,y,z)) = \bigcup \{ \text{succ}(x), \text{succ}(y), \text{succ}(z) \}$   
*<proof>*

**lemma** *arity\_big\_union\_fm* :

$\llbracket x \in \text{nat} ; y \in \text{nat} \rrbracket \implies \text{arity}(\text{big\_union\_fm}(x,y)) = \text{succ}(x) \cup \text{succ}(y)$   
*<proof>*

**lemma** *arity\_fun\_apply\_fm* :

$\llbracket x \in \text{nat} ; y \in \text{nat} ; f \in \text{nat} \rrbracket \implies$   
 $\text{arity}(\text{fun\_apply\_fm}(f,x,y)) = \text{succ}(f) \cup \text{succ}(x) \cup \text{succ}(y)$   
*<proof>*

**lemma** *arity\_field\_fm* :

$\llbracket r \in \text{nat} ; z \in \text{nat} \rrbracket \implies \text{arity}(\text{field\_fm}(r,z)) = \text{succ}(r) \cup \text{succ}(z)$   
*<proof>*

**lemma** *arity\_empty\_fm* :

$\llbracket r \in \text{nat} \rrbracket \implies \text{arity}(\text{empty\_fm}(r)) = \text{succ}(r)$   
*<proof>*

**lemma** *arity\_succ\_fm* :

$\llbracket x \in \text{nat}; y \in \text{nat} \rrbracket \implies \text{arity}(\text{succ\_fm}(x,y)) = \text{succ}(x) \cup \text{succ}(y)$   
*<proof>*

**lemma** *number1arity\_fm* :

$\llbracket r \in \text{nat} \rrbracket \implies \text{arity}(\text{number1\_fm}(r)) = \text{succ}(r)$   
*<proof>*

**lemma** *arity\_function\_fm* :

$\llbracket r \in \text{nat} \rrbracket \implies \text{arity}(\text{function\_fm}(r)) = \text{succ}(r)$   
*<proof>*

**lemma** *arity\_relation\_fm* :

$\llbracket r \in \text{nat} \rrbracket \implies \text{arity}(\text{relation\_fm}(r)) = \text{succ}(r)$   
*<proof>*

**lemma** *arity\_restriction\_fm* :

$\llbracket r \in \text{nat} ; z \in \text{nat} ; A \in \text{nat} \rrbracket \implies \text{arity}(\text{restriction\_fm}(A,z,r)) = \text{succ}(A) \cup \text{succ}(r)$

$\cup \text{succ}(z)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_typed\_function\_fm* :  
[[  $x \in \text{nat}$  ;  $y \in \text{nat}$  ;  $f \in \text{nat}$  ]]  $\implies$   
 $\text{arity}(\text{typed\_function\_fm}(f,x,y)) = \cup \{ \text{succ}(f), \text{succ}(x), \text{succ}(y) \}$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_subset\_fm* :  
[[  $x \in \text{nat}$  ;  $y \in \text{nat}$  ]]  $\implies \text{arity}(\text{subset\_fm}(x,y)) = \text{succ}(x) \cup \text{succ}(y)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_transset\_fm* :  
[[  $x \in \text{nat}$  ]]  $\implies \text{arity}(\text{transset\_fm}(x)) = \text{succ}(x)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_ordinal\_fm* :  
[[  $x \in \text{nat}$  ]]  $\implies \text{arity}(\text{ordinal\_fm}(x)) = \text{succ}(x)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_limit\_ordinal\_fm* :  
[[  $x \in \text{nat}$  ]]  $\implies \text{arity}(\text{limit\_ordinal\_fm}(x)) = \text{succ}(x)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_finite\_ordinal\_fm* :  
[[  $x \in \text{nat}$  ]]  $\implies \text{arity}(\text{finite\_ordinal\_fm}(x)) = \text{succ}(x)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_omega\_fm* :  
[[  $x \in \text{nat}$  ]]  $\implies \text{arity}(\text{omega\_fm}(x)) = \text{succ}(x)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_cartprod\_fm* :  
[[  $A \in \text{nat}$  ;  $B \in \text{nat}$  ;  $z \in \text{nat}$  ]]  $\implies \text{arity}(\text{cartprod\_fm}(A,B,z)) = \text{succ}(A) \cup \text{succ}(B)$   
 $\cup \text{succ}(z)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_fst\_fm* :  
[[  $x \in \text{nat}$  ;  $t \in \text{nat}$  ]]  $\implies \text{arity}(\text{fst\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_snd\_fm* :  
[[  $x \in \text{nat}$  ;  $t \in \text{nat}$  ]]  $\implies \text{arity}(\text{snd\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_snd\_snd\_fm* :  
[[  $x \in \text{nat}$  ;  $t \in \text{nat}$  ]]  $\implies \text{arity}(\text{snd\_snd\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t)$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_ftype\_fm* :

$$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{ftype\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t)$$

*<proof>*

**lemma** *name1arity\_fm* :

$$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{name1\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t)$$

*<proof>*

**lemma** *name2arity\_fm* :

$$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{name2\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t)$$

*<proof>*

**lemma** *arity\_cond\_of\_fm* :

$$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{cond\_of\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t)$$

*<proof>*

**lemma** *arity\_singleton\_fm* :

$$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{singleton\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t)$$

*<proof>*

**lemma** *arity\_Memrel\_fm* :

$$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{Memrel\_fm}(x,t)) = \text{succ}(x) \cup \text{succ}(t)$$

*<proof>*

**lemma** *arity\_quasinat\_fm* :

$$\llbracket x \in \text{nat} \rrbracket \implies \text{arity}(\text{quasinat\_fm}(x)) = \text{succ}(x)$$

*<proof>*

**lemma** *arity\_is\_recfun\_fm* :

$$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$$
$$\text{arity}(\text{is\_recfun\_fm}(p,v,n,Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup \text{pred}(\text{pred}(\text{pred}(\text{pred}(i))))$$

*<proof>*

**lemma** *arity\_is\_wfrec\_fm* :

$$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$$
$$\text{arity}(\text{is\_wfrec\_fm}(p,v,n,Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup \text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(i)))))$$

*<proof>*

**lemma** *arity\_is\_nat\_case\_fm* :

$$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$$
$$\text{arity}(\text{is\_nat\_case\_fm}(v,p,n,Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup \text{pred}(\text{pred}(i))$$

*<proof>*

**lemma** *arity\_iterates\_MH\_fm* :

**assumes**  $isF \in \text{formula} \ v \in \text{nat} \ n \in \text{nat} \ g \in \text{nat} \ z \in \text{nat} \ i \in \text{nat}$

$$\text{arity}(isF) = i$$

**shows**  $\text{arity}(\text{iterates\_MH\_fm}(isF,v,n,g,z)) =$

$$\text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(g) \cup \text{succ}(z) \cup \text{pred}(\text{pred}(\text{pred}(\text{pred}(i))))$$

*<proof>*

**lemma** *arity\_is\_iterates\_fm* :

**assumes**  $p \in \text{formula}$   $v \in \text{nat}$   $n \in \text{nat}$   $Z \in \text{nat}$   $i \in \text{nat}$

$\text{arity}(p) = i$

**shows**  $\text{arity}(\text{is\_iterates\_fm}(p, v, n, Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup$   
 $\text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(\text{pred}(i))))))))))$

*<proof>*

**lemma** *arity\_eclose\_n\_fm* :

**assumes**  $A \in \text{nat}$   $x \in \text{nat}$   $t \in \text{nat}$

**shows**  $\text{arity}(\text{eclose\_n\_fm}(A, x, t)) = \text{succ}(A) \cup \text{succ}(x) \cup \text{succ}(t)$

*<proof>*

**lemma** *arity\_mem\_eclose\_fm* :

**assumes**  $x \in \text{nat}$   $t \in \text{nat}$

**shows**  $\text{arity}(\text{mem\_eclose\_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$

*<proof>*

**lemma** *arity\_is\_eclose\_fm* :

$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{is\_eclose\_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$

*<proof>*

**lemma** *eclose\_n1arity\_fm* :

$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{eclose\_n1\_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$

*<proof>*

**lemma** *eclose\_n2arity\_fm* :

$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{eclose\_n2\_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$

*<proof>*

**lemma** *arity\_ecloseN\_fm* :

$\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{ecloseN\_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$

*<proof>*

**lemma** *arity\_freqR\_fm* :

$\llbracket a \in \text{nat}; b \in \text{nat} \rrbracket \implies \text{arity}(\text{freqR\_fm}(a, b)) = \text{succ}(a) \cup \text{succ}(b)$

*<proof>*

**lemma** *arity\_Collect\_fm* :

**assumes**  $x \in \text{nat}$   $y \in \text{nat}$   $p \in \text{formula}$

**shows**  $\text{arity}(\text{Collect\_fm}(x, p, y)) = \text{succ}(x) \cup \text{succ}(y) \cup \text{pred}(\text{arity}(p))$

*<proof>*

**end**

## 18 The definition of forces

**theory** *Forces\_Definition* **imports** *Arities FreqR Synthetic\_Definition* **begin**

This is the core of our development.

## 18.1 The relation *frecrel*

### definition

$frecrelP :: [i \Rightarrow o, i] \Rightarrow o$  **where**  
 $frecrelP(M, xy) \equiv (\exists x[M]. \exists y[M]. pair(M, x, y, xy) \wedge is\_frecrel(M, x, y))$

### definition

$frecrelP\_fm :: i \Rightarrow i$  **where**  
 $frecrelP\_fm(a) \equiv Exists(Exists(And(pair\_fm(1, 0, a\#+2), frecrel\_fm(1, 0))))$

### lemma *arity\_frecrelP\_fm* :

$a \in nat \Longrightarrow arity(frecrelP\_fm(a)) = succ(a)$   
 $\langle proof \rangle$

### lemma *frecrelP\_fm\_type[TC]* :

$a \in nat \Longrightarrow frecrelP\_fm(a) \in formula$   
 $\langle proof \rangle$

### lemma *sats\_frecrelP\_fm* :

**assumes**  $a \in nat \ env \in list(A)$   
**shows**  $sats(A, frecrelP\_fm(a), env) \longleftrightarrow frecrelP(\#\#A, nth(a, env))$   
 $\langle proof \rangle$

### lemma *frecrelP\_iff\_sats*:

**assumes**  
 $nth(a, env) = aa \ a \in nat \ env \in list(A)$   
**shows**  
 $frecrelP(\#\#A, aa) \longleftrightarrow sats(A, frecrelP\_fm(a), env)$   
 $\langle proof \rangle$

### definition

$is\_frecrel :: [i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_frecrel(M, A, r) \equiv \exists A2[M]. cartprod(M, A, A, A2) \wedge is\_Collect(M, A2, frecrelP(M), r)$

### definition

$frecrel\_fm :: [i, i] \Rightarrow i$  **where**  
 $frecrel\_fm(a, r) \equiv Exists(And(cartprod\_fm(a\#+1, a\#+1, 0), Collect\_fm(0, frecrelP\_fm(0), r\#+1)))$

### lemma *frecrel\_fm\_type[TC]* :

$\llbracket a \in nat; b \in nat \rrbracket \Longrightarrow frecrel\_fm(a, b) \in formula$   
 $\langle proof \rangle$

### lemma *arity\_frecrel\_fm* :

**assumes**  $a \in nat \ b \in nat$   
**shows**  $arity(frecrel\_fm(a, b)) = succ(a) \cup succ(b)$   
 $\langle proof \rangle$

**lemma** *sats\_frecrel\_fm* :

**assumes**

$a \in \text{nat} \quad r \in \text{nat} \quad \text{env} \in \text{list}(A)$

**shows**

$\text{sats}(A, \text{frecrel\_fm}(a, r), \text{env})$

$\longleftrightarrow \text{is\_frecrel}(\#\#A, \text{nth}(a, \text{env}), \text{nth}(r, \text{env}))$

*<proof>*

**lemma** *is\_frecrel\_iff\_sats*:

**assumes**

$\text{nth}(a, \text{env}) = aa \quad \text{nth}(r, \text{env}) = rr \quad a \in \text{nat} \quad r \in \text{nat} \quad \text{env} \in \text{list}(A)$

**shows**

$\text{is\_frecrel}(\#\#A, aa, rr) \longleftrightarrow \text{sats}(A, \text{frecrel\_fm}(a, r), \text{env})$

*<proof>*

**definition**

*names\_below* ::  $i \Rightarrow i \Rightarrow i$  **where**

$\text{names\_below}(P, x) \equiv 2 \times \text{ecloseN}(x) \times \text{ecloseN}(x) \times P$

**lemma** *names\_belowsD*:

**assumes**  $x \in \text{names\_below}(P, z)$

**obtains**  $f \ n1 \ n2 \ p$  **where**

$x = \langle f, n1, n2, p \rangle \quad f \in 2 \quad n1 \in \text{ecloseN}(z) \quad n2 \in \text{ecloseN}(z) \quad p \in P$

*<proof>*

**definition**

*is\_names\_below* ::  $[i \Rightarrow o, i, i, i] \Rightarrow o$  **where**

$\text{is\_names\_below}(M, P, x, nb) \equiv \exists p1[M]. \exists p0[M]. \exists t[M]. \exists ec[M].$

$\text{is\_ecloseN}(M, ec, x) \wedge \text{number2}(M, t) \wedge \text{cartprod}(M, ec, P, p0) \wedge \text{cartprod}(M, ec, p0, p1)$

$\wedge \text{cartprod}(M, t, p1, nb)$

**definition**

*number2\_fm* ::  $i \Rightarrow i$  **where**

$\text{number2\_fm}(a) \equiv \text{Exists}(\text{And}(\text{number1\_fm}(0), \text{succ\_fm}(0, \text{succ}(a))))$

**lemma** *number2\_fm\_type*[TC] :

$a \in \text{nat} \Longrightarrow \text{number2\_fm}(a) \in \text{formula}$

*<proof>*

**lemma** *number2arity\_fm* :

$a \in \text{nat} \Longrightarrow \text{arity}(\text{number2\_fm}(a)) = \text{succ}(a)$

*<proof>*

**lemma** *sats\_number2\_fm* [simp]:

$\llbracket x \in \text{nat}; \text{env} \in \text{list}(A) \rrbracket$

$\Longrightarrow \text{sats}(A, \text{number2\_fm}(x), \text{env}) \longleftrightarrow \text{number2}(\#\#A, \text{nth}(x, \text{env}))$



*<proof>*

**definition**

$is\_names\_below\_fm :: [i, i, i] \Rightarrow i$  **where**  
 $is\_names\_below\_fm(P, x, nb) \equiv Exists(Exists(Exists(Exists($   
 $And(ecloseN\_fm(0, x \#+ 4), And(number2\_fm(1),$   
 $And(cartprod\_fm(0, P \#+ 4, 2), And(cartprod\_fm(0, 2, 3), cartprod\_fm(1, 3, nb \#+ 4))))))))))$

**lemma** *arity\_is\_names\_below\_fm* :

$\llbracket P \in nat ; x \in nat ; nb \in nat \rrbracket \Longrightarrow arity(is\_names\_below\_fm(P, x, nb)) = succ(P) \cup succ(x)$   
 $\cup succ(nb)$   
*<proof>*

**lemma** *is\_names\_below\_fm\_type*[TC]:

$\llbracket P \in nat ; x \in nat ; nb \in nat \rrbracket \Longrightarrow is\_names\_below\_fm(P, x, nb) \in formula$   
*<proof>*

**lemma** *sats\_is\_names\_below\_fm* :

**assumes**

$P \in nat \ x \in nat \ nb \in nat \ env \in list(A)$

**shows**

$sats(A, is\_names\_below\_fm(P, x, nb), env)$

$\longleftrightarrow is\_names\_below(\#\#A, nth(P, env), nth(x, env), nth(nb, env))$

*<proof>*

**definition**

$is\_tuple :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**  
 $is\_tuple(M, z, t1, t2, p, t) \equiv \exists t1t2p[M]. \exists t2p[M]. pair(M, t2, p, t2p) \wedge pair(M, t1, t2p, t1t2p)$   
 $\wedge$   
 $pair(M, z, t1t2p, t)$

**definition**

$is\_tuple\_fm :: [i, i, i, i, i] \Rightarrow i$  **where**  
 $is\_tuple\_fm(z, t1, t2, p, tup) = Exists(Exists(And(pair\_fm(t2 \#+ 2, p \#+ 2, 0),$   
 $And(pair\_fm(t1 \#+ 2, 0, 1), pair\_fm(z \#+ 2, 1, tup \#+ 2))))))$

**lemma** *arity\_is\_tuple\_fm* :  $\llbracket z \in nat ; t1 \in nat ; t2 \in nat ; p \in nat ; tup \in nat \rrbracket \Longrightarrow$

$arity(is\_tuple\_fm(z, t1, t2, p, tup)) = \bigcup \{succ(z), succ(t1), succ(t2), succ(p), succ(tup)\}$   
*<proof>*

**lemma** *is\_tuple\_fm\_type*[TC] :

$z \in nat \Longrightarrow t1 \in nat \Longrightarrow t2 \in nat \Longrightarrow p \in nat \Longrightarrow tup \in nat \Longrightarrow is\_tuple\_fm(z, t1, t2, p, tup) \in formula$   
*<proof>*

**lemma** *sats\_is\_tuple\_fm* :

**assumes**

$z \in \text{nat } t1 \in \text{nat } t2 \in \text{nat } p \in \text{nat } \text{tup} \in \text{nat } \text{env} \in \text{list}(A)$   
**shows**  
 $\text{sats}(A, \text{is\_tuple\_fm}(z, t1, t2, p, \text{tup}), \text{env})$   
 $\longleftrightarrow \text{is\_tuple}(\#\#A, \text{nth}(z, \text{env}), \text{nth}(t1, \text{env}), \text{nth}(t2, \text{env}), \text{nth}(p, \text{env}), \text{nth}(\text{tup}, \text{env}))$   
 $\langle \text{proof} \rangle$

**lemma** *is\_tuple\_iff\_sats*:

**assumes**  
 $\text{nth}(a, \text{env}) = aa \ \text{nth}(b, \text{env}) = bb \ \text{nth}(c, \text{env}) = cc \ \text{nth}(d, \text{env}) = dd \ \text{nth}(e, \text{env}) = ee$   
 $a \in \text{nat } b \in \text{nat } c \in \text{nat } d \in \text{nat } e \in \text{nat } \text{env} \in \text{list}(A)$   
**shows**  
 $\text{is\_tuple}(\#\#A, aa, bb, cc, dd, ee) \longleftrightarrow \text{sats}(A, \text{is\_tuple\_fm}(a, b, c, d, e), \text{env})$   
 $\langle \text{proof} \rangle$

## 18.2 Definition of *forces* for equality and membership

**definition**

$\text{eq\_case} :: [i, i, i, i, i, i] \Rightarrow o$  **where**  
 $\text{eq\_case}(t1, t2, p, P, \text{leq}, f) \equiv \forall s. s \in \text{domain}(t1) \cup \text{domain}(t2) \longrightarrow$   
 $(\forall q. q \in P \wedge \langle q, p \rangle \in \text{leq} \longrightarrow (f' \langle 1, s, t1, q \rangle = 1 \longleftrightarrow f' \langle 1, s, t2, q \rangle = 1))$

**definition**

$\text{is\_eq\_case} :: [i \Rightarrow o, i, i, i, i, i] \Rightarrow o$  **where**  
 $\text{is\_eq\_case}(M, t1, t2, p, P, \text{leq}, f) \equiv$   
 $\forall s[M]. (\exists d[M]. \text{is\_domain}(M, t1, d) \wedge s \in d) \vee (\exists d[M]. \text{is\_domain}(M, t2, d) \wedge s \in d)$   
 $\longrightarrow (\forall q[M]. q \in P \wedge (\exists qp[M]. \text{pair}(M, q, p, qp) \wedge qp \in \text{leq}) \longrightarrow$   
 $(\exists \text{ost1}q[M]. \exists \text{ost2}q[M]. \exists o[M]. \exists \text{vf1}[M]. \exists \text{vf2}[M].$   
 $\text{is\_tuple}(M, o, s, t1, q, \text{ost1}q) \wedge$   
 $\text{is\_tuple}(M, o, s, t2, q, \text{ost2}q) \wedge \text{number1}(M, o) \wedge$   
 $\text{fun\_apply}(M, f, \text{ost1}q, \text{vf1}) \wedge \text{fun\_apply}(M, f, \text{ost2}q, \text{vf2}) \wedge$   
 $(\text{vf1} = o \longleftrightarrow \text{vf2} = o)))$

**definition**

$\text{mem\_case} :: [i, i, i, i, i, i] \Rightarrow o$  **where**  
 $\text{mem\_case}(t1, t2, p, P, \text{leq}, f) \equiv \forall v \in P. \langle v, p \rangle \in \text{leq} \longrightarrow$   
 $(\exists q. \exists s. \exists r. r \in P \wedge q \in P \wedge \langle q, v \rangle \in \text{leq} \wedge \langle s, r \rangle \in t2 \wedge \langle q, r \rangle \in \text{leq} \wedge f' \langle 0, t1, s, q \rangle = 1)$

**definition**

$\text{is\_mem\_case} :: [i \Rightarrow o, i, i, i, i, i] \Rightarrow o$  **where**  
 $\text{is\_mem\_case}(M, t1, t2, p, P, \text{leq}, f) \equiv \forall v[M]. \forall vp[M]. v \in P \wedge \text{pair}(M, v, p, vp) \wedge vp \in \text{leq} \longrightarrow$   
 $(\exists q[M]. \exists s[M]. \exists r[M]. \exists qv[M]. \exists sr[M]. \exists qr[M]. \exists z[M]. \exists \text{zt1sq}[M]. \exists o[M].$   
 $r \in P \wedge q \in P \wedge \text{pair}(M, q, v, qv) \wedge \text{pair}(M, s, r, sr) \wedge \text{pair}(M, q, r, qr) \wedge$

$$\text{empty}(M,z) \wedge \text{is\_tuple}(M,z,t1,s,q,zt1sq) \wedge \\ \text{number1}(M,o) \wedge \text{qv} \in \text{leq} \wedge \text{sr} \in \text{t2} \wedge \text{qr} \in \text{leq} \wedge \text{fun\_apply}(M,f,zt1sq,o)$$

**schematic\_goal** *sats\_is\_mem\_case\_fm\_auto*:

**assumes**

$$n1 \in \text{nat} \ n2 \in \text{nat} \ p \in \text{nat} \ P \in \text{nat} \ \text{leq} \in \text{nat} \ f \in \text{nat} \ \text{env} \in \text{list}(A)$$

**shows**

$$\text{is\_mem\_case}(\#\#A, \text{nth}(n1, \text{env}), \text{nth}(n2, \text{env}), \text{nth}(p, \text{env}), \text{nth}(P, \text{env}), \text{nth}(\text{leq}, \\ \text{env}), \text{nth}(f, \text{env})) \\ \longleftrightarrow \text{sats}(A, ?\text{imc\_fm}(n1, n2, p, P, \text{leq}, f), \text{env}) \\ \langle \text{proof} \rangle$$

$\langle ML \rangle$

**lemma** *arity\_mem\_case\_fm* :

**assumes**

$$n1 \in \text{nat} \ n2 \in \text{nat} \ p \in \text{nat} \ P \in \text{nat} \ \text{leq} \in \text{nat} \ f \in \text{nat}$$

**shows**

$$\text{arity}(\text{mem\_case\_fm}(n1, n2, p, P, \text{leq}, f)) = \\ \text{succ}(n1) \cup \text{succ}(n2) \cup \text{succ}(p) \cup \text{succ}(P) \cup \text{succ}(\text{leq}) \cup \text{succ}(f) \\ \langle \text{proof} \rangle$$

**schematic\_goal** *sats\_is\_eq\_case\_fm\_auto*:

**assumes**

$$n1 \in \text{nat} \ n2 \in \text{nat} \ p \in \text{nat} \ P \in \text{nat} \ \text{leq} \in \text{nat} \ f \in \text{nat} \ \text{env} \in \text{list}(A)$$

**shows**

$$\text{is\_eq\_case}(\#\#A, \text{nth}(n1, \text{env}), \text{nth}(n2, \text{env}), \text{nth}(p, \text{env}), \text{nth}(P, \text{env}), \text{nth}(\text{leq}, \\ \text{env}), \text{nth}(f, \text{env})) \\ \longleftrightarrow \text{sats}(A, ?\text{iec\_fm}(n1, n2, p, P, \text{leq}, f), \text{env}) \\ \langle \text{proof} \rangle$$

$\langle ML \rangle$

**lemma** *arity\_eq\_case\_fm* :

**assumes**

$$n1 \in \text{nat} \ n2 \in \text{nat} \ p \in \text{nat} \ P \in \text{nat} \ \text{leq} \in \text{nat} \ f \in \text{nat}$$

**shows**

$$\text{arity}(\text{eq\_case\_fm}(n1, n2, p, P, \text{leq}, f)) = \\ \text{succ}(n1) \cup \text{succ}(n2) \cup \text{succ}(p) \cup \text{succ}(P) \cup \text{succ}(\text{leq}) \cup \text{succ}(f) \\ \langle \text{proof} \rangle$$

**definition**

*Hfrc* ::  $[i, i, i, i] \Rightarrow o$  **where**

$$\text{Hfrc}(P, \text{leq}, \text{fnnc}, f) \equiv \exists \text{ft}. \exists n1. \exists n2. \exists c. c \in P \wedge \text{fnnc} = \langle \text{ft}, n1, n2, c \rangle \wedge \\ ( \text{ft} = 0 \wedge \text{eq\_case}(n1, n2, c, P, \text{leq}, f) \\ \vee \text{ft} = 1 \wedge \text{mem\_case}(n1, n2, c, P, \text{leq}, f) )$$

**definition**

$is\_Hfrc :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**  
 $is\_Hfrc(M, P, leq, fnnc, f) \equiv$   
 $\exists ft[M]. \exists n1[M]. \exists n2[M]. \exists co[M].$   
 $co \in P \wedge is\_tuple(M, ft, n1, n2, co, fnnc) \wedge$   
 $( (empty(M, ft) \wedge is\_eq\_case(M, n1, n2, co, P, leq, f))$   
 $\vee (number1(M, ft) \wedge is\_mem\_case(M, n1, n2, co, P, leq, f)))$

**definition**

$Hfrc\_fm :: [i, i, i, i] \Rightarrow i$  **where**  
 $Hfrc\_fm(P, leq, fnnc, f) \equiv$   
 $Exists(Exists(Exists(Exists($   
 $And(Member(0, P \# + 4), And(is\_tuple\_fm(3, 2, 1, 0, fnnc \# + 4),$   
 $Or(And(empty\_fm(3), eq\_case\_fm(2, 1, 0, P \# + 4, leq \# + 4, f \# + 4)),$   
 $And(number1\_fm(3), mem\_case\_fm(2, 1, 0, P \# + 4, leq \# + 4, f \# + 4))))))))$

**declare**  $Hfrc\_fm\_def[fm\_definitions]$

**lemma**  $Hfrc\_fm\_type[TC]$  :

$\llbracket P \in nat; leq \in nat; fnnc \in nat; f \in nat \rrbracket \Longrightarrow Hfrc\_fm(P, leq, fnnc, f) \in formula$   
 $\langle proof \rangle$

**lemma**  $arity\_Hfrc\_fm$  :

**assumes**

$P \in nat \ leq \in nat \ fnnc \in nat \ f \in nat$

**shows**

$arity(Hfrc\_fm(P, leq, fnnc, f)) = succ(P) \cup succ(leq) \cup succ(fnnc) \cup succ(f)$

$\langle proof \rangle$

**lemma**  $sats\_Hfrc\_fm$ :

**assumes**

$P \in nat \ leq \in nat \ fnnc \in nat \ f \in nat \ env \in list(A)$

**shows**

$sats(A, Hfrc\_fm(P, leq, fnnc, f), env)$

$\longleftrightarrow is\_Hfrc(\#\#A, nth(P, env), nth(leq, env), nth(fnnc, env), nth(f, env))$

$\langle proof \rangle$

**lemma**  $Hfrc\_iff\_sats$ :

**assumes**

$P \in nat \ leq \in nat \ fnnc \in nat \ f \in nat \ env \in list(A)$

$nth(P, env) = PP \ nth(leq, env) = lleq \ nth(fnnc, env) = ffnnc \ nth(f, env) = ff$

**shows**

$is\_Hfrc(\#\#A, PP, lleq, ffnnc, ff)$

$\longleftrightarrow sats(A, Hfrc\_fm(P, leq, fnnc, f), env)$

$\langle proof \rangle$

**definition**

$is\_Hfrc\_at :: [i \Rightarrow o, i, i, i, i, i] \Rightarrow o$  **where**  
 $is\_Hfrc\_at(M, P, leq, fnnc, f, z) \equiv$

$$\begin{aligned} & (\text{empty}(M, z) \wedge \neg \text{is\_Hfrc}(M, P, \text{leq}, \text{fnnc}, f)) \\ \vee & (\text{number1}(M, z) \wedge \text{is\_Hfrc}(M, P, \text{leq}, \text{fnnc}, f)) \end{aligned}$$

**definition**

$\text{Hfrc\_at\_fm} :: [i, i, i, i, i] \Rightarrow i$  **where**  
 $\text{Hfrc\_at\_fm}(P, \text{leq}, \text{fnnc}, f, z) \equiv \text{Or}(\text{And}(\text{empty\_fm}(z), \text{Neg}(\text{Hfrc\_fm}(P, \text{leq}, \text{fnnc}, f))),$   
 $\text{And}(\text{number1\_fm}(z), \text{Hfrc\_fm}(P, \text{leq}, \text{fnnc}, f)))$

**declare**  $\text{Hfrc\_at\_fm\_def}[\text{fm\_definitions}]$

**lemma**  $\text{arity\_Hfrc\_at\_fm}$  :

**assumes**

$P \in \text{nat} \ \text{leq} \in \text{nat} \ \text{fnnc} \in \text{nat} \ f \in \text{nat} \ z \in \text{nat}$

**shows**

$\text{arity}(\text{Hfrc\_at\_fm}(P, \text{leq}, \text{fnnc}, f, z)) = \text{succ}(P) \cup \text{succ}(\text{leq}) \cup \text{succ}(\text{fnnc}) \cup \text{succ}(f)$   
 $\cup \text{succ}(z)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{Hfrc\_at\_fm\_type}[TC]$  :

$\llbracket P \in \text{nat}; \text{leq} \in \text{nat}; \text{fnnc} \in \text{nat}; f \in \text{nat}; z \in \text{nat} \rrbracket \Longrightarrow \text{Hfrc\_at\_fm}(P, \text{leq}, \text{fnnc}, f, z) \in \text{formula}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{sats\_Hfrc\_at\_fm}$ :

**assumes**

$P \in \text{nat} \ \text{leq} \in \text{nat} \ \text{fnnc} \in \text{nat} \ f \in \text{nat} \ z \in \text{nat} \ \text{env} \in \text{list}(A)$

**shows**

$\text{sats}(A, \text{Hfrc\_at\_fm}(P, \text{leq}, \text{fnnc}, f, z), \text{env})$   
 $\longleftrightarrow \text{is\_Hfrc\_at}(\#\#A, \text{nth}(P, \text{env}), \text{nth}(\text{leq}, \text{env}), \text{nth}(\text{fnnc}, \text{env}), \text{nth}(f, \text{env}), \text{nth}(z, \text{env}))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{is\_Hfrc\_at\_iff\_sats}$ :

**assumes**

$P \in \text{nat} \ \text{leq} \in \text{nat} \ \text{fnnc} \in \text{nat} \ f \in \text{nat} \ z \in \text{nat} \ \text{env} \in \text{list}(A)$   
 $\text{nth}(P, \text{env}) = PP \ \text{nth}(\text{leq}, \text{env}) = \text{lleq} \ \text{nth}(\text{fnnc}, \text{env}) = \text{ffnnc}$   
 $\text{nth}(f, \text{env}) = \text{ff} \ \text{nth}(z, \text{env}) = \text{zz}$

**shows**

$\text{is\_Hfrc\_at}(\#\#A, PP, \text{lleq}, \text{ffnnc}, \text{ff}, \text{zz})$   
 $\longleftrightarrow \text{sats}(A, \text{Hfrc\_at\_fm}(P, \text{leq}, \text{fnnc}, f, z), \text{env})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{arity\_tran\_closure\_fm}$  :

$\llbracket x \in \text{nat}; f \in \text{nat} \rrbracket \Longrightarrow \text{arity}(\text{trans\_closure\_fm}(x, f)) = \text{succ}(x) \cup \text{succ}(f)$   
 $\langle \text{proof} \rangle$

### 18.3 The well-founded relation *forcerel*

**definition**

$\text{forcerel} :: i \Rightarrow i \Rightarrow i$  **where**

$forcere\ell(P,x) \equiv frecr\ell(\text{names\_below}(P,x)) \hat{+}$

**definition**

$is\_forcere\ell :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
 $is\_forcere\ell(M,P,x,z) \equiv \exists r[M]. \exists nb[M]. \text{tran\_closure}(M,r,z) \wedge$   
 $(is\_names\_below(M,P,x,nb) \wedge is\_frecr\ell(M,nb,r))$

**definition**

$forcere\ell\_fm :: i \Rightarrow i \Rightarrow i \Rightarrow i$  **where**  
 $forcere\ell\_fm(p,x,z) \equiv \text{Exists}(\text{Exists}(\text{And}(\text{trans\_closure\_fm}(1, z\#\#2),$   
 $\text{And}(is\_names\_below\_fm(p\#\#2,x\#\#2,0),frecr\ell\_fm(0,1))))))$

**lemma** *arity\_forcere\ell\_fm*:

$\llbracket p \in nat; x \in nat; z \in nat \rrbracket \Longrightarrow \text{arity}(forcere\ell\_fm(p,x,z)) = \text{succ}(p) \cup \text{succ}(x) \cup \text{succ}(z)$   
 $\langle \text{proof} \rangle$

**lemma** *forcere\ell\_fm\_type*[TC]:

$\llbracket p \in nat; x \in nat; z \in nat \rrbracket \Longrightarrow forcere\ell\_fm(p,x,z) \in \text{formula}$   
 $\langle \text{proof} \rangle$

**lemma** *sats\_forcere\ell\_fm*:

**assumes**  
 $p \in nat \ x \in nat \ z \in nat \ env \in \text{list}(A)$   
**shows**  
 $\text{sats}(A,forcere\ell\_fm(p,x,z),env) \longleftrightarrow is\_forcere\ell(\#\#A,nth(p,env),nth(x,env),nth(z,env))$   
 $\langle \text{proof} \rangle$

## 18.4 *frc\_at*, forcing for atomic formulas

**definition**

$frc\_at :: [i, i, i] \Rightarrow i$  **where**  
 $frc\_at(P,leq,fnc) \equiv wfrec(frecr\ell(\text{names\_below}(P,fnc)),fnc,$   
 $\lambda x f. \text{bool\_of\_o}(Hfrc(P,leq,x,f)))$

**definition**

$is\_frc\_at :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**  
 $is\_frc\_at(M,P,leq,x,z) \equiv \exists r[M]. is\_forcere\ell(M,P,x,r) \wedge$   
 $is\_wfrec(M,is\_Hfrc\_at(M,P,leq),r,x,z)$

**definition**

$frc\_at\_fm :: [i, i, i, i] \Rightarrow i$  **where**  
 $frc\_at\_fm(p,l,x,z) \equiv \text{Exists}(\text{And}(forcere\ell\_fm(\text{succ}(p),\text{succ}(x),0),$   
 $is\_wfrec\_fm(Hfrc\_at\_fm(6\#\#+p,6\#\#+l,2,1,0),0,\text{succ}(x),\text{succ}(z))))))$

**lemma** *frc\_at\_fm\_type* [TC] :

$\llbracket p \in nat; l \in nat; x \in nat; z \in nat \rrbracket \Longrightarrow frc\_at\_fm(p,l,x,z) \in \text{formula}$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_frc\_at\_fm* :

**assumes**  $p \in \text{nat } l \in \text{nat } x \in \text{nat } z \in \text{nat}$

**shows**  $\text{arity}(\text{frc\_at\_fm}(p,l,x,z)) = \text{succ}(p) \cup \text{succ}(l) \cup \text{succ}(x) \cup \text{succ}(z)$

*<proof>*

**lemma** *sats\_frc\_at\_fm* :

**assumes**

$p \in \text{nat } l \in \text{nat } i \in \text{nat } j \in \text{nat } \text{env} \in \text{list}(A) \ i < \text{length}(\text{env}) \ j < \text{length}(\text{env})$

**shows**

$\text{sats}(A, \text{frc\_at\_fm}(p,l,i,j), \text{env}) \longleftrightarrow$

$\text{is\_frc\_at}(\#\#A, \text{nth}(p, \text{env}), \text{nth}(l, \text{env}), \text{nth}(i, \text{env}), \text{nth}(j, \text{env}))$

*<proof>*

**definition**

$\text{forces\_eq}' :: [i, i, i, i] \Rightarrow o$  **where**

$\text{forces\_eq}'(P, l, p, t1, t2) \equiv \text{frc\_at}(P, l, \langle 0, t1, t2, p \rangle) = 1$

**definition**

$\text{forces\_mem}' :: [i, i, i, i] \Rightarrow o$  **where**

$\text{forces\_mem}'(P, l, p, t1, t2) \equiv \text{frc\_at}(P, l, \langle 1, t1, t2, p \rangle) = 1$

**definition**

$\text{forces\_neq}' :: [i, i, i, i] \Rightarrow o$  **where**

$\text{forces\_neq}'(P, l, p, t1, t2) \equiv \neg (\exists q \in P. \langle q, p \rangle \in l \wedge \text{forces\_eq}'(P, l, q, t1, t2))$

**definition**

$\text{forces\_nmem}' :: [i, i, i, i] \Rightarrow o$  **where**

$\text{forces\_nmem}'(P, l, p, t1, t2) \equiv \neg (\exists q \in P. \langle q, p \rangle \in l \wedge \text{forces\_mem}'(P, l, q, t1, t2))$

**definition**

$\text{is\_forces\_eq}' :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**

$\text{is\_forces\_eq}'(M, P, l, p, t1, t2) \equiv \exists o[M]. \exists z[M]. \exists t[M]. \text{number1}(M, o) \wedge \text{empty}(M, z)$

$\wedge$

$\text{is\_tuple}(M, z, t1, t2, p, t) \wedge \text{is\_frc\_at}(M, P, l, t, o)$

**definition**

$\text{is\_forces\_mem}' :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**

$\text{is\_forces\_mem}'(M, P, l, p, t1, t2) \equiv \exists o[M]. \exists t[M]. \text{number1}(M, o) \wedge$

$\text{is\_tuple}(M, o, t1, t2, p, t) \wedge \text{is\_frc\_at}(M, P, l, t, o)$

**definition**

$\text{is\_forces\_neq}' :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**

$\text{is\_forces\_neq}'(M, P, l, p, t1, t2) \equiv$

$\neg (\exists q[M]. q \in P \wedge (\exists qp[M]. \text{pair}(M, q, p, qp) \wedge qp \in l \wedge \text{is\_forces\_eq}'(M, P, l, q, t1, t2)))$

**definition**

$\text{is\_forces\_nmem}' :: [i \Rightarrow o, i, i, i, i] \Rightarrow o$  **where**

$\text{is\_forces\_nmem}'(M, P, l, p, t1, t2) \equiv$

$$\neg (\exists q[M]. \exists qp[M]. q \in P \wedge \text{pair}(M, q, p, qp) \wedge qp \in l \wedge \text{is\_forces\_mem}'(M, P, l, q, t1, t2))$$

**definition**

$\text{forces\_eq\_fm} :: [i, i, i, i, i] \Rightarrow i$  **where**  
 $\text{forces\_eq\_fm}(p, l, q, t1, t2) \equiv$   
 $\text{Exists}(\text{Exists}(\text{Exists}(\text{And}(\text{number1\_fm}(2), \text{And}(\text{empty\_fm}(1),$   
 $\text{And}(\text{is\_tuple\_fm}(1, t1 \# + 3, t2 \# + 3, q \# + 3, 0), \text{frc\_at\_fm}(p \# + 3, l \# + 3, 0, 2)$   
 $))))))$

**definition**

$\text{forces\_mem\_fm} :: [i, i, i, i, i] \Rightarrow i$  **where**  
 $\text{forces\_mem\_fm}(p, l, q, t1, t2) \equiv \text{Exists}(\text{Exists}(\text{And}(\text{number1\_fm}(1),$   
 $\text{And}(\text{is\_tuple\_fm}(1, t1 \# + 2, t2 \# + 2, q \# + 2, 0), \text{frc\_at\_fm}(p \# + 2, l \# + 2, 0, 1))))))$

**definition**

$\text{forces\_neq\_fm} :: [i, i, i, i, i] \Rightarrow i$  **where**  
 $\text{forces\_neq\_fm}(p, l, q, t1, t2) \equiv \text{Neg}(\text{Exists}(\text{Exists}(\text{And}(\text{Member}(1, p \# + 2),$   
 $\text{And}(\text{pair\_fm}(1, q \# + 2, 0), \text{And}(\text{Member}(0, l \# + 2), \text{forces\_eq\_fm}(p \# + 2, l \# + 2, 1, t1 \# + 2, t2 \# + 2))))))$

**definition**

$\text{forces\_nmem\_fm} :: [i, i, i, i, i] \Rightarrow i$  **where**  
 $\text{forces\_nmem\_fm}(p, l, q, t1, t2) \equiv \text{Neg}(\text{Exists}(\text{Exists}(\text{And}(\text{Member}(1, p \# + 2),$   
 $\text{And}(\text{pair\_fm}(1, q \# + 2, 0), \text{And}(\text{Member}(0, l \# + 2), \text{forces\_mem\_fm}(p \# + 2, l \# + 2, 1, t1 \# + 2, t2 \# + 2))))))$

**lemma forces\_eq\_fm\_type [TC]:**

$\llbracket p \in \text{nat}; l \in \text{nat}; q \in \text{nat}; t1 \in \text{nat}; t2 \in \text{nat} \rrbracket \Longrightarrow \text{forces\_eq\_fm}(p, l, q, t1, t2) \in \text{formula}$   
 $\langle \text{proof} \rangle$

**lemma forces\_mem\_fm\_type [TC]:**

$\llbracket p \in \text{nat}; l \in \text{nat}; q \in \text{nat}; t1 \in \text{nat}; t2 \in \text{nat} \rrbracket \Longrightarrow \text{forces\_mem\_fm}(p, l, q, t1, t2) \in \text{formula}$   
 $\langle \text{proof} \rangle$

**lemma forces\_neq\_fm\_type [TC]:**

$\llbracket p \in \text{nat}; l \in \text{nat}; q \in \text{nat}; t1 \in \text{nat}; t2 \in \text{nat} \rrbracket \Longrightarrow \text{forces\_neq\_fm}(p, l, q, t1, t2) \in \text{formula}$   
 $\langle \text{proof} \rangle$

**lemma forces\_nmem\_fm\_type [TC]:**

$\llbracket p \in \text{nat}; l \in \text{nat}; q \in \text{nat}; t1 \in \text{nat}; t2 \in \text{nat} \rrbracket \Longrightarrow \text{forces\_nmem\_fm}(p, l, q, t1, t2) \in \text{formula}$   
 $\langle \text{proof} \rangle$

**lemma arity\_forces\_eq\_fm :**

$p \in \text{nat} \Longrightarrow l \in \text{nat} \Longrightarrow q \in \text{nat} \Longrightarrow t1 \in \text{nat} \Longrightarrow t2 \in \text{nat} \Longrightarrow$   
 $\text{arity}(\text{forces\_eq\_fm}(p, l, q, t1, t2)) = \text{succ}(t1) \cup \text{succ}(t2) \cup \text{succ}(q) \cup \text{succ}(p) \cup$   
 $\text{succ}(l)$   
 $\langle \text{proof} \rangle$

**lemma arity\_forces\_mem\_fm :**

$p \in \text{nat} \Longrightarrow l \in \text{nat} \Longrightarrow q \in \text{nat} \Longrightarrow t1 \in \text{nat} \Longrightarrow t2 \in \text{nat} \Longrightarrow$



$arity(forces\_mem\_fm(p,l,q,t1,t2)) = succ(t1) \cup succ(t2) \cup succ(q) \cup succ(p) \cup succ(l)$   
 <proof>

**lemma** *sats\_forces\_eq'\_fm*:

**assumes**  $p \in nat \ l \in nat \ q \in nat \ t1 \in nat \ t2 \in nat \ env \in list(M)$

**shows**  $sats(M, forces\_eq\_fm(p,l,q,t1,t2), env) \longleftrightarrow$

$is\_forces\_eq'(\#\#M, nth(p, env), nth(l, env), nth(q, env), nth(t1, env), nth(t2, env))$

<proof>

**lemma** *sats\_forces\_mem'\_fm*:

**assumes**  $p \in nat \ l \in nat \ q \in nat \ t1 \in nat \ t2 \in nat \ env \in list(M)$

**shows**  $sats(M, forces\_mem\_fm(p,l,q,t1,t2), env) \longleftrightarrow$

$is\_forces\_mem'(\#\#M, nth(p, env), nth(l, env), nth(q, env), nth(t1, env), nth(t2, env))$

<proof>

**lemma** *sats\_forces\_neq'\_fm*:

**assumes**  $p \in nat \ l \in nat \ q \in nat \ t1 \in nat \ t2 \in nat \ env \in list(M)$

**shows**  $sats(M, forces\_neq\_fm(p,l,q,t1,t2), env) \longleftrightarrow$

$is\_forces\_neq'(\#\#M, nth(p, env), nth(l, env), nth(q, env), nth(t1, env), nth(t2, env))$

<proof>

**lemma** *sats\_forces\_nmem'\_fm*:

**assumes**  $p \in nat \ l \in nat \ q \in nat \ t1 \in nat \ t2 \in nat \ env \in list(M)$

**shows**  $sats(M, forces\_nmem\_fm(p,l,q,t1,t2), env) \longleftrightarrow$

$is\_forces\_nmem'(\#\#M, nth(p, env), nth(l, env), nth(q, env), nth(t1, env), nth(t2, env))$

<proof>

**context** *forcing\_data*

**begin**

**lemma** *fst\_abs* [*simp*]:

$\llbracket x \in M; y \in M \rrbracket \implies is\_fst(\#\#M, x, y) \longleftrightarrow y = fst(x)$

<proof>

**lemma** *snd\_abs* [*simp*]:

$\llbracket x \in M; y \in M \rrbracket \implies is\_snd(\#\#M, x, y) \longleftrightarrow y = snd(x)$

<proof>

**lemma** *fctype\_abs*:

$\llbracket x \in M; y \in M \rrbracket \implies is\_fctype(\#\#M, x, y) \longleftrightarrow y = fctype(x)$  <proof>

**lemma** *name1\_abs*:

$\llbracket x \in M; y \in M \rrbracket \implies is\_name1(\#\#M, x, y) \longleftrightarrow y = name1(x)$

<proof>

**lemma** *snd\_snd\_abs*:

$\llbracket x \in M; y \in M \rrbracket \implies is\_snd\_snd(\#\#M, x, y) \longleftrightarrow y = snd(snd(x))$

*<proof>*

**lemma** *name2\_abs*:

$\llbracket x \in M; y \in M \rrbracket \implies is\_name2(\#\#M, x, y) \longleftrightarrow y = name2(x)$   
*<proof>*

**lemma** *cond\_of\_abs*:

$\llbracket x \in M; y \in M \rrbracket \implies is\_cond\_of(\#\#M, x, y) \longleftrightarrow y = cond\_of(x)$   
*<proof>*

**lemma** *tuple\_abs*:

$\llbracket z \in M; t1 \in M; t2 \in M; p \in M; t \in M \rrbracket \implies$   
 $is\_tuple(\#\#M, z, t1, t2, p, t) \longleftrightarrow t = \langle z, t1, t2, p \rangle$   
*<proof>*

**lemmas** *components\_abs = ftype\_abs name1\_abs name2\_abs cond\_of\_abs tuple\_abs*

**lemma** *oneN\_in\_M [simp]*:  $1 \in M$

*<proof>*

**lemma** *twoN\_in\_M* :  $2 \in M$

*<proof>*

**lemma** *comp\_in\_M*:

$p \preceq q \implies p \in M$

$p \preceq q \implies q \in M$

*<proof>*

**lemma** *eq\_case\_abs [simp]*:

**assumes**

$t1 \in M \ t2 \in M \ p \in M \ f \in M$

**shows**

$is\_eq\_case(\#\#M, t1, t2, p, P, leq, f) \longleftrightarrow eq\_case(t1, t2, p, P, leq, f)$

*<proof>*

**lemma** *mem\_case\_abs [simp]*:

**assumes**

$t1 \in M \ t2 \in M \ p \in M \ f \in M$

**shows**

$is\_mem\_case(\#\#M, t1, t2, p, P, leq, f) \longleftrightarrow mem\_case(t1, t2, p, P, leq, f)$

*<proof>*

**lemma** *Hfrc\_abs*:

$\llbracket fnnc \in M; f \in M \rrbracket \implies$

$is\_Hfrc(\#\#M, P, leq, fnnc, f) \longleftrightarrow Hfrc(P, leq, fnnc, f)$

$\langle proof \rangle$

**lemma** *Hfrc\_at\_abs*:

$\llbracket fnc \in M; f \in M; z \in M \rrbracket \implies$

$is\_Hfrc\_at(\#\#M, P, leq, fnc, f, z) \longleftrightarrow z = bool\_of\_o(Hfrc(P, leq, fnc, f))$

$\langle proof \rangle$

**lemma** *components\_closed* :

$x \in M \implies ftype(x) \in M$

$x \in M \implies name1(x) \in M$

$x \in M \implies name2(x) \in M$

$x \in M \implies cond\_of(x) \in M$

$\langle proof \rangle$

**lemma** *ecloseN\_closed*:

$(\#\#M)(A) \implies (\#\#M)(ecloseN(A))$

$(\#\#M)(A) \implies (\#\#M)(eclose\_n(name1, A))$

$(\#\#M)(A) \implies (\#\#M)(eclose\_n(name2, A))$

$\langle proof \rangle$

**lemma** *eclose\_n\_abs* :

**assumes**  $x \in M \ ec \in M$

**shows**  $is\_eclose\_n(\#\#M, is\_name1, ec, x) \longleftrightarrow ec = eclose\_n(name1, x)$

$is\_eclose\_n(\#\#M, is\_name2, ec, x) \longleftrightarrow ec = eclose\_n(name2, x)$

$\langle proof \rangle$

**lemma** *is\_ecloseN\_abs* :

$\llbracket x \in M; ec \in M \rrbracket \implies is\_ecloseN(\#\#M, ec, x) \longleftrightarrow ec = ecloseN(x)$

$\langle proof \rangle$

**lemma** *frecR\_abs* :

$x \in M \implies y \in M \implies frecR(x, y) \longleftrightarrow is\_frecR(\#\#M, x, y)$

$\langle proof \rangle$

**lemma** *frecrelP\_abs* :

$z \in M \implies frecrelP(\#\#M, z) \longleftrightarrow (\exists x y. z = \langle x, y \rangle \wedge frecR(x, y))$

$\langle proof \rangle$

**lemma** *frecrel\_abs*:

**assumes**

$A \in M \ r \in M$

**shows**

$is\_frecrel(\#\#M, A, r) \longleftrightarrow r = frecrel(A)$

$\langle proof \rangle$

**lemma** *frecrel\_closed*:

**assumes**

$x \in M$

**shows**  
 $frecrel(x) \in M$   
 $\langle proof \rangle$

**lemma** *field\_frecrel* :  $field(frecrel(names\_below(P,x))) \subseteq names\_below(P,x)$   
 $\langle proof \rangle$

**lemma** *forcerelD* :  $uv \in forcerel(P,x) \implies uv \in names\_below(P,x) \times names\_below(P,x)$   
 $\langle proof \rangle$

**lemma** *wf\_forcerel* :  
 $wf(forcerel(P,x))$   
 $\langle proof \rangle$

**lemma** *restrict\_trancl\_forcerel*:  
**assumes**  $frecR(w,y)$   
**shows**  $restrict(f,frecrel(names\_below(P,x))-\{\!-\{y\}\})'w$   
 $= restrict(f,forcerel(P,x)-\{\!-\{y\}\})'w$   
 $\langle proof \rangle$

**lemma** *names\_belowI* :  
**assumes**  $frecR(\langle ft,n1,n2,p \rangle, \langle a,b,c,d \rangle) \ p \in P$   
**shows**  $\langle ft,n1,n2,p \rangle \in names\_below(P, \langle a,b,c,d \rangle)$  (**is**  $?x \in names\_below(-,?y)$ )  
 $\langle proof \rangle$

**lemma** *names\_below\_tr* :  
**assumes**  $x \in names\_below(P,y)$   
 $y \in names\_below(P,z)$   
**shows**  $x \in names\_below(P,z)$   
 $\langle proof \rangle$

**lemma** *arg\_into\_names\_below2* :  
**assumes**  $\langle x,y \rangle \in frecrel(names\_below(P,z))$   
**shows**  $x \in names\_below(P,y)$   
 $\langle proof \rangle$

**lemma** *arg\_into\_names\_below* :  
**assumes**  $\langle x,y \rangle \in frecrel(names\_below(P,z))$   
**shows**  $x \in names\_below(P,x)$   
 $\langle proof \rangle$

**lemma** *forcerel\_arg\_into\_names\_below* :  
**assumes**  $\langle x,y \rangle \in forcerel(P,z)$   
**shows**  $x \in names\_below(P,x)$   
 $\langle proof \rangle$

**lemma** *names\_below\_mono* :  
**assumes**  $\langle x,y \rangle \in frecrel(names\_below(P,z))$   
**shows**  $names\_below(P,x) \subseteq names\_below(P,y)$

$\langle proof \rangle$

**lemma** *frecrel\_mono* :

**assumes**  $\langle x,y \rangle \in \text{frecrel}(\text{names\_below}(P,z))$

**shows**  $\text{frecrel}(\text{names\_below}(P,x)) \subseteq \text{frecrel}(\text{names\_below}(P,y))$

$\langle proof \rangle$

**lemma** *forcereL\_mono2* :

**assumes**  $\langle x,y \rangle \in \text{frecrel}(\text{names\_below}(P,z))$

**shows**  $\text{forcereL}(P,x) \subseteq \text{forcereL}(P,y)$

$\langle proof \rangle$

**lemma** *forcereL\_mono\_aux* :

**assumes**  $\langle x,y \rangle \in \text{frecrel}(\text{names\_below}(P, w)) \wedge +$

**shows**  $\text{forcereL}(P,x) \subseteq \text{forcereL}(P,y)$

$\langle proof \rangle$

**lemma** *forcereL\_mono* :

**assumes**  $\langle x,y \rangle \in \text{forcereL}(P,z)$

**shows**  $\text{forcereL}(P,x) \subseteq \text{forcereL}(P,y)$

$\langle proof \rangle$

**lemma** *aux*:  $x \in \text{names\_below}(P, w) \implies \langle x,y \rangle \in \text{forcereL}(P,z) \implies$

$(y \in \text{names\_below}(P, w) \longrightarrow \langle x,y \rangle \in \text{forcereL}(P,w))$

$\langle proof \rangle$

**lemma** *forcereL\_eq* :

**assumes**  $\langle z,x \rangle \in \text{forcereL}(P,x)$

**shows**  $\text{forcereL}(P,z) = \text{forcereL}(P,x) \cap \text{names\_below}(P,z) \times \text{names\_below}(P,z)$

$\langle proof \rangle$

**lemma** *forcereL\_below\_aux* :

**assumes**  $\langle z,x \rangle \in \text{forcereL}(P,x) \ \langle u,z \rangle \in \text{forcereL}(P,x)$

**shows**  $u \in \text{names\_below}(P,z)$

$\langle proof \rangle$

**lemma** *forcereL\_below* :

**assumes**  $\langle z,x \rangle \in \text{forcereL}(P,x)$

**shows**  $\text{forcereL}(P,x) - \{z\} \subseteq \text{names\_below}(P,z)$

$\langle proof \rangle$

**lemma** *relation\_forcereL* :

**shows**  $\text{relation}(\text{forcereL}(P,z)) \text{ trans}(\text{forcereL}(P,z))$

$\langle proof \rangle$

**lemma** *Hfrc\_restrict\_trancl*:  $\text{bool\_of\_o}(\text{Hfrc}(P, \text{leq}, y, \text{restrict}(f, \text{frecrel}(\text{names\_below}(P,x)) - \{y\})))$

$= \text{bool\_of\_o}(\text{Hfrc}(P, \text{leq}, y, \text{restrict}(f, (\text{frecrel}(\text{names\_below}(P,x)) \wedge +) - \{y\})))$

$\langle proof \rangle$

**lemma** *frc\_at\_trancl*:  $frc\_at(P, leq, z) = wfrec(forcerel(P, z), z, \lambda x f. bool\_of\_o(Hfrc(P, leq, x, f)))$   
 ⟨proof⟩

**lemma** *forcerelI1* :  
 assumes  $n1 \in domain(b) \vee n1 \in domain(c) \ p \in P \ d \in P$   
 shows  $\langle \langle 1, n1, b, p \rangle, \langle 0, b, c, d \rangle \rangle \in forcerel(P, \langle 0, b, c, d \rangle)$   
 ⟨proof⟩

**lemma** *forcerelI2* :  
 assumes  $n1 \in domain(b) \vee n1 \in domain(c) \ p \in P \ d \in P$   
 shows  $\langle \langle 1, n1, c, p \rangle, \langle 0, b, c, d \rangle \rangle \in forcerel(P, \langle 0, b, c, d \rangle)$   
 ⟨proof⟩

**lemma** *forcerelI3* :  
 assumes  $\langle n2, r \rangle \in c \ p \in P \ d \in P \ r \in P$   
 shows  $\langle \langle 0, b, n2, p \rangle, \langle 1, b, c, d \rangle \rangle \in forcerel(P, \langle 1, b, c, d \rangle)$   
 ⟨proof⟩

**lemmas** *forcerelI = forcerelI1* [THEN *vimage\_singleton\_iff*] [THEN *iffD2*]]  
*forcerelI2* [THEN *vimage\_singleton\_iff*] [THEN *iffD2*]]  
*forcerelI3* [THEN *vimage\_singleton\_iff*] [THEN *iffD2*]]

**lemma** *aux\_def\_frc\_at*:  
 assumes  $z \in forcerel(P, x) - \{x\}$   
 shows  $wfrec(forcerel(P, x), z, H) = wfrec(forcerel(P, z), z, H)$   
 ⟨proof⟩

## 18.5 Recursive expression of *frc\_at*

**lemma** *def\_frc\_at* :  
 assumes  $p \in P$   
 shows  
 $frc\_at(P, leq, \langle ft, n1, n2, p \rangle) =$   
 $bool\_of\_o( p \in P \wedge$   
 $( ft = 0 \wedge (\forall s. s \in domain(n1) \cup domain(n2) \longrightarrow$   
 $(\forall q. q \in P \wedge q \preceq p \longrightarrow (frc\_at(P, leq, \langle 1, s, n1, q \rangle) = 1 \longleftrightarrow frc\_at(P, leq, \langle 1, s, n2, q \rangle)$   
 $= 1)))$   
 $\vee ft = 1 \wedge (\forall v \in P. v \preceq p \longrightarrow$   
 $(\exists q. \exists s. \exists r. r \in P \wedge q \in P \wedge q \preceq v \wedge \langle s, r \rangle \in n2 \wedge q \preceq r \wedge frc\_at(P, leq, \langle 0, n1, s, q \rangle)$   
 $= 1))))$   
 ⟨proof⟩

## 18.6 Absoluteness of *frc\_at*

**lemma** *trans\_forcerel\_t* :  $trans(forcerel(P, x))$   
 ⟨proof⟩

**lemma** *relation\_forcerel\_t* : *relation(forcerel(P,x))*  
 ⟨*proof*⟩

**lemma** *forcerel\_in\_M* :  
**assumes**  
 $x \in M$   
**shows**  
 $\text{forcerel}(P,x) \in M$   
 ⟨*proof*⟩

**lemma** *relation2\_Hfrc\_at\_abs*:  
 $\text{relation2}(\#\#M, \text{is\_Hfrc\_at}(\#\#M, P, \text{leq}), \lambda x f. \text{bool\_of\_o}(\text{Hfrc}(P, \text{leq}, x, f)))$   
 ⟨*proof*⟩

**lemma** *Hfrc\_at\_closed* :  
 $\forall x \in M. \forall g \in M. \text{function}(g) \longrightarrow \text{bool\_of\_o}(\text{Hfrc}(P, \text{leq}, x, g)) \in M$   
 ⟨*proof*⟩

**lemma** *wfrec\_Hfrc\_at* :  
**assumes**  
 $X \in M$   
**shows**  
 $\text{wfrec\_replacement}(\#\#M, \text{is\_Hfrc\_at}(\#\#M, P, \text{leq}), \text{forcerel}(P, X))$   
 ⟨*proof*⟩

**lemma** *names\_below\_abs* :  
 $\llbracket Q \in M; x \in M; nb \in M \rrbracket \implies \text{is\_names\_below}(\#\#M, Q, x, nb) \longleftrightarrow nb = \text{names\_below}(Q, x)$   
 ⟨*proof*⟩

**lemma** *names\_below\_closed*:  
 $\llbracket Q \in M; x \in M \rrbracket \implies \text{names\_below}(Q, x) \in M$   
 ⟨*proof*⟩

**lemma** *names\_below\_productE* :  
**assumes**  $Q \in M \ x \in M$   
 $\bigwedge A1 \ A2 \ A3 \ A4. A1 \in M \implies A2 \in M \implies A3 \in M \implies A4 \in M \implies R(A1$   
 $\times A2 \times A3 \times A4)$   
**shows**  $R(\text{names\_below}(Q, x))$   
 ⟨*proof*⟩

**lemma** *forcerel\_abs* :  
 $\llbracket x \in M; z \in M \rrbracket \implies \text{is\_forcerel}(\#\#M, P, x, z) \longleftrightarrow z = \text{forcerel}(P, x)$   
 ⟨*proof*⟩

**lemma** *frc\_at\_abs*:  
**assumes**  $\text{fnnc} \in M \ z \in M$   
**shows**  $\text{is\_frc\_at}(\#\#M, P, \text{leq}, \text{fnnc}, z) \longleftrightarrow z = \text{frc\_at}(P, \text{leq}, \text{fnnc})$   
 ⟨*proof*⟩

**lemma** *forces\_eq'\_abs* :  
 $\llbracket p \in M ; t1 \in M ; t2 \in M \rrbracket \implies is\_forces\_eq'(\#\#M, P, leq, p, t1, t2) \longleftrightarrow forces\_eq'(P, leq, p, t1, t2)$   
 $\langle proof \rangle$

**lemma** *forces\_mem'\_abs* :  
 $\llbracket p \in M ; t1 \in M ; t2 \in M \rrbracket \implies is\_forces\_mem'(\#\#M, P, leq, p, t1, t2) \longleftrightarrow forces\_mem'(P, leq, p, t1, t2)$   
 $\langle proof \rangle$

**lemma** *forces\_neq'\_abs* :  
**assumes**  
 $p \in M \ t1 \in M \ t2 \in M$   
**shows**  
 $is\_forces\_neq'(\#\#M, P, leq, p, t1, t2) \longleftrightarrow forces\_neq'(P, leq, p, t1, t2)$   
 $\langle proof \rangle$

**lemma** *forces\_nmem'\_abs* :  
**assumes**  
 $p \in M \ t1 \in M \ t2 \in M$   
**shows**  
 $is\_forces\_nmem'(\#\#M, P, leq, p, t1, t2) \longleftrightarrow forces\_nmem'(P, leq, p, t1, t2)$   
 $\langle proof \rangle$

**end**

## 18.7 Forcing for general formulas

**definition**

*ren\_forces\_nand* ::  $i \Rightarrow i$  **where**  
 $ren\_forces\_nand(\varphi) \equiv Exists(And(Equal(0, 1), iterates(\lambda p. incr\_bv(p)'1 \ , \ 2, \ \varphi)))$

**lemma** *ren\_forces\_nand\_type*[TC] :  
 $\varphi \in formula \implies ren\_forces\_nand(\varphi) \in formula$   
 $\langle proof \rangle$

**lemma** *arity\_ren\_forces\_nand* :  
**assumes**  $\varphi \in formula$   
**shows**  $arity(ren\_forces\_nand(\varphi)) \leq succ(arity(\varphi))$   
 $\langle proof \rangle$

**lemma** *sats\_ren\_forces\_nand*:  
 $[q, P, leq, o, p] @ env \in list(M) \implies \varphi \in formula \implies$   
 $sats(M, ren\_forces\_nand(\varphi), [q, p, P, leq, o] @ env) \longleftrightarrow sats(M, \varphi, [q, P, leq, o] @ env)$   
 $\langle proof \rangle$

**definition**



$ren\_forces\_forall :: i \Rightarrow i$  **where**  
 $ren\_forces\_forall(\varphi) \equiv$   
 $Exists(Exists(Exists(Exists(Exists($   
 $And(Equal(0,6),And(Equal(1,7),And(Equal(2,8),And(Equal(3,9),$   
 $And(Equal(4,5),iterates(\lambda p. incr\_bv(p) '5 , 5, \varphi))))))))))$

**lemma**  $arity\_ren\_forces\_all$  :  
**assumes**  $\varphi \in formula$   
**shows**  $arity(ren\_forces\_forall(\varphi)) = 5 \cup arity(\varphi)$   
 $\langle proof \rangle$

**lemma**  $ren\_forces\_forall\_type[TC]$  :  
 $\varphi \in formula \Longrightarrow ren\_forces\_forall(\varphi) \in formula$   
 $\langle proof \rangle$

**lemma**  $sats\_ren\_forces\_forall$  :  
 $[x,P,leq,o,p] @ env \in list(M) \Longrightarrow \varphi \in formula \Longrightarrow$   
 $sats(M, ren\_forces\_forall(\varphi), [x,p,P,leq,o] @ env) \longleftrightarrow sats(M, \varphi, [p,P,leq,o,x]$   
 $@ env)$   
 $\langle proof \rangle$

**definition**  
 $is\_leq :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**  
 $is\_leq(A, l, q, p) \equiv \exists qp[A]. (pair(A, q, p, qp) \wedge qp \in l)$

**lemma** (**in**  $forcing\_data$ )  $leq\_abs$ :  
 $\llbracket l \in M ; q \in M ; p \in M \rrbracket \Longrightarrow is\_leq(\#\#M, l, q, p) \longleftrightarrow \langle q, p \rangle \in l$   
 $\langle proof \rangle$

**definition**  
 $leq\_fm :: [i, i, i] \Rightarrow i$  **where**  
 $leq\_fm(leq, q, p) \equiv Exists(And(pair\_fm(q\#+1, p\#+1, 0), Member(0, leq\#+1)))$

**lemma**  $arity\_leq\_fm$  :  
 $\llbracket leq \in nat ; q \in nat ; p \in nat \rrbracket \Longrightarrow arity(leq\_fm(leq, q, p)) = succ(q) \cup succ(p) \cup succ(leq)$   
 $\langle proof \rangle$

**lemma**  $leq\_fm\_type[TC]$  :  
 $\llbracket leq \in nat ; q \in nat ; p \in nat \rrbracket \Longrightarrow leq\_fm(leq, q, p) \in formula$   
 $\langle proof \rangle$

**lemma**  $sats\_leq\_fm$  :  
 $\llbracket leq \in nat ; q \in nat ; p \in nat ; env \in list(A) \rrbracket \Longrightarrow$   
 $sats(A, leq\_fm(leq, q, p), env) \longleftrightarrow is\_leq(\#\#A, nth(leq, env), nth(q, env), nth(p, env))$   
 $\langle proof \rangle$

### 18.7.1 The primitive recursion

**consts** *forces'* ::  $i \Rightarrow i$

**primrec**

$forces'(Member(x,y)) = forces\_mem\_fm(1,2,0,x\#\#4,y\#\#4)$

$forces'(Equal(x,y)) = forces\_eq\_fm(1,2,0,x\#\#4,y\#\#4)$

$forces'(Nand(p,q)) =$

$Neg(Exists(And(Member(0,2),And(leq\_fm(3,0,1),And(ren\_forces\_nand(forces'(p)),$   
 $ren\_forces\_nand(forces'(q)))))))$

$forces'(Forall(p)) = Forall(ren\_forces\_forall(forces'(p)))$

**definition**

*forces* ::  $i \Rightarrow i$  **where**

$forces(\varphi) \equiv And(Member(0,1),forces'(\varphi))$

**lemma** *forces'\_type* [TC]:  $\varphi \in formula \Longrightarrow forces'(\varphi) \in formula$   
 $\langle proof \rangle$

**lemma** *forces\_type* [TC] :  $\varphi \in formula \Longrightarrow forces(\varphi) \in formula$   
 $\langle proof \rangle$

**context** *forcing\_data*

**begin**

## 18.8 Forcing for atomic formulas in context

**definition**

*forces\_eq* ::  $[i,i,i] \Rightarrow o$  **where**

$forces\_eq \equiv forces\_eq'(P,leq)$

**definition**

*forces\_mem* ::  $[i,i,i] \Rightarrow o$  **where**

$forces\_mem \equiv forces\_mem'(P,leq)$

**definition**

*is\_forces\_eq* ::  $[i,i,i] \Rightarrow o$  **where**

$is\_forces\_eq \equiv is\_forces\_eq'(\#\#M,P,leq)$

**definition**

*is\_forces\_mem* ::  $[i,i,i] \Rightarrow o$  **where**

$is\_forces\_mem \equiv is\_forces\_mem'(\#\#M,P,leq)$

**lemma** *def\_forces\_eq*:  $p \in P \Longrightarrow forces\_eq(p,t1,t2) \longleftrightarrow$   
 $(\forall s \in domain(t1) \cup domain(t2). \forall q. q \in P \wedge q \preceq p \longrightarrow$   
 $(forces\_mem(q,s,t1) \longleftrightarrow forces\_mem(q,s,t2)))$   
 $\langle proof \rangle$

**lemma** *def\_forces\_mem*:  $p \in P \implies \text{forces\_mem}(p, t1, t2) \longleftrightarrow$   
 $(\forall v \in P. v \preceq p \longrightarrow$   
 $(\exists q. \exists s. \exists r. r \in P \wedge q \in P \wedge q \preceq v \wedge \langle s, r \rangle \in t2 \wedge q \preceq r \wedge \text{forces\_eq}(q, t1, s)))$   
 $\langle \text{proof} \rangle$

**lemma** *forces\_eq\_abs* :  
 $\llbracket p \in M ; t1 \in M ; t2 \in M \rrbracket \implies \text{is\_forces\_eq}(p, t1, t2) \longleftrightarrow \text{forces\_eq}(p, t1, t2)$   
 $\langle \text{proof} \rangle$

**lemma** *forces\_mem\_abs* :  
 $\llbracket p \in M ; t1 \in M ; t2 \in M \rrbracket \implies \text{is\_forces\_mem}(p, t1, t2) \longleftrightarrow \text{forces\_mem}(p, t1, t2)$   
 $\langle \text{proof} \rangle$

**lemma** *sats\_forces\_eq\_fm*:  
**assumes**  $p \in \text{nat } l \in \text{nat } q \in \text{nat } t1 \in \text{nat } t2 \in \text{nat } \text{env} \in \text{list}(M)$   
 $\text{nth}(p, \text{env}) = P \text{ nth}(l, \text{env}) = \text{leq}$   
**shows**  $\text{sats}(M, \text{forces\_eq\_fm}(p, l, q, t1, t2), \text{env}) \longleftrightarrow$   
 $\text{is\_forces\_eq}(\text{nth}(q, \text{env}), \text{nth}(t1, \text{env}), \text{nth}(t2, \text{env}))$   
 $\langle \text{proof} \rangle$

**lemma** *sats\_forces\_mem\_fm*:  
**assumes**  $p \in \text{nat } l \in \text{nat } q \in \text{nat } t1 \in \text{nat } t2 \in \text{nat } \text{env} \in \text{list}(M)$   
 $\text{nth}(p, \text{env}) = P \text{ nth}(l, \text{env}) = \text{leq}$   
**shows**  $\text{sats}(M, \text{forces\_mem\_fm}(p, l, q, t1, t2), \text{env}) \longleftrightarrow$   
 $\text{is\_forces\_mem}(\text{nth}(q, \text{env}), \text{nth}(t1, \text{env}), \text{nth}(t2, \text{env}))$   
 $\langle \text{proof} \rangle$

**definition**  
 $\text{forces\_neq} :: [i, i, i] \Rightarrow o$  **where**  
 $\text{forces\_neq}(p, t1, t2) \equiv \neg (\exists q \in P. q \preceq p \wedge \text{forces\_eq}(q, t1, t2))$

**definition**  
 $\text{forces\_nmem} :: [i, i, i] \Rightarrow o$  **where**  
 $\text{forces\_nmem}(p, t1, t2) \equiv \neg (\exists q \in P. q \preceq p \wedge \text{forces\_mem}(q, t1, t2))$

**lemma** *forces\_neq* :  
 $\text{forces\_neq}(p, t1, t2) \longleftrightarrow \text{forces\_neq}'(P, \text{leq}, p, t1, t2)$   
 $\langle \text{proof} \rangle$

**lemma** *forces\_nmem* :  
 $\text{forces\_nmem}(p, t1, t2) \longleftrightarrow \text{forces\_nmem}'(P, \text{leq}, p, t1, t2)$   
 $\langle \text{proof} \rangle$

**lemma** *sats\_forces\_Member* :  
**assumes**  $x \in \text{nat } y \in \text{nat } \text{env} \in \text{list}(M)$

$nth(x, env) = xx \quad nth(y, env) = yy \quad q \in M$   
**shows**  $sats(M, forces(Member(x, y)), [q, P, leq, one]@env) \longleftrightarrow$   
 $(q \in P \wedge is\_forces\_mem(q, xx, yy))$   
 <proof>

**lemma** *sats\_forces\_Equal* :  
**assumes**  $x \in nat \quad y \in nat \quad env \in list(M)$   
 $nth(x, env) = xx \quad nth(y, env) = yy \quad q \in M$   
**shows**  $sats(M, forces(Equal(x, y)), [q, P, leq, one]@env) \longleftrightarrow$   
 $(q \in P \wedge is\_forces\_eq(q, xx, yy))$   
 <proof>

**lemma** *sats\_forces\_Nand* :  
**assumes**  $\varphi \in formula \quad \psi \in formula \quad env \in list(M) \quad p \in M$   
**shows**  $sats(M, forces(Nand(\varphi, \psi)), [p, P, leq, one]@env) \longleftrightarrow$   
 $(p \in P \wedge \neg(\exists q \in M. q \in P \wedge is\_leq(\#\#M, leq, q, p) \wedge$   
 $(sats(M, forces'(\varphi), [q, P, leq, one]@env) \wedge sats(M, forces'(\psi), [q, P, leq, one]@env))))$   
 <proof>

**lemma** *sats\_forces\_Neg* :  
**assumes**  $\varphi \in formula \quad env \in list(M) \quad p \in M$   
**shows**  $sats(M, forces(Neg(\varphi)), [p, P, leq, one]@env) \longleftrightarrow$   
 $(p \in P \wedge \neg(\exists q \in M. q \in P \wedge is\_leq(\#\#M, leq, q, p) \wedge$   
 $(sats(M, forces'(\varphi), [q, P, leq, one]@env))))$   
 <proof>

**lemma** *sats\_forces\_Forall* :  
**assumes**  $\varphi \in formula \quad env \in list(M) \quad p \in M$   
**shows**  $sats(M, forces(Forall(\varphi)), [p, P, leq, one]@env) \longleftrightarrow$   
 $p \in P \wedge (\forall x \in M. sats(M, forces'(\varphi), [p, P, leq, one, x]@env))$   
 <proof>

end

## 18.9 The arity of forces

**lemma** *arity\_forces\_at*:  
**assumes**  $x \in nat \quad y \in nat$   
**shows**  $arity(forces(Member(x, y))) = (succ(x) \cup succ(y)) \# + 4$   
 $arity(forces(Equal(x, y))) = (succ(x) \cup succ(y)) \# + 4$   
 <proof>

**lemma** *arity\_forces'*:  
**assumes**  $\varphi \in formula$   
**shows**  $arity(forces'(\varphi)) \leq arity(\varphi) \# + 4$   
 <proof>

**lemma** *arity\_forces* :  
**assumes**  $\varphi \in formula$

**shows**  $\text{arity}(\text{forces}(\varphi)) \leq 4 \# + \text{arity}(\varphi)$   
 ⟨proof⟩

**lemma** *arity\_forces\_le* :  
**assumes**  $\varphi \in \text{formula}$   $n \in \text{nat}$   $\text{arity}(\varphi) \leq n$   
**shows**  $\text{arity}(\text{forces}(\varphi)) \leq 4 \# + n$   
 ⟨proof⟩

**end**

## 19 The Forcing Theorems

**theory** *Forcing\_Theorems*  
**imports**  
*Forces\_Definition*

**begin**

**context** *forcing\_data*  
**begin**

### 19.1 The forcing relation in context

**abbreviation** *Forces* ::  $[i, i, i] \Rightarrow o$  ( $- \Vdash -$  [36,36,36] 60) **where**  
 $p \Vdash \varphi \text{ env} \equiv M, ([p, P, \text{leq}, \text{one}] @ \text{env}) \models \text{forces}(\varphi)$

**lemma** *Collect\_forces* :  
**assumes**  
 $\text{fty}: \varphi \in \text{formula}$  **and**  
 $\text{far}: \text{arity}(\varphi) \leq \text{length}(\text{env})$  **and**  
 $\text{envty}: \text{env} \in \text{list}(M)$   
**shows**  
 $\{p \in P . p \Vdash \varphi \text{ env}\} \in M$   
 ⟨proof⟩

**lemma** *forces\_mem\_iff\_dense\_below*:  $p \in P \implies \text{forces\_mem}(p, t1, t2) \longleftrightarrow \text{dense\_below}(\{q \in P . \exists s. \exists r. r \in P \wedge \langle s, r \rangle \in t2 \wedge q \preceq r \wedge \text{forces\_eq}(q, t1, s)\}, p)$   
 ⟨proof⟩

### 19.2 Kunen 2013, Lemma IV.2.37(a)

**lemma** *strengthening\_eq*:  
**assumes**  $p \in P$   $r \in P$   $r \preceq p$   $\text{forces\_eq}(p, t1, t2)$   
**shows**  $\text{forces\_eq}(r, t1, t2)$   
 ⟨proof⟩

### 19.3 Kunen 2013, Lemma IV.2.37(a)

**lemma** *strengthening\_mem*:

**assumes**  $p \in P \ r \in P \ r \preceq p \ \text{forces\_mem}(p, t1, t2)$

**shows**  $\text{forces\_mem}(r, t1, t2)$

*<proof>*

### 19.4 Kunen 2013, Lemma IV.2.37(b)

**lemma** *density\_mem*:

**assumes**  $p \in P$

**shows**  $\text{forces\_mem}(p, t1, t2) \longleftrightarrow \text{dense\_below}(\{q \in P. \text{forces\_mem}(q, t1, t2)\}, p)$

*<proof>*

**lemma** *aux\_density\_eq*:

**assumes**

$\text{dense\_below}$

$(\{q' \in P. \forall q. q \in P \wedge q \preceq q' \longrightarrow \text{forces\_mem}(q, s, t1) \longleftrightarrow \text{forces\_mem}(q, s, t2)\}, p)$

$\text{forces\_mem}(q, s, t1) \ q \in P \ p \in P \ q \preceq p$

**shows**

$\text{dense\_below}(\{r \in P. \text{forces\_mem}(r, s, t2)\}, q)$

*<proof>*

**lemma** *density\_eq*:

**assumes**  $p \in P$

**shows**  $\text{forces\_eq}(p, t1, t2) \longleftrightarrow \text{dense\_below}(\{q \in P. \text{forces\_eq}(q, t1, t2)\}, p)$

*<proof>*

### 19.5 Kunen 2013, Lemma IV.2.38

**lemma** *not\_forces\_neq*:

**assumes**  $p \in P$

**shows**  $\text{forces\_eq}(p, t1, t2) \longleftrightarrow \neg (\exists q \in P. q \preceq p \wedge \text{forces\_neq}(q, t1, t2))$

*<proof>*

**lemma** *not\_forces\_nmem*:

**assumes**  $p \in P$

**shows**  $\text{forces\_mem}(p, t1, t2) \longleftrightarrow \neg (\exists q \in P. q \preceq p \wedge \text{forces\_nmem}(q, t1, t2))$

*<proof>*

**lemma** *sats\_forces\_Nand'*:

**assumes**

$p \in P \ \varphi \in \text{formula} \ \psi \in \text{formula} \ \text{env} \in \text{list}(M)$

**shows**

$M, [p, P, leq, one] @ env \models forces(Nand(\varphi, \psi)) \longleftrightarrow$   
 $\neg(\exists q \in M. q \in P \wedge is\_leq(\#\#M, leq, q, p) \wedge$   
 $M, [q, P, leq, one] @ env \models forces(\varphi) \wedge$   
 $M, [q, P, leq, one] @ env \models forces(\psi))$   
(proof)

**lemma** *sats\_forces\_Neg'*:

**assumes**

$p \in P \ env \in list(M) \ \varphi \in formula$

**shows**

$M, [p, P, leq, one] @ env \models forces(Neg(\varphi)) \longleftrightarrow$   
 $\neg(\exists q \in M. q \in P \wedge is\_leq(\#\#M, leq, q, p) \wedge$   
 $M, [q, P, leq, one] @ env \models forces(\varphi))$   
(proof)

**lemma** *sats\_forces\_Forall'*:

**assumes**

$p \in P \ env \in list(M) \ \varphi \in formula$

**shows**

$M, [p, P, leq, one] @ env \models forces(Forall(\varphi)) \longleftrightarrow$   
 $(\forall x \in M. M, [p, P, leq, one, x] @ env \models forces(\varphi))$   
(proof)

## 19.6 The relation of forcing and atomic formulas

**lemma** *Forces\_Equal*:

**assumes**

$p \in P \ t1 \in M \ t2 \in M \ env \in list(M) \ nth(n, env) = t1 \ nth(m, env) = t2 \ n \in nat \ m \in nat$

**shows**

$(p \Vdash Equal(n, m) \ env) \longleftrightarrow forces\_eq(p, t1, t2)$   
(proof)

**lemma** *Forces\_Member*:

**assumes**

$p \in P \ t1 \in M \ t2 \in M \ env \in list(M) \ nth(n, env) = t1 \ nth(m, env) = t2 \ n \in nat \ m \in nat$

**shows**

$(p \Vdash Member(n, m) \ env) \longleftrightarrow forces\_mem(p, t1, t2)$   
(proof)

**lemma** *Forces\_Neg*:

**assumes**

$p \in P \ env \in list(M) \ \varphi \in formula$

**shows**

$(p \Vdash Neg(\varphi) \ env) \longleftrightarrow \neg(\exists q \in M. q \in P \wedge q \preceq p \wedge (q \Vdash \varphi \ env))$   
(proof)

## 19.7 The relation of forcing and connectives

**lemma** *Forces\_Nand*:

**assumes**

$p \in P \text{ env} \in \text{list}(M) \varphi \in \text{formula} \psi \in \text{formula}$

**shows**

$(p \Vdash \text{Nand}(\varphi, \psi) \text{ env}) \longleftrightarrow \neg(\exists q \in M. q \in P \wedge q \preceq p \wedge (q \Vdash \varphi \text{ env}) \wedge (q \Vdash \psi \text{ env}))$

*<proof>*

**lemma** *Forces\_And\_aux*:

**assumes**

$p \in P \text{ env} \in \text{list}(M) \varphi \in \text{formula} \psi \in \text{formula}$

**shows**

$p \Vdash \text{And}(\varphi, \psi) \text{ env} \longleftrightarrow$

$(\forall q \in M. q \in P \wedge q \preceq p \longrightarrow (\exists r \in M. r \in P \wedge r \preceq q \wedge (r \Vdash \varphi \text{ env}) \wedge (r \Vdash \psi \text{ env})))$

*<proof>*

**lemma** *Forces\_And\_iff\_dense\_below*:

**assumes**

$p \in P \text{ env} \in \text{list}(M) \varphi \in \text{formula} \psi \in \text{formula}$

**shows**

$(p \Vdash \text{And}(\varphi, \psi) \text{ env}) \longleftrightarrow \text{dense\_below}(\{r \in P. (r \Vdash \varphi \text{ env}) \wedge (r \Vdash \psi \text{ env})\}, p)$

*<proof>*

**lemma** *Forces\_Forall*:

**assumes**

$p \in P \text{ env} \in \text{list}(M) \varphi \in \text{formula}$

**shows**

$(p \Vdash \text{Forall}(\varphi) \text{ env}) \longleftrightarrow (\forall x \in M. (p \Vdash \varphi ([x] @ \text{env})))$

*<proof>*

**bundle** *some\_rules* = *elem\_of\_val\_pair* [*dest*] *SepReplace\_iff* [*simp del*] *SepReplace\_iff* [*iff*]

**context**

**includes** *some\_rules*

**begin**

**lemma** *elem\_of\_valI*:  $\exists \vartheta. \exists p \in P. p \in G \wedge \langle \vartheta, p \rangle \in \pi \wedge \text{val}(P, G, \vartheta) = x \implies x \in \text{val}(P, G, \pi)$

*<proof>*

**lemma** *GenExtD*:  $x \in M[G] \longleftrightarrow (\exists \tau \in M. x = \text{val}(P, G, \tau))$

*<proof>*

**lemma** *left\_in\_M* :  $\text{tau} \in M \implies \langle a, b \rangle \in \text{tau} \implies a \in M$

*<proof>*



## 19.8 Kunen 2013, Lemma IV.2.29

**lemma** *generic\_inter\_dense\_below*:

**assumes**  $D \in M$   $M$ -generic( $G$ ) *dense\_below*( $D, p$ )  $p \in G$

**shows**  $D \cap G \neq \emptyset$

*<proof>*

## 19.9 Auxiliary results for Lemma IV.2.40(a)

**lemma** *IV240a\_mem\_Collect*:

**assumes**

$\pi \in M$   $\tau \in M$

**shows**

$\{q \in P. \exists \sigma. \exists r. r \in P \wedge \langle \sigma, r \rangle \in \tau \wedge q \preceq r \wedge \text{forces\_eq}(q, \pi, \sigma)\} \in M$

*<proof>*

**lemma** *IV240a\_mem*:

**assumes**

$M$ -generic( $G$ )  $p \in G$   $\pi \in M$   $\tau \in M$  *forces\_mem*( $p, \pi, \tau$ )

$\bigwedge q \sigma. q \in P \implies q \in G \implies \sigma \in \text{domain}(\tau) \implies \text{forces\_eq}(q, \pi, \sigma) \implies$

$\text{val}(P, G, \pi) = \text{val}(P, G, \sigma)$

**shows**

$\text{val}(P, G, \pi) \in \text{val}(P, G, \tau)$

*<proof>*

**lemma** *refl\_forces\_eq*:  $p \in P \implies \text{forces\_eq}(p, x, x)$

*<proof>*

**lemma** *forces\_memI*:  $\langle \sigma, r \rangle \in \tau \implies p \in P \implies r \in P \implies p \preceq r \implies \text{forces\_mem}(p, \sigma, \tau)$

*<proof>*

**lemma** *IV240a\_eq\_1st\_incl*:

**assumes**

$M$ -generic( $G$ )  $p \in G$  *forces\_eq*( $p, \tau, \vartheta$ )

**and**

*IH*:  $\bigwedge q \sigma. q \in P \implies q \in G \implies \sigma \in \text{domain}(\tau) \cup \text{domain}(\vartheta) \implies$

$(\text{forces\_mem}(q, \sigma, \tau) \longrightarrow \text{val}(P, G, \sigma) \in \text{val}(P, G, \tau)) \wedge$

$(\text{forces\_mem}(q, \sigma, \vartheta) \longrightarrow \text{val}(P, G, \sigma) \in \text{val}(P, G, \vartheta))$

**shows**

$\text{val}(P, G, \tau) \subseteq \text{val}(P, G, \vartheta)$

*<proof>*

**lemma** *IV240a\_eq\_2nd\_incl*:

**assumes**

$M\_generic(G) \ p \in G \ forces\_eq(p, \tau, \vartheta)$   
**and**  
 $IH: \bigwedge q \ \sigma. \ q \in P \implies q \in G \implies \sigma \in domain(\tau) \cup domain(\vartheta) \implies$   
 $(forces\_mem(q, \sigma, \tau) \longrightarrow val(P, G, \sigma) \in val(P, G, \tau)) \wedge$   
 $(forces\_mem(q, \sigma, \vartheta) \longrightarrow val(P, G, \sigma) \in val(P, G, \vartheta))$   
**shows**  
 $val(P, G, \vartheta) \subseteq val(P, G, \tau)$   
 $\langle proof \rangle$

**lemma** *IV240a\_eq:*

**assumes**  
 $M\_generic(G) \ p \in G \ forces\_eq(p, \tau, \vartheta)$   
**and**  
 $IH: \bigwedge q \ \sigma. \ q \in P \implies q \in G \implies \sigma \in domain(\tau) \cup domain(\vartheta) \implies$   
 $(forces\_mem(q, \sigma, \tau) \longrightarrow val(P, G, \sigma) \in val(P, G, \tau)) \wedge$   
 $(forces\_mem(q, \sigma, \vartheta) \longrightarrow val(P, G, \sigma) \in val(P, G, \vartheta))$   
**shows**  
 $val(P, G, \tau) = val(P, G, \vartheta)$   
 $\langle proof \rangle$

## 19.10 Induction on names

**lemma** *core\_induction:*

**assumes**  
 $\bigwedge \tau \ \vartheta \ p. \ p \in P \implies \llbracket \bigwedge q \ \sigma. \ \llbracket q \in P ; \sigma \in domain(\vartheta) \rrbracket \implies Q(\theta, \tau, \sigma, q) \rrbracket \implies$   
 $Q(1, \tau, \vartheta, p)$   
 $\bigwedge \tau \ \vartheta \ p. \ p \in P \implies \llbracket \bigwedge q \ \sigma. \ \llbracket q \in P ; \sigma \in domain(\tau) \cup domain(\vartheta) \rrbracket \implies Q(1, \sigma, \tau, q) \rrbracket$   
 $\wedge Q(1, \sigma, \vartheta, q) \rrbracket \implies Q(\theta, \tau, \vartheta, p)$   
 $ft \in \mathcal{L} \ p \in P$   
**shows**  
 $Q(ft, \tau, \vartheta, p)$   
 $\langle proof \rangle$

**lemma** *forces\_induction\_with\_conds:*

**assumes**  
 $\bigwedge \tau \ \vartheta \ p. \ p \in P \implies \llbracket \bigwedge q \ \sigma. \ \llbracket q \in P ; \sigma \in domain(\vartheta) \rrbracket \implies Q(q, \tau, \sigma) \rrbracket \implies R(p, \tau, \vartheta)$   
 $\bigwedge \tau \ \vartheta \ p. \ p \in P \implies \llbracket \bigwedge q \ \sigma. \ \llbracket q \in P ; \sigma \in domain(\tau) \cup domain(\vartheta) \rrbracket \implies R(q, \sigma, \tau)$   
 $\wedge R(q, \sigma, \vartheta) \rrbracket \implies Q(p, \tau, \vartheta)$   
 $p \in P$   
**shows**  
 $Q(p, \tau, \vartheta) \wedge R(p, \tau, \vartheta)$   
 $\langle proof \rangle$

**lemma** *forces\_induction:*

**assumes**  
 $\bigwedge \tau \ \vartheta. \ \llbracket \bigwedge \sigma. \ \sigma \in domain(\vartheta) \implies Q(\tau, \sigma) \rrbracket \implies R(\tau, \vartheta)$   
 $\bigwedge \tau \ \vartheta. \ \llbracket \bigwedge \sigma. \ \sigma \in domain(\tau) \cup domain(\vartheta) \implies R(\sigma, \tau) \wedge R(\sigma, \vartheta) \rrbracket \implies Q(\tau, \vartheta)$   
**shows**

$Q(\tau, \vartheta) \wedge R(\tau, \vartheta)$   
 ⟨proof⟩

### 19.11 Lemma IV.2.40(a), in full

**lemma** *IV240a*:

**assumes**

$M\_generic(G)$

**shows**

$(\tau \in M \longrightarrow \vartheta \in M \longrightarrow (\forall p \in G. forces\_eq(p, \tau, \vartheta) \longrightarrow val(P, G, \tau) = val(P, G, \vartheta)))$

$\wedge$

$(\tau \in M \longrightarrow \vartheta \in M \longrightarrow (\forall p \in G. forces\_mem(p, \tau, \vartheta) \longrightarrow val(P, G, \tau) \in val(P, G, \vartheta)))$

(is  $?Q(\tau, \vartheta) \wedge ?R(\tau, \vartheta)$ )

⟨proof⟩

### 19.12 Lemma IV.2.40(b)

**lemma** *IV240b\_mem*:

**assumes**

$M\_generic(G) \quad val(P, G, \pi) \in val(P, G, \tau) \quad \pi \in M \quad \tau \in M$

**and**

$IH: \bigwedge \sigma. \sigma \in domain(\tau) \implies val(P, G, \pi) = val(P, G, \sigma) \implies$

$\exists p \in G. forces\_eq(p, \pi, \sigma)$

**shows**

$\exists p \in G. forces\_mem(p, \pi, \tau)$

⟨proof⟩

**end**

**lemma** *Collect\_forces\_eq\_in\_M*:

**assumes**  $\tau \in M \quad \vartheta \in M$

**shows**  $\{p \in P. forces\_eq(p, \tau, \vartheta)\} \in M$

⟨proof⟩

**lemma** *IV240b\_eq\_Collects*:

**assumes**  $\tau \in M \quad \vartheta \in M$

**shows**  $\{p \in P. \exists \sigma \in domain(\tau) \cup domain(\vartheta). forces\_mem(p, \sigma, \tau) \wedge forces\_nmem(p, \sigma, \vartheta)\} \in M$

**and**

$\{p \in P. \exists \sigma \in domain(\tau) \cup domain(\vartheta). forces\_nmem(p, \sigma, \tau) \wedge forces\_mem(p, \sigma, \vartheta)\} \in M$

⟨proof⟩

**lemma** *IV240b\_eq*:

**assumes**

$M\_generic(G) \quad val(P, G, \tau) = val(P, G, \vartheta) \quad \tau \in M \quad \vartheta \in M$

**and**

$IH: \bigwedge \sigma. \sigma \in domain(\tau) \cup domain(\vartheta) \implies$

$(val(P, G, \sigma) \in val(P, G, \tau) \longrightarrow (\exists q \in G. forces\_mem(q, \sigma, \tau))) \wedge$

$(val(P, G, \sigma) \in val(P, G, \vartheta) \longrightarrow (\exists q \in G. forces\_mem(q, \sigma, \vartheta)))$

**shows**  
 $\exists p \in G. \text{forces\_eq}(p, \tau, \vartheta)$   
 $\langle \text{proof} \rangle$

**lemma** *IV240b*:

**assumes**

$M\_generic(G)$

**shows**

$(\tau \in M \longrightarrow \vartheta \in M \longrightarrow \text{val}(P, G, \tau) = \text{val}(P, G, \vartheta) \longrightarrow (\exists p \in G. \text{forces\_eq}(p, \tau, \vartheta))) \wedge$   
 $(\tau \in M \longrightarrow \vartheta \in M \longrightarrow \text{val}(P, G, \tau) \in \text{val}(P, G, \vartheta) \longrightarrow (\exists p \in G. \text{forces\_mem}(p, \tau, \vartheta)))$

**(is**  $?Q(\tau, \vartheta) \wedge ?R(\tau, \vartheta)$ )

$\langle \text{proof} \rangle$

**lemma** *map\_val\_in\_MG*:

**assumes**

$env \in list(M)$

**shows**

$map(\text{val}(P, G), env) \in list(M[G])$

$\langle \text{proof} \rangle$

**lemma** *truth\_lemma\_mem*:

**assumes**

$env \in list(M) \ M\_generic(G)$

$n \in nat \ m \in nat \ n < length(env) \ m < length(env)$

**shows**

$(\exists p \in G. p \Vdash Member(n, m) \ env) \longleftrightarrow M[G], map(\text{val}(P, G), env) \models Mem-$   
 $ber(n, m)$

$\langle \text{proof} \rangle$

**lemma** *truth\_lemma\_eq*:

**assumes**

$env \in list(M) \ M\_generic(G)$

$n \in nat \ m \in nat \ n < length(env) \ m < length(env)$

**shows**

$(\exists p \in G. p \Vdash Equal(n, m) \ env) \longleftrightarrow M[G], map(\text{val}(P, G), env) \models Equal(n, m)$

$\langle \text{proof} \rangle$

**lemma** *arities\_at\_aux*:

**assumes**

$n \in nat \ m \in nat \ env \in list(M) \ succ(n) \cup succ(m) \leq length(env)$

**shows**

$n < length(env) \ m < length(env)$

$\langle \text{proof} \rangle$

### 19.13 The Strengthening Lemma

**lemma** *strengthening\_lemma*:

**assumes**

$p \in P \ \varphi \in \text{formula} \ r \in P \ r \preceq p$

**shows**

$\bigwedge \text{env}. \text{env} \in \text{list}(M) \implies \text{arity}(\varphi) \leq \text{length}(\text{env}) \implies p \Vdash \varphi \ \text{env} \implies r \Vdash \varphi \ \text{env}$   
(proof)

## 19.14 The Density Lemma

**lemma** *arity\_Nand\_le*:

**assumes**  $\varphi \in \text{formula} \ \psi \in \text{formula} \ \text{arity}(\text{Nand}(\varphi, \psi)) \leq \text{length}(\text{env}) \ \text{env} \in \text{list}(A)$

**shows**  $\text{arity}(\varphi) \leq \text{length}(\text{env}) \ \text{arity}(\psi) \leq \text{length}(\text{env})$

(proof)

**lemma** *dense\_below\_imp\_forces*:

**assumes**

$p \in P \ \varphi \in \text{formula}$

**shows**

$\bigwedge \text{env}. \text{env} \in \text{list}(M) \implies \text{arity}(\varphi) \leq \text{length}(\text{env}) \implies$   
 $\text{dense\_below}(\{q \in P. (q \Vdash \varphi \ \text{env})\}, p) \implies (p \Vdash \varphi \ \text{env})$

(proof)

**lemma** *density\_lemma*:

**assumes**

$p \in P \ \varphi \in \text{formula} \ \text{env} \in \text{list}(M) \ \text{arity}(\varphi) \leq \text{length}(\text{env})$

**shows**

$p \Vdash \varphi \ \text{env} \iff \text{dense\_below}(\{q \in P. (q \Vdash \varphi \ \text{env})\}, p)$

(proof)

## 19.15 The Truth Lemma

**lemma** *Forces\_And*:

**assumes**

$p \in P \ \text{env} \in \text{list}(M) \ \varphi \in \text{formula} \ \psi \in \text{formula}$   
 $\text{arity}(\varphi) \leq \text{length}(\text{env}) \ \text{arity}(\psi) \leq \text{length}(\text{env})$

**shows**

$p \Vdash \text{And}(\varphi, \psi) \ \text{env} \iff (p \Vdash \varphi \ \text{env}) \wedge (p \Vdash \psi \ \text{env})$

(proof)

**lemma** *Forces\_Nand\_alt*:

**assumes**

$p \in P \ \text{env} \in \text{list}(M) \ \varphi \in \text{formula} \ \psi \in \text{formula}$   
 $\text{arity}(\varphi) \leq \text{length}(\text{env}) \ \text{arity}(\psi) \leq \text{length}(\text{env})$

**shows**

$(p \Vdash \text{Nand}(\varphi, \psi) \ \text{env}) \iff (p \Vdash \text{Neg}(\text{And}(\varphi, \psi)) \ \text{env})$

(proof)

**lemma** *truth\_lemma\_Neg*:

**assumes**

$\varphi \in \text{formula} \ M\text{-generic}(G) \ \text{env} \in \text{list}(M) \ \text{arity}(\varphi) \leq \text{length}(\text{env})$  **and**

$IH: (\exists p \in G. p \Vdash \varphi \ \text{env}) \iff M[G], \text{map}(\text{val}(P, G), \text{env}) \models \varphi$

**shows**  
 $(\exists p \in G. p \Vdash \text{Neg}(\varphi) \text{ env}) \longleftrightarrow M[G], \text{map}(\text{val}(P,G), \text{env}) \models \text{Neg}(\varphi)$   
 $\langle \text{proof} \rangle$

**lemma** *truth\_lemma\_And*:

**assumes**

$\text{env} \in \text{list}(M) \quad \varphi \in \text{formula} \quad \psi \in \text{formula}$   
 $\text{arity}(\varphi) \leq \text{length}(\text{env}) \quad \text{arity}(\psi) \leq \text{length}(\text{env}) \quad M\_generic(G)$

**and**

*IH*:  $(\exists p \in G. p \Vdash \varphi \text{ env}) \longleftrightarrow M[G], \text{map}(\text{val}(P,G), \text{env}) \models \varphi$   
 $(\exists p \in G. p \Vdash \psi \text{ env}) \longleftrightarrow M[G], \text{map}(\text{val}(P,G), \text{env}) \models \psi$

**shows**

$(\exists p \in G. (p \Vdash \text{And}(\varphi, \psi) \text{ env})) \longleftrightarrow M[G], \text{map}(\text{val}(P,G), \text{env}) \models \text{And}(\varphi, \psi)$   
 $\langle \text{proof} \rangle$

**definition**

*ren\_truth\_lemma* ::  $i \Rightarrow i$  **where**

*ren\_truth\_lemma*( $\varphi$ )  $\equiv$

$\text{Exists}(\text{Exists}(\text{Exists}(\text{Exists}(\text{Exists}(\text{And}(\text{Equal}(0,5), \text{And}(\text{Equal}(1,8), \text{And}(\text{Equal}(2,9), \text{And}(\text{Equal}(3,10), \text{And}(\text{Equal}(4,6), \text{iterates}(\lambda p. \text{incr\_bv}(p) '5', 6, \varphi))))))))))$

**lemma** *ren\_truth\_lemma\_type*[*TC*] :

$\varphi \in \text{formula} \implies \text{ren\_truth\_lemma}(\varphi) \in \text{formula}$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_ren\_truth* :

**assumes**  $\varphi \in \text{formula}$

**shows**  $\text{arity}(\text{ren\_truth\_lemma}(\varphi)) \leq 6 \cup \text{succ}(\text{arity}(\varphi))$

$\langle \text{proof} \rangle$

**lemma** *sats\_ren\_truth\_lemma*:

$[q, b, d, a1, a2, a3] @ \text{env} \in \text{list}(M) \implies \varphi \in \text{formula} \implies$   
 $(M, [q, b, d, a1, a2, a3] @ \text{env} \models \text{ren\_truth\_lemma}(\varphi)) \longleftrightarrow$   
 $(M, [q, a1, a2, a3, b] @ \text{env} \models \varphi)$

$\langle \text{proof} \rangle$

**lemma** *truth\_lemma'* :

**assumes**

$\varphi \in \text{formula} \quad \text{env} \in \text{list}(M) \quad \text{arity}(\varphi) \leq \text{succ}(\text{length}(\text{env}))$

**shows**

$\text{separation}(\#\#M, \lambda d. \exists b \in M. \forall q \in P. q \leq d \longrightarrow \neg(q \Vdash \varphi ([b] @ \text{env})))$

$\langle \text{proof} \rangle$

**lemma** *truth\_lemma*:

**assumes**

$\varphi \in \text{formula} \quad M\_generic(G)$

**shows**

$\bigwedge env. env \in list(M) \implies arity(\varphi) \leq length(env) \implies$   
 $(\exists p \in G. p \Vdash \varphi env) \iff M[G], map(val(P,G), env) \models \varphi$   
 <proof>

## 19.16 The “Definition of forcing”

**lemma** *definition\_of\_forcing*:

**assumes**

$p \in P \ \varphi \in formula \ env \in list(M) \ \text{arity}(\varphi) \leq length(env)$

**shows**

$(p \Vdash \varphi env) \iff$

$(\forall G. M\_generic(G) \wedge p \in G \implies M[G], map(val(P,G), env) \models \varphi)$

<proof>

**lemmas** *definability = forces\_type*

**end**

**end**

## 20 Auxiliary renamings for Separation

**theory** *Separation\_Rename*

**imports** *Interface Renaming*

**begin**

**lemmas** *apply\_fun = apply\_iff [THEN iffD1]*

**lemma** *nth\_concat* :  $[p,t] \in list(A) \implies env \in list(A) \implies nth(1 \# + length(env), [p] @ env @ [t]) = t$

<proof>

**lemma** *nth\_concat2* :  $env \in list(A) \implies nth(length(env), env @ [p,t]) = p$

<proof>

**lemma** *nth\_concat3* :  $env \in list(A) \implies u = nth(succ(length(env)), env @ [pi, u])$

<proof>

**definition**

*sep\_var* ::  $i \Rightarrow i$  **where**

$sep\_var(n) \equiv \{\langle 0,1 \rangle, \langle 1,3 \rangle, \langle 2,4 \rangle, \langle 3,5 \rangle, \langle 4,0 \rangle, \langle 5 \# + n, 6 \rangle, \langle 6 \# + n, 2 \rangle\}$

**definition**

*sep\_env* ::  $i \Rightarrow i$  **where**

$sep\_env(n) \equiv \lambda i \in (5 \# + n) - 5 . i \# + 2$

**definition** *weak* ::  $[i, i] \Rightarrow i$  **where**

$weak(n,m) \equiv \{i \# + m . i \in n\}$

**lemma** *weakD* :

**assumes**  $n \in \text{nat } k \in \text{nat } x \in \text{weak}(n,k)$   
**shows**  $\exists i \in n . x = i\#+k$   
 $\langle \text{proof} \rangle$

**lemma** *weak\_equal* :  
**assumes**  $n \in \text{nat } m \in \text{nat}$   
**shows**  $\text{weak}(n,m) = (m\#+n) - m$   
 $\langle \text{proof} \rangle$

**lemma** *weak\_zero*:  
**shows**  $\text{weak}(0,n) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *weakening\_diff* :  
**assumes**  $n \in \text{nat}$   
**shows**  $\text{weak}(n,7) - \text{weak}(n,5) \subseteq \{5\#+n, 6\#+n\}$   
 $\langle \text{proof} \rangle$

**lemma** *in\_add\_del* :  
**assumes**  $x \in j\#+n \ n \in \text{nat } j \in \text{nat}$   
**shows**  $x < j \vee x \in \text{weak}(n,j)$   
 $\langle \text{proof} \rangle$

**lemma** *sep\_env\_action*:  
**assumes**  
 $[t,p,u,P,\text{leq},o,\text{pi}] \in \text{list}(M)$   
 $\text{env} \in \text{list}(M)$   
**shows**  $\forall i . i \in \text{weak}(\text{length}(\text{env}),5) \longrightarrow$   
 $\text{nth}(\text{sep\_env}(\text{length}(\text{env}))'i,[t,p,u,P,\text{leq},o,\text{pi}]@\text{env}) = \text{nth}(i,[p,P,\text{leq},o,t] @ \text{env}$   
 $@ [pi,u])$   
 $\langle \text{proof} \rangle$

**lemma** *sep\_env\_type* :  
**assumes**  $n \in \text{nat}$   
**shows**  $\text{sep\_env}(n) : (5\#+n)-5 \rightarrow (7\#+n)-7$   
 $\langle \text{proof} \rangle$

**lemma** *sep\_var\_fin\_type* :  
**assumes**  $n \in \text{nat}$   
**shows**  $\text{sep\_var}(n) : 7\#+n -||> 7\#+n$   
 $\langle \text{proof} \rangle$

**lemma** *sep\_var\_domain* :  
**assumes**  $n \in \text{nat}$   
**shows**  $\text{domain}(\text{sep\_var}(n)) = 7\#+n - \text{weak}(n,5)$   
 $\langle \text{proof} \rangle$

**lemma** *sep\_var\_type* :



**assumes**  $n \in \text{nat}$   
**shows**  $\text{sep\_var}(n) : (7\#+n)\text{-weak}(n,5) \rightarrow 7\#+n$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{sep\_var\_action} :$

**assumes**  
 $[t,p,u,P,\text{leq},o,pi] \in \text{list}(M)$   
 $\text{env} \in \text{list}(M)$   
**shows**  $\forall i . i \in (7\#+\text{length}(\text{env})) - \text{weak}(\text{length}(\text{env}),5) \longrightarrow$   
 $\text{nth}(\text{sep\_var}(\text{length}(\text{env}))'i,[t,p,u,P,\text{leq},o,pi]@\text{env}) = \text{nth}(i,[p,P,\text{leq},o,t] @ \text{env}$   
 $@ [pi,u])$   
 $\langle \text{proof} \rangle$

**definition**

$\text{rensep} :: i \Rightarrow i$  **where**  
 $\text{rensep}(n) \equiv \text{union\_fun}(\text{sep\_var}(n),\text{sep\_env}(n),7\#+n\text{-weak}(n,5),\text{weak}(n,5))$

**lemma**  $\text{rensep\_aux} :$

**assumes**  $n \in \text{nat}$   
**shows**  $(7\#+n\text{-weak}(n,5)) \cup \text{weak}(n,5) = 7\#+n \ 7\#+n \cup (7\#+n - 7) =$   
 $7\#+n$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rensep\_type} :$

**assumes**  $n \in \text{nat}$   
**shows**  $\text{rensep}(n) \in 7\#+n \rightarrow 7\#+n$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rensep\_action} :$

**assumes**  $[t,p,u,P,\text{leq},o,pi] @ \text{env} \in \text{list}(M)$   
**shows**  $\forall i . i < 7\#+\text{length}(\text{env}) \longrightarrow \text{nth}(\text{rensep}(\text{length}(\text{env}))'i,[t,p,u,P,\text{leq},o,pi]@\text{env})$   
 $= \text{nth}(i,[p,P,\text{leq},o,t] @ \text{env} @ [pi,u])$   
 $\langle \text{proof} \rangle$

**definition**  $\text{sep\_ren} :: [i,i] \Rightarrow i$  **where**

$\text{sep\_ren}(n,\varphi) \equiv \text{ren}(\varphi)'(7\#+n)'(7\#+n)'\text{rensep}(n)$

**lemma**  $\text{arity\_rensep}$ : **assumes**  $\varphi \in \text{formula}$   $\text{env} \in \text{list}(M)$

$\text{arity}(\varphi) \leq 7\#+\text{length}(\text{env})$

**shows**  $\text{arity}(\text{sep\_ren}(\text{length}(\text{env}),\varphi)) \leq 7\#+\text{length}(\text{env})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{type\_rensep}$  [TC]:

**assumes**  $\varphi \in \text{formula}$   $\text{env} \in \text{list}(M)$

**shows**  $\text{sep\_ren}(\text{length}(\text{env}),\varphi) \in \text{formula}$

$\langle \text{proof} \rangle$

**lemma**  $\text{sepren\_action}$ :

**assumes**  $\text{arity}(\varphi) \leq 7\#+\text{length}(\text{env})$

```

    [t,p,u,P,leq,o,pi] ∈ list(M)
    env ∈ list(M)
    φ ∈ formula
    shows sats(M, sep_ren(length(env),φ),[t,p,u,P,leq,o,pi] @ env) ↔ sats(M,
φ,[p,P,leq,o,t] @ env @ [pi,u])
    ⟨proof⟩

end

```

## 21 The Axiom of Separation in $M[G]$

```

theory Separation_Axiom
  imports Forcing_Theorems Separation_Rename
begin

```

```

context G_generic
begin

```

```

lemma map_val :
  assumes env ∈ list(M[G])
  shows ∃ nenv ∈ list(M). env = map(val(P,G),nenv)
  ⟨proof⟩

```

```

lemma Collect_sats_in_MG :
  assumes
    c ∈ M[G]
    φ ∈ formula env ∈ list(M[G]) arity(φ) ≤ 1 #+ length(env)
  shows
    {x ∈ c. (M[G], [x] @ env ⊨ φ)} ∈ M[G]
  ⟨proof⟩

```

```

theorem separation_in_MG:
  assumes
    φ ∈ formula and arity(φ) ≤ 1 #+ length(env) and env ∈ list(M[G])
  shows
    separation(##M[G],λx. (M[G], [x] @ env ⊨ φ))
  ⟨proof⟩

```

```

end

```

```

end

```

## 22 The Axiom of Pairing in $M[G]$

```

theory Pairing_Axiom imports Names begin

```

```

context forcing_data

```

**begin**

**lemma** *val\_Upair* :

$one \in G \implies val(P, G, \{\langle \tau, one \rangle, \langle \rho, one \rangle\}) = \{val(P, G, \tau), val(P, G, \rho)\}$   
*<proof>*

**lemma** *pairing\_in\_MG* :

**assumes** *M\_generic*(*G*)  
**shows** *upair\_ax*( $\#\#M[G]$ )  
*<proof>*

**end**

**end**

## 23 The Axiom of Unions in $M[G]$

**theory** *Union\_Axiom*

**imports** *Names*

**begin**

**context** *forcing\_data*

**begin**

**definition** *Union\_name\_body* ::  $[i, i, i, i] \Rightarrow o$  **where**

$Union\_name\_body(P', leq', \tau, \vartheta p) \equiv (\exists \sigma[\#\#M].$   
 $\exists q[\#\#M]. (q \in P' \wedge (\langle \sigma, q \rangle \in \tau \wedge$   
 $(\exists r[\#\#M]. r \in P' \wedge (\langle fst(\vartheta p), r \rangle \in \sigma \wedge \langle snd(\vartheta p), r \rangle \in leq' \wedge \langle snd(\vartheta p), q \rangle$   
 $\in leq')))))$

**definition** *Union\_name\_fm* :: *i* **where**

$Union\_name\_fm \equiv$   
*Exists*(  
*Exists*(*And*(*pair\_fm*(1, 0, 2),  
*Exists* (  
*Exists* (*And*(*Member*(0, 7),  
*Exists* (*And*(*And*(*pair\_fm*(2, 1, 0), *Member*(0, 6)),  
*Exists* (*And*(*Member*(0, 9),  
*Exists* (*And*(*And*(*pair\_fm*(6, 1, 0), *Member*(0, 4)),  
*Exists* (*And*(*And*(*pair\_fm*(6, 2, 0), *Member*(0, 10)),  
*Exists* (*And*(*pair\_fm*(7, 5, 0), *Member*(0, 11))))))))))))))

**lemma** *Union\_name\_fm\_type* [TC]:

$Union\_name\_fm \in formula$   
*<proof>*

**lemma** *arity\_Union\_name\_fm* :

$arity(Union\_name\_fm) = 4$

*<proof>*

**lemma** *sats\_Union\_name\_fm* :

$\llbracket env \in list(M); P' \in M ; p \in M ; \vartheta \in M ; \tau \in M ; leq' \in M \rrbracket \implies$   
 $sats(M, Union\_name\_fm, [\langle \vartheta, p \rangle, \tau, leq', P'] @ env) \longleftrightarrow$   
 $Union\_name\_body(P', leq', \tau, \langle \vartheta, p \rangle)$   
*<proof>*

**definition** *Union\_name* ::  $i \Rightarrow i$  **where**

$Union\_name(\tau) \equiv$   
 $\{u \in domain(\bigcup (domain(\tau))) \times P . Union\_name\_body(P, leq, \tau, u)\}$

**lemma** *Union\_name\_M* : **assumes**  $\tau \in M$

**shows**  $Union\_name(\tau) \in M$

*<proof>*

**lemma** *Union\_MG\_Eq* :

**assumes**  $a \in M[G]$  **and**  $a = val(P, G, \tau)$  **and** *filter*( $G$ ) **and**  $\tau \in M$

**shows**  $\bigcup a = val(P, G, Union\_name(\tau))$

*<proof>*

**lemma** *union\_in\_MG* : **assumes** *filter*( $G$ )

**shows**  $Union\_ax(\#\#M[G])$

*<proof>*

**theorem** *Union\_MG* :  $M\_generic(G) \implies Union\_ax(\#\#M[G])$

*<proof>*

**end**

**end**

## 24 The Powerset Axiom in $M[G]$

**theory** *Powerset\_Axiom*

**imports** *Renaming\_Auto Separation\_Axiom Pairing\_Axiom Union\_Axiom*

**begin**

*<ML>*

**lemma** *Collect\_inter\_Transset*:

**assumes**

$Transset(M)$   $b \in M$

**shows**

$\{x \in b . P(x)\} = \{x \in b . P(x)\} \cap M$

*<proof>*

**context** *G\_generic* **begin**

**lemma** *name\_components\_in\_M*:

**assumes**  $\langle \sigma, p \rangle \in \vartheta \ \vartheta \in M$   
**shows**  $\sigma \in M \ p \in M$   
 <proof>

**lemma** *satsfst\_snd\_in\_M*:

**assumes**  
 $A \in M \ B \in M \ \varphi \in \text{formula} \ p \in M \ l \in M \ o \in M \ \chi \in M$   
 $\text{arity}(\varphi) \leq 6$   
**shows**  
 $\{\langle s, q \rangle \in A \times B \ . \ \text{sats}(M, \varphi, [q, p, l, o, s, \chi])\} \in M$   
**(is ? $\vartheta \in M$ )**  
 <proof>

**lemma** *Pow\_inter\_MG*:

**assumes**  
 $a \in M[G]$   
**shows**  
 $\text{Pow}(a) \cap M[G] \in M[G]$   
 <proof>  
**end**

**context** *G\_generic* **begin**

**interpretation** *mgtriv*:  $M\_trivial \ \#\#M[G]$   
 <proof>

**theorem** *power\_in\_MG* :  $\text{power\_ax}(\#\#(M[G]))$   
 <proof>  
**end**  
**end**

## 25 The Axiom of Extensionality in $M[G]$

**theory** *Extensionality\_Axiom*

**imports**

*Names*

**begin**

**context** *forcing\_data*

**begin**

**lemma** *extensionality\_in\_MG* :  $\text{extensionality}(\#\#(M[G]))$   
 <proof>

**end**

**end**

## 26 The Axiom of Foundation in $M[G]$

```

theory Foundation_Axiom
imports
  Names
begin

context forcing_data
begin

lemma foundation_in_MG : foundation_ax( $\#\#(M[G])$ )
   $\langle$ proof $\rangle$ 

lemma foundation_ax( $\#\#(M[G])$ )
   $\langle$ proof $\rangle$ 

end
end

```

## 27 The binder *Least*

```

theory Least
imports
  Forcing_Data — only for a result to be moved below
  Internalizations

```

```

begin

```

We have some basic results on the least ordinal satisfying a predicate.

```

lemma Least_Ord:  $(\mu \alpha. R(\alpha)) = (\mu \alpha. Ord(\alpha) \wedge R(\alpha))$ 
   $\langle$ proof $\rangle$ 

```

```

lemma Ord_Least_cong:
  assumes  $\bigwedge y. Ord(y) \implies R(y) \longleftrightarrow Q(y)$ 
  shows  $(\mu \alpha. R(\alpha)) = (\mu \alpha. Q(\alpha))$ 
   $\langle$ proof $\rangle$ 

```

**definition**

```

least ::  $[i \Rightarrow o, i \Rightarrow o, i] \Rightarrow o$  where
least( $M, Q, i$ )  $\equiv ordinal(M, i) \wedge ($ 
   $(empty(M, i) \wedge (\forall b[M]. ordinal(M, b) \longrightarrow \neg Q(b)))$ 
   $\vee (Q(i) \wedge (\forall b[M]. ordinal(M, b) \wedge b \in i \longrightarrow \neg Q(b))))$ 

```

**definition**

```

least_fm ::  $[i, i] \Rightarrow i$  where
least_fm( $q, i$ )  $\equiv And(ordinal_fm(i),$ 
   $Or(And(empty_fm(i), Forall(Implies(ordinal_fm(0), Neg(q))))),$ 

```

$And(Exists(And(q,Equal(0,succ(i)))),$   
 $Forall(Implies(And(ordinal_fm(0),Member(0,succ(i))),Neg(q))))))$

**lemma** *least\_fm\_type*[TC] :  $i \in nat \implies q \in formula \implies least\_fm(q,i) \in formula$   
 $\langle proof \rangle$

**lemmas** *basic\_fm\_simps* = *sats\_subset\_fm'* *sats\_transset\_fm'* *sats\_ordinal\_fm'*

**lemma** *sats\_least\_fm* :

**assumes** *p\_iff\_sats*:

$\bigwedge a. a \in A \implies P(a) \longleftrightarrow sats(A, p, Cons(a, env))$

**shows**

$\llbracket y \in nat; env \in list(A) ; 0 \in A \rrbracket$

$\implies sats(A, least\_fm(p,y), env) \longleftrightarrow$

$least(\#\#A, P, nth(y,env))$

$\langle proof \rangle$

**lemma** *least\_iff\_sats*:

**assumes** *is\_Q\_iff\_sats*:

$\bigwedge a. a \in A \implies is\_Q(a) \longleftrightarrow sats(A, q, Cons(a,env))$

**shows**

$\llbracket nth(j,env) = y; j \in nat; env \in list(A); 0 \in A \rrbracket$

$\implies least(\#\#A, is\_Q, y) \longleftrightarrow sats(A, least\_fm(q,j), env)$

$\langle proof \rangle$

**lemma** *least\_conj*:  $a \in M \implies least(\#\#M, \lambda x. x \in M \wedge Q(x), a) \longleftrightarrow least(\#\#M, Q, a)$

$\langle proof \rangle$

**lemma** (in *M\_ctm*) *unique\_least*:  $a \in M \implies b \in M \implies least(\#\#M, Q, a) \implies least(\#\#M, Q, b)$

$\implies a = b$

$\langle proof \rangle$

**context** *M\_trivial*

**begin**

## 27.1 Absoluteness and closure under *Least*

**lemma** *least\_abs*:

**assumes**  $\bigwedge x. Q(x) \implies Ord(x) \implies \exists y[M]. Q(y) \wedge Ord(y) M(a)$

**shows**  $least(M, Q, a) \longleftrightarrow a = (\mu x. Q(x))$

$\langle proof \rangle$

**lemma** *Least\_closed*:

**assumes**  $\bigwedge x. Q(x) \implies Ord(x) \implies \exists y[M]. Q(y) \wedge Ord(y)$

**shows**  $M(\mu x. Q(x))$

$\langle proof \rangle$

Older, easier to apply versions (with a simpler assumption on *Q*).

**lemma** *least\_abs'*:

**assumes**  $\bigwedge x. Q(x) \implies M(x) \ M(a)$   
**shows**  $\text{least}(M, Q, a) \longleftrightarrow a = (\mu x. Q(x))$   
 $\langle \text{proof} \rangle$

**lemma** *Least\_closed'*:  
**assumes**  $\bigwedge x. Q(x) \implies M(x)$   
**shows**  $M(\mu x. Q(x))$   
 $\langle \text{proof} \rangle$

**end**

**end**

## 28 The Axiom of Replacement in $M[G]$

**theory** *Replacement\_Axiom*  
**imports**  
*Least\_Relative\_Univ\_Separation\_Axiom Renaming\_Auto*  
**begin**

$\langle ML \rangle$

**definition** *renrep\_fn* ::  $i \Rightarrow i$  **where**  
 $\text{renrep\_fn}(env) \equiv \text{sum}(\text{renrep1\_fn}, \text{id}(\text{length}(env)), 6, 8, \text{length}(env))$

**definition**  
 $\text{renrep} :: [i, i] \Rightarrow i$  **where**  
 $\text{renrep}(\varphi, env) = \text{ren}(\varphi)^{(6\# + \text{length}(env))} (8\# + \text{length}(env)) \text{renrep\_fn}(env)$

**lemma** *renrep\_type* [TC]:  
**assumes**  $\varphi \in \text{formula} \ env \in \text{list}(M)$   
**shows**  $\text{renrep}(\varphi, env) \in \text{formula}$   
 $\langle \text{proof} \rangle$

**lemma** *arity\_renrep*:  
**assumes**  $\varphi \in \text{formula} \ \text{arity}(\varphi) \leq 6\# + \text{length}(env) \ env \in \text{list}(M)$   
**shows**  $\text{arity}(\text{renrep}(\varphi, env)) \leq 8\# + \text{length}(env)$   
 $\langle \text{proof} \rangle$

**lemma** *renrep\_sats* :  
**assumes**  $\text{arity}(\varphi) \leq 6\# + \text{length}(env)$   
 $[P, \text{leq}, o, p, \varrho, \tau] @ env \in \text{list}(M)$   
 $V \in M \ \alpha \in M$   
 $\varphi \in \text{formula}$   
**shows**  $\text{sats}(M, \varphi, [p, P, \text{leq}, o, \varrho, \tau] @ env) \longleftrightarrow \text{sats}(M, \text{renrep}(\varphi, env), [V, \tau, \varrho, p, \alpha, P, \text{leq}, o]$   
 $@ env)$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$



**definition**  $renpbdy\_fn :: i \Rightarrow i$  **where**

$$renpbdy\_fn(env) \equiv sum(renpbdy1\_fn, id(length(env)), 6, 7, length(env))$$

**definition**

$renpbdy :: [i, i] \Rightarrow i$  **where**

$$renpbdy(\varphi, env) = ren(\varphi) \text{'(6 \# + length(env))' \text{'(7 \# + length(env))' } renpbdy\_fn(env)$$

**lemma**

$$renpbdy\_type [TC]: \varphi \in formula \Longrightarrow env \in list(M) \Longrightarrow renpbdy(\varphi, env) \in formula$$

*<proof>*

**lemma**  $arity\_renpbdy: \varphi \in formula \Longrightarrow arity(\varphi) \leq 6 \# + length(env) \Longrightarrow env \in list(M)$

$$\Longrightarrow arity(renpbdy(\varphi, env)) \leq 7 \# + length(env)$$

*<proof>*

**lemma**

$$sats\_renpbdy: arity(\varphi) \leq 6 \# + length(nenv) \Longrightarrow [\varrho, p, x, \alpha, P, leq, o, \pi] @ nenv \in list(M) \Longrightarrow \varphi \in formula \Longrightarrow$$

$$sats(M, \varphi, [\varrho, p, \alpha, P, leq, o] @ nenv) \longleftrightarrow sats(M, renpbdy(\varphi, nenv), [\varrho, p, x, \alpha, P, leq, o] @ nenv)$$

*<proof>*

*<ML>*

**definition**  $renbody\_fn :: i \Rightarrow i$  **where**

$$renbody\_fn(env) \equiv sum(renbody1\_fn, id(length(env)), 5, 6, length(env))$$

**definition**

$renbody :: [i, i] \Rightarrow i$  **where**

$$renbody(\varphi, env) = ren(\varphi) \text{'(5 \# + length(env))' \text{'(6 \# + length(env))' } renbody\_fn(env)$$

**lemma**

$$renbody\_type [TC]: \varphi \in formula \Longrightarrow env \in list(M) \Longrightarrow renbody(\varphi, env) \in formula$$

*<proof>*

**lemma**  $arity\_renbody: \varphi \in formula \Longrightarrow arity(\varphi) \leq 5 \# + length(env) \Longrightarrow env \in list(M)$

$\Longrightarrow$

$$arity(renbody(\varphi, env)) \leq 6 \# + length(env)$$

*<proof>*

**lemma**

$$sats\_renbody: arity(\varphi) \leq 5 \# + length(nenv) \Longrightarrow [\alpha, x, m, P, leq, o] @ nenv \in list(M) \Longrightarrow \varphi \in formula \Longrightarrow$$

$$sats(M, \varphi, [\alpha, x, m, P, leq, o] @ nenv) \longleftrightarrow sats(M, renbody(\varphi, nenv), [\alpha, x, m, P, leq, o] @ nenv)$$

*<proof>*

**context** *G\_generic*

**begin**

**lemma** *pow\_inter\_M*:

**assumes**

$x \in M \ y \in M$

**shows**

$\text{powerset}(\#\#M, x, y) \longleftrightarrow y = \text{Pow}(x) \cap M$

*<proof>*

**schematic\_goal** *sats\_prebody\_fm\_auto*:

**assumes**

$\varphi \in \text{formula} \ [P, \text{leq}, \text{one}, p, \varrho, \tau] \ @ \ \text{nenv} \in \text{list}(M) \ \alpha \in M \ \text{arity}(\varphi) \leq 2 \ \# + \text{length}(\text{nenv})$

**shows**

$(\exists \tau \in M. \exists V \in M. \text{is\_Vset}(\#\#M, \alpha, V) \wedge \tau \in V \wedge \text{sats}(M, \text{forces}(\varphi), [p, P, \text{leq}, \text{one}, \varrho, \tau]$

$\ @ \ \text{nenv}))$

$\longleftrightarrow \text{sats}(M, ?\text{prebody\_fm}, [\varrho, p, \alpha, P, \text{leq}, \text{one}] \ @ \ \text{nenv})$

*<proof>*

*<ML>*

**lemma** *prebody\_fm\_type* [TC]:

**assumes**  $\varphi \in \text{formula}$

$\text{env} \in \text{list}(M)$

**shows**  $\text{prebody\_fm}(\varphi, \text{env}) \in \text{formula}$

*<proof>*

**declare** *is\_eclose\_fm\_def*[*fm\_definitions*]

*is\_eclose\_fm\_def*[*fm\_definitions*]

*mem\_eclose\_fm\_def*[*fm\_definitions*]

*eclose\_n\_fm\_def*[*fm\_definitions*]

**lemma** *sats\_prebody\_fm*:

**assumes**

$[P, \text{leq}, \text{one}, p, \varrho] \ @ \ \text{nenv} \in \text{list}(M) \ \varphi \in \text{formula} \ \alpha \in M \ \text{arity}(\varphi) \leq 2 \ \# + \text{length}(\text{nenv})$

**shows**

$\text{sats}(M, \text{prebody\_fm}(\varphi, \text{nenv}), [\varrho, p, \alpha, P, \text{leq}, \text{one}] \ @ \ \text{nenv}) \longleftrightarrow$

$(\exists \tau \in M. \exists V \in M. \text{is\_Vset}(\#\#M, \alpha, V) \wedge \tau \in V \wedge \text{sats}(M, \text{forces}(\varphi), [p, P, \text{leq}, \text{one}, \varrho, \tau]$

$\ @ \ \text{nenv}))$

*<proof>*

**lemma** *arity\_prebody\_fm*:

**assumes**

$\varphi \in \text{formula} \ \alpha \in M \ \text{env} \in \text{list}(M) \ \text{arity}(\varphi) \leq 2 \ \# + \text{length}(\text{env})$

**shows**

$arity(prebody\_fm(\varphi, env)) \leq 6 \# + length(env)$   
 ⟨proof⟩

**definition**

$body\_fm' :: [i, i] \Rightarrow i$  **where**  
 $body\_fm'(\varphi, env) \equiv Exists(Exists(And(pair\_fm(0, 1, 2), renpbdy(prebody\_fm(\varphi, env), env))))$

**lemma**  $body\_fm'_type[TC]: \varphi \in formula \Longrightarrow env \in list(M) \Longrightarrow body\_fm'(\varphi, env) \in formula$   
 ⟨proof⟩

**lemma**  $arity\_body\_fm'$ :

**assumes**  
 $\varphi \in formula \ \alpha \in M \ env \in list(M) \ arity(\varphi) \leq 2 \# + length(env)$   
**shows**  
 $arity(body\_fm'(\varphi, env)) \leq 5 \# + length(env)$   
 ⟨proof⟩

**lemma**  $sats\_body\_fm'$ :

**assumes**  
 $\exists t \ p. \ x = \langle t, p \rangle \ x \in M \ [\alpha, P, leq, one, p, \varrho] \ @ \ nenv \in list(M) \ \varphi \in formula \ arity(\varphi) \leq 2 \# + length(nenv)$   
**shows**  
 $sats(M, body\_fm'(\varphi, nenv), [x, \alpha, P, leq, one] \ @ \ nenv) \longleftrightarrow$   
 $sats(M, renpbdy(prebody\_fm(\varphi, nenv), nenv), [fst(x), snd(x), x, \alpha, P, leq, one] \ @ \ nenv)$   
 ⟨proof⟩

**definition**

$body\_fm :: [i, i] \Rightarrow i$  **where**  
 $body\_fm(\varphi, env) \equiv renbody(body\_fm'(\varphi, env), env)$

**lemma**  $body\_fm\_type[TC]: env \in list(M) \Longrightarrow \varphi \in formula \Longrightarrow body\_fm(\varphi, env) \in formula$   
 ⟨proof⟩

**lemma**  $sats\_body\_fm$ :

**assumes**  
 $\exists t \ p. \ x = \langle t, p \rangle \ [\alpha, x, m, P, leq, one] \ @ \ nenv \in list(M)$   
 $\varphi \in formula \ arity(\varphi) \leq 2 \# + length(nenv)$   
**shows**  
 $sats(M, body\_fm(\varphi, nenv), [\alpha, x, m, P, leq, one] \ @ \ nenv) \longleftrightarrow$   
 $sats(M, renpbdy(prebody\_fm(\varphi, nenv), nenv), [fst(x), snd(x), x, \alpha, P, leq, one] \ @ \ nenv)$   
 ⟨proof⟩

**lemma**  $sats\_renpbdy\_prebody\_fm$ :

**assumes**  
 $\exists t \ p. \ x = \langle t, p \rangle \ x \in M \ [\alpha, m, P, leq, one] \ @ \ nenv \in list(M)$   
 $\varphi \in formula \ arity(\varphi) \leq 2 \# + length(nenv)$   
**shows**  
 $sats(M, renpbdy(prebody\_fm(\varphi, nenv), nenv), [fst(x), snd(x), x, \alpha, P, leq, one] \ @ \ nenv)$

$\longleftrightarrow$   
 $sats(M, prebody\_fm(\varphi, nenv), [fst(x), snd(x), \alpha, P, leq, one] @ nenv)$   
 $\langle proof \rangle$

**lemma** *body\_lemma*:

**assumes**

$\exists t p. x = \langle t, p \rangle \ x \in M \ [x, \alpha, m, P, leq, one] @ nenv \in list(M)$

$\varphi \in formula \ arity(\varphi) \leq 2 \ \#\ + \ length(nenv)$

**shows**

$sats(M, body\_fm(\varphi, nenv), [\alpha, x, m, P, leq, one] @ nenv) \longleftrightarrow$

$(\exists \tau \in M. \exists V \in M. is\_Vset(\lambda a. (\#\#M)(a), \alpha, V) \wedge \tau \in V \wedge (snd(x) \Vdash \varphi ([fst(x), \tau] @ nenv)))$

$\langle proof \rangle$

**lemma** *Replace\_sats\_in\_MG*:

**assumes**

$c \in M[G] \ env \in list(M[G])$

$\varphi \in formula \ arity(\varphi) \leq 2 \ \#\ + \ length(env)$

$univalent(\#\#M[G], c, \lambda x v. (M[G], [x, v] @ env \models \varphi))$

**shows**

$\{v. x \in c, v \in M[G] \wedge (M[G], [x, v] @ env \models \varphi)\} \in M[G]$

$\langle proof \rangle$

**theorem** *strong\_replacement\_in\_MG*:

**assumes**

$\varphi \in formula \ \mathbf{and} \ arity(\varphi) \leq 2 \ \#\ + \ length(env) \ env \in list(M[G])$

**shows**

$strong\_replacement(\#\#M[G], \lambda x v. sats(M[G], \varphi, [x, v] @ env))$

$\langle proof \rangle$

**end**

**end**

## 29 The Axiom of Infinity in $M[G]$

**theory** *Infinity\_Axiom*

**imports** *Pairing\_Axiom Union\_Axiom Separation\_Axiom*

**begin**

**context** *G\_generic* **begin**

**interpretation** *mg\_triv*:  $M\_trivial \#\#M[G]$

$\langle proof \rangle$

**lemma** *infinity\_in\_MG* :  $infinity\_ax(\#\#M[G])$

$\langle proof \rangle$

**end**

**end**

### 30 The Axiom of Choice in $M[G]$

**theory** *Choice\_Axiom*

**imports** *Powerset\_Axiom Pairing\_Axiom Union\_Axiom Extensionality\_Axiom*  
*Foundation\_Axiom Powerset\_Axiom Separation\_Axiom*  
*Replacement\_Axiom Interface Infinity\_Axiom Relativization*

**begin**

**definition**

*induced\_surj* ::  $i \Rightarrow i \Rightarrow i \Rightarrow i$  **where**  
*induced\_surj*( $f, a, e$ )  $\equiv f^{-1}((\text{range}(f) - a) \times \{e\} \cup \text{restrict}(f, f^{-1}a))$

**lemma** *domain\_induced\_surj*:  $\text{domain}(\text{induced\_surj}(f, a, e)) = \text{domain}(f)$   
 ⟨*proof*⟩

**lemma** *range\_restrict\_vimage*:

**assumes** *function*( $f$ )  
**shows**  $\text{range}(\text{restrict}(f, f^{-1}a)) \subseteq a$   
 ⟨*proof*⟩

**lemma** *induced\_surj\_type*:

**assumes**  
*function*( $f$ )  
**shows**  
 $\text{induced\_surj}(f, a, e): \text{domain}(f) \rightarrow \{e\} \cup a$   
**and**  
 $x \in f^{-1}a \implies \text{induced\_surj}(f, a, e)'x = f'x$   
 ⟨*proof*⟩

**lemma** *induced\_surj\_is\_surj* :

**assumes**  
 $e \in a$  *function*( $f$ )  $\text{domain}(f) = \alpha \wedge y. y \in a \implies \exists x \in \alpha. f'x = y$   
**shows**  
 $\text{induced\_surj}(f, a, e) \in \text{surj}(\alpha, a)$   
 ⟨*proof*⟩

**context** *G\_generic*

**begin**

**definition**

*upair\_name* ::  $i \Rightarrow i \Rightarrow i$  **where**  
*upair\_name*( $\tau, \varrho$ )  $\equiv \text{Upair}(\langle \tau, \text{one} \rangle, \langle \varrho, \text{one} \rangle)$

**lemma** *Upair\_simp* :  $\text{Upair}(a, b) = \{a, b\}$   
 ⟨*proof*⟩

⟨*ML*⟩

**lemma** *upair\_name\_abs* :

**assumes**  $x \in M \ y \in M \ z \in M$   
**shows**  $is\_upair\_name(\#\#M, x, y, z) \longleftrightarrow z = upair\_name(x, y)$   
 ⟨proof⟩

**definition**

$opair\_name :: i \Rightarrow i \Rightarrow i$  **where**  
 $opair\_name(\tau, \rho) \equiv upair\_name(upair\_name(\tau, \tau), upair\_name(\tau, \rho))$

⟨ML⟩

**lemma**  $upair\_name\_closed :$

$\llbracket x \in M; y \in M \rrbracket \Longrightarrow upair\_name(x, y) \in M$   
 ⟨proof⟩

**definition**

$upair\_name\_fm :: [i, i, i, i] \Rightarrow i$  **where**  
 $upair\_name\_fm(x, y, o, z) \equiv Exists(Exists(And(pair\_fm(x\#\#2, o\#\#2, 1),$   
 $And(pair\_fm(y\#\#2, o\#\#2, 0), upair\_fm(1, 0, z\#\#2))))))$

**lemma**  $upair\_name\_fm\_type[TC] :$

$\llbracket s \in nat; x \in nat; y \in nat; o \in nat \rrbracket \Longrightarrow upair\_name\_fm(s, x, y, o) \in formula$   
 ⟨proof⟩

**lemma**  $sats\_upair\_name\_fm :$

**assumes**  $x \in nat \ y \in nat \ z \in nat \ o \in nat \ env \in list(M) \ nth(o, env) = one$   
**shows**  
 $sats(M, upair\_name\_fm(x, y, o, z), env) \longleftrightarrow is\_upair\_name(\#\#M, nth(x, env), nth(y, env), nth(z, env))$   
 ⟨proof⟩

**lemma**  $opair\_name\_abs :$

**assumes**  $x \in M \ y \in M \ z \in M$   
**shows**  $is\_opair\_name(\#\#M, x, y, z) \longleftrightarrow z = opair\_name(x, y)$   
 ⟨proof⟩

**lemma**  $opair\_name\_closed :$

$\llbracket x \in M; y \in M \rrbracket \Longrightarrow opair\_name(x, y) \in M$   
 ⟨proof⟩

**definition**

$opair\_name\_fm :: [i, i, i, i] \Rightarrow i$  **where**  
 $opair\_name\_fm(x, y, o, z) \equiv Exists(Exists(And(upair\_name\_fm(x\#\#2, x\#\#2, o\#\#2, 1),$   
 $And(upair\_name\_fm(x\#\#2, y\#\#2, o\#\#2, 0), upair\_name\_fm(1, 0, o\#\#2, z\#\#2))))))$

**lemma**  $opair\_name\_fm\_type[TC] :$

$\llbracket s \in nat; x \in nat; y \in nat; o \in nat \rrbracket \Longrightarrow opair\_name\_fm(s, x, y, o) \in formula$   
 ⟨proof⟩

**lemma**  $sats\_opair\_name\_fm :$

**assumes**  $x \in nat \ y \in nat \ z \in nat \ o \in nat \ env \in list(M) \ nth(o, env) = one$

**shows**

$sats(M, opair\_name\_fm(x, y, o, z), env) \longleftrightarrow is\_opair\_name(\#\#M, nth(x, env), nth(y, env), nth(z, env))$   
*<proof>*

**lemma**  $val\_upair\_name : val(P, G, upair\_name(\tau, \rho)) = \{val(P, G, \tau), val(P, G, \rho)\}$   
*<proof>*

**lemma**  $val\_opair\_name : val(P, G, opair\_name(\tau, \rho)) = \langle val(P, G, \tau), val(P, G, \rho) \rangle$   
*<proof>*

**lemma**  $val\_RepFun\_one : val(P, G, \{ \langle f(x), one \rangle . x \in a \}) = \{ val(P, G, f(x)) . x \in a \}$   
*<proof>*

### 30.1 $M[G]$ is a transitive model of ZF

**interpretation**  $mgzf : M\_ZF\_trans M[G]$   
*<proof>*

**definition**

$is\_opname\_check :: [i, i, i] \Rightarrow o$  **where**  
 $is\_opname\_check(s, x, y) \equiv \exists chx \in M . \exists sx \in M . is\_check(x, chx) \wedge fun\_apply(\#\#M, s, x, sx)$   
 $\wedge$   
 $is\_opair\_name(\#\#M, chx, sx, y)$

**definition**

$opname\_check\_fm :: [i, i, i, i] \Rightarrow i$  **where**  
 $opname\_check\_fm(s, x, y, o) \equiv Exists(Exists(And(check\_fm(2\#\#+x, 2\#\#+o, 1),$   
 $And(fun\_apply\_fm(2\#\#+s, 2\#\#+x, 0), opair\_name\_fm(1, 0, 2\#\#+o, 2\#\#+y))))))$

**lemma**  $opname\_check\_fm\_type[TC] :$

$\llbracket s \in nat; x \in nat; y \in nat; o \in nat \rrbracket \Longrightarrow opname\_check\_fm(s, x, y, o) \in formula$   
*<proof>*

**lemma**  $sats\_opname\_check\_fm :$

**assumes**  $x \in nat \ y \in nat \ z \in nat \ o \in nat \ env \in list(M) \ nth(o, env) = one$   
 $y < length(env)$

**shows**

$sats(M, opname\_check\_fm(x, y, z, o), env) \longleftrightarrow is\_opname\_check(nth(x, env), nth(y, env), nth(z, env))$   
*<proof>*

**lemma**  $opname\_check\_abs :$

**assumes**  $s \in M \ x \in M \ y \in M$

**shows**  $is\_opname\_check(s, x, y) \longleftrightarrow y = opair\_name(check(x), s'x)$

*<proof>*

**lemma**  $repl\_opname\_check :$

```

assumes
   $A \in M \ f \in M$ 
shows
   $\{ \text{opair\_name}(\text{check}(x), f^x). \ x \in A \} \in M$ 
<proof>

```

```

theorem choice_in_MG:
  assumes choice_ax( $\#\#M$ )
  shows choice_ax( $\#\#M[G]$ )
<proof>

```

**end**

**end**

## 31 Ordinals in generic extensions

```

theory Ordinals_In_MG
  imports
    Forcing_Theorems_Relative_Univ
begin

```

```

context G_generic
begin

```

```

lemma rank_val:  $\text{rank}(\text{val}(P, G, x)) \leq \text{rank}(x)$  (is  $?Q(x)$ )
<proof>

```

```

lemma Ord_MG_iff:
  assumes Ord( $\alpha$ )
  shows  $\alpha \in M \longleftrightarrow \alpha \in M[G]$ 
<proof>

```

**end**

**end**

## 32 Separative notions and proper extensions

```

theory Proper_Extension
  imports
    Names

```

**begin**

The key ingredient to obtain a proper extension is to have a *separative preorder*:

**locale** *separative\_notion* = *forcing\_notion* +



**assumes** *separative*:  $p \in P \implies \exists q \in P. \exists r \in P. q \preceq p \wedge r \preceq p \wedge q \perp r$   
**begin**

For separative preorders, the complement of every filter is dense. Hence an  $M$ -generic filter can't belong to the ground model.

**lemma** *filter\_complement\_dense*:  
**assumes** *filter*( $G$ ) **shows** *dense*( $P - G$ )  
*<proof>*

**end**

**locale** *ctm\_separative* = *forcing\_data* + *separative\_notion*  
**begin**

**lemma** *generic\_not\_in\_M*: **assumes** *M\_generic*( $G$ ) **shows**  $G \notin M$   
*<proof>*

**theorem** *proper\_extension*: **assumes** *M\_generic*( $G$ ) **shows**  $M \neq M[G]$   
*<proof>*

**end**

**end**

### 33 A poset of successions

**theory** *Succession\_Poset*  
**imports**  
*Arities*  
*Proper\_Extension*  
*Synthetic\_Definition*  
*Names*  
**begin**

#### 33.1 The set of finite binary sequences

**notation** *nat* ( $\omega$ ) — MOVE THIS to an appropriate place

We implement the poset for adding one Cohen real, the set  $2^{<\omega}$  of finite binary sequences.

**definition**  
*seqspace* ::  $[i, i] \Rightarrow i$  ( $\prec$ ) [100, 1] 100) **where**  
 $B^{<\alpha} \equiv \bigcup n \in \alpha. (n \rightarrow B)$

**lemma** *seqspaceI[intro]*:  $n \in \alpha \implies f: n \rightarrow B \implies f \in B^{<\alpha}$   
*<proof>*

**lemma** *seqspaceD[dest]*:  $f \in B^{<\alpha} \implies \exists n \in \alpha. f: n \rightarrow B$

<proof>  
**schematic\_goal** *seqspace\_fm\_auto*:  
 assumes  
    $nth(i, env) = n \quad nth(j, env) = z \quad nth(h, env) = B$   
    $i \in nat \quad j \in nat \quad h \in nat \quad env \in list(A)$   
 shows  
    $(\exists om \in A. \omega(\#\#A, om) \wedge n \in om \wedge is\_funspace(\#\#A, n, B, z)) \longleftrightarrow (A,$   
 $env \models (?sqsprp(i, j, h)))$   
 <proof>

<ML>

**locale** *M.seqspace* = *M.trancl* +  
 assumes  
    $seqspace\_replacement: M(B) \implies strong\_replacement(M, \lambda n z. n \in nat \wedge is\_funspace(M, n, B, z))$   
**begin**

**lemma** *seqspace\_closed*:  
 $M(B) \implies M(B^{<\omega})$   
 <proof>

**end**

**sublocale** *M.ctm*  $\subseteq$  *M.seqspace*  $\#\#$  *M*  
 <proof>

**definition** *seq\_upd* ::  $i \Rightarrow i \Rightarrow i$  **where**  
 $seq\_upd(f, a) \equiv \lambda j \in succ(domain(f)). \text{if } j < domain(f) \text{ then } f'j \text{ else } a$

**lemma** *seq\_upd\_succ\_type* :  
 assumes  $n \in nat \quad f \in n \rightarrow A \quad a \in A$   
 shows  $seq\_upd(f, a) \in succ(n) \rightarrow A$   
 <proof>

**lemma** *seq\_upd\_type* :  
 assumes  $f \in A^{<\omega} \quad a \in A$   
 shows  $seq\_upd(f, a) \in A^{<\omega}$   
 <proof>

**lemma** *seq\_upd\_apply\_domain* [*simp*]:  
 assumes  $f: n \rightarrow A \quad n \in nat$   
 shows  $seq\_upd(f, a) 'n = a$   
 <proof>

**lemma** *zero\_in\_seqspace* :  
 shows  $0 \in A^{<\omega}$   
 <proof>

**definition**

$seqleR :: i \Rightarrow i \Rightarrow o$  **where**

$seqleR(f,g) \equiv g \subseteq f$

**definition**

$seqlerel :: i \Rightarrow i$  **where**

$seqlerel(A) \equiv Rrel(\lambda x y. y \subseteq x, A^{<\omega})$

**definition**

$seqle :: i$  **where**

$seqle \equiv seqlerel(2)$

**lemma**  $seqleI$ [intro!]:

$\langle f,g \rangle \in 2^{<\omega} \times 2^{<\omega} \implies g \subseteq f \implies \langle f,g \rangle \in seqle$

$\langle proof \rangle$

**lemma**  $seqleD$ [dest!]:

$z \in seqle \implies \exists x y. \langle x,y \rangle \in 2^{<\omega} \times 2^{<\omega} \wedge y \subseteq x \wedge z = \langle x,y \rangle$

$\langle proof \rangle$

**lemma**  $upd_leI$  :

**assumes**  $f \in 2^{<\omega}$   $a \in 2$

**shows**  $\langle seq\_upd(f,a),f \rangle \in seqle$  (**is**  $\langle ?f,- \rangle \in -$ )

$\langle proof \rangle$

**lemma**  $preorder\_on\_seqle$ :  $preorder\_on(2^{<\omega}, seqle)$ 

$\langle proof \rangle$

**lemma**  $zero\_seqle\_max$ :  $x \in 2^{<\omega} \implies \langle x,0 \rangle \in seqle$ 

$\langle proof \rangle$

**interpretation**  $sp$ :  $forcing\_notion$   $2^{<\omega}$   $seqle$   $0$ 

$\langle proof \rangle$

**notation**  $sp.Leq$  (**infixl**  $\preceq_s$  50)**notation**  $sp.Incompatible$  (**infixl**  $\perp_s$  50)**lemma**  $seqspace\_separative$ :

**assumes**  $f \in 2^{<\omega}$

**shows**  $seq\_upd(f,0) \perp_s seq\_upd(f,1)$  (**is**  $?f \perp_s ?g$ )

$\langle proof \rangle$

**definition**  $is\_seqleR$  ::  $[i \Rightarrow o, i, i] \Rightarrow o$  **where**

$is\_seqleR(Q,f,g) \equiv g \subseteq f$

**definition**  $seqleR\_fm$  ::  $i \Rightarrow i$  **where**

$seqleR\_fm(fg) \equiv Exists(Exists(And(pair\_fm(0,1,fg\#+2),subset\_fm(1,0))))$

**lemma**  $type\_seqleR\_fm$  :

$fg \in nat \implies seqleR\_fm(fg) \in formula$   
 ⟨proof⟩

**lemma** *arity\_seqleR\_fm* :  
 $fg \in nat \implies arity(seqleR\_fm(fg)) = succ(fg)$   
 ⟨proof⟩

**lemma** (in *M\_basic*) *seqleR\_abs*:  
 assumes  $M(f) M(g)$   
 shows  $seqleR(f,g) \longleftrightarrow is\_seqleR(M,f,g)$   
 ⟨proof⟩

**definition**  
 $relP :: [i \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, i] \Rightarrow o$  **where**  
 $relP(M,r,xy) \equiv (\exists x[M]. \exists y[M]. pair(M,x,y,xy) \wedge r(M,x,y))$

**lemma** (in *M\_ctm*) *seqleR\_fm\_sats* :  
 assumes  $fg \in nat \ env \in list(M)$   
 shows  $sats(M,seqleR\_fm(fg),env) \longleftrightarrow relP(\#\#M,is\_seqleR,nth(fg, env))$   
 ⟨proof⟩

**lemma** (in *M\_basic*) *is\_related\_abs* :  
 assumes  $\bigwedge f g . M(f) \implies M(g) \implies rel(f,g) \longleftrightarrow is\_rel(M,f,g)$   
 shows  $\bigwedge z . M(z) \implies relP(M,is\_rel,z) \longleftrightarrow (\exists x y . z = \langle x,y \rangle \wedge rel(x,y))$   
 ⟨proof⟩

**definition**  
 $is\_RRel :: [i \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_RRel(M,is\_r,A,r) \equiv \exists A2[M]. cartprod(M,A,A,A2) \wedge is\_Collect(M,A2, relP(M,is\_r),r)$

**lemma** (in *M\_basic*) *is\_Rrel\_abs* :  
 assumes  $M(A) M(r)$   
 $\bigwedge f g . M(f) \implies M(g) \implies rel(f,g) \longleftrightarrow is\_rel(M,f,g)$   
 shows  $is\_RRel(M,is\_rel,A,r) \longleftrightarrow r = Rrel(rel,A)$   
 ⟨proof⟩

**definition**  
 $is\_seqleRrel :: [i \Rightarrow o, i, i] \Rightarrow o$  **where**  
 $is\_seqleRrel(M,A,r) \equiv is\_RRel(M,is\_seqleR,A,r)$

**lemma** (in *M\_basic*) *seqleRrel\_abs* :  
 assumes  $M(A) M(r)$   
 shows  $is\_seqleRrel(M,A,r) \longleftrightarrow r = Rrel(seqleR,A)$   
 ⟨proof⟩

**definition** *RrelP* ::  $[i \Rightarrow i \Rightarrow o, i] \Rightarrow i$  **where**  
 $RrelP(R,A) \equiv \{z \in A \times A . \exists x y . z = \langle x, y \rangle \wedge R(x,y)\}$

**lemma** *Rrel\_eq* :  $RrelP(R,A) = Rrel(R,A)$   
 ⟨proof⟩

**context** *M\_ctm*  
**begin**

**lemma** *Rrel\_closed*:  
**assumes**  $A \in M$   
 $\wedge a. a \in nat \implies rel\_fm(a) \in formula$   
 $\wedge f\ g. (\#\#M)(f) \implies (\#\#M)(g) \implies rel(f,g) \longleftrightarrow is\_rel(\#\#M,f,g)$   
 $arity(rel\_fm(0)) = 1$   
 $\wedge a. a \in M \implies sats(M,rel\_fm(0),[a]) \longleftrightarrow relP(\#\#M,is\_rel,a)$   
**shows**  $(\#\#M)(Rrel(rel,A))$   
 ⟨proof⟩

**lemma** *seqle\_in\_M*:  $seqle \in M$   
 ⟨proof⟩

### 33.2 Cohen extension is proper

**interpretation** *ctm\_separative*  $2^{<\omega}$  *seqle* 0  
 ⟨proof⟩

**lemma** *cohen\_extension\_is\_proper*:  $\exists G. M\_generic(G) \wedge M \neq M^{2^{<\omega}}[G]$   
 ⟨proof⟩

**end**

**end**

## 34 The main theorem

**theory** *Forcing\_Main*  
**imports**  
*Internal\_ZFC\_Axioms*  
*Choice\_Axiom*  
*Ordinals\_In\_MG*  
*Succession\_Poset*

**begin**

### 34.1 The generic extension is countable

**definition**  
 $minimum :: i \Rightarrow i \Rightarrow i$  **where**  
 $minimum(r,B) \equiv THE\ b.\ first(b,B,r)$

**lemma** *minimum\_in*:  $\llbracket well\_ord(A,r); B \subseteq A; B \neq 0 \rrbracket \implies minimum(r,B) \in B$   
 ⟨proof⟩

**lemma** *well\_ord\_surj\_imp\_lepoll*:  
**assumes** *well\_ord*( $A,r$ )  $h \in \text{surj}(A,B)$   
**shows**  $B \lesssim A$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *forcing\_data*) *surj\_nat\_MG* :  
 $\exists f. f \in \text{surj}(\omega, M[G])$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *G\_generic*) *MG\_eqpoll\_nat*:  $M[G] \approx \omega$   
 $\langle \text{proof} \rangle$

## 34.2 The main result

**theorem** *extensions\_of\_ctms*:  
**assumes**  
 $M \approx \omega$  *Transset*( $M$ )  $M \models ZF$   
**shows**  
 $\exists N.$   
 $M \subseteq N \wedge N \approx \omega \wedge \text{Transset}(N) \wedge N \models ZF \wedge M \neq N \wedge$   
 $(\forall \alpha. \text{Ord}(\alpha) \longrightarrow (\alpha \in M \longleftrightarrow \alpha \in N)) \wedge$   
 $(M, \Vdash AC \longrightarrow N \models ZFC)$   
 $\langle \text{proof} \rangle$

**end**

## 35 Main definitions of the development

**theory** *Definitions\_Main*  
**imports** *Forcing\_Main*

**begin**

This theory gathers the main definitions of the Forcing session.

It might be considered as the bare minimum reading requisite to trust that our development indeed formalizes the theory of forcing. This should be mathematically clear since this is the only known method for obtaining proper extensions of ctms while preserving the ordinals.

The main theorem of this session and all of its relevant definitions appear in Section 35.3. The reader trusting all the libraries in which our development is based, might jump directly there. But in case one wants to dive deeper, the following sections treat some basic concepts in the ZF logic (Section 35.1) and in the ZF-Constructible library (Section 35.2) on which our definitions are built.

**declare**  $[[\text{show\_question\_marks}=\text{false}]]$

### 35.1 ZF

For the basic logic ZF we restrict ourselves to just a few concepts.

**thm** *bij\_def*[*unfolded inj\_def surj\_def*]

$$\begin{aligned} \text{bij}(A, B) &\equiv \\ &\{f \in A \rightarrow B . \forall w \in A. \forall x \in A. f \text{ ` } w = f \text{ ` } x \longrightarrow w = x\} \cap \\ &\{f \in A \rightarrow B . \forall y \in B. \exists x \in A. f \text{ ` } x = y\} \end{aligned}$$

**thm** *eqpoll\_def*

$$A \approx B \equiv \exists f. f \in \text{bij}(A, B)$$

**thm** *Transset\_def*

$$\text{Transset}(i) \equiv \forall x \in i. x \subseteq i$$

**thm** *Ord\_def*

$$\text{Ord}(i) \equiv \text{Transset}(i) \wedge (\forall x \in i. \text{Transset}(x))$$

**thm** *lt\_def*

$$i < j \equiv i \in j \wedge \text{Ord}(j)$$

The set of natural numbers  $\omega$  is defined as a fixpoint, but here we just write its characterization as the first limit ordinal.

**thm** *Limit\_nat*[*unfolded Limit\_def*] *nat\_le\_Limit*[*unfolded Limit\_def*]

$$\begin{aligned} &\text{Ord}(\omega) \wedge 0 < \omega \wedge (\forall y. y < \omega \longrightarrow \text{succ}(y) < \omega) \\ &\text{Ord}(i) \wedge 0 < i \wedge (\forall y. y < i \longrightarrow \text{succ}(y) < i) \implies \omega \leq i \end{aligned}$$

**hide\_const** (**open**) *Order.pred*

**thm** *add\_0\_right add\_succ\_right pred\_0 pred\_succ\_eq*

$$\begin{aligned} m \# + \text{succ}(n) &= \text{succ}(m \# + n) \\ m \in \omega &\implies m \# + 0 = m \\ \text{pred}(0) &= 0 \\ \text{pred}(\text{succ}(y)) &= y \end{aligned}$$

Lists

**thm** *Nil Cons list.induct*

$$\begin{aligned} & [] \in \text{list}(A) \\ & \llbracket a \in A; l \in \text{list}(A) \rrbracket \implies \text{Cons}(a, l) \in \text{list}(A) \\ & \llbracket x \in \text{list}(A); P([]); \bigwedge a l. \llbracket a \in A; l \in \text{list}(A); P(l) \rrbracket \rrbracket \implies P(\text{Cons}(a, l)) \\ & \implies P(x) \end{aligned}$$

**thm** *length.simps app.simps nth\_0 nth\_Cons*

$$\begin{aligned} & \text{length}([]) = 0 \\ & \text{length}(\text{Cons}(a, l)) = \text{succ}(\text{length}(l)) \\ & [] @ ys = ys \\ & \text{Cons}(a, l) @ ys = \text{Cons}(a, l @ ys) \\ & \text{nth}(0, \text{Cons}(a, l)) = a \\ & n \in \omega \implies \text{nth}(\text{succ}(n), \text{Cons}(a, l)) = \text{nth}(n, l) \end{aligned}$$

Relative quantifications

**lemma**  $\forall x[M]. P(x) \equiv \forall x. M(x) \longrightarrow P(x)$   
 $\exists x[M]. P(x) \equiv \exists x. M(x) \wedge P(x)$   
*\langle proof \rangle*

**thm** *setclass-iff*

$$(\#\#A)(x) \longleftrightarrow x \in A$$

## 35.2 ZF-Constructible

**thm** *big\_union\_def*

$$\text{big\_union}(M, A, z) \equiv \forall x[M]. x \in z \longleftrightarrow (\exists y[M]. y \in A \wedge x \in y)$$

**thm** *Union\_ax\_def*

$$\text{Union\_ax}(M) \equiv \forall x[M]. \exists z[M]. \text{big\_union}(M, x, z)$$

**thm** *power\_ax\_def[unfolded powerset\_def subset\_def]*

$$\text{power\_ax}(M) \equiv \forall x[M]. \exists z[M]. \forall xa[M]. xa \in z \longleftrightarrow (\forall xb[M]. xb \in xa \longrightarrow xb \in x)$$



**thm** *upair\_def*

$$\text{upair}(M, a, b, z) \equiv a \in z \wedge b \in z \wedge (\forall x[M]. x \in z \longrightarrow x = a \vee x = b)$$

**thm** *pair\_def*

$$\begin{aligned} \text{pair}(M, a, b, z) &\equiv \\ \exists x[M]. \text{upair}(M, a, a, x) \wedge (\exists y[M]. \text{upair}(M, a, b, y) \wedge \text{upair}(M, x, y, z)) \end{aligned}$$

**thm** *successor\_def*[*unfolded is\_cons\_def union\_def*]

$$\begin{aligned} \text{successor}(M, a, z) &\equiv \\ \exists x[M]. \text{upair}(M, a, a, x) \wedge (\forall xa[M]. xa \in z \longleftrightarrow xa \in x \vee xa \in a) \end{aligned}$$

**thm** *upair\_ax\_def*

$$\text{upair\_ax}(M) \equiv \forall x[M]. \forall y[M]. \exists z[M]. \text{upair}(M, x, y, z)$$

**thm** *foundation\_ax\_def*

$$\begin{aligned} \text{foundation\_ax}(M) &\equiv \\ \forall x[M]. (\exists y[M]. y \in x) \longrightarrow (\exists y[M]. y \in x \wedge \neg (\exists z[M]. z \in x \wedge z \in y)) \end{aligned}$$

**thm** *extensionality\_def*

$$\text{extensionality}(M) \equiv \forall x[M]. \forall y[M]. (\forall z[M]. z \in x \longleftrightarrow z \in y) \longrightarrow x = y$$

**thm** *separation\_def*

$$\text{separation}(M, P) \equiv \forall z[M]. \exists y[M]. \forall x[M]. x \in y \longleftrightarrow x \in z \wedge P(x)$$

**thm** *univalent\_def*

$$\begin{aligned} \text{univalent}(M, A, P) &\equiv \\ \forall x[M]. x \in A \longrightarrow (\forall y[M]. \forall z[M]. P(x, y) \wedge P(x, z) \longrightarrow y = z) \end{aligned}$$

**thm** *strong\_replacement\_def*

$$\begin{aligned} \text{strong\_replacement}(M, P) &\equiv \\ \forall A[M]. \\ \text{univalent}(M, A, P) \longrightarrow (\exists Y[M]. \forall b[M]. b \in Y \longleftrightarrow (\exists x[M]. x \in A \wedge P(x, \\ b))) \end{aligned}$$

**thm** *empty\_def*

$$\text{empty}(M, z) \equiv \forall x[M]. x \notin z$$

**thm** *transitive\_set\_def*[*unfolded subset\_def*]

$$\text{transitive\_set}(M, a) \equiv \forall x[M]. x \in a \longrightarrow (\forall xa[M]. xa \in x \longrightarrow xa \in a)$$

**thm** *ordinal\_def*

$$\begin{aligned} \text{ordinal}(M, a) &\equiv \\ \text{transitive\_set}(M, a) &\wedge (\forall x[M]. x \in a \longrightarrow \text{transitive\_set}(M, x)) \end{aligned}$$

**thm** *image\_def*

$$\begin{aligned} \text{image}(M, r, A, z) &\equiv \\ \forall y[M]. y \in z &\longleftrightarrow (\exists w[M]. w \in r \wedge (\exists x[M]. x \in A \wedge \text{pair}(M, x, y, w))) \end{aligned}$$

**thm** *fun\_apply\_def*

$$\begin{aligned} \text{fun\_apply}(M, f, x, y) &\equiv \\ \exists xs[M]. & \\ \exists fxs[M]. & \text{upair}(M, x, x, xs) \wedge \text{image}(M, f, xs, fxs) \wedge \text{big\_union}(M, fxs, y) \end{aligned}$$

**thm** *is\_function\_def*

$$\begin{aligned} \text{is\_function}(M, r) &\equiv \\ \forall x[M]. & \\ \forall y[M]. & \\ \forall y'[M]. & \\ \forall p[M]. & \\ \forall p'[M]. & \\ \text{pair}(M, x, y, p) &\longrightarrow \\ \text{pair}(M, x, y', p') &\longrightarrow p \in r \longrightarrow p' \in r \longrightarrow y = y' \end{aligned}$$

**thm** *is\_relation\_def*

$$\text{is\_relation}(M, r) \equiv \forall z[M]. z \in r \longrightarrow (\exists x[M]. \exists y[M]. \text{pair}(M, x, y, z))$$

**thm** *is\_domain\_def*

$is\_domain(M, r, z) \equiv$   
 $\forall x[M]. x \in z \longleftrightarrow (\exists w[M]. w \in r \wedge (\exists y[M]. pair(M, x, y, w)))$

**thm** *typed\_function\_def*

$typed\_function(M, A, B, r) \equiv$   
 $is\_function(M, r) \wedge$   
 $is\_relation(M, r) \wedge$   
 $is\_domain(M, r, A) \wedge$   
 $(\forall u[M]. u \in r \longrightarrow (\forall x[M]. \forall y[M]. pair(M, x, y, u) \longrightarrow y \in B))$

**thm** *surjection\_def*

$surjection(M, A, B, f) \equiv$   
 $typed\_function(M, A, B, f) \wedge$   
 $(\forall y[M]. y \in B \longrightarrow (\exists x[M]. x \in A \wedge fun\_apply(M, f, x, y)))$

Internalized formulas

**thm** *Member Equal Nand Forall formula.induct*

$\llbracket x \in \omega; y \in \omega \rrbracket \Longrightarrow Member(x, y) \in formula$   
 $\llbracket x \in \omega; y \in \omega \rrbracket \Longrightarrow Equal(x, y) \in formula$   
 $\llbracket p \in formula; q \in formula \rrbracket \Longrightarrow Nand(p, q) \in formula$   
 $p \in formula \Longrightarrow Forall(p) \in formula$   
 $\llbracket x \in formula; \bigwedge x y. \llbracket x \in \omega; y \in \omega \rrbracket \Longrightarrow P(Member(x, y));$   
 $\bigwedge x y. \llbracket x \in \omega; y \in \omega \rrbracket \Longrightarrow P(Equal(x, y));$   
 $\bigwedge p q. \llbracket p \in formula; P(p); q \in formula; P(q) \rrbracket \Longrightarrow P(Nand(p, q));$   
 $\bigwedge p. \llbracket p \in formula; P(p) \rrbracket \Longrightarrow P(Forall(p))$   
 $\Longrightarrow P(x)$

**thm** *arity.simps*

$arity(Member(x, y)) = succ(x) \cup succ(y)$   
 $arity(Equal(x, y)) = succ(x) \cup succ(y)$   
 $arity(Nand(p, q)) = arity(p) \cup arity(q)$   
 $arity(Forall(p)) = pred(arity(p))$

**thm** *mem\_iff\_sats equal\_iff\_sats sats\_Nand\_iff sats\_Forall\_iff*

$\llbracket nth(i, env) = x; nth(j, env) = y; env \in list(A) \rrbracket$   
 $\Longrightarrow x \in y \longleftrightarrow A, env \models Member(i, j)$   
 $\llbracket nth(i, env) = x; nth(j, env) = y; env \in list(A) \rrbracket$   
 $\Longrightarrow x = y \longleftrightarrow A, env \models Equal(i, j)$   
 $env \in list(A) \Longrightarrow A, env \models Nand(p, q) \longleftrightarrow \neg (A, env \models p \wedge A, env \models q)$   
 $env \in list(A) \Longrightarrow A, env \models Forall(p) \longleftrightarrow (\forall x \in A. A, Cons(x, env) \models p)$

### 35.3 Forcing

**thm** *infinity-ax-def*

$$\begin{aligned} \text{infinity\_ax}(M) &\equiv \\ &\exists I[M]. \\ &(\exists z[M]. \text{empty}(M, z) \wedge z \in I) \wedge \\ &(\forall y[M]. y \in I \longrightarrow (\exists sy[M]. \text{successor}(M, y, sy) \wedge sy \in I)) \end{aligned}$$

**thm** *choice-ax-def*

$$\text{choice\_ax}(M) \equiv \forall x[M]. \exists a[M]. \exists f[M]. \text{ordinal}(M, a) \wedge \text{surjection}(M, a, x, f)$$

**thm** *ZF-union\_fm\_iff\_sats ZF-power\_fm\_iff\_sats ZF-pairing\_fm\_iff\_sats*  
*ZF-foundation\_fm\_iff\_sats ZF-extensionality\_fm\_iff\_sats*  
*ZF-infinity\_fm\_iff\_sats sats-ZF-separation\_fm\_iff*  
*sats-ZF-replacement\_fm\_iff ZF-choice\_fm\_iff\_sats*

$$\begin{aligned} \text{Union\_ax}(\#\#A) &\longleftrightarrow A, [] \models \text{ZF\_union\_fm} \\ \text{power\_ax}(\#\#A) &\longleftrightarrow A, [] \models \text{ZF\_power\_fm} \\ \text{upair\_ax}(\#\#A) &\longleftrightarrow A, [] \models \text{ZF\_pairing\_fm} \\ \text{foundation\_ax}(\#\#A) &\longleftrightarrow A, [] \models \text{ZF\_foundation\_fm} \\ \text{extensionality}(\#\#A) &\longleftrightarrow A, [] \models \text{ZF\_extensionality\_fm} \\ \text{infinity\_ax}(\#\#A) &\longleftrightarrow A, [] \models \text{ZF\_infinity\_fm} \\ \varphi \in \text{formula} &\implies \\ M, [] \models \text{ZF\_separation\_fm}(\varphi) &\longleftrightarrow \\ (\forall \text{env} \in \text{list}(M). & \\ \text{arity}(\varphi) \leq 1 \ \#\# \text{length}(\text{env}) &\longrightarrow \text{separation}(\#\#M, \lambda x. M, [x] @ \text{env} \models \varphi)) \\ \varphi \in \text{formula} &\implies \\ M, [] \models \text{ZF\_replacement\_fm}(\varphi) &\longleftrightarrow \\ (\forall \text{env} \in \text{list}(M). & \\ \text{arity}(\varphi) \leq 2 \ \#\# \text{length}(\text{env}) &\longrightarrow \\ \text{strong\_replacement}(\#\#M, \lambda x y. M, [x, y] @ \text{env} \models \varphi)) & \\ \text{choice\_ax}(\#\#A) &\longleftrightarrow A, [] \models \text{ZF\_choice\_fm} \end{aligned}$$

**thm** *ZF-fin-def ZF-inf-def ZF-def ZFC-fin-def ZFC-def*

$$\begin{aligned} \text{ZF\_fin} &\equiv \\ &\{\text{ZF\_extensionality\_fm}, \text{ZF\_foundation\_fm}, \text{ZF\_pairing\_fm}, \text{ZF\_union\_fm}, \\ &\text{ZF\_infinity\_fm}, \text{ZF\_power\_fm}\} \\ \text{ZF\_inf} &\equiv \\ &\{\text{ZF\_separation\_fm}(p) . p \in \text{formula}\} \cup \{\text{ZF\_replacement\_fm}(p) . p \in \text{formula}\} \\ \text{ZF} &\equiv \text{ZF\_inf} \cup \text{ZF\_fin} \\ \text{ZFC\_fin} &\equiv \text{ZF\_fin} \cup \{\text{ZF\_choice\_fm}\} \\ \text{ZFC} &\equiv \text{ZF\_inf} \cup \text{ZFC\_fin} \end{aligned}$$

**thm** *satT-def*

$A \models \Phi \equiv \forall \varphi \in \Phi. A, [] \models \varphi$

**thm** *extensions\_of\_ctms*

$\llbracket M \approx \omega; \text{Transset}(M); M \models ZF \rrbracket$   
 $\implies \exists N. M \subseteq N \wedge$   
 $N \approx \omega \wedge$   
 $\text{Transset}(N) \wedge$   
 $N \models ZF \wedge$   
 $M \neq N \wedge$   
 $(\forall \alpha. \text{Ord}(\alpha) \longrightarrow \alpha \in M \longleftrightarrow \alpha \in N) \wedge (M, [] \models ZF\_choice\_fm \longrightarrow N$   
 $\models ZFC)$

**end**

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