

Transitive Models of Fragments of ZF

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Abstract

We extend the ZF-Constructibility library by relativizing theories of the Isabelle/ZF and Delta System Lemma sessions to a transitive class. We also relativize Paulson’s work on Aleph and our former treatment of the Axiom of Dependent Choices. This work is a prerequisite to our formalization of the independence of the Continuum Hypothesis.

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1 Introduction

As it was explained in [1, Sect. 3] and elsewhere, relativization of concepts is a key tool to obtain results in forcing.

In this session, we cast some theories in relative form, in a way that they now refer to a fixed class M as the universe of discourse. Whenever it was possible, we tried to minimize the changes to the structure and proof scripts. For this reason, some comments of the original text as well as outdated **apply** commands appear profusely in the following theories.

A repeated pattern that appears is that the relativized result can be proved *mutatis mutandis*, with remaining proof obligations that the objects constructed actually belong to the model M . Another aspect was that the management of higher order constructs always posed some extra problems, already noted by Paulson [2, Sect. 7.3].

We proceed to enumerate the theories that were “ported” to relative form, briefly commenting on each of them. Below, we refer to the original theories as the *source* and, correspondingly, call *target* the relativized version. We omit the `.thy` suffixes.

1. From *ZF*:

- (a) **Univ**. Here we decided to relativize only the term **Vfrom** that constructs the cumulative hierarchy up to some ordinal length and starting from an arbitrary set.
- (b) **Cardinal**. There are two targets for this source, **Least** and **Cardinal_Relative**. Both require some fair amount of preparation, trying to take advantage of absolute concepts. It is not straightforward to compare source and targets in a line-by-line fashion at this point.
- (c) **CardinalArith**. The hardest part was to formalize the cardinal successor function. We also disregarded the part treating finite cardinals since it is an absolute concept. Apart from that, the relative version closely parallels the source.
- (d) **Cardinal_AC**. After some boilerplate, porting was rather straightforward, excepting cardinal arithmetic involving the higher-order union operator.

2. From *ZF-Constructible*:

- (a) **Normal**. The target here is **Aleph_Relative** since that is the only concept that we ported. Instead of porting all the machinery of normal functions (since it involved higher-order variables), we particularized the results for the Aleph function. We also used

an alternative definition of the latter that worked better with our relativization discipline.

3. From *Delta_System_Lemma*:

- (a) **ZF_Library**. The target includes a big section of auxiliary lemmas and commands that aid the relativization. We needed to make explicit the witnesses (mainly functions) in some of the existential results proved in the source, since only in that way we would be able to show that they belonged to the model.
- (b) **Cardinal_Library**. Porting was relatively straightforward; most of the extra work laid in adjusting locale assumptions to obtain an appropriate context to state and prove the theorems.
- (c) **Delta_System**. Same comments as in the case of **Cardinal_Library** apply here.

4. From *Forcing*:

- (a) **Pointed_DC**. This case was similar to **Cardinal_AC** above, although a bit of care was needed to handle the recursive construction. Also, a fraction of the theory **AC** from *ZF* was ported here as it was a prerequisite. A complete relativization of **AC** would be desirable but still missing.

2 Auxiliary results on arithmetic

theory *Nat_Miscellanea* **imports** *ZF* **begin**

Most of these results will get used at some point for the calculation of arities.

lemmas *nat_succI* = *Ord_succ_mem_iff* [*THEN iffD2, OF nat_into_Ord*]

lemma *nat_succD* : $m \in \text{nat} \implies \text{succ}(n) \in \text{succ}(m) \implies n \in m$
 $\langle \text{proof} \rangle$

lemmas *zero_in_succ* = *ltD* [*OF nat_0_le*]

lemma *in_n_in_nat* : $m \in \text{nat} \implies n \in m \implies n \in \text{nat}$
 $\langle \text{proof} \rangle$

lemma *in_succ_in_nat* : $m \in \text{nat} \implies n \in \text{succ}(m) \implies n \in \text{nat}$
 $\langle \text{proof} \rangle$

lemma *ltI_neg* : $x \in \text{nat} \implies j \leq x \implies j \neq x \implies j < x$
 $\langle \text{proof} \rangle$

lemma *succ_pred_eq* : $m \in \text{nat} \implies m \neq 0 \implies \text{succ}(\text{pred}(m)) = m$
 $\langle \text{proof} \rangle$

lemma *succ_ltI* : $\text{succ}(j) < n \implies j < n$
 ⟨proof⟩

lemma *succ_In* : $n \in \text{nat} \implies \text{succ}(j) \in n \implies j \in n$
 ⟨proof⟩

lemmas *succ_leD* = *succ_leE* [OF *leI*]

lemma *succpred_leI* : $n \in \text{nat} \implies n \leq \text{succ}(\text{pred}(n))$
 ⟨proof⟩

lemma *succpred_n0* : $\text{succ}(n) \in p \implies p \neq 0$
 ⟨proof⟩

lemmas *natEin* = *natE* [OF *lt_nat_in_nat*]

lemma *succ_in* : $\text{succ}(x) \leq y \implies x \in y$
 ⟨proof⟩

lemmas *Un_least_lt_iffn* = *Un_least_lt_iff* [OF *nat_into_Ord nat_into_Ord*]

lemma *pred_type* : $m \in \text{nat} \implies n \leq m \implies n \in \text{nat}$
 ⟨proof⟩

lemma *pred_le* : $m \in \text{nat} \implies n \leq \text{succ}(m) \implies \text{pred}(n) \leq m$
 ⟨proof⟩

lemma *pred_le2* : $n \in \text{nat} \implies m \in \text{nat} \implies \text{pred}(n) \leq m \implies n \leq \text{succ}(m)$
 ⟨proof⟩

lemma *Un_leD1* : $\text{Ord}(i) \implies \text{Ord}(j) \implies \text{Ord}(k) \implies i \cup j \leq k \implies i \leq k$
 ⟨proof⟩

lemma *Un_leD2* : $\text{Ord}(i) \implies \text{Ord}(j) \implies \text{Ord}(k) \implies i \cup j \leq k \implies j \leq k$
 ⟨proof⟩

lemma *gt1* : $n \in \text{nat} \implies i \in n \implies i \neq 0 \implies i \neq 1 \implies 1 < i$
 ⟨proof⟩

lemma *pred_mono* : $m \in \text{nat} \implies n \leq m \implies \text{pred}(n) \leq \text{pred}(m)$
 ⟨proof⟩

lemma *succ_mono* : $m \in \text{nat} \implies n \leq m \implies \text{succ}(n) \leq \text{succ}(m)$
 ⟨proof⟩

lemma *union_abs1* :
 $\llbracket i \leq j \rrbracket \implies i \cup j = j$

$\langle proof \rangle$

lemma *union_abs2* :
 $\llbracket i \leq j \rrbracket \implies j \cup i = j$
 $\langle proof \rangle$

lemma *ord_un_max* : $Ord(i) \implies Ord(j) \implies i \cup j = \max(i,j)$
 $\langle proof \rangle$

lemma *ord_max_ty* : $Ord(i) \implies Ord(j) \implies Ord(\max(i,j))$
 $\langle proof \rangle$

lemmas *ord_simp_union* = *ord_un_max ord_max_ty max_def*

lemma *le_succ* : $x \in nat \implies x \leq succ(x)$ $\langle proof \rangle$

lemma *le_pred* : $x \in nat \implies pred(x) \leq x$
 $\langle proof \rangle$

lemma *not_le_anti_sym* : $x \in nat \implies y \in nat \implies \neg x \leq y \implies \neg y \leq x \implies y = x$
 $\langle proof \rangle$

lemma *Un_le_compat* : $o \leq p \implies q \leq r \implies Ord(o) \implies Ord(p) \implies Ord(q) \implies Ord(r) \implies o \cup q \leq p \cup r$
 $\langle proof \rangle$

lemma *Un_le* : $p \leq r \implies q \leq r \implies Ord(p) \implies Ord(q) \implies Ord(r) \implies p \cup q \leq r$
 $\langle proof \rangle$

lemma *Un_leI3* : $o \leq r \implies p \leq r \implies q \leq r \implies Ord(o) \implies Ord(p) \implies Ord(q) \implies Ord(r) \implies o \cup p \cup q \leq r$
 $\langle proof \rangle$

lemma *diff_mono* :
 assumes $m \in nat \ n \in nat \ p \in nat \ m < n \ p \leq m$
 shows $m \# -p < n \# -p$
 $\langle proof \rangle$

lemma *pred_Un* :
 $x \in nat \implies y \in nat \implies Arith.pred(succ(x) \cup y) = x \cup Arith.pred(y)$
 $x \in nat \implies y \in nat \implies Arith.pred(x \cup succ(y)) = Arith.pred(x) \cup y$
 $\langle proof \rangle$

lemma *le_natI* : $j \leq n \implies n \in nat \implies j \in nat$
 $\langle proof \rangle$

lemma *le_natE* : $n \in \text{nat} \implies j < n \implies j \in n$
 ⟨proof⟩

lemma *leD* : **assumes** $n \in \text{nat}$ $j \leq n$
shows $j < n \mid j = n$
 ⟨proof⟩

lemma *pred_nat_eq* :
assumes $n \in \text{nat}$
shows $\text{Arith.pred}(n) = \bigcup n$
 ⟨proof⟩

2.1 Some results in ordinal arithmetic

The following results are auxiliary to the proof of wellfoundedness of the relation *freceR*

lemma *max_cong* :
assumes $x \leq y$ $\text{Ord}(y)$ $\text{Ord}(z)$
shows $\text{max}(x, y) \leq \text{max}(y, z)$
 ⟨proof⟩

lemma *max_commutes* :
assumes $\text{Ord}(x)$ $\text{Ord}(y)$
shows $\text{max}(x, y) = \text{max}(y, x)$
 ⟨proof⟩

lemma *max_cong2* :
assumes $x \leq y$ $\text{Ord}(y)$ $\text{Ord}(z)$ $\text{Ord}(x)$
shows $\text{max}(x, z) \leq \text{max}(y, z)$
 ⟨proof⟩

lemma *max_D1* :
assumes $x = y$ $w < z$ $\text{Ord}(x)$ $\text{Ord}(w)$ $\text{Ord}(z)$ $\text{max}(x, w) = \text{max}(y, z)$
shows $z \leq y$
 ⟨proof⟩

lemma *max_D2* :
assumes $w = y \vee w = z$ $x < y$ $\text{Ord}(x)$ $\text{Ord}(w)$ $\text{Ord}(y)$ $\text{Ord}(z)$ $\text{max}(x, w) = \text{max}(y, z)$
shows $x < w$
 ⟨proof⟩

lemma *oadd_lt_mono2* :
assumes $\text{Ord}(n)$ $\text{Ord}(\alpha)$ $\text{Ord}(\beta)$ $\alpha < \beta$ $x < n$ $y < n$ $0 < n$
shows $n ** \alpha ++ x < n ** \beta ++ y$
 ⟨proof⟩
end

3 Various results missing from ZF.

theory *ZF_Miscellanea*

imports

ZF

Nat_Miscellanea

begin

lemma *funcI* : $f \in A \rightarrow B \implies a \in A \implies b = f \text{ ` } a \implies \langle a, b \rangle \in f$
 $\langle proof \rangle$

lemma *vimage_fun_sing*:
assumes $f \in A \rightarrow B$ $b \in B$
shows $\{a \in A . f \text{ ` } a = b\} = f \text{ `` } \{b\}$
 $\langle proof \rangle$

lemma *image_fun_subset*: $S \in A \rightarrow B \implies C \subseteq A \implies \{S \text{ ` } x . x \in C\} = S \text{ `` } C$
 $\langle proof \rangle$

lemma *subset_Diff_Un*: $X \subseteq A \implies A = (A - X) \cup X$ $\langle proof \rangle$

lemma *Diff_bij*:
assumes $\forall A \in F. X \subseteq A$ **shows** $(\lambda A \in F. A - X) \in \text{bij}(F, \{A - X. A \in F\})$
 $\langle proof \rangle$

lemma *function_space_nonempty*:
assumes $b \in B$
shows $(\lambda x \in A. b) : A \rightarrow B$
 $\langle proof \rangle$

lemma *vimage_lam*: $(\lambda x \in A. f(x)) \text{ `` } B = \{x \in A . f(x) \in B\}$
 $\langle proof \rangle$

lemma *range_fun_subset_codomain*:
assumes $h : B \rightarrow C$
shows $\text{range}(h) \subseteq C$
 $\langle proof \rangle$

lemma *Pi_rangeD*:
assumes $f \in \text{Pi}(A, B)$ $b \in \text{range}(f)$
shows $\exists a \in A. f \text{ ` } a = b$
 $\langle proof \rangle$

lemma *Pi_range_eq*: $f \in \text{Pi}(A, B) \implies \text{range}(f) = \{f \text{ ` } x . x \in A\}$
 $\langle proof \rangle$

lemma *Pi_vimage_subset* : $f \in \text{Pi}(A, B) \implies f \text{ `` } C \subseteq A$
 $\langle proof \rangle$

definition

minimum :: $i \Rightarrow i \Rightarrow i$ **where**
minimum(r, B) \equiv *THE* b . *first*(b, B, r)

lemma *minimum_in*: $\llbracket \text{well_ord}(A, r); B \subseteq A; B \neq 0 \rrbracket \implies \text{minimum}(r, B) \in B$
 $\langle \text{proof} \rangle$

lemma *well_ord_surj_imp_inj_inverse*:
assumes $\text{well_ord}(A, r)$ $h \in \text{surj}(A, B)$
shows $(\lambda b \in B. \text{minimum}(r, \{a \in A. h'a = b\})) \in \text{inj}(B, A)$
 $\langle \text{proof} \rangle$

lemma *well_ord_surj_imp_lepoll*:
assumes $\text{well_ord}(A, r)$ $h \in \text{surj}(A, B)$
shows $B \lesssim A$
 $\langle \text{proof} \rangle$

lemma *surj_imp_well_ord*:
assumes $\text{well_ord}(A, r)$ $h \in \text{surj}(A, B)$
shows $\exists s. \text{well_ord}(B, s)$
 $\langle \text{proof} \rangle$

lemma *Pow_sing* : $\text{Pow}(\{a\}) = \{0, \{a\}\}$
 $\langle \text{proof} \rangle$

lemma *Pow_cons*:
shows $\text{Pow}(\text{cons}(a, A)) = \text{Pow}(A) \cup \{\{a\} \cup X \mid X: \text{Pow}(A)\}$
 $\langle \text{proof} \rangle$

lemma *app_nm* :
assumes $n \in \text{nat}$ $m \in \text{nat}$ $f \in n \rightarrow m$ $x \in \text{nat}$
shows $f'x \in \text{nat}$
 $\langle \text{proof} \rangle$

lemma *Upair_eq_cons*: $\text{Upair}(a, b) = \{a, b\}$
 $\langle \text{proof} \rangle$

lemma *converse_apply_eq* : $\text{converse}(f) \cdot x = \bigcup (f \cdot \{x\})$
 $\langle \text{proof} \rangle$

lemmas *app_fun* = *apply_iff*[*THEN iffD1*]

lemma *Finite_imp_lespoll_nat*:
assumes $\text{Finite}(A)$
shows $A \prec \text{nat}$
 $\langle \text{proof} \rangle$

end

4 Renaming of variables in internalized formulas

```

theory Renaming
  imports
    ZF_Miscellanea
    ZF-Constructible.Formula
begin

```

4.1 Renaming of free variables

definition

```

union_fun :: [i,i,i,i]  $\Rightarrow$  i where
union_fun(f,g,m,p)  $\equiv$   $\lambda j \in m \cup p$  . if j  $\in$  m then f'j else g'j

```

lemma *union_fun_type*:

```

assumes f  $\in$  m  $\rightarrow$  n
         g  $\in$  p  $\rightarrow$  q
shows union_fun(f,g,m,p)  $\in$  m  $\cup$  p  $\rightarrow$  n  $\cup$  q
 $\langle$ proof $\rangle$ 

```

lemma *union_fun_action* :

```

assumes
  env  $\in$  list(M)
  env'  $\in$  list(M)
  length(env) = m  $\cup$  p
   $\forall$  i . i  $\in$  m  $\longrightarrow$  nth(f'i,env') = nth(i,env)
   $\forall$  j . j  $\in$  p  $\longrightarrow$  nth(g'j,env') = nth(j,env)
shows  $\forall$  i . i  $\in$  m  $\cup$  p  $\longrightarrow$ 
       nth(i,env) = nth(union_fun(f,g,m,p)' i,env')
 $\langle$ proof $\rangle$ 

```

lemma *id_fn_type* :

```

assumes n  $\in$  nat
shows id(n)  $\in$  n  $\rightarrow$  n
 $\langle$ proof $\rangle$ 

```

lemma *id_fn_action*:

```

assumes n  $\in$  nat env  $\in$  list(M)
shows  $\bigwedge$  j . j < n  $\Longrightarrow$  nth(j,env) = nth(id(n)' j,env)
 $\langle$ proof $\rangle$ 

```

definition

```

rsum :: [i,i,i,i,i]  $\Rightarrow$  i where
rsum(f,g,m,n,p)  $\equiv$   $\lambda j \in m\# + p$  . if j < m then f'j else (g'(j - m))# + n

```

lemma *sum_inl*:

```

assumes m  $\in$  nat n  $\in$  nat
         f  $\in$  m  $\rightarrow$  n x  $\in$  m

```

shows $rsum(f,g,m,n,p) \text{ ' } x = f \text{ ' } x$
 $\langle proof \rangle$

lemma *sum_inr*:
assumes $m \in nat \ n \in nat \ p \in nat$
 $g \in p \rightarrow q \ m \leq x \ x < m \# + p$
shows $rsum(f,g,m,n,p) \text{ ' } x = g \text{ ' } (x \# - m) \# + n$
 $\langle proof \rangle$

lemma *sum_action* :
assumes $m \in nat \ n \in nat \ p \in nat \ q \in nat$
 $f \in m \rightarrow n \ g \in p \rightarrow q$
 $env \in list(M)$
 $env' \in list(M)$
 $env1 \in list(M)$
 $env2 \in list(M)$
 $length(env) = m$
 $length(env1) = p$
 $length(env') = n$
 $\bigwedge i . i < m \implies nth(i,env) = nth(f \text{ ' } i, env')$
 $\bigwedge j . j < p \implies nth(j,env1) = nth(g \text{ ' } j, env2)$
shows $\forall i . i < m \# + p \longrightarrow$
 $nth(i,env @ env1) = nth(rsum(f,g,m,n,p) \text{ ' } i, env' @ env2)$
 $\langle proof \rangle$

lemma *sum_type* :
assumes $m \in nat \ n \in nat \ p \in nat \ q \in nat$
 $f \in m \rightarrow n \ g \in p \rightarrow q$
shows $rsum(f,g,m,n,p) \in (m \# + p) \rightarrow (n \# + q)$
 $\langle proof \rangle$

lemma *sum_type_id* :
assumes
 $f \in length(env) \rightarrow length(env')$
 $env \in list(M)$
 $env' \in list(M)$
 $env1 \in list(M)$
shows
 $rsum(f, id(length(env1)), length(env), length(env'), length(env1)) \in$
 $(length(env) \# + length(env1)) \rightarrow (length(env') \# + length(env1))$
 $\langle proof \rangle$

lemma *sum_type_id_aux2* :
assumes
 $f \in m \rightarrow n$
 $m \in nat \ n \in nat$
 $env1 \in list(M)$
shows

$rs\text{um}(f, id(\text{length}(\text{env1})), m, n, \text{length}(\text{env1})) \in$
 $(m\# + \text{length}(\text{env1})) \rightarrow (n\# + \text{length}(\text{env1}))$
 $\langle \text{proof} \rangle$

lemma *sum_action_id* :

assumes

$\text{env} \in \text{list}(M)$

$\text{env}' \in \text{list}(M)$

$f \in \text{length}(\text{env}) \rightarrow \text{length}(\text{env}')$

$\text{env1} \in \text{list}(M)$

$\bigwedge i . i < \text{length}(\text{env}) \implies \text{nth}(i, \text{env}) = \text{nth}(f'i, \text{env}')$

shows $\bigwedge i . i < \text{length}(\text{env})\# + \text{length}(\text{env1}) \implies$

$\text{nth}(i, \text{env} @ \text{env1}) = \text{nth}(rs\text{um}(f, id(\text{length}(\text{env1})), \text{length}(\text{env}), \text{length}(\text{env}'), \text{length}(\text{env1}))(i, \text{env}' @ \text{env1})$

$\langle \text{proof} \rangle$

lemma *sum_action_id_aux* :

assumes

$f \in m \rightarrow n$

$\text{env} \in \text{list}(M)$

$\text{env}' \in \text{list}(M)$

$\text{env1} \in \text{list}(M)$

$\text{length}(\text{env}) = m$

$\text{length}(\text{env}') = n$

$\text{length}(\text{env1}) = p$

$\bigwedge i . i < m \implies \text{nth}(i, \text{env}) = \text{nth}(f'i, \text{env}')$

shows $\bigwedge i . i < m\# + \text{length}(\text{env1}) \implies$

$\text{nth}(i, \text{env} @ \text{env1}) = \text{nth}(rs\text{um}(f, id(\text{length}(\text{env1})), m, n, \text{length}(\text{env1}))(i, \text{env}' @ \text{env1})$

$\langle \text{proof} \rangle$

definition

$\text{sum_id} :: [i, i] \Rightarrow i$ **where**

$\text{sum_id}(m, f) \equiv rs\text{um}(\lambda x \in 1. x, f, 1, 1, m)$

lemma *sum_id0* : $m \in \text{nat} \implies \text{sum_id}(m, f)'0 = 0$

$\langle \text{proof} \rangle$

lemma *sum_idS* : $p \in \text{nat} \implies q \in \text{nat} \implies f \in p \rightarrow q \implies x \in p \implies \text{sum_id}(p, f)'(\text{succ}(x))$

$= \text{succ}(f'x)$

$\langle \text{proof} \rangle$

lemma *sum_id_tc_aux* :

$p \in \text{nat} \implies q \in \text{nat} \implies f \in p \rightarrow q \implies \text{sum_id}(p, f) \in 1\# + p \rightarrow 1\# + q$

$\langle \text{proof} \rangle$

lemma *sum_id_tc* :

$n \in \text{nat} \implies m \in \text{nat} \implies f \in n \rightarrow m \implies \text{sum_id}(n, f) \in \text{succ}(n) \rightarrow \text{succ}(m)$

$\langle \text{proof} \rangle$

4.2 Renaming of formulas

consts $ren :: i \Rightarrow i$

primrec

$ren(Member(x,y)) =$
 $(\lambda n \in nat . \lambda m \in nat. \lambda f \in n \rightarrow m. Member (f'x, f'y))$

$ren(Equal(x,y)) =$
 $(\lambda n \in nat . \lambda m \in nat. \lambda f \in n \rightarrow m. Equal (f'x, f'y))$

$ren(Nand(p,q)) =$
 $(\lambda n \in nat . \lambda m \in nat. \lambda f \in n \rightarrow m. Nand (ren(p)'n'm'f, ren(q)'n'm'f))$

$ren(Forall(p)) =$
 $(\lambda n \in nat . \lambda m \in nat. \lambda f \in n \rightarrow m. Forall (ren(p)'succ(n)'succ(m)'sum_id(n,f)))$

lemma $arity_meml : l \in nat \Longrightarrow Member(x,y) \in formula \Longrightarrow arity(Member(x,y))$
 $\leq l \Longrightarrow x \in l$
 $\langle proof \rangle$

lemma $arity_memr : l \in nat \Longrightarrow Member(x,y) \in formula \Longrightarrow arity(Member(x,y))$
 $\leq l \Longrightarrow y \in l$
 $\langle proof \rangle$

lemma $arity_egl : l \in nat \Longrightarrow Equal(x,y) \in formula \Longrightarrow arity(Equal(x,y)) \leq l$
 $\Longrightarrow x \in l$
 $\langle proof \rangle$

lemma $arity_egr : l \in nat \Longrightarrow Equal(x,y) \in formula \Longrightarrow arity(Equal(x,y)) \leq l$
 $\Longrightarrow y \in l$
 $\langle proof \rangle$

lemma $nand_ar1 : p \in formula \Longrightarrow q \in formula \Longrightarrow arity(p) \leq arity(Nand(p,q))$
 $\langle proof \rangle$

lemma $nand_ar2 : p \in formula \Longrightarrow q \in formula \Longrightarrow arity(q) \leq arity(Nand(p,q))$
 $\langle proof \rangle$

lemma $nand_ar1D : p \in formula \Longrightarrow q \in formula \Longrightarrow arity(Nand(p,q)) \leq n \Longrightarrow$
 $arity(p) \leq n$
 $\langle proof \rangle$

lemma $nand_ar2D : p \in formula \Longrightarrow q \in formula \Longrightarrow arity(Nand(p,q)) \leq n \Longrightarrow$
 $arity(q) \leq n$
 $\langle proof \rangle$

lemma $ren_tc : p \in formula \Longrightarrow$
 $(\bigwedge n \ m \ f . n \in nat \Longrightarrow m \in nat \Longrightarrow f \in n \rightarrow m \Longrightarrow ren(p)'n'm'f \in formula)$
 $\langle proof \rangle$

lemma $arity_ren :$

fixes p

assumes $p \in formula$

shows $\bigwedge n \ m \ f . n \in nat \Longrightarrow m \in nat \Longrightarrow f \in n \rightarrow m \Longrightarrow arity(p) \leq n \Longrightarrow$

$arity(ren(p) \text{ 'n' m'f}) \leq m$
 $\langle proof \rangle$

lemma *arity_forallE* : $p \in formula \implies m \in nat \implies arity(Forall(p)) \leq m \implies$
 $arity(p) \leq succ(m)$
 $\langle proof \rangle$

lemma *env_coincidence_sum_id* :
assumes $m \in nat \ n \in nat$
 $\varrho \in list(A) \ \varrho' \in list(A)$
 $f \in n \rightarrow m$
 $\bigwedge i . i < n \implies nth(i, \varrho) = nth(f \text{ 'i' } \varrho')$
 $a \in A \ j \in succ(n)$
shows $nth(j, Cons(a, \varrho)) = nth(sum_id(n, f) \text{ 'j' } Cons(a, \varrho'))$
 $\langle proof \rangle$

lemma *sats_iff_sats_ren* :
assumes $\varphi \in formula$
shows $\llbracket n \in nat ; m \in nat ; \varrho \in list(M) ; \varrho' \in list(M) ; f \in n \rightarrow m ;$
 $arity(\varphi) \leq n ;$
 $\bigwedge i . i < n \implies nth(i, \varrho) = nth(f \text{ 'i' } \varrho') \rrbracket \implies$
 $sats(M, \varphi, \varrho) \longleftrightarrow sats(M, ren(\varphi) \text{ 'n' m'f' } \varrho')$
 $\langle proof \rangle$

end
theory *Utils*
imports *ZF-Constructible.Formula*
begin

This theory encapsulates some ML utilities

$\langle ML \rangle$

end
theory *Renaming_Auto*
imports
 $Renaming$
 $Utils$
keywords
 $rename :: thy_decl \% ML$
and
 $simple_rename :: thy_decl \% ML$
and
 src
and
 tgt
abbrevs
 $simple_rename =$
begin

```

lemmas nat_succI = nat_succ_iff[THEN iffD2]
 $\langle ML \rangle$ 
end

```

5 Automatic synthesis of formulas

```

theory Synthetic_Definition
imports
  Utils
keywords
  synthesize :: thy_decl % ML
and
  synthesize_notc :: thy_decl % ML
and
  generate_schematic :: thy_decl % ML
and
  arity_theorem :: thy_decl % ML
and
  manual_schematic :: thy_goal_stmt % ML
and
  manual_arity :: thy_goal_stmt % ML
and
  from_schematic
and
  for
and
  from_definition
and
  assuming
and
  intermediate

begin

named_theorems fm_definitions Definitions of synthesized formulas.

named_theorems iff_sats Theorems for synthesizing formulas.

named_theorems arity Theorems for arity of formulas.

named_theorems arity_aux Auxiliary theorems for calculating arities.

 $\langle ML \rangle$ 

The synthetic_def function extracts definitions from schematic goals. A
new definition is added to the context.

end

```


6 Aids to internalize formulas

theory *Internalizations*

imports

ZF-Constructible.DPow_absolute

Synthetic_Definition

begin

definition

infinity_ax :: $(i \Rightarrow o) \Rightarrow o$ **where**
infinity_ax(*M*) \equiv
 $(\exists I[M]. (\exists z[M]. \text{empty}(M, z) \wedge z \in I) \wedge (\forall y[M]. y \in I \longrightarrow (\exists sy[M]. \text{successor}(M, y, sy) \wedge sy \in I)))$

definition

wellfounded_trancl :: $[i=>o, i, i, i] => o$ **where**
wellfounded_trancl(*M*, *Z*, *r*, *p*) \equiv
 $\exists w[M]. \exists wx[M]. \exists rp[M].$
 $w \in Z \ \& \ \text{pair}(M, w, p, wx) \ \& \ \text{tran_closure}(M, r, rp) \ \& \ wx \in rp$

lemma *empty_intf* :

infinity_ax(*M*) \implies
 $(\exists z[M]. \text{empty}(M, z))$
 $\langle \text{proof} \rangle$

lemma *Transset_intf* :

Transset(*M*) $\implies y \in x \implies x \in M \implies y \in M$
 $\langle \text{proof} \rangle$

definition

choice_ax :: $(i \Rightarrow o) \Rightarrow o$ **where**
choice_ax(*M*) $\equiv \forall x[M]. \exists a[M]. \exists f[M]. \text{ordinal}(M, a) \wedge \text{surjection}(M, a, x, f)$

lemma (*in M_basic*) *choice_ax_abs* :

choice_ax(*M*) $\longleftrightarrow (\forall x[M]. \exists a[M]. \exists f[M]. \text{Ord}(a) \wedge f \in \text{surj}(a, x))$
 $\langle \text{proof} \rangle$

notation *Member* ($\langle \cdot \in / _ \cdot \rangle$)

notation *Equal* ($\langle \cdot = / _ \cdot \rangle$)

notation *Nand* ($\langle \cdot \neg' (_ \wedge / _) \cdot \rangle$)

notation *And* ($\langle \cdot \wedge / _ \cdot \rangle$)

notation *Or* ($\langle \cdot \vee / _ \cdot \rangle$)

notation *Iff* ($\langle \cdot \leftrightarrow / _ \cdot \rangle$)

notation *Implies* ($\langle \cdot \rightarrow / _ \cdot \rangle$)

notation *Neg* ($\langle \cdot \neg _ \cdot \rangle$)

notation *Forall* ($\langle '(\cdot \forall (/ _) \cdot)' \rangle$)

notation *Exists* ($\langle '(\cdot \exists (/ _) \cdot)' \rangle$)

notation *subset_fm* ($\langle \cdot \subseteq / _ \cdot \rangle$)

notation succ_fm ($\langle \cdot \text{succ}'(_) \text{ is } _ \rangle$)
notation empty_fm ($\langle _ \text{ is empty} \rangle$)
notation fun_apply_fm ($\langle _ _ \text{ is } _ \rangle$)
notation big_union_fm ($\langle \bigcup _ \text{ is } _ \rangle$)
notation upair_fm ($\langle \{ _, _ \} \text{ is } _ \rangle$)
notation ordinal_fm ($\langle _ \text{ is ordinal} \rangle$)

abbreviation

$\text{fm_surjection} :: [i, i, i] \Rightarrow i \ (\langle _ \text{ surjects } _ \text{ to } _ \rangle)$ **where**
 $\text{fm_surjection}(f, A, B) \equiv \text{surjection_fm}(A, B, f)$

abbreviation

$\text{fm_typedfun} :: [i, i, i] \Rightarrow i \ (\langle _ : _ \rightarrow _ \rangle)$ **where**
 $\text{fm_typedfun}(f, A, B) \equiv \text{typed_function_fm}(A, B, f)$

We found it useful to have slightly different versions of some results in ZF-Constructible:

lemma nth_closed :

assumes $\text{env} \in \text{list}(A) \ 0 \in A$
shows $\text{nth}(n, \text{env}) \in A$
 $\langle \text{proof} \rangle$

lemma $\text{mem_model_iff_sats}$ [iff_sats]:

$[| 0 \in A; \text{nth}(i, \text{env}) = x; \text{env} \in \text{list}(A)|]$
 $\implies (x \in A) \longleftrightarrow \text{sats}(A, \text{Exists}(\text{Equal}(0, 0)), \text{env})$
 $\langle \text{proof} \rangle$

lemma subset_iff_sats [iff_sats]:

$\text{nth}(i, \text{env}) = x \implies \text{nth}(j, \text{env}) = y \implies i \in \text{nat} \implies j \in \text{nat} \implies$
 $\text{env} \in \text{list}(A) \implies \text{subset}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{subset_fm}(i, j), \text{env})$
 $\langle \text{proof} \rangle$

lemma $\text{not_mem_model_iff_sats}$ [iff_sats]:

$[| 0 \in A; \text{nth}(i, \text{env}) = x; \text{env} \in \text{list}(A)|]$
 $\implies (\forall x. x \notin A) \longleftrightarrow \text{sats}(A, \text{Neg}(\text{Exists}(\text{Equal}(0, 0))), \text{env})$
 $\langle \text{proof} \rangle$

lemma top_iff_sats [iff_sats]:

$\text{env} \in \text{list}(A) \implies 0 \in A \implies \text{sats}(A, \text{Exists}(\text{Equal}(0, 0)), \text{env})$
 $\langle \text{proof} \rangle$

lemma prefix1_iff_sats [iff_sats]:

assumes
 $x \in \text{nat} \ \text{env} \in \text{list}(A) \ 0 \in A \ a \in A$
shows
 $a = \text{nth}(x, \text{env}) \longleftrightarrow \text{sats}(A, \text{Equal}(0, x\#+1), \text{Cons}(a, \text{env}))$
 $\text{nth}(x, \text{env}) = a \longleftrightarrow \text{sats}(A, \text{Equal}(x\#+1, 0), \text{Cons}(a, \text{env}))$
 $a \in \text{nth}(x, \text{env}) \longleftrightarrow \text{sats}(A, \text{Member}(0, x\#+1), \text{Cons}(a, \text{env}))$
 $\text{nth}(x, \text{env}) \in a \longleftrightarrow \text{sats}(A, \text{Member}(x\#+1, 0), \text{Cons}(a, \text{env}))$

$\langle \text{proof} \rangle$

lemma *prefix2_iff_sats*[*iff_sats*]:

assumes

$x \in \text{nat} \text{ env} \in \text{list}(A) \ 0 \in A \ a \in A \ b \in A$

shows

$b = \text{nth}(x, \text{env}) \longleftrightarrow \text{sats}(A, \text{Equal}(1, x\# + 2), \text{Cons}(a, \text{Cons}(b, \text{env})))$

$\text{nth}(x, \text{env}) = b \longleftrightarrow \text{sats}(A, \text{Equal}(x\# + 2, 1), \text{Cons}(a, \text{Cons}(b, \text{env})))$

$b \in \text{nth}(x, \text{env}) \longleftrightarrow \text{sats}(A, \text{Member}(1, x\# + 2), \text{Cons}(a, \text{Cons}(b, \text{env})))$

$\text{nth}(x, \text{env}) \in b \longleftrightarrow \text{sats}(A, \text{Member}(x\# + 2, 1), \text{Cons}(a, \text{Cons}(b, \text{env})))$

$\langle \text{proof} \rangle$

lemma *prefix3_iff_sats*[*iff_sats*]:

assumes

$x \in \text{nat} \text{ env} \in \text{list}(A) \ 0 \in A \ a \in A \ b \in A \ c \in A$

shows

$c = \text{nth}(x, \text{env}) \longleftrightarrow \text{sats}(A, \text{Equal}(2, x\# + 3), \text{Cons}(a, \text{Cons}(b, \text{Cons}(c, \text{env}))))$

$\text{nth}(x, \text{env}) = c \longleftrightarrow \text{sats}(A, \text{Equal}(x\# + 3, 2), \text{Cons}(a, \text{Cons}(b, \text{Cons}(c, \text{env}))))$

$c \in \text{nth}(x, \text{env}) \longleftrightarrow \text{sats}(A, \text{Member}(2, x\# + 3), \text{Cons}(a, \text{Cons}(b, \text{Cons}(c, \text{env}))))$

$\text{nth}(x, \text{env}) \in c \longleftrightarrow \text{sats}(A, \text{Member}(x\# + 3, 2), \text{Cons}(a, \text{Cons}(b, \text{Cons}(c, \text{env}))))$

$\langle \text{proof} \rangle$

lemmas *FOL_sats_iff* = *sats_Nand_iff* *sats_Forall_iff* *sats_Neg_iff* *sats_And_iff*
sats_Or_iff *sats_Implies_iff* *sats_Iff_iff* *sats_Exists_iff*

lemma *nth_ConsI*: $\llbracket \text{nth}(n, l) = x; n \in \text{nat} \rrbracket \implies \text{nth}(\text{succ}(n), \text{Cons}(a, l)) = x$
 $\langle \text{proof} \rangle$

lemmas *nth_rules* = *nth_0* *nth_ConsI* *nat_0I* *nat_succI*

lemmas *sep_rules* = *nth_0* *nth_ConsI* *FOL_iff_sats* *function_iff_sats*

fun_plus_iff_sats *successor_iff_sats*

omega_iff_sats *FOL_sats_iff* *Replace_iff_sats*

Also a different compilation of lemmas (*termsep_rules*) used in formula synthesis

lemmas *fm_defs* =

omega_fm_def *limit_ordinal_fm_def* *empty_fm_def* *typed_function_fm_def*

pair_fm_def *upair_fm_def* *domain_fm_def* *function_fm_def* *succ_fm_def*

cons_fm_def *fun_apply_fm_def* *image_fm_def* *big_union_fm_def* *union_fm_def*

relation_fm_def *composition_fm_def* *field_fm_def* *ordinal_fm_def* *range_fm_def*

transset_fm_def *subset_fm_def* *Replace_fm_def*

lemmas *formulas_def* [*fm_definitions*] = *fm_defs*

is_iterates_fm_def *iterates_MH_fm_def* *is_wfrec_fm_def* *is_recfun_fm_def*

is_transrec_fm_def

is_nat_case_fm_def *quasinat_fm_def* *number1_fm_def* *ordinal_fm_def* *finite_ordinal_fm_def*

cartprod_fm_def *sum_fm_def* *Inr_fm_def* *Inl_fm_def*

formula_functor_fm_def

Memrel_fm_def *transset_fm_def* *subset_fm_def* *pre_image_fm_def* *restriction_fm_def*

```

list_functor_fm_def tl_fm_def quaselist_fm_def Cons_fm_def Nil_fm_def

lemmas sep_rules' [iff_sats] = nth_0 nth_ConsI FOL_iff_sats function_iff_sats
fun_plus_iff_sats omega_iff_sats FOL_sats_iff

declare rtran_closure_iff_sats [iff_sats] tran_closure_iff_sats [iff_sats]
is_eclose_iff_sats [iff_sats]
⟨ML⟩

end

```

7 Some enhanced theorems on recursion

```

theory Recursion_Thms
imports ZF-Constructible.Datatype_absolute

```

begin

— Removing arities from inherited simpset

```

declare arity_And [simp del] arity_Or [simp del] arity_Implies [simp del]
arity_Exists [simp del] arity_Iff [simp del]
arity_subset_fm [simp del] arity_ordinal_fm [simp del] arity_transset_fm [simp
del]

```

We prove results concerning definitions by well-founded recursion on some relation R and its transitive closure R^*

```

lemma fld_restrict_eq :  $a \in A \implies (r \cap A \times A) \text{-}''\{a\} = (r \text{-}''\{a\} \cap A)$ 
⟨proof⟩

```

```

lemma fld_restrict_mono :  $\text{relation}(r) \implies A \subseteq B \implies r \cap A \times A \subseteq r \cap B \times B$ 
⟨proof⟩

```

```

lemma fld_restrict_dom :
assumes  $\text{relation}(r)$   $\text{domain}(r) \subseteq A$   $\text{range}(r) \subseteq A$ 
shows  $r \cap A \times A = r$ 
⟨proof⟩

```

```

definition tr_down ::  $[i, i] \Rightarrow i$ 
where  $\text{tr\_down}(r, a) = (r^+ \text{-}''\{a\})$ 

```

```

lemma tr_downD :  $x \in \text{tr\_down}(r, a) \implies \langle x, a \rangle \in r^+$ 
⟨proof⟩

```

```

lemma pred_down :  $\text{relation}(r) \implies r \text{-}''\{a\} \subseteq \text{tr\_down}(r, a)$ 
⟨proof⟩

```

```

lemma tr_down_mono :  $\text{relation}(r) \implies x \in r \text{-}''\{a\} \implies \text{tr\_down}(r, x) \subseteq \text{tr\_down}(r, a)$ 
⟨proof⟩

```

lemma *rest_eq* :
 assumes *relation*(*r*) and $r \text{--}\{a\} \subseteq B$ and $a \in B$
 shows $r \text{--}\{a\} = (r \cap B \times B) \text{--}\{a\}$
 $\langle \text{proof} \rangle$

lemma *wfrec_restr_eq* : $r' = r \cap A \times A \implies \text{wfrec}[A](r, a, H) = \text{wfrec}(r', a, H)$
 $\langle \text{proof} \rangle$

lemma *wfrec_restr* :
 assumes $rr: \text{relation}(r)$ and $wfr: wf(r)$
 shows $a \in A \implies \text{tr_down}(r, a) \subseteq A \implies \text{wfrec}(r, a, H) = \text{wfrec}[A](r, a, H)$
 $\langle \text{proof} \rangle$

lemmas *wfrec_tr_down* = *wfrec_restr*[*OF* _ _ _ *subset_refl*]

lemma *wfrec_trans_restr* : $\text{relation}(r) \implies wf(r) \implies \text{trans}(r) \implies r \text{--}\{a\} \subseteq A \implies$
 $a \in A \implies$
 $\text{wfrec}(r, a, H) = \text{wfrec}[A](r, a, H)$
 $\langle \text{proof} \rangle$

lemma *field_trancl* : $\text{field}(r^+) = \text{field}(r)$
 $\langle \text{proof} \rangle$

definition
 $Rrel :: [i \Rightarrow i \Rightarrow o, i] \Rightarrow i$ **where**
 $Rrel(R, A) \equiv \{z \in A \times A. \exists x y. z = \langle x, y \rangle \wedge R(x, y)\}$

lemma *RrelI* : $x \in A \implies y \in A \implies R(x, y) \implies \langle x, y \rangle \in Rrel(R, A)$
 $\langle \text{proof} \rangle$

lemma *Rrel_mem*: $Rrel(mem, x) = Memrel(x)$
 $\langle \text{proof} \rangle$

lemma *relation_Rrel*: $\text{relation}(Rrel(R, d))$
 $\langle \text{proof} \rangle$

lemma *field_Rrel*: $\text{field}(Rrel(R, d)) \subseteq d$
 $\langle \text{proof} \rangle$

lemma *Rrel_mono* : $A \subseteq B \implies Rrel(R, A) \subseteq Rrel(R, B)$
 $\langle \text{proof} \rangle$

lemma *Rrel_restr_eq* : $Rrel(R, A) \cap B \times B = Rrel(R, A \cap B)$
 $\langle \text{proof} \rangle$

lemma *field_Memrel* : $\text{field}(Memrel(A)) \subseteq A$

$\langle \text{proof} \rangle$

lemma *restrict_trancl_Rrel*:

assumes $R(w, y)$

shows $\text{restrict}(f, \text{Rrel}(R, d) \cdot \text{“}\{y\}\text{”}) \cdot w$
 $= \text{restrict}(f, (\text{Rrel}(R, d) \cdot \text{“}\{y\}\text{”}) \cdot w)$

$\langle \text{proof} \rangle$

lemma *restrict_trans_eq*:

assumes $w \in y$

shows $\text{restrict}(f, \text{Memrel}(\text{eclose}(\{x\})) \cdot \text{“}\{y\}\text{”}) \cdot w$
 $= \text{restrict}(f, (\text{Memrel}(\text{eclose}(\{x\})) \cdot \text{“}\{y\}\text{”}) \cdot w)$

$\langle \text{proof} \rangle$

lemma *wf_eq_trancl*:

assumes $\bigwedge f y . H(y, \text{restrict}(f, R \cdot \text{“}\{y\}\text{”})) = H(y, \text{restrict}(f, R \cdot \text{“}\{y\}\text{”}))$

shows $\text{wfrec}(R, x, H) = \text{wfrec}(R \cdot \text{“}\{y\}\text{”}, x, H)$ (**is** $\text{wfrec}(?r, _, _) = \text{wfrec}(?r', _, _)$)

$\langle \text{proof} \rangle$

lemma *transrec_equal_on_Ord*:

assumes

$\bigwedge x f . \text{Ord}(x) \implies \text{foo}(x, f) = \text{bar}(x, f)$
 $\text{Ord}(\alpha)$

shows

$\text{transrec}(\alpha, \text{foo}) = \text{transrec}(\alpha, \text{bar})$

$\langle \text{proof} \rangle$

lemma (**in** M_{eclose}) *transrec_equal_on_M*:

assumes

$\bigwedge x f . M(x) \implies M(f) \implies \text{foo}(x, f) = \text{bar}(x, f)$
 $\bigwedge \beta . M(\beta) \implies \text{transrec_replacement}(M, \text{is_foo}, \beta) \text{ relation2}(M, \text{is_foo}, \text{foo})$
 $\text{strong_replacement}(M, \lambda x y . y = \langle x, \text{transrec}(x, \text{foo}) \rangle)$
 $\forall x[M] . \forall g[M] . \text{function}(g) \longrightarrow M(\text{foo}(x, g))$
 $M(\alpha) \text{ Ord}(\alpha)$

shows

$\text{transrec}(\alpha, \text{foo}) = \text{transrec}(\alpha, \text{bar})$

$\langle \text{proof} \rangle$

lemma *ordermap_restr_eq*:

assumes $\text{well_ord}(X, r)$

shows $\text{ordermap}(X, r) = \text{ordermap}(X, r \cap X \times X)$

$\langle \text{proof} \rangle$

end

8 The binder *Least*

theory *Least*

imports

Internalizations

begin

We have some basic results on the least ordinal satisfying a predicate.

lemma *Least_Ord*: $(\mu \alpha. R(\alpha)) = (\mu \alpha. \text{Ord}(\alpha) \wedge R(\alpha))$
 $\langle \text{proof} \rangle$

lemma *Ord_Least_cong*:
assumes $\bigwedge y. \text{Ord}(y) \implies R(y) \longleftrightarrow Q(y)$
shows $(\mu \alpha. R(\alpha)) = (\mu \alpha. Q(\alpha))$
 $\langle \text{proof} \rangle$

definition

least :: $[i \Rightarrow o, i \Rightarrow o, i] \Rightarrow o$ **where**
least(M, Q, i) $\equiv \text{ordinal}(M, i) \wedge$
 $(\text{empty}(M, i) \wedge (\forall b[M]. \text{ordinal}(M, b) \longrightarrow \neg Q(b)))$
 $\vee (Q(i) \wedge (\forall b[M]. \text{ordinal}(M, b) \wedge b \in i \longrightarrow \neg Q(b)))$

definition

least_fm :: $[i, i] \Rightarrow i$ **where**
least_fm(q, i) $\equiv \text{And}(\text{ordinal_fm}(i),$
 $\text{Or}(\text{And}(\text{empty_fm}(i), \text{Forall}(\text{Implies}(\text{ordinal_fm}(0), \text{Neg}(q)))),$
 $\text{And}(\text{Exists}(\text{And}(q, \text{Equal}(0, \text{succ}(i)))),$
 $\text{Forall}(\text{Implies}(\text{And}(\text{ordinal_fm}(0), \text{Member}(0, \text{succ}(i))), \text{Neg}(q))))))$

lemma *least_fm_type*[TC] : $i \in \text{nat} \implies q \in \text{formula} \implies \text{least_fm}(q, i) \in \text{formula}$
 $\langle \text{proof} \rangle$

lemmas *basic_fm_simps* = *sats_subset_fm'* *sats_transset_fm'* *sats_ordinal_fm'*

lemma *sats_least_fm* :

assumes *p_iff_sats*:
 $\bigwedge a. a \in A \implies P(a) \longleftrightarrow \text{sats}(A, p, \text{Cons}(a, \text{env}))$
shows
 $\llbracket y \in \text{nat}; \text{env} \in \text{list}(A) ; 0 \in A \rrbracket$
 $\implies \text{sats}(A, \text{least_fm}(p, y), \text{env}) \longleftrightarrow$
 $\text{least}(\#\#A, P, \text{nth}(y, \text{env}))$
 $\langle \text{proof} \rangle$

lemma *least_iff_sats* [*iff_sats*]:

assumes *is_Q_iff_sats*:
 $\bigwedge a. a \in A \implies \text{is_Q}(a) \longleftrightarrow \text{sats}(A, q, \text{Cons}(a, \text{env}))$
shows
 $\llbracket \text{nth}(j, \text{env}) = y; j \in \text{nat}; \text{env} \in \text{list}(A); 0 \in A \rrbracket$
 $\implies \text{least}(\#\#A, \text{is_Q}, y) \longleftrightarrow \text{sats}(A, \text{least_fm}(q, j), \text{env})$
 $\langle \text{proof} \rangle$

lemma *least_conj*: $a \in M \implies \text{least}(\#\#M, \lambda x. x \in M \wedge Q(x), a) \longleftrightarrow \text{least}(\#\#M, Q, a)$
 $\langle \text{proof} \rangle$

context *M_trivial*
begin

8.1 Uniqueness, absoluteness and closure under *Least*

lemma *unique_least*:
assumes $M(a) \ M(b) \ \text{least}(M, Q, a) \ \text{least}(M, Q, b)$
shows $a = b$
 $\langle \text{proof} \rangle$

lemma *least_abs*:
assumes $\bigwedge x. Q(x) \implies \text{Ord}(x) \implies \exists y[M]. Q(y) \wedge \text{Ord}(y) \ M(a)$
shows $\text{least}(M, Q, a) \longleftrightarrow a = (\mu x. Q(x))$
 $\langle \text{proof} \rangle$

lemma *Least_closed*:
assumes $\bigwedge x. Q(x) \implies \text{Ord}(x) \implies \exists y[M]. Q(y) \wedge \text{Ord}(y)$
shows $M(\mu x. Q(x))$
 $\langle \text{proof} \rangle$

Older, easier to apply versions (with a simpler assumption on Q).

lemma *least_abs'*:
assumes $\bigwedge x. Q(x) \implies M(x) \ M(a)$
shows $\text{least}(M, Q, a) \longleftrightarrow a = (\mu x. Q(x))$
 $\langle \text{proof} \rangle$

lemma *Least_closed'*:
assumes $\bigwedge x. Q(x) \implies M(x)$
shows $M(\mu x. Q(x))$
 $\langle \text{proof} \rangle$

end — *M_trivial*

end

9 Fully relational versions of higher order construct

theory *Higher_Order_Constructs*
imports
 Recursion_Thms
 Least
begin

syntax
 $_sats \ :: [i, i, i] \Rightarrow o \ ((_, _ \models _) \ [36, 36, 36] \ 25)$

translations

$$(M, env \models \varphi) \equiv CONST \text{ sats}(M, \varphi, env)$$

definition

$$\begin{aligned} is_If &:: [i \Rightarrow o, o, i, i, i] \Rightarrow o \text{ where} \\ is_If(M, b, t, f, r) &\equiv (b \longrightarrow r=t) \wedge (\neg b \longrightarrow r=f) \end{aligned}$$

lemma (in M_trans) If_abs :

$$\begin{aligned} is_If(M, b, t, f, r) &\longleftrightarrow r = If(b, t, f) \\ \langle proof \rangle \end{aligned}$$

definition

$$\begin{aligned} is_If_fm &:: [i, i, i, i] \Rightarrow i \text{ where} \\ is_If_fm(\varphi, t, f, r) &\equiv Or(And(\varphi, Equal(t, r)), And(Neg(\varphi), Equal(f, r))) \end{aligned}$$

lemma $is_If_fm_type$ [TC]: $\varphi \in formula \implies t \in nat \implies f \in nat \implies r \in nat \implies$

$$\begin{aligned} is_If_fm(\varphi, t, f, r) &\in formula \\ \langle proof \rangle \end{aligned}$$

lemma $sats_is_If_fm$:

$$\begin{aligned} \text{assumes } Qsats: Q &\longleftrightarrow A, env \models \varphi \text{ env} \in list(A) \\ \text{shows } is_If(\#\#A, Q, nth(t, env), nth(f, env), nth(r, env)) &\longleftrightarrow A, env \models \\ is_If_fm(\varphi, t, f, r) & \\ \langle proof \rangle \end{aligned}$$

lemma $is_If_fm_iff_sats$ [iff_sats]:

$$\begin{aligned} \text{assumes } Qsats: Q &\longleftrightarrow A, env \models \varphi \text{ and} \\ nth(t, env) = ta \quad nth(f, env) = fa \quad nth(r, env) = ra \\ t \in nat \quad f \in nat \quad r \in nat \quad env \in list(A) \\ \text{shows } is_If(\#\#A, Q, ta, fa, ra) &\longleftrightarrow A, env \models is_If_fm(\varphi, t, f, r) \\ \langle proof \rangle \end{aligned}$$

lemma $arity_is_If_fm$ [arity]:

$$\begin{aligned} \varphi \in formula \implies t \in nat \implies f \in nat \implies r \in nat \implies \\ arity(is_If_fm(\varphi, t, f, r)) = arity(\varphi) \cup succ(t) \cup succ(r) \cup succ(f) \\ \langle proof \rangle \end{aligned}$$

definition

$$\begin{aligned} is_The &:: [i \Rightarrow o, i \Rightarrow o, i] \Rightarrow o \text{ where} \\ is_The(M, Q, i) &\equiv (Q(i) \wedge (\exists x[M]. Q(x) \wedge (\forall y[M]. Q(y) \longrightarrow y = x))) \vee \\ &\quad (\neg(\exists x[M]. Q(x) \wedge (\forall y[M]. Q(y) \longrightarrow y = x))) \wedge empty(M, i) \end{aligned}$$

lemma (in M_trans) The_abs :

$$\begin{aligned} \text{assumes } \bigwedge x. Q(x) \implies M(x) \quad M(a) \\ \text{shows } is_The(M, Q, a) &\longleftrightarrow a = (THE x. Q(x)) \end{aligned}$$

$\langle proof \rangle$

definition

$is_recursor :: [i \Rightarrow o, i, [i, i, i] \Rightarrow o, i, i] \Rightarrow o$ **where**
 $is_recursor(M, a, is_b, k, r) \equiv is_transrec(M, \lambda n f ntc. is_nat_case(M, a,$
 $\lambda m bmfm.$
 $\exists fm[M]. fun_apply(M, f, m, fm) \wedge is_b(m, fm, bmfm), n, ntc), k, r)$

lemma (in M_eclose) $recursor_abs$:

assumes $Ord(k)$ **and**

$types: M(a) M(k) M(r)$ **and**

$b_iff: \bigwedge m f bmfm. M(m) \Rightarrow M(f) \Rightarrow M(bmfm) \Rightarrow is_b(m, f, bmfm) \longleftrightarrow bmfm$
 $= b(m, f)$ **and**

$b_closed: \bigwedge m f bmfm. M(m) \Rightarrow M(f) \Rightarrow M(b(m, f))$ **and**

$repl: transrec_replacement(M, \lambda n f ntc. is_nat_case(M, a,$

$\lambda m bmfm. \exists fm[M]. fun_apply(M, f, m, fm) \wedge is_b(m, fm, bmfm), n, ntc),$

$k)$

shows

$is_recursor(M, a, is_b, k, r) \longleftrightarrow r = recursor(a, b, k)$

$\langle proof \rangle$

definition

$is_wfrec_on :: [i \Rightarrow o, [i, i, i] \Rightarrow o, i, i, i, i] \Rightarrow o$ **where**
 $is_wfrec_on(M, MH, A, r, a, z) == is_wfrec(M, MH, r, a, z)$

lemma (in $M_transcl$) $trans_wfrec_on_abs$:

$[| wf(r); trans(r); relation(r); M(r); M(a); M(z);$
 $wfrec_replacement(M, MH, r); relation2(M, MH, H);$
 $\forall x[M]. \forall g[M]. function(g) \longrightarrow M(H(x, g));$

$r \text{ ``}\{a\} \subseteq A; a \in A|]$

$\Rightarrow is_wfrec_on(M, MH, A, r, a, z) \longleftrightarrow z = wfrec[A](r, a, H)$

$\langle proof \rangle$

end

10 Automatic relativization of terms and formulas

Relativization of terms and formulas. Relativization of formulas shares relativized terms as far as possible; assuming that the witnesses for the relativized terms are always unique.

theory *Relativization*

imports

ZF-Constructible.Datatype_absolute

Higher_Order_Constructs

keywords

relativize :: thy_decl % ML

```

and
relativize_tm :: thy_decl % ML
and
reldb_add :: thy_decl % ML
and
reldb_rem :: thy_decl % ML
and
relationalize :: thy_decl % ML
and
rel_closed :: thy_goal_stmt % ML
and
is_iff_rel :: thy_goal_stmt % ML
and
univalent :: thy_goal_stmt % ML
and
absolute
and
functional
and
relational
and
external
and
for

begin

⟨ML⟩

lemmas relative_abs =
  M_trans.empty_abs
  M_trans.pair_abs
  M_trivial.cartprod_abs
  M_trans.union_abs
  M_trans.inter_abs
  M_trans.setdiff_abs
  M_trans.Union_abs
  M_trivial.cons_abs

  M_trivial.successor_abs
  M_trans.Collect_abs
  M_trans.Replace_abs
  M_trivial.lambda_abs2
  M_trans.image_abs

  M_trivial.nat_case_abs

  M_trivial.omega_abs
  M_basic.sum_abs

```

```

M_trivial.Inl_abs
M_trivial.Inr_abs
M_basic.converse_abs
M_basic.vimage_abs
M_trans.domain_abs
M_trans.range_abs
M_basic.field_abs

M_basic.composition_abs
M_trans.restriction_abs
M_trans.Inter_abs
M_trivial.bool_of_o_abs
M_trivial.not_abs
M_trivial.and_abs
M_trivial.or_abs
M_trivial.Nil_abs
M_trivial.Cons_abs

M_trivial.list_case_abs
M_trivial.hd_abs
M_trivial.tl_abs
M_trivial.least_abs'
M_eclose.transrec_abs
M_trans.If_abs
M_trans.The_abs
M_eclose.recursor_abs
M_trancl.trans_wfrec_abs
M_trancl.trans_wfrec_on_abs

lemmas datatype_abs =
  M_datatypes.list_N_abs
  M_datatypes.list_abs
  M_datatypes.formula_N_abs
  M_datatypes.formula_abs
  M_eclose.is_eclose_n_abs
  M_eclose.eclose_abs
  M_datatypes.length_abs
  M_datatypes.nth_abs
  M_trivial.Member_abs
  M_trivial.Equal_abs
  M_trivial.Nand_abs
  M_trivial.Forall_abs
  M_datatypes.depth_abs
  M_datatypes.formula_case_abs

declare relative_abs[absolut]
declare datatype_abs[absolut]

```

$\langle ML \rangle$

declare *relative_abs*[*Rel*]

declare *datatype_abs*[*Rel*]

$\langle ML \rangle$

end

theory *Discipline_Base*

imports

ZF-Constructible.Rank

ZF_Miscellanea

Relativization

begin

declare $[[syntax_ambiguity_warning = false]]$

10.1 Discipline of relativization of basic concepts

definition

is_singleton :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**

is_singleton(*A*, *x*, *z*) $\equiv \exists c[A]. \text{empty}(A, c) \wedge \text{is_cons}(A, x, c, z)$

lemma (**in** *M_trivial*) *singleton_abs*[*simp*] :

$\llbracket M(x) ; M(s) \rrbracket \Longrightarrow \text{is_singleton}(M, x, s) \longleftrightarrow s = \{x\}$

$\langle proof \rangle$

$\langle ML \rangle$

lemma (**in** *M_trivial*) *singleton_closed* [*simp*]:

$M(x) \Longrightarrow M(\{x\})$

$\langle proof \rangle$

lemma (**in** *M_trivial*) *Upair_closed*[*simp*]: $M(a) \Longrightarrow M(b) \Longrightarrow M(\text{Upair}(a, b))$

$\langle proof \rangle$

lemma (**in** *M_trivial*) *upair_closed*[*simp*] : $M(x) \Longrightarrow M(y) \Longrightarrow M(\{x, y\})$

$\langle proof \rangle$

The following named theorems gather instances of transitivity that arise from closure theorems

named_theorems *trans_closed*

definition

$is_hcomp :: [i \Rightarrow o, i \Rightarrow i \Rightarrow o, i \Rightarrow i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $is_hcomp(M, is_f, is_g, a, w) \equiv \exists z[M]. is_g(a, z) \wedge is_f(z, w)$

lemma (in $M_trivial$) is_hcomp_abs :

assumes

$is_f_abs: \bigwedge a z. M(a) \Longrightarrow M(z) \Longrightarrow is_f(a, z) \longleftrightarrow z = f(a)$ **and**
 $is_g_abs: \bigwedge a z. M(a) \Longrightarrow M(z) \Longrightarrow is_g(a, z) \longleftrightarrow z = g(a)$ **and**
 $g_closed: \bigwedge a. M(a) \Longrightarrow M(g(a))$
 $M(a) \ M(w)$

shows

$is_hcomp(M, is_f, is_g, a, w) \longleftrightarrow w = f(g(a))$
 $\langle proof \rangle$

definition

$hcomp_fm :: [i \Rightarrow i \Rightarrow i, i \Rightarrow i \Rightarrow i, i, i] \Rightarrow i$ **where**
 $hcomp_fm(pf, pg, a, w) \equiv Exists(And(pg(succ(a), 0), pf(0, succ(w))))$

lemma $sats_hcomp_fm$:

assumes

$f_iff_sats: \bigwedge a b z. a \in nat \Longrightarrow b \in nat \Longrightarrow z \in M \Longrightarrow$
 $is_f(nth(a, Cons(z, env)), nth(b, Cons(z, env))) \longleftrightarrow sats(M, pf(a, b), Cons(z, env))$

and

$g_iff_sats: \bigwedge a b z. a \in nat \Longrightarrow b \in nat \Longrightarrow z \in M \Longrightarrow$
 $is_g(nth(a, Cons(z, env)), nth(b, Cons(z, env))) \longleftrightarrow sats(M, pg(a, b), Cons(z, env))$

and

$a \in nat \ w \in nat \ env \in list(M)$

shows

$sats(M, hcomp_fm(pf, pg, a, w), env) \longleftrightarrow is_hcomp(\#\#M, is_f, is_g, nth(a, env), nth(w, env))$
 $\langle proof \rangle$

definition

$hcomp_r :: [i \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, i, i] \Rightarrow o$ **where**
 $hcomp_r(M, is_f, is_g, a, w) \equiv \exists z[M]. is_g(M, a, z) \wedge is_f(M, z, w)$

definition

$is_hcomp2_2 :: [i \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, [i \Rightarrow o, i, i] \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $is_hcomp2_2(M, is_f, is_g1, is_g2, a, b, w) \equiv \exists g1ab[M]. \exists g2ab[M].$
 $is_g1(M, a, b, g1ab) \wedge is_g2(M, a, b, g2ab) \wedge is_f(M, g1ab, g2ab, w)$

lemma (in $M_trivial$) $hcomp_abs$:

assumes

$is_f_abs: \bigwedge a z. M(a) \Longrightarrow M(z) \Longrightarrow is_f(M, a, z) \longleftrightarrow z = f(a)$ **and**
 $is_g_abs: \bigwedge a z. M(a) \Longrightarrow M(z) \Longrightarrow is_g(M, a, z) \longleftrightarrow z = g(a)$ **and**
 $g_closed: \bigwedge a. M(a) \Longrightarrow M(g(a))$
 $M(a) \ M(w)$

shows

$hcomp_r(M, is_f, is_g, a, w) \longleftrightarrow w = f(g(a))$

$\langle \text{proof} \rangle$

lemma *hcomp_uniqueness*:

assumes

uniq_is_f:

$\bigwedge r\ d\ d'.\ M(r) \implies M(d) \implies M(d') \implies \text{is_f}(M, r, d) \implies \text{is_f}(M, r, d') \implies d = d'$

and

uniq_is_g:

$\bigwedge r\ d\ d'.\ M(r) \implies M(d) \implies M(d') \implies \text{is_g}(M, r, d) \implies \text{is_g}(M, r, d') \implies d = d'$

and

$M(a)\ M(w)\ M(w')$

$\text{hcomp_r}(M, \text{is_f}, \text{is_g}, a, w)$

$\text{hcomp_r}(M, \text{is_f}, \text{is_g}, a, w')$

shows

$w = w'$

$\langle \text{proof} \rangle$

lemma *hcomp_witness*:

assumes

wit_is_f: $\bigwedge r.\ M(r) \implies \exists d[M].\ \text{is_f}(M, r, d)$ **and**

wit_is_g: $\bigwedge r.\ M(r) \implies \exists d[M].\ \text{is_g}(M, r, d)$ **and**

$M(a)$

shows

$\exists w[M].\ \text{hcomp_r}(M, \text{is_f}, \text{is_g}, a, w)$

$\langle \text{proof} \rangle$

lemma (*in* $M_trivial$) *hcomp2_2_abs*:

assumes

is_f_abs: $\bigwedge r1\ r2\ z.\ M(r1) \implies M(r2) \implies M(z) \implies \text{is_f}(M, r1, r2, z) \longleftrightarrow z = f(r1, r2)$ **and**

is_g1_abs: $\bigwedge r1\ r2\ z.\ M(r1) \implies M(r2) \implies M(z) \implies \text{is_g1}(M, r1, r2, z) \longleftrightarrow z = g1(r1, r2)$ **and**

is_g2_abs: $\bigwedge r1\ r2\ z.\ M(r1) \implies M(r2) \implies M(z) \implies \text{is_g2}(M, r1, r2, z) \longleftrightarrow z = g2(r1, r2)$ **and**

types: $M(a)\ M(b)\ M(w)\ M(g1(a, b))\ M(g2(a, b))$

shows

$\text{is_hcomp2_2}(M, \text{is_f}, \text{is_g1}, \text{is_g2}, a, b, w) \longleftrightarrow w = f(g1(a, b), g2(a, b))$

$\langle \text{proof} \rangle$

lemma *hcomp2_2_uniqueness*:

assumes

uniq_is_f:

$\bigwedge r1\ r2\ d\ d'.\ M(r1) \implies M(r2) \implies M(d) \implies M(d') \implies$

$\text{is_f}(M, r1, r2, d) \implies \text{is_f}(M, r1, r2, d') \implies d = d'$

and

uniq_is_g1:

$\bigwedge r1\ r2\ d\ d'.\ M(r1) \implies M(r2) \implies M(d) \implies M(d') \implies \text{is_g1}(M, r1, r2, d)$

$\Rightarrow is_g1(M, r1, r2, d') \Rightarrow$
 $d = d'$
and
 $uniq_is_g2:$
 $\bigwedge r1\ r2\ d\ d'.\ M(r1) \Rightarrow M(r2) \Rightarrow M(d) \Rightarrow M(d') \Rightarrow is_g2(M, r1, r2, d)$
 $\Rightarrow is_g2(M, r1, r2, d') \Rightarrow$
 $d = d'$
and
 $M(a)\ M(b)\ M(w)\ M(w')$
 $is_hcomp2_2(M, is_f, is_g1, is_g2, a, b, w)$
 $is_hcomp2_2(M, is_f, is_g1, is_g2, a, b, w')$
shows
 $w = w'$
 $\langle proof \rangle$

lemma $hcomp2_2_witness:$

assumes
 $wit_is_f: \bigwedge r1\ r2.\ M(r1) \Rightarrow M(r2) \Rightarrow \exists d[M].\ is_f(M, r1, r2, d)$ **and**
 $wit_is_g1: \bigwedge r1\ r2.\ M(r1) \Rightarrow M(r2) \Rightarrow \exists d[M].\ is_g1(M, r1, r2, d)$ **and**
 $wit_is_g2: \bigwedge r1\ r2.\ M(r1) \Rightarrow M(r2) \Rightarrow \exists d[M].\ is_g2(M, r1, r2, d)$ **and**
 $M(a)\ M(b)$
shows
 $\exists w[M].\ is_hcomp2_2(M, is_f, is_g1, is_g2, a, b, w)$
 $\langle proof \rangle$

lemma (**in** $M_trivial$) $extensionality_trans:$

assumes
 $M(d) \wedge (\forall x[M].\ x \in d \longleftrightarrow P(x))$
 $M(d') \wedge (\forall x[M].\ x \in d' \longleftrightarrow P(x))$
shows
 $d = d'$
 $\langle proof \rangle$

definition

$lt_rel :: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $lt_rel(M, a, b) \equiv a \in b \wedge ordinal(M, b)$

lemma (**in** M_trans) $lt_abs[absolut]: M(a) \Rightarrow M(b) \Rightarrow lt_rel(M, a, b) \longleftrightarrow a < b$
 $\langle proof \rangle$

definition

$le_rel :: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $le_rel(M, a, b) \equiv \exists sb[M].\ successor(M, b, sb) \wedge lt_rel(M, a, sb)$

lemma (**in** $M_trivial$) $le_abs[absolut]: M(a) \Rightarrow M(b) \Rightarrow le_rel(M, a, b) \longleftrightarrow a \leq b$
 $\langle proof \rangle$

10.2 Discipline for Pow

definition

$is_Pow :: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $is_Pow(M, A, z) \equiv M(z) \wedge (\forall x[M]. x \in z \longleftrightarrow subset(M, x, A))$

definition

$Pow_rel :: [i \Rightarrow o, i] \Rightarrow i (\langle Pow_rel'(_) \rangle)$ **where**
 $Pow_rel(M, r) \equiv THE\ d.\ is_Pow(M, r, d)$

abbreviation

$Pow_r_set :: [i, i] \Rightarrow i (\langle Pow_rel'(_) \rangle)$ **where**
 $Pow_r_set(M) \equiv Pow_rel(\#\#M)$

context M_basic

begin

lemma $is_Pow_uniqueness$:

assumes

$M(r)$

$is_Pow(M, r, d)\ is_Pow(M, r, d')$

shows

$d = d'$

$\langle proof \rangle$

lemma $is_Pow_witness$: $M(r) \Longrightarrow \exists d[M].\ is_Pow(M, r, d)$

$\langle proof \rangle$

lemma is_Pow_closed : $\llbracket M(r); is_Pow(M, r, d) \rrbracket \Longrightarrow M(d)$

$\langle proof \rangle$

lemma $Pow_rel_closed[intro, simp]$: $M(r) \Longrightarrow M(Pow_rel(M, r))$

$\langle proof \rangle$

lemmas $trans_Pow_rel_closed[trans_closed] = transM[OF_Pow_rel_closed]$

The proof of f_rel_iff lemma is schematic and it can be reused by copy-paste replacing appropriately.

lemma Pow_rel_iff :

assumes $M(r)\ M(d)$

shows $is_Pow(M, r, d) \longleftrightarrow d = Pow_rel(M, r)$

$\langle proof \rangle$

The next "def_" result really corresponds to $?A \in Pow(?B) \longleftrightarrow ?A \subseteq ?B$

lemma def_Pow_rel : $M(A) \Longrightarrow M(r) \Longrightarrow A \in Pow_rel(M, r) \longleftrightarrow A \subseteq r$

$\langle proof \rangle$

lemma *Pow_rel_char*: $M(r) \implies \text{Pow_rel}(M,r) = \{A \in \text{Pow}(r). M(A)\}$
 $\langle \text{proof} \rangle$

lemma *mem_Pow_rel_abs*: $M(a) \implies M(r) \implies a \in \text{Pow_rel}(M,r) \longleftrightarrow a \in \text{Pow}(r)$
 $\langle \text{proof} \rangle$

end — *M_basic*

10.3 Discipline for *PiP*

definition

PiP_rel:: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $\text{PiP_rel}(M,A,f) \equiv \exists df[M]. \text{is_domain}(M,f,df) \wedge \text{subset}(M,A,df) \wedge \text{is_function}(M,f)$

context *M_basic*

begin

lemma *def_PiP_rel*:

assumes

$M(A) \ M(f)$

shows

$\text{PiP_rel}(M,A,f) \longleftrightarrow A \subseteq \text{domain}(f) \wedge \text{function}(f)$

$\langle \text{proof} \rangle$

end — *M_basic*

definition — FIX THIS: not completely relational. Can it be?

Sigfun :: $[i, i \Rightarrow i] \Rightarrow i$ **where**
 $\text{Sigfun}(x,B) \equiv \bigcup_{y \in B(x)}. \{ \langle x, y \rangle \}$

lemma *Sigma_Sigfun*: $\text{Sigma}(A,B) = \bigcup \{ \text{Sigfun}(x,B) . x \in A \}$
 $\langle \text{proof} \rangle$

definition — FIX THIS: not completely relational. Can it be?

is_Sigfun :: $[i \Rightarrow o, i, i \Rightarrow i, i] \Rightarrow o$ **where**
 $\text{is_Sigfun}(M,x,B,Sd) \equiv M(Sd) \wedge (\exists RB[M]. \text{is_Replace}(M,B(x), \lambda y z. z = \{ \langle x, y \rangle \}, RB) \wedge \text{big_union}(M, RB, Sd))$

context *M_trivial*

begin

lemma *is_Sigfun_abs*:

```

assumes
  strong_replacement( $M, \lambda y z. z = \{\langle x, y \rangle\}$ )
   $M(x) \ M(B(x)) \ M(Sd)$ 
shows
   $is\_Sigfun(M, x, B, Sd) \longleftrightarrow Sd = Sigfun(x, B)$ 
 $\langle proof \rangle$ 

lemma Sigfun_closed:
assumes
  strong_replacement( $M, \lambda y z. y \in B(x) \wedge z = \{\langle x, y \rangle\}$ )
   $M(x) \ M(B(x))$ 
shows
   $M(Sigfun(x, B))$ 
 $\langle proof \rangle$ 

lemmas trans_Sigfun_closed[trans_closed] = transM[OF _ Sigfun_closed]

end — M_trivial

definition
  is_Sigma ::  $[i \Rightarrow o, i, i \Rightarrow i, i] \Rightarrow o$  where
  is_Sigma( $M, A, B, S$ )  $\equiv M(S) \wedge (\exists RSf[M].$ 
     $is\_Replace(M, A, \lambda x z. z = Sigfun(x, B), RSf) \wedge big\_union(M, RSf, S))$ 

locale M_Pi = M_basic +
assumes
  Pi_separation:  $M(A) \Longrightarrow separation(M, PiP\_rel(M, A))$ 
and
  Pi_replacement:
   $M(x) \Longrightarrow M(y) \Longrightarrow$ 
    strong_replacement( $M, \lambda ya z. ya \in y \wedge z = \{\langle x, ya \rangle\}$ )
   $M(y) \Longrightarrow$ 
    strong_replacement( $M, \lambda x z. z = (\bigcup_{xa \in y. \{\langle x, xa \rangle\}})$ )

locale M_Pi_assumptions = M_Pi +
fixes A B
assumes
  Pi_assumptions:
   $M(A)$ 
   $\bigwedge x. x \in A \Longrightarrow M(B(x))$ 
   $\forall x \in A. strong\_replacement(M, \lambda y z. y \in B(x) \wedge z = \{\langle x, y \rangle\})$ 
  strong_replacement( $M, \lambda x z. z = Sigfun(x, B)$ )
begin

lemma Sigma_abs[simp]:
assumes
   $M(S)$ 
shows
   $is\_Sigma(M, A, B, S) \longleftrightarrow S = Sigma(A, B)$ 

```

$\langle proof \rangle$

lemma $Sigma_closed[intro,simp]: M(Sigma(A,B))$
 $\langle proof \rangle$

lemmas $trans_Sigma_closed[trans_closed] = transM[OF_ Sigma_closed]$

end — $M_Pi_assumptions$

10.4 Discipline for Pi

definition

$is_Pi :: [i \Rightarrow o, i, i \Rightarrow i, i] \Rightarrow o$ **where**
 $is_Pi(M, A, B, I) \equiv M(I) \wedge (\exists S[M]. \exists PS[M]. is_Sigma(M, A, B, S) \wedge$
 $is_Pow(M, S, PS) \wedge$
 $is_Collect(M, PS, PiP_rel(M, A), I))$

definition

$Pi_rel :: [i \Rightarrow o, i, i \Rightarrow i] \Rightarrow i$ ($\langle Pi_rel'(_, _) \rangle$) **where**
 $Pi_rel(M, A, B) \equiv THE\ d.\ is_Pi(M, A, B, d)$

abbreviation

$Pi_r_set :: [i, i, i \Rightarrow i] \Rightarrow i$ ($\langle Pi_r_set'(_, _) \rangle$) **where**
 $Pi_r_set(M, A, B) \equiv Pi_rel(\#\#M, A, B)$

context $M_Pi_assumptions$

begin

lemma $is_Pi_uniqueness:$

assumes

$is_Pi(M, A, B, d)\ is_Pi(M, A, B, d')$

shows

$d = d'$

$\langle proof \rangle$

lemma $is_Pi_witness: \exists d[M]. is_Pi(M, A, B, d)$
 $\langle proof \rangle$

lemma $is_Pi_closed : is_Pi(M, A, B, d) \implies M(d)$
 $\langle proof \rangle$

lemma $Pi_rel_closed[intro,simp]: M(Pi_rel(M, A, B))$
 $\langle proof \rangle$

lemmas $trans_Pi_rel_closed[trans_closed] = transM[OF_ Pi_rel_closed]$

lemma $Pi_rel_iff:$

assumes $M(d)$
shows $is_Pi(M, A, B, d) \longleftrightarrow d = Pi_rel(M, A, B)$
 $\langle proof \rangle$

lemma def_Pi_rel :
 $Pi_rel(M, A, B) = \{f \in Pow_rel(M, Sigma(A, B)). A \subseteq domain(f) \wedge function(f)\}$
 $\langle proof \rangle$

lemma Pi_rel_char : $Pi_rel(M, A, B) = \{f \in Pi(A, B). M(f)\}$
 $\langle proof \rangle$

lemma $mem_Pi_rel_abs$:
assumes $M(f)$
shows $f \in Pi_rel(M, A, B) \longleftrightarrow f \in Pi(A, B)$
 $\langle proof \rangle$

end — $M_Pi_assumptions$

The next locale (and similar ones below) are used to show the relationship between versions of simple (i.e. $\Sigma_1^{ZF}, \Pi_1^{ZF}$) concepts in two different transitive models.

locale $M_N_Pi_assumptions = M:M_Pi_assumptions + N:M_Pi_assumptions$
for $N +$
assumes
 $M_imp_N:M(x) \implies N(x)$
begin

lemma $Pi_rel_transfer$: $Pi^M(A, B) \subseteq Pi^N(A, B)$
 $\langle proof \rangle$

end — $M_N_Pi_assumptions$

locale $M_Pi_assumptions_0 = M_Pi_assumptions_0$
begin

This is used in the proof of AC_Pi_rel

lemma $Pi_rel_empty1[simp]$: $Pi^M(0, B) = \{0\}$
 $\langle proof \rangle$

end — $M_Pi_assumptions_0$

context $M_Pi_assumptions$
begin

10.5 Auxiliary ported results on Pi_rel , now unused

```

lemma  $Pi\_rel\_iff'$ :
  assumes  $types:M(f)$ 
  shows
     $f \in Pi\_rel(M,A,B) \longleftrightarrow function(f) \wedge f \subseteq Sigma(A,B) \wedge A \subseteq domain(f)$ 
   $\langle proof \rangle$ 

```

```

lemma  $lam\_type\_M$ :
  assumes  $M(A) \wedge x. x \in A \implies M(B(x))$ 
     $\wedge x. x \in A \implies b(x) \in B(x) \ strong\_replacement(M, \lambda x y. y = \langle x, b(x) \rangle)$ 
  shows  $(\lambda x \in A. b(x)) \in Pi\_rel(M,A,B)$ 
   $\langle proof \rangle$ 

```

```

end —  $M\_Pi\_assumptions$ 

```

```

locale  $M\_Pi\_assumptions2 = M\_Pi\_assumptions +$ 
   $PiC: M\_Pi\_assumptions \_ \_ C \text{ for } C$ 
begin

```

```

lemma  $Pi\_rel\_type$ :
  assumes  $f \in Pi^M(A,C) \wedge x. x \in A \implies f'x \in B(x)$ 
    and  $types: M(f)$ 
  shows  $f \in Pi^M(A,B)$ 
   $\langle proof \rangle$ 

```

```

lemma  $Pi\_rel\_weaken\_type$ :
  assumes  $f \in Pi^M(A,B) \wedge x. x \in A \implies B(x) \subseteq C(x)$ 
    and  $types: M(f)$ 
  shows  $f \in Pi^M(A,C)$ 
   $\langle proof \rangle$ 

```

```

end —  $M\_Pi\_assumptions2$ 

```

```

end

```

11 Arities of internalized formulas

```

theory  $Arities$ 
  imports
     $Internalizations$ 
     $Discipline\_Base$ 
begin

```

```

lemmas  $FOL\_arities [simp del, arity] = arity\_And \ arity\_Or \ arity\_Implies \ arity\_Iff$ 
 $arity\_Exists$ 

```

declare *pred_Un_distrib*[*arity_aux*]

context

notes *FOL_arities*[*simp*]

begin

lemma *arity_upair_fm* [*arity*] : $\llbracket t1 \in nat ; t2 \in nat ; up \in nat \rrbracket \implies$
 $arity(upair_fm(t1, t2, up)) = \bigcup \{succ(t1), succ(t2), succ(up)\}$
 $\langle proof \rangle$

lemma *arity_pair_fm* [*arity*] : $\llbracket t1 \in nat ; t2 \in nat ; p \in nat \rrbracket \implies$
 $arity(pair_fm(t1, t2, p)) = \bigcup \{succ(t1), succ(t2), succ(p)\}$
 $\langle proof \rangle$

lemma *arity_composition_fm* [*arity*] :
 $\llbracket r \in nat ; s \in nat ; t \in nat \rrbracket \implies arity(composition_fm(r, s, t)) = \bigcup \{succ(r), succ(s), succ(t)\}$
 $\langle proof \rangle$

lemma *arity_domain_fm* [*arity*] :
 $\llbracket r \in nat ; z \in nat \rrbracket \implies arity(domain_fm(r, z)) = succ(r) \cup succ(z)$
 $\langle proof \rangle$

lemma *arity_range_fm* [*arity*] :
 $\llbracket r \in nat ; z \in nat \rrbracket \implies arity(range_fm(r, z)) = succ(r) \cup succ(z)$
 $\langle proof \rangle$

lemma *arity_union_fm* [*arity*] :
 $\llbracket x \in nat ; y \in nat ; z \in nat \rrbracket \implies arity(union_fm(x, y, z)) = \bigcup \{succ(x), succ(y), succ(z)\}$
 $\langle proof \rangle$

lemma *arity_image_fm* [*arity*] :
 $\llbracket x \in nat ; y \in nat ; z \in nat \rrbracket \implies arity(image_fm(x, y, z)) = \bigcup \{succ(x), succ(y), succ(z)\}$
 $\langle proof \rangle$

lemma *arity_pre_image_fm* [*arity*] :
 $\llbracket x \in nat ; y \in nat ; z \in nat \rrbracket \implies arity(pre_image_fm(x, y, z)) = \bigcup \{succ(x), succ(y), succ(z)\}$
 $\langle proof \rangle$

lemma *arity_big_union_fm* [*arity*] :
 $\llbracket x \in nat ; y \in nat \rrbracket \implies arity(big_union_fm(x, y)) = succ(x) \cup succ(y)$
 $\langle proof \rangle$

lemma *arity_fun_apply_fm* [*arity*] :

$\llbracket x \in \text{nat} ; y \in \text{nat} ; f \in \text{nat} \rrbracket \implies$
 $\text{arity}(\text{fun_apply_fm}(f, x, y)) = \text{succ}(f) \cup \text{succ}(x) \cup \text{succ}(y)$
 $\langle \text{proof} \rangle$

lemma *arity_field_fm* [arity] :
 $\llbracket r \in \text{nat} ; z \in \text{nat} \rrbracket \implies \text{arity}(\text{field_fm}(r, z)) = \text{succ}(r) \cup \text{succ}(z)$
 $\langle \text{proof} \rangle$

lemma *arity_empty_fm* [arity]:
 $\llbracket r \in \text{nat} \rrbracket \implies \text{arity}(\text{empty_fm}(r)) = \text{succ}(r)$
 $\langle \text{proof} \rangle$

lemma *arity_cons_fm* [arity] :
 $\llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat} \rrbracket \implies \text{arity}(\text{cons_fm}(x, y, z)) = \text{succ}(x) \cup \text{succ}(y) \cup \text{succ}(z)$
 $\langle \text{proof} \rangle$

lemma *arity_succ_fm* [arity] :
 $\llbracket x \in \text{nat}; y \in \text{nat} \rrbracket \implies \text{arity}(\text{succ_fm}(x, y)) = \text{succ}(x) \cup \text{succ}(y)$
 $\langle \text{proof} \rangle$

lemma *arity_number1_fm* [arity] :
 $\llbracket r \in \text{nat} \rrbracket \implies \text{arity}(\text{number1_fm}(r)) = \text{succ}(r)$
 $\langle \text{proof} \rangle$

lemma *arity_function_fm* [arity] :
 $\llbracket r \in \text{nat} \rrbracket \implies \text{arity}(\text{function_fm}(r)) = \text{succ}(r)$
 $\langle \text{proof} \rangle$

lemma *arity_relation_fm* [arity] :
 $\llbracket r \in \text{nat} \rrbracket \implies \text{arity}(\text{relation_fm}(r)) = \text{succ}(r)$
 $\langle \text{proof} \rangle$

lemma *arity_restriction_fm* [arity] :
 $\llbracket r \in \text{nat} ; z \in \text{nat} ; A \in \text{nat} \rrbracket \implies \text{arity}(\text{restriction_fm}(A, z, r)) = \text{succ}(A) \cup \text{succ}(r)$
 $\cup \text{succ}(z)$
 $\langle \text{proof} \rangle$

lemma *arity_typed_function_fm* [arity] :
 $\llbracket x \in \text{nat} ; y \in \text{nat} ; f \in \text{nat} \rrbracket \implies$
 $\text{arity}(\text{typed_function_fm}(f, x, y)) = \bigcup \{ \text{succ}(f), \text{succ}(x), \text{succ}(y) \}$
 $\langle \text{proof} \rangle$

lemma *arity_subset_fm* [arity] :
 $\llbracket x \in \text{nat} ; y \in \text{nat} \rrbracket \implies \text{arity}(\text{subset_fm}(x, y)) = \text{succ}(x) \cup \text{succ}(y)$
 $\langle \text{proof} \rangle$

lemma *arity_transset_fm* [arity] :
 $\llbracket x \in \text{nat} \rrbracket \implies \text{arity}(\text{transset_fm}(x)) = \text{succ}(x)$

$\langle \text{proof} \rangle$

lemma *arity_ordinal_fm* [arity] :
 $\llbracket x \in \text{nat} \rrbracket \implies \text{arity}(\text{ordinal_fm}(x)) = \text{succ}(x)$
 $\langle \text{proof} \rangle$

lemma *arity_limit_ordinal_fm* [arity] :
 $\llbracket x \in \text{nat} \rrbracket \implies \text{arity}(\text{limit_ordinal_fm}(x)) = \text{succ}(x)$
 $\langle \text{proof} \rangle$

lemma *arity_finite_ordinal_fm* [arity] :
 $\llbracket x \in \text{nat} \rrbracket \implies \text{arity}(\text{finite_ordinal_fm}(x)) = \text{succ}(x)$
 $\langle \text{proof} \rangle$

lemma *arity_omega_fm* [arity] :
 $\llbracket x \in \text{nat} \rrbracket \implies \text{arity}(\text{omega_fm}(x)) = \text{succ}(x)$
 $\langle \text{proof} \rangle$

lemma *arity_cartprod_fm* [arity] :
 $\llbracket A \in \text{nat} ; B \in \text{nat} ; z \in \text{nat} \rrbracket \implies \text{arity}(\text{cartprod_fm}(A, B, z)) = \text{succ}(A) \cup \text{succ}(B) \cup \text{succ}(z)$
 $\langle \text{proof} \rangle$

lemma *arity_singleton_fm* [arity] :
 $\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{singleton_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$
 $\langle \text{proof} \rangle$

lemma *arity_Memrel_fm* [arity] :
 $\llbracket x \in \text{nat} ; t \in \text{nat} \rrbracket \implies \text{arity}(\text{Memrel_fm}(x, t)) = \text{succ}(x) \cup \text{succ}(t)$
 $\langle \text{proof} \rangle$

lemma *arity_quasinat_fm* [arity] :
 $\llbracket x \in \text{nat} \rrbracket \implies \text{arity}(\text{quasinat_fm}(x)) = \text{succ}(x)$
 $\langle \text{proof} \rangle$

lemma *arity_is_recfun_fm* [arity] :
 $\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$
 $\text{arity}(\text{is_recfun_fm}(p, v, n, Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup \text{pred}(\text{pred}(\text{pred}(\text{pred}(i))))$
 $\langle \text{proof} \rangle$

lemma *arity_is_wfrec_fm* [arity] :
 $\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$
 $\text{arity}(\text{is_wfrec_fm}(p, v, n, Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup \text{pred}(\text{pred}(\text{pred}(\text{pred}(i))))$
 $\langle \text{proof} \rangle$

lemma *arity_is_nat_case_fm* [arity] :
 $\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; Z \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$
 $\text{arity}(\text{is_nat_case_fm}(v, p, n, Z)) = \text{succ}(v) \cup \text{succ}(n) \cup \text{succ}(Z) \cup \text{pred}(\text{pred}(i))$
 $\langle \text{proof} \rangle$

lemma *arity_iterates_MH_fm* [arity] :
assumes $isF \in formula \ v \in nat \ n \in nat \ g \in nat \ z \in nat \ i \in nat$
 $arity(isF) = i$
shows $arity(iterates_MH_fm(isF, v, n, g, z)) =$
 $succ(v) \cup succ(n) \cup succ(g) \cup succ(z) \cup pred(pred(pred(pred(i))))$
 $\langle proof \rangle$

lemma *arity_is_iterates_fm* [arity] :
assumes $p \in formula \ v \in nat \ n \in nat \ Z \in nat \ i \in nat$
 $arity(p) = i$
shows $arity(is_iterates_fm(p, v, n, Z)) = succ(v) \cup succ(n) \cup succ(Z) \cup$
 $pred(pred(pred(pred(pred(pred(pred(pred(pred(pred(i))))))))))$
 $\langle proof \rangle$

lemma *arity_eclose_n_fm* [arity] :
assumes $A \in nat \ x \in nat \ t \in nat$
shows $arity(eclose_n_fm(A, x, t)) = succ(A) \cup succ(x) \cup succ(t)$
 $\langle proof \rangle$

lemma *arity_mem_eclose_fm* [arity] :
assumes $x \in nat \ t \in nat$
shows $arity(mem_eclose_fm(x, t)) = succ(x) \cup succ(t)$
 $\langle proof \rangle$

lemma *arity_is_eclose_fm* [arity] :
 $\llbracket x \in nat \ ; \ t \in nat \rrbracket \implies arity(is_eclose_fm(x, t)) = succ(x) \cup succ(t)$
 $\langle proof \rangle$

lemma *arity_Collect_fm* [arity] :
assumes $x \in nat \ y \in nat \ p \in formula$
shows $arity(Collect_fm(x, p, y)) = succ(x) \cup succ(y) \cup pred(arity(p))$
 $\langle proof \rangle$

schematic_goal *arity_least_fm'*:
assumes
 $i \in nat \ q \in formula$
shows
 $arity(least_fm(q, i)) \equiv ?ar$
 $\langle proof \rangle$

lemma *arity_least_fm* [arity] :
assumes
 $i \in nat \ q \in formula$
shows
 $arity(least_fm(q, i)) = succ(i) \cup pred(arity(q))$
 $\langle proof \rangle$

lemma *arity_Replace_fm* [arity] :

```

    
$$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$$


$$\text{arity}(\text{Replace\_fm}(v, p, n)) = \text{succ}(n) \cup (\text{succ}(v) \cup \text{Arith.pred}(\text{Arith.pred}(i)))$$

    
$$\langle \text{proof} \rangle$$


lemma arity_lambda_fm [arity] :
    
$$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$$


$$\text{arity}(\text{lambda\_fm}(p, v, n)) = \text{succ}(n) \cup (\text{succ}(v) \cup \text{Arith.pred}(\text{Arith.pred}(\text{Arith.pred}(i))))$$

    
$$\langle \text{proof} \rangle$$


lemma arity_transrec_fm [arity] :
    
$$\llbracket p \in \text{formula} ; v \in \text{nat} ; n \in \text{nat} ; i \in \text{nat} \rrbracket \implies \text{arity}(p) = i \implies$$


$$\text{arity}(\text{is\_transrec\_fm}(p, v, n)) = \text{succ}(v) \cup \text{succ}(n) \cup (\text{pred}^8(i))$$

    
$$\langle \text{proof} \rangle$$


end — FOL_arityies

declare arity_subset_fm [simp del] arity_ordinal_fm [simp del, arity] arity_transset_fm [simp del]

end
theory Discipline_Function
  imports
    Arities
begin

Discipline for fst   $\langle ML \rangle$ 
definition
  is_fst ::  $(i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  where
  is_fst(M, x, t)  $\equiv (\exists z[M]. \text{pair}(M, t, z, x)) \vee$ 

$$(\neg (\exists z[M]. \exists w[M]. \text{pair}(M, w, z, x)) \wedge \text{empty}(M, t))$$

   $\langle ML \rangle$ 
notation fst_fm ( $\langle \cdot \text{fst}'(\_)' \text{ is } \_ \cdot \rangle$ )

   $\langle ML \rangle$ 

definition fst_rel ::  $[i \Rightarrow o, i] \Rightarrow i$  where
  fst_rel(M, p)  $\equiv \text{THE } d. M(d) \wedge \text{is\_fst}(M, p, d)$ 

   $\langle ML \rangle$ 

definition
  is_snd ::  $(i \Rightarrow o) \Rightarrow i \Rightarrow i \Rightarrow o$  where
  is_snd(M, x, t)  $\equiv (\exists z[M]. \text{pair}(M, z, t, x)) \vee$ 

$$(\neg (\exists z[M]. \exists w[M]. \text{pair}(M, z, w, x)) \wedge \text{empty}(M, t))$$

   $\langle ML \rangle$ 
notation snd_fm ( $\langle \cdot \text{snd}'(\_)' \text{ is } \_ \cdot \rangle$ )
   $\langle ML \rangle$ 

definition snd_rel ::  $[i \Rightarrow o, i] \Rightarrow i$  where

```

$snd_rel(M,p) \equiv THE\ d.\ M(d) \wedge is_snd(M,p,d)$

$\langle ML \rangle$

context M_trans
begin

lemma fst_snd_closed :
 assumes $M(p)$
 shows $M(fst(p)) \wedge M(snd(p))$
 $\langle proof \rangle$

lemma $fst_closed[intro,simp]$: $M(x) \implies M(fst(x))$
 $\langle proof \rangle$

lemma $snd_closed[intro,simp]$: $M(x) \implies M(snd(x))$
 $\langle proof \rangle$

lemma $fst_abs\ [absolut]$:
 $\llbracket M(p); M(x) \rrbracket \implies is_fst(M,p,x) \longleftrightarrow x = fst(p)$
 $\langle proof \rangle$

lemma $snd_abs\ [absolut]$:
 $\llbracket M(p); M(y) \rrbracket \implies is_snd(M,p,y) \longleftrightarrow y = snd(p)$
 $\langle proof \rangle$

lemma $empty_rel_abs$: $M(x) \implies M(0) \implies x = 0 \longleftrightarrow x = (THE\ d.\ M(d) \wedge empty(M, d))$
 $\langle proof \rangle$

lemma fst_rel_abs :
 assumes $M(p)$
 shows $fst(p) = fst_rel(M,p)$
 $\langle proof \rangle$

lemma snd_rel_abs :
 assumes $M(p)$
 shows $snd(p) = snd_rel(M,p)$
 $\langle proof \rangle$

end — M_trans

$\langle ML \rangle$

context M_trans
begin

lemma $minimum_closed[simp,intro]$:
 assumes $M(A)$

```

shows  $M(\text{minimum}(r,A))$ 
 $\langle \text{proof} \rangle$ 

lemma first_abs :
  assumes  $M(B)$ 
  shows  $\text{first}(z,B,r) \longleftrightarrow \text{first\_rel}(M,z,B,r)$ 
   $\langle \text{proof} \rangle$ 

lemma minimum_abs:
  assumes  $M(B)$ 
  shows  $\text{minimum}(r,B) = \text{minimum\_rel}(M,r,B)$ 
   $\langle \text{proof} \rangle$ 

end — M_trans

```

11.1 Discipline for $\lambda A \ B. A \rightarrow B$

```

definition
  is_function_space ::  $[i \Rightarrow o, i, i, i] \Rightarrow o$  where
    is_function_space( $M, A, B, fs$ )  $\equiv M(fs) \wedge \text{is\_funspace}(M, A, B, fs)$ 

definition
  function_space_rel ::  $[i \Rightarrow o, i, i] \Rightarrow i$  where
    function_space_rel( $M, A, B$ )  $\equiv \text{THE } d. \text{is\_function\_space}(M, A, B, d)$ 

 $\langle ML \rangle$ 

```

```

abbreviation
  function_space_r ::  $[i, i \Rightarrow o, i] \Rightarrow i$  ( $\langle \_ \rightarrow \_ \rangle [61, 1, 61] 60$ ) where
     $A \rightarrow^M B \equiv \text{function\_space\_rel}(M, A, B)$ 

```

```

abbreviation
  function_space_r_set ::  $[i, i, i] \Rightarrow i$  ( $\langle \_ \rightarrow \_ \rangle [61, 1, 61] 60$ ) where
    function_space_r_set( $A, M$ )  $\equiv \text{function\_space\_rel}(\#\#M, A)$ 

```

```

context M_Pi
begin

```

```

lemma is_function_space_uniqueness:
  assumes
     $M(r) \ M(B)$ 
     $\text{is\_function\_space}(M, r, B, d) \ \text{is\_function\_space}(M, r, B, d')$ 
  shows
     $d = d'$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma is_function_space_witness:
  assumes  $M(A) \ M(B)$ 

```

shows $\exists d[M]. \text{is_function_space}(M, A, B, d)$
 $\langle \text{proof} \rangle$

lemma *is_function_space_closed* :
 $\text{is_function_space}(M, A, B, d) \implies M(d)$
 $\langle \text{proof} \rangle$

lemma *function_space_rel_closed*[intro,simp]:
assumes $M(x) \ M(y)$
shows $M(\text{function_space_rel}(M, x, y))$
 $\langle \text{proof} \rangle$

lemmas *trans_function_space_rel_closed*[trans_closed] = *transM*[OF *function_space_rel_closed*]

lemma *function_space_rel_iff*:
assumes $M(x) \ M(y) \ M(d)$
shows $\text{is_function_space}(M, x, y, d) \longleftrightarrow d = \text{function_space_rel}(M, x, y)$
 $\langle \text{proof} \rangle$

lemma *def_function_space_rel*:
assumes $M(A) \ M(y)$
shows $\text{function_space_rel}(M, A, y) = \text{Pi_rel}(M, A, \lambda_. y)$
 $\langle \text{proof} \rangle$

lemma *function_space_rel_char*:
assumes $M(A) \ M(y)$
shows $\text{function_space_rel}(M, A, y) = \{f \in A \rightarrow y. M(f)\}$
 $\langle \text{proof} \rangle$

lemma *mem_function_space_rel_abs*:
assumes $M(A) \ M(y) \ M(f)$
shows $f \in \text{function_space_rel}(M, A, y) \longleftrightarrow f \in A \rightarrow y$
 $\langle \text{proof} \rangle$

end — *M_Pi*

locale *M_N_Pi* = *M:M_Pi* + *N:M_Pi* *N* **for** *N* +
assumes
 $M_imp_N:M(x) \implies N(x)$
begin

lemma *function_space_rel_transfer*: $M(A) \implies M(B) \implies$
 $\text{function_space_rel}(M, A, B) \subseteq \text{function_space_rel}(N, A, B)$
 $\langle \text{proof} \rangle$

end — M_N_Pi

abbreviation

$is_apply \equiv fun_apply$

— It is not necessary to perform the Discipline for is_apply since it is absolute in this context

11.2 Discipline for *Collect* terms.

We have to isolate the predicate involved and apply the Discipline to it.

definition

$injP_rel:: [i \Rightarrow o, i, i] \Rightarrow o$ **where**

$injP_rel(M, A, f) \equiv \forall w[M]. \forall x[M]. \forall fw[M]. \forall fx[M]. w \in A \wedge x \in A \wedge$
 $is_apply(M, f, w, fw) \wedge is_apply(M, f, x, fx) \wedge fw = fx \longrightarrow w = x$

$\langle ML \rangle$

context M_basic

begin

— I'm undecided on keeping the relative quantifiers here. Same with $surjP$ below.

It might relieve from changing $?P(?x) \Longrightarrow \exists x. ?P(x)$

$(\bigwedge x. ?P(x)) \Longrightarrow \forall x. ?P(x)$ to $\llbracket ?P(?x); ?M(?x) \rrbracket \Longrightarrow \exists x[?M]. ?P(x)$

$(\bigwedge x. ?M(x) \Longrightarrow ?P(x)) \Longrightarrow \forall x[?M]. ?P(x)$ in some proofs. I wonder if this escalates well. Assuming that all terms appearing in the "def_" theorem are in M and using $\llbracket ?y \in ?x; M(?x) \rrbracket \Longrightarrow M(?y)$, it might do.

lemma def_injP_rel :

assumes

$M(A) \ M(f)$

shows

$injP_rel(M, A, f) \longleftrightarrow (\forall w[M]. \forall x[M]. w \in A \wedge x \in A \wedge f'w = f'x \longrightarrow w = x)$

$\langle proof \rangle$

end — M_basic

11.3 Discipline for *inj*

definition

$is_inj :: [i \Rightarrow o, i, i, i] \Rightarrow o$ **where**

$is_inj(M, A, B, I) \equiv M(I) \wedge (\exists F[M]. is_function_space(M, A, B, F) \wedge$
 $is_Collect(M, F, injP_rel(M, A), I))$

declare $typed_function_iff_sats \ Collect_iff_sats \ [iff_sats]$

$\langle ML \rangle$

notation $is_function_space_fm \ (\hookrightarrow _ \rightarrow _ \ is \ _)$

$\langle ML \rangle$

notation $is_inj_fm (\langle inj'(_, _) is _ \rangle)$

$\langle ML \rangle$

lemma $arity_is_inj_fm[arity]$:

$A \in nat \implies$

$B \in nat \implies I \in nat \implies arity(is_inj_fm(A, B, I)) = succ(A) \cup succ(B) \cup succ(I)$

$\langle proof \rangle$

definition

$inj_rel :: [i \Rightarrow o, i, i] \Rightarrow i (\langle inj'(_, _) \rangle)$ **where**

$inj_rel(M, A, B) \equiv THE\ d.\ is_inj(M, A, B, d)$

abbreviation

$inj_r_set :: [i, i, i] \Rightarrow i (\langle inj'(_, _) \rangle)$ **where**

$inj_r_set(M) \equiv inj_rel(\#\#M)$

locale $M_inj = M_Pi +$

assumes

$injP_separation: M(r) \implies separation(M, injP_rel(M, r))$

begin

lemma $is_inj_uniqueness$:

assumes

$M(r)\ M(B)$

$is_inj(M, r, B, d)\ is_inj(M, r, B, d')$

shows

$d = d'$

$\langle proof \rangle$

lemma $is_inj_witness: M(r) \implies M(B) \implies \exists d[M].\ is_inj(M, r, B, d)$

$\langle proof \rangle$

lemma $is_inj_closed :$

$is_inj(M, x, y, d) \implies M(d)$

$\langle proof \rangle$

lemma $inj_rel_closed[intro, simp]$:

assumes $M(x)\ M(y)$

shows $M(inj_rel(M, x, y))$

$\langle proof \rangle$

lemmas $trans_inj_rel_closed[trans_closed] = transM[OF_inj_rel_closed]$

lemma inj_rel_iff :

assumes $M(x)\ M(y)\ M(d)$

shows $is_inj(M, x, y, d) \longleftrightarrow d = inj_rel(M, x, y)$
 $\langle proof \rangle$

lemma *def_inj_rel*:
assumes $M(A) \ M(B)$
shows $inj_rel(M, A, B) =$
 $\{f \in function_space_rel(M, A, B). \ \forall w[M]. \ \forall x[M]. \ w \in A \wedge x \in A \wedge f'w =$
 $f'x \longrightarrow w=x\}$
(is $_ = Collect(_, ?P)$
 $\langle proof \rangle$

lemma *inj_rel_char*:
assumes $M(A) \ M(B)$
shows $inj_rel(M, A, B) = \{f \in inj(A, B). \ M(f)\}$
 $\langle proof \rangle$

end — M_inj

locale $M_N_inj = M:M_inj + N:M_inj \ N$ **for** $N +$
assumes
 $M_imp_N:M(x) \Longrightarrow N(x)$
begin

lemma *inj_rel_transfer*: $M(A) \Longrightarrow M(B) \Longrightarrow inj_rel(M, A, B) \subseteq inj_rel(N, A, B)$
 $\langle proof \rangle$

end — M_N_inj

definition
 $surjP_rel:: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $surjP_rel(M, A, B, f) \equiv$
 $\forall y[M]. \ \exists x[M]. \ \exists fx[M]. \ y \in B \longrightarrow x \in A \wedge is_apply(M, f, x, fx) \wedge fx=y$
 $\langle ML \rangle$

context M_basic
begin

lemma *def_surjP_rel*:
assumes
 $M(A) \ M(B) \ M(f)$
shows
 $surjP_rel(M, A, B, f) \longleftrightarrow (\forall y[M]. \ \exists x[M]. \ y \in B \longrightarrow x \in A \wedge f'x=y)$

$\langle proof \rangle$

end — M_basic

11.4 Discipline for $surj$

definition

$is_surj :: [i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $is_surj(M, A, B, I) \equiv M(I) \wedge (\exists F[M]. is_function_space(M, A, B, F) \wedge$
 $is_Collect(M, F, surjP_rel(M, A, B), I))$

$\langle ML \rangle$

notation $is_surj_fm (\langle surj'(_, _) is _ \rangle)$

definition

$surj_rel :: [i \Rightarrow o, i, i] \Rightarrow i (\langle surj'(_, _) \rangle)$ **where**
 $surj_rel(M, A, B) \equiv THE\ d.\ is_surj(M, A, B, d)$

abbreviation

$surj_r_set :: [i, i, i] \Rightarrow i (\langle surj'(_, _) \rangle)$ **where**
 $surj_r_set(M) \equiv surj_rel(\#\#M)$

locale $M_surj = M_Pi +$

assumes

$surjP_separation: M(A) \Longrightarrow M(B) \Longrightarrow separation(M, \lambda x. surjP_rel(M, A, B, x))$

begin

lemma $is_surj_uniqueness:$

assumes

$M(r) \ M(B)$
 $is_surj(M, r, B, d) \ is_surj(M, r, B, d')$

shows

$d = d'$

$\langle proof \rangle$

lemma $is_surj_witness: M(r) \Longrightarrow M(B) \Longrightarrow \exists d[M]. is_surj(M, r, B, d)$

$\langle proof \rangle$

lemma $is_surj_closed :$

$is_surj(M, x, y, d) \Longrightarrow M(d)$

$\langle proof \rangle$

lemma $surj_rel_closed[intro, simp]:$

assumes $M(x) \ M(y)$

shows $M(surj_rel(M, x, y))$

$\langle proof \rangle$

lemmas $trans_surj_rel_closed[trans_closed] = transM[OF _ surj_rel_closed]$

```

lemma surj_rel_iff:
  assumes  $M(x) \ M(y) \ M(d)$ 
  shows  $is\_surj(M, x, y, d) \longleftrightarrow d = surj\_rel(M, x, y)$ 
   $\langle proof \rangle$ 

lemma def_surj_rel:
  assumes  $M(A) \ M(B)$ 
  shows  $surj\_rel(M, A, B) =$ 
     $\{f \in function\_space\_rel(M, A, B). \ \forall y[M]. \ \exists x[M]. \ y \in B \longrightarrow x \in A \wedge f'x=y \}$ 
  (is  $\_ = Collect(\_, ?P)$ )
   $\langle proof \rangle$ 

lemma surj_rel_char:
  assumes  $M(A) \ M(B)$ 
  shows  $surj\_rel(M, A, B) = \{f \in surj(A, B). \ M(f)\}$ 
   $\langle proof \rangle$ 

end — M_surj

locale M_N_surj =  $M:M\_surj + N:M\_surj \ N$  for  $N +$ 
  assumes
     $M\_imp\_N:M(x) \Longrightarrow N(x)$ 
begin

lemma surj_rel_transfer:  $M(A) \Longrightarrow M(B) \Longrightarrow surj\_rel(M, A, B) \subseteq surj\_rel(N, A, B)$ 
   $\langle proof \rangle$ 

end — M_N_surj

definition
  is_Int ::  $[i \Rightarrow o, i, i, i] \Rightarrow o$  where
     $is\_Int(M, A, B, I) \equiv M(I) \wedge (\forall x[M]. \ x \in I \longleftrightarrow x \in A \wedge x \in B)$ 

   $\langle ML \rangle$ 
notation is_Int_fm ( $\langle \_ \cap \_ is \_ \rangle$ )

context M_basic
begin

lemma is_Int_closed :
   $is\_Int(M, A, B, I) \Longrightarrow M(I)$ 
   $\langle proof \rangle$ 

lemma is_Int_abs:
  assumes
     $M(A) \ M(B) \ M(I)$ 
  shows

```

$is_Int(M, A, B, I) \longleftrightarrow I = A \cap B$
 $\langle proof \rangle$

lemma *is_Int_uniqueness*:

assumes

$M(r) \ M(B)$

$is_Int(M, r, B, d) \ is_Int(M, r, B, d')$

shows

$d = d'$

$\langle proof \rangle$

Note: $\llbracket M(?A); M(?B) \rrbracket \implies M(?A \cap ?B)$ already in *ZF-Constructible.Relative*.

end — *M_basic*

11.5 Discipline for *bij*

$\langle ML \rangle$

notation $is_bij_fm \ (\langle \cdot \rangle \text{bij}'(_, _) \text{ is } _ \cdot)$

abbreviation

$bij_r_class :: [i \Rightarrow o, i, i] \Rightarrow i \ (\langle \cdot \rangle \text{bij}'(_, _))$ **where**

$bij_r_class \equiv bij_rel$

abbreviation

$bij_r_set :: [i, i, i] \Rightarrow i \ (\langle \cdot \rangle \text{bij}'(_, _))$ **where**

$bij_r_set(M) \equiv bij_rel(\#\#M)$

locale $M_Perm = M_Pi + M_inj + M_surj$

begin

lemma $is_bij_closed : is_bij(M, f, y, d) \implies M(d)$

$\langle proof \rangle$

lemma $bij_rel_closed[intro, simp]$:

assumes $M(x) \ M(y)$

shows $M(bij_rel(M, x, y))$

$\langle proof \rangle$

lemmas $trans_bij_rel_closed[trans_closed] = transM[OF _ _ bij_rel_closed]$

lemma bij_rel_iff :

assumes $M(x) \ M(y) \ M(d)$

shows $is_bij(M, x, y, d) \longleftrightarrow d = bij_rel(M, x, y)$

$\langle proof \rangle$

```

lemma def_bij_rel:
  assumes  $M(A) \ M(B)$ 
  shows  $\text{bij\_rel}(M,A,B) = \text{inj\_rel}(M,A,B) \cap \text{surj\_rel}(M,A,B)$ 
   $\langle \text{proof} \rangle$ 

lemma bij_rel_char:
  assumes  $M(A) \ M(B)$ 
  shows  $\text{bij\_rel}(M,A,B) = \{f \in \text{bij}(A,B). \ M(f)\}$ 
   $\langle \text{proof} \rangle$ 

end —  $M\_Perm$ 

locale  $M\_N\_Perm = M\_N\_Pi + M\_N\_inj + M\_N\_surj + M:M\_Perm +$ 
 $N:M\_Perm \ N$ 

begin

lemma bij_rel_transfer:  $M(A) \implies M(B) \implies \text{bij\_rel}(M,A,B) \subseteq \text{bij\_rel}(N,A,B)$ 
   $\langle \text{proof} \rangle$ 

end —  $M\_N\_Perm$ 

## 11.6 Discipline for $(\approx)$

 $\langle ML \rangle$ 
notation is_eqpoll_fm  $(\langle \cdot \rangle \approx \cdot)$ 

context  $M\_Perm$  begin

 $\langle ML \rangle$ 
 $\langle \text{proof} \rangle$ 

end —  $M\_Perm$ 

abbreviation
 $\text{eqpoll\_r} :: [i,i \Rightarrow o,i] \Rightarrow o \ (\langle \cdot \rangle \approx \cdot \rangle [51,1,51] \ 50)$  where
 $A \approx^M B \equiv \text{eqpoll\_rel}(M,A,B)$ 

abbreviation
 $\text{eqpoll\_r\_set} :: [i,i,i] \Rightarrow o \ (\langle \cdot \rangle \approx \cdot \rangle [51,1,51] \ 50)$  where
 $\text{eqpoll\_r\_set}(A,M) \equiv \text{eqpoll\_rel}(\#\#M,A)$ 

context  $M\_Perm$ 
begin

lemma def_eqpoll_rel:
  assumes

```

```

      M(A) M(B)
shows
      eqpoll_rel(M,A,B)  $\longleftrightarrow$  ( $\exists f[M]. f \in \text{bij\_rel}(M,A,B)$ )
    <proof>

end — M_Perm

context M_N_Perm
begin

lemma eqpoll_rel_transfer: assumes  $A \approx^M B$  M(A) M(B)
  shows  $A \approx^N B$ 
  <proof>

end — M_N_Perm

```

11.7 Discipline for (\lesssim)

```

  <ML>
notation is_lepoll_fm ( $\hookleftarrow \_ \lesssim \_ \hookrightarrow$ )
  <ML>

context M_inj begin

  <ML>
  <proof>

end — M_inj

abbreviation
  lepoll_r ::  $[i,i \Rightarrow o, i] \Rightarrow o \hookleftarrow \_ \lesssim \_ \hookrightarrow [51,1,51] \ 50$  where
     $A \lesssim^M B \equiv \text{lepoll\_rel}(M,A,B)$ 

abbreviation
  lepoll_r_set ::  $[i,i,i] \Rightarrow o \hookleftarrow \_ \lesssim \_ \hookrightarrow [51,1,51] \ 50$  where
     $\text{lepoll\_r\_set}(A,M) \equiv \text{lepoll\_rel}(\#\#M,A)$ 

context M_Perm
begin

lemma def_lepoll_rel:
  assumes
    M(A) M(B)
  shows
    lepoll_rel(M,A,B)  $\longleftrightarrow$  ( $\exists f[M]. f \in \text{inj\_rel}(M,A,B)$ )
  <proof>

end — M_Perm

```

context M_N_Perm
begin

lemma $lepoll_rel_transfer$: **assumes** $A \lesssim^M B$ $M(A)$ $M(B)$
shows $A \lesssim^N B$
 $\langle proof \rangle$

end — M_N_Perm

11.8 Discipline for (\prec)

$\langle ML \rangle$
notation $is_lesspoll_fm$ $(\prec_ \prec_)$
 $\langle ML \rangle$

context M_Perm **begin**

$\langle ML \rangle$
 $\langle proof \rangle$

end — M_Perm

abbreviation

$lesspoll_r :: [i, i \Rightarrow o, i] \Rightarrow o$ $(\prec_ \prec_ [51, 1, 51] 50)$ **where**
 $A \prec^M B \equiv lesspoll_rel(M, A, B)$

abbreviation

$lesspoll_r_set :: [i, i, i] \Rightarrow o$ $(\prec_ \prec_ [51, 1, 51] 50)$ **where**
 $lesspoll_r_set(A, M) \equiv lesspoll_rel(\#\#M, A)$

Since $lesspoll_rel$ is defined as a propositional combination of older terms, there is no need for a separate “def” theorem for it.

Note that $lesspoll_rel$ is neither Σ_1^{ZF} nor Π_1^{ZF} , so there is no “transfer” theorem for it.

end

theory $Discipline_Cardinal$

imports

$Discipline_Function$

begin

declare $[[syntax_ambiguity_warning = false]]$

$\langle ML \rangle$

notation $is_cardinal_fm$ $(\prec_cardinal'(_) is_)$

abbreviation

$cardinal_r :: [i, i \Rightarrow o] \Rightarrow i \ (\langle | _ | \rangle)$ **where**
 $|x|^M \equiv cardinal_rel(M, x)$

abbreviation

$cardinal_r_set :: [i, i] \Rightarrow i \ (\langle | _ | \rangle)$ **where**
 $|x|^M \equiv cardinal_rel(\#\#M, x)$

context $M_trivial$ **begin** $\langle ML \rangle$ $\langle proof \rangle$ **end** $\langle ML \rangle$ $\langle proof \rangle$ $\langle ML \rangle$ **lemma** $arity_is_surj_fm$ $[arity]$:

$A \in nat \Rightarrow B \in nat \Rightarrow I \in nat \Rightarrow arity(is_surj_fm(A, B, I)) = succ(A) \cup succ(B) \cup succ(I)$

 $\langle proof \rangle$ $\langle ML \rangle$ **lemma** $arity_is_inj_fm$ $[arity]$:

$A \in nat \Rightarrow B \in nat \Rightarrow I \in nat \Rightarrow arity(is_inj_fm(A, B, I)) = succ(A) \cup succ(B) \cup succ(I)$

 $\langle proof \rangle$ $\langle ML \rangle$ **context** M_Perm **begin** $\langle ML \rangle$ $\langle proof \rangle$ **end** $\langle ML \rangle$ **notation** lt_rel_fm $(\langle _ < _ \rangle)$ $\langle ML \rangle$ **lemma** $arity_lt_rel_fm$ $[arity]$: $a \in nat \Rightarrow b \in nat \Rightarrow arity(lt_rel_fm(a, b)) =$ $succ(a) \cup succ(b)$ $\langle proof \rangle$ $\langle ML \rangle$ **notation** is_Card_fm $(\langle _ Card'(_) \rangle)$ $\langle ML \rangle$

notation $Card_rel \ (\lhd Card- '(_) \rhd)$

lemma (**in** M_Perm) $is_Card_iff: M(A) \implies is_Card(M, A) \longleftrightarrow Card^M(A)$
 $\langle proof \rangle$

abbreviation

$Card_r_set \ :: [i,i] \Rightarrow o \ (\lhd Card- '(_) \rhd)$ **where**
 $Card^M(i) \equiv Card_rel(\#\#M,i)$

$\langle ML \rangle$

notation $is_InfCard_fm \ (\lhd InfCard- '(_) \rhd)$

$\langle ML \rangle$

notation $InfCard_rel \ (\lhd InfCard- '(_) \rhd)$

abbreviation

$InfCard_r_set \ :: [i,i] \Rightarrow o \ (\lhd InfCard- '(_) \rhd)$ **where**
 $InfCard^M(i) \equiv InfCard_rel(\#\#M,i)$

$\langle ML \rangle$

abbreviation

$cadd_r \ :: [i,i \Rightarrow o,i] \Rightarrow i \ (\lhd \oplus - _ \rhd [66,1,66] \ 65)$ **where**
 $A \oplus^M B \equiv cadd_rel(M,A,B)$

context M_basic **begin**

$\langle ML \rangle$

$\langle proof \rangle$

end

$\langle ML \rangle$

$\langle proof \rangle$

$\langle ML \rangle$

context M_Perm **begin**

$\langle ML \rangle$

$\langle proof \rangle$

end

$\langle ML \rangle$

abbreviation

$cmult_r \ :: [i,i \Rightarrow o,i] \Rightarrow i \ (\lhd \otimes - _ \rhd [66,1,66] \ 65)$ **where**
 $A \otimes^M B \equiv cmult_rel(M,A,B)$

```

⟨ML⟩

declare cartprod_iff_sats [iff_sats]

⟨ML⟩

context M_Perm begin

⟨ML⟩
  ⟨proof⟩

⟨ML⟩
  ⟨proof⟩

end

end

```

12 Relativization of the cumulative hierarchy

```

theory Univ_Relative
  imports
    ZF-Constructible.Rank
    ZF.Univ
    Internalizations
    Recursion_Thms
    Discipline_Cardinal

begin

declare arity_ordinal_fm[arity]

context M_trivial
begin
declare powerset_abs[simp]

lemma family_union_closed:  $\llbracket \text{strong\_replacement}(M, \lambda x y. y = f(x)); M(A);$ 
 $\forall x \in A. M(f(x)) \rrbracket$ 
 $\implies M(\bigcup_{x \in A} f(x))$ 
  ⟨proof⟩

lemma family_union_closed':  $\llbracket \text{strong\_replacement}(M, \lambda x y. x \in A \wedge y = f(x));$ 
 $M(A); \forall x \in A. M(f(x)) \rrbracket$ 
 $\implies M(\bigcup_{x \in A} f(x))$ 
  ⟨proof⟩

end — M_trivial

definition

```

$Powapply :: [i,i] \Rightarrow i$ **where**
 $Powapply(f,y) \equiv Pow(f'y)$

$\langle ML \rangle$

declare $Replace_iff_sats[iff_sats]$
 $\langle ML \rangle$

notation $Powapply_rel (\langle Powapply-'(_,_)' \rangle)$

context M_basic
begin

$\langle ML \rangle$
 $\langle proof \rangle$

$\langle ML \rangle$
 $\langle proof \rangle$

end — M_basic

definition
 $HVfrom :: [i,i,i] \Rightarrow i$ **where**
 $HVfrom(A,x,f) \equiv A \cup (\bigcup y \in x. Powapply(f,y))$

$\langle ML \rangle$

lemma $arity_is_HVfrom_fm$:
 $A \in nat \Rightarrow$
 $x \in nat \Rightarrow$
 $f \in nat \Rightarrow$
 $d \in nat \Rightarrow$
 $arity(is_HVfrom_fm(A, x, f, d)) = succ(A) \cup succ(d) \cup (succ(x) \cup succ(f))$
 $\langle proof \rangle$

notation $HVfrom_rel (\langle HVfrom-'(_,_,_)' \rangle)$

locale $M_HVfrom = M_eclose +$
assumes
 $Powapply_replacement$:
 $M(f) \Rightarrow strong_replacement(M, \lambda y z. z = Powapply^M(f,y))$
begin

$\langle ML \rangle$
 $\langle proof \rangle$

$\langle ML \rangle$
 $\langle proof \rangle$

end — M_HVfrom

definition

$Vfrom_rel :: [i \Rightarrow o, i, i] \Rightarrow i \ (\lhd Vfrom_rel'(_, _) \rhd)$ **where**
 $Vfrom^M(A, i) = transrec(i, HVfrom_rel(M, A))$

definition

$is_Vfrom :: [i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $is_Vfrom(M, A, i, z) \equiv is_transrec(M, is_HVfrom(M, A), i, z)$

definition

$Hrank :: [i, i] \Rightarrow i$ **where**
 $Hrank(x, f) \equiv (\bigcup y \in x. succ(f'y))$

definition

$rrank :: i \Rightarrow i$ **where**
 $rrank(a) \equiv Memrel(eclose(\{a\}))^{\wedge+}$

$\langle ML \rangle$

locale $M_Vfrom = M_HVfrom +$

assumes

$trepl_HVfrom : \llbracket M(A); M(i) \rrbracket \Longrightarrow transrec_replacement(M, is_HVfrom(M, A), i)$

and

$Hrank_replacement : M(f) \Longrightarrow strong_replacement(M, \lambda x y. y = succ(f'x))$

and

$is_Hrank_replacement : M(x) \Longrightarrow wfrec_replacement(M, is_Hrank(M), rrank(x))$

and

$HVfrom_replacement : \llbracket M(i) ; M(A) \rrbracket \Longrightarrow$
 $transrec_replacement(M, is_HVfrom(M, A), i)$

begin

lemma $Vfrom_rel_iff :$

assumes $M(A) \ M(i) \ M(z) \ Ord(i)$

shows $is_Vfrom(M, A, i, z) \longleftrightarrow z = Vfrom^M(A, i)$

$\langle proof \rangle$

lemma $relation2_HVfrom : M(A) \Longrightarrow relation2(M, is_HVfrom(M, A), HVfrom_rel(M, A))$

$\langle proof \rangle$

lemma $HVfrom_closed :$

$M(A) \Longrightarrow \forall x[M]. \forall g[M]. function(g) \longrightarrow M(HVfrom_rel(M, A, x, g))$

$\langle proof \rangle$

lemma $Vfrom_rel_closed :$

assumes $M(A) \ M(i) \ Ord(i)$

shows $M(transrec(i, HVfrom_rel(M, A)))$

$\langle proof \rangle$

lemma *transrec_HVfrom*:
 assumes $M(A)$
 shows $Ord(i) \implies M(i) \implies \{x \in Vfrom(A, i). M(x)\} = transrec(i, HVfrom_rel(M, A))$
 $\langle proof \rangle$

lemma *Vfrom_abs*: $\llbracket M(A); M(i); M(V); Ord(i) \rrbracket \implies is_Vfrom(M, A, i, V) \longleftrightarrow$
 $V = \{x \in Vfrom(A, i). M(x)\}$
 $\langle proof \rangle$

lemma *Vfrom_closed*: $\llbracket M(A); M(i); Ord(i) \rrbracket \implies M(\{x \in Vfrom(A, i). M(x)\})$
 $\langle proof \rangle$

end — *M_Vfrom*

12.1 Formula synthesis

context *M_Vfrom*
begin

$\langle ML \rangle$
 $\langle proof \rangle$

$\langle ML \rangle$
 $\langle proof \rangle$

lemma *relation2_Hrank* :
 $relation2(M, is_Hrank(M), Hrank)$
 $\langle proof \rangle$

lemma *Hrank_closed* :
 $\forall x[M]. \forall g[M]. function(g) \longrightarrow M(Hrank(x, g))$
 $\langle proof \rangle$

end — *M_basic*

context *M_eclose*
begin

lemma *wf_rrank* : $M(x) \implies wf(rrank(x))$
 $\langle proof \rangle$

lemma *trans_rrank* : $M(x) \implies trans(rrank(x))$
 $\langle proof \rangle$

lemma *relation_rrank* : $M(x) \implies relation(rrank(x))$
 $\langle proof \rangle$

lemma *rrank_in_M* : $M(x) \implies M(rrank(x))$

$\langle proof \rangle$
end — M_eclose
lemma $Hrank_tranc1$: $Hrank(y, restrict(f, Memrel(eclose(\{x\})) - \{\{y\}\}))$
 $\quad = Hrank(y, restrict(f, (Memrel(eclose(\{x\}))^+ - \{\{y\}\}))$
 $\langle proof \rangle$
lemma $rank_tranc1$: $rank(x) = wfrec(rrank(x), x, Hrank)$
 $\langle proof \rangle$
definition
 $Vset' :: [i] \Rightarrow i$ **where**
 $Vset'(A) \equiv Vfrom(0, A)$
 $\langle ML \rangle$
schematic_goal $sats_is_Vset_fm_auto$:
assumes
 $i \in nat \ v \in nat \ env \in list(A) \ 0 \in A$
 $i < length(env) \ v < length(env)$
shows
 $is_Vset(\#\#A, nth(i, env), nth(v, env)) \longleftrightarrow sats(A, ?ivs_fm(i, v), env)$
 $\langle proof \rangle$
 $\langle ML \rangle$
context M_Vfrom
begin
lemma $Vset_abs$: $\llbracket M(i); M(V); Ord(i) \rrbracket \Longrightarrow is_Vset(M, i, V) \longleftrightarrow V = \{x \in Vset(i). M(x)\}$
 $\langle proof \rangle$
lemma $Vset_closed$: $\llbracket M(i); Ord(i) \rrbracket \Longrightarrow M(\{x \in Vset(i). M(x)\})$
 $\langle proof \rangle$
lemma $rank_closed$: $M(a) \Longrightarrow M(rank(a))$
 $\langle proof \rangle$
lemma M_into_Vset :
assumes $M(a)$
shows $\exists i[M]. \exists V[M]. ordinal(M, i) \wedge is_Vset(M, i, V) \wedge a \in V$
 $\langle proof \rangle$
end — M_HVfrom
end

13 Replacements using Lambdas

```

theory Lambda_Replacement
  imports
    Discipline_Function
begin

```

In this theory we prove several instances of separation and replacement in *M_basic*. Moreover by assuming a seven instances of separation and ten instances of "lambda" replacements we prove a bunch of other instances.

definition

```

lam_replacement :: [i ⇒ o, i ⇒ i] ⇒ o where
lam_replacement(M, b) ≡ strong_replacement(M, λx y. y = ⟨x, b(x)⟩)

```

```

lemma separation_univ :
  shows separation(M, M)
  ⟨proof⟩

```

```

context M_basic
begin

```

```

lemma separation_iff':
  assumes separation(M, λx . P(x)) separation(M, λx . Q(x))
  shows separation(M, λx . P(x) ↔ Q(x))
  ⟨proof⟩

```

```

lemma separation_in_constant :
  assumes M(a)
  shows separation(M, λx . x ∈ a)
  ⟨proof⟩

```

```

lemma separation_equal :
  shows separation(M, λx . x = a)
  ⟨proof⟩

```

```

lemma (in M_basic) separation_in_rev:
  assumes (M)(a)
  shows separation(M, λx . a ∈ x)
  ⟨proof⟩

```

```

lemma lam_replacement_iff_lam_closed:
  assumes ∀ x[M]. M(b(x))
  shows lam_replacement(M, b) ↔ (∀ A[M]. M(λx ∈ A. b(x)))
  ⟨proof⟩

```

```

lemma lam_replacement_imp_lam_closed:
  assumes lam_replacement(M, b) M(A) ∀ x ∈ A. M(b(x))
  shows M(λx ∈ A. b(x))

```

$\langle proof \rangle$

lemma *lam_replacement_cong*:

assumes *lam_replacement*(*M*,*f*) $\forall x[M]. f(x) = g(x) \forall x[M]. M(f(x))$

shows *lam_replacement*(*M*,*g*)

$\langle proof \rangle$

lemma *converse_subset* : *converse*(*r*) $\subseteq \{ \langle snd(x), fst(x) \rangle . x \in r \}$

$\langle proof \rangle$

lemma *converse_eq_aux* :

assumes $\langle 0, 0 \rangle \in r$

shows *converse*(*r*) = $\{ \langle snd(x), fst(x) \rangle . x \in r \}$

$\langle proof \rangle$

lemma *converse_eq_aux'* :

assumes $\langle 0, 0 \rangle \notin r$

shows *converse*(*r*) = $\{ \langle snd(x), fst(x) \rangle . x \in r \} - \{ \langle 0, 0 \rangle \}$

$\langle proof \rangle$

lemma *diff_un* : $b \subseteq a \implies (a - b) \cup b = a$

$\langle proof \rangle$

lemma *converse_eq*: *converse*(*r*) = $(\{ \langle snd(x), fst(x) \rangle . x \in r \} - \{ \langle 0, 0 \rangle \}) \cup (r \cap \{ \langle 0, 0 \rangle \})$

$\langle proof \rangle$

lemma *range_subset* : *range*(*r*) $\subseteq \{ snd(x) . x \in r \}$

$\langle proof \rangle$

lemma *lam_replacement_imp_strong_replacement_aux*:

assumes *lam_replacement*(*M*, *b*) $\forall x[M]. M(b(x))$

shows *strong_replacement*(*M*, $\lambda x y. y = b(x)$)

$\langle proof \rangle$

lemma *lam_replacement_imp_RepFun_Lam*:

assumes *lam_replacement*(*M*, *f*) $M(A)$

shows $M(\{ y . x \in A , M(y) \wedge y = \langle x, f(x) \rangle \})$

$\langle proof \rangle$

lemma *lam_closed_imp_closed*:

assumes $\forall A[M]. M(\lambda x \in A. f(x))$

shows $\forall x[M]. M(f(x))$

$\langle proof \rangle$

lemma *lam_replacement_if*:

assumes *lam_replacement*(*M*,*f*) *lam_replacement*(*M*,*g*) *separation*(*M*,*b*)

$\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$

shows *lam_replacement*(*M*, $\lambda x. \text{if } b(x) \text{ then } f(x) \text{ else } g(x)$)

$\langle proof \rangle$

lemma *lam_replacement_constant*: $M(b) \implies \text{lam_replacement}(M, \lambda_. b)$
 $\langle \text{proof} \rangle$

13.1 Replacement instances obtained through Powerset

The next few lemmas provide bounds for certain constructions.

lemma *not_functional_Replace_0*:
assumes $\neg(\forall y y'. P(y) \wedge P(y') \longrightarrow y=y')$
shows $\{y . x \in A, P(y)\} = \emptyset$
 $\langle \text{proof} \rangle$

lemma *Replace_in_Pow_rel*:
assumes $\bigwedge x b. x \in A \implies P(x, b) \implies b \in U \forall x \in A. \forall y y'. P(x, y) \wedge P(x, y') \longrightarrow y=y'$
 $\text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge P(x, y))$
 $M(U) \ M(A)$
shows $\{y . x \in A, P(x, y)\} \in \text{Pow}^M(U)$
 $\langle \text{proof} \rangle$

lemma *Replace_sing_0_in_Pow_rel*:
assumes $\bigwedge b. P(b) \implies b \in U$
 $\text{separation}(M, \lambda y. P(y)) \ M(U)$
shows $\{y . x \in \{0\}, P(y)\} \in \text{Pow}^M(U)$
 $\langle \text{proof} \rangle$

lemma *The_in_Pow_rel_Union*:
assumes $\bigwedge b. P(b) \implies b \in U \text{ separation}(M, \lambda y. P(y)) \ M(U)$
shows $(\text{THE } i. P(i)) \in \text{Pow}^M(\bigcup U)$
 $\langle \text{proof} \rangle$

lemma *separation_least*: $\text{separation}(M, \lambda y. \text{Ord}(y) \wedge P(y) \wedge (\forall j. j < y \longrightarrow \neg P(j)))$
 $\langle \text{proof} \rangle$

lemma *Least_in_Pow_rel_Union*:
assumes $\bigwedge b. P(b) \implies b \in U$
 $M(U)$
shows $(\mu i. P(i)) \in \text{Pow}^M(\bigcup U)$
 $\langle \text{proof} \rangle$

lemma *bounded_lam_replacement*:
fixes U
assumes $\forall X[M]. \forall x \in X. f(x) \in U(X)$
and $\text{separation_f} : \forall A[M]. \text{separation}(M, \lambda y. \exists x[M]. x \in A \wedge y = \langle x, f(x) \rangle)$
and $U_closed \ [intro, simp] : \bigwedge X. M(X) \implies M(U(X))$
shows $\text{lam_replacement}(M, f)$
 $\langle \text{proof} \rangle$

```

lemma lam_replacement_domain':
  assumes  $\forall A[M]. \text{separation}(M, \lambda y. \exists x \in A. y = \langle x, \text{domain}(x) \rangle)$ 
  shows lam_replacement(M,domain)
<proof>
lemma lam_replacement_fst':
  assumes  $\forall A[M]. \text{separation}(M, \lambda y. \exists x \in A. y = \langle x, \text{fst}(x) \rangle)$ 
  shows lam_replacement(M,fst)
<proof>

lemma lam_replacement_restrict:
  assumes  $\forall A[M]. \text{separation}(M, \lambda y. \exists x \in A. y = \langle x, \text{restrict}(x,B) \rangle)$   $M(B)$ 
  shows lam_replacement(M,  $\lambda r. \text{restrict}(r,B)$ )
<proof>

end — M_basic

locale M_replacement = M_basic +
  assumes
    lam_replacement_domain: lam_replacement(M,domain)
  and
    lam_replacement_fst: lam_replacement(M,fst)
  and
    lam_replacement_snd: lam_replacement(M,snd)
  and
    lam_replacement_Union: lam_replacement(M,Union)
  and
    middle_separation: separation(M,  $\lambda x. \text{snd}(\text{fst}(x)) = \text{fst}(\text{snd}(x))$ )
  and
    middle_del_replacement: strong_replacement(M,  $\lambda x y. y = \langle \text{fst}(\text{fst}(x)), \text{snd}(\text{snd}(x)) \rangle$ )
  and
    product_replacement:
      strong_replacement(M,  $\lambda x y. y = \langle \text{snd}(\text{fst}(x)), \langle \text{fst}(\text{fst}(x)), \text{snd}(\text{snd}(x)) \rangle \rangle$ )
  and
    lam_replacement_Upair: lam_replacement(M,  $\lambda p. \text{Upair}(\text{fst}(p), \text{snd}(p))$ )
  and
    lam_replacement_Diff: lam_replacement(M,  $\lambda p. \text{fst}(p) - \text{snd}(p)$ )
  and
    lam_replacement_Image: lam_replacement(M,  $\lambda p. \text{fst}(p) \text{ `` } \text{snd}(p)$ )
  and
    separation_fst_in_snd: separation(M,  $\lambda y. \text{fst}(\text{snd}(y)) \in \text{snd}(\text{snd}(y))$ )
  and
    lam_replacement_converse : lam_replacement(M,converse)
  and
    lam_replacement_comp: lam_replacement(M,  $\lambda x. \text{fst}(x) \circ \text{snd}(x)$ )
begin

lemma lam_replacement_imp_strong_replacement:
  assumes lam_replacement(M, f)
  shows strong_replacement(M,  $\lambda x y. y = f(x)$ )

```

$\langle \text{proof} \rangle$

lemma *Collect_middle*: $\{p \in (\lambda x \in A. f(x)) \times (\lambda x \in \{f(x) . x \in A\}. g(x)) . \text{snd}(\text{fst}(p)) = \text{fst}(\text{snd}(p))\}$
 $= \{ \langle \langle x, f(x) \rangle, \langle f(x), g(f(x)) \rangle \rangle . x \in A \}$
 $\langle \text{proof} \rangle$

lemma *RepFun_middle_del*: $\{ \langle \text{fst}(\text{fst}(p)), \text{snd}(\text{snd}(p)) \rangle . p \in \{ \langle \langle x, f(x) \rangle, \langle f(x), g(f(x)) \rangle \rangle . x \in A \} \}$
 $= \{ \langle x, g(f(x)) \rangle . x \in A \}$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_imp_RepFun*:
assumes *lam_replacement*(*M*, *f*) *M*(*A*)
shows *M*($\{y . x \in A , M(y) \wedge y = f(x)\}$)
 $\langle \text{proof} \rangle$

lemma *lam_replacement_product*:
assumes *lam_replacement*(*M*, *f*) *lam_replacement*(*M*, *g*)
shows *lam_replacement*(*M*, $\lambda x. \langle f(x), g(x) \rangle$)
 $\langle \text{proof} \rangle$

lemma *lam_replacement_hcomp*:
assumes *lam_replacement*(*M*, *f*) *lam_replacement*(*M*, *g*) $\forall x[M]. M(f(x))$
shows *lam_replacement*(*M*, $\lambda x. g(f(x))$)
 $\langle \text{proof} \rangle$

lemma *lam_replacement_Collect* :
assumes *M*(*A*) $\forall x[M]. \text{separation}(M, F(x))$
 $\text{separation}(M, \lambda p . \forall x \in A. x \in \text{snd}(p) \longleftrightarrow F(\text{fst}(p), x))$
shows *lam_replacement*(*M*, $\lambda x. \{y \in A . F(x, y)\}$)
 $\langle \text{proof} \rangle$

lemma *lam_replacement_hcomp2*:
assumes *lam_replacement*(*M*, *f*) *lam_replacement*(*M*, *g*)
 $\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$
 $\text{lam_replacement}(M, \lambda p. h(\text{fst}(p), \text{snd}(p)))$
 $\forall x[M]. \forall y[M]. M(h(x, y))$
shows *lam_replacement*(*M*, $\lambda x. h(f(x), g(x))$)
 $\langle \text{proof} \rangle$

lemma *lam_replacement_identity*: *lam_replacement*(*M*, $\lambda x. x$)
 $\langle \text{proof} \rangle$

lemma *lam_replacement_vimage* :
shows *lam_replacement*(*M*, $\lambda x. \text{fst}(x) - \text{snd}(x)$)
 $\langle \text{proof} \rangle$

lemma *strong_replacement_separation_aux* :
assumes *strong_replacement*(*M*, $\lambda x y . y = f(x)$) *separation*(*M*, *P*)

shows $strong_replacement(M, \lambda x y . P(x) \wedge y=f(x))$
 $\langle proof \rangle$

lemma $separation_in$:
assumes $\forall x[M]. M(f(x)) \ lam_replacement(M,f)$
 $\forall x[M]. M(g(x)) \ lam_replacement(M,g)$
shows $separation(M, \lambda x . f(x) \in g(x))$
 $\langle proof \rangle$

lemma $lam_replacement_swap$: $lam_replacement(M, \lambda x. \langle snd(x), fst(x) \rangle)$
 $\langle proof \rangle$

lemma $lam_replacement_range$: $lam_replacement(M, range)$
 $\langle proof \rangle$

lemma $separation_in_range$: $M(a) \implies separation(M, \lambda x. a \in range(x))$
 $\langle proof \rangle$

lemma $separation_in_domain$: $M(a) \implies separation(M, \lambda x. a \in domain(x))$
 $\langle proof \rangle$

lemma $lam_replacement_separation$:
assumes $lam_replacement(M,f) \ separation(M,P)$
shows $strong_replacement(M, \lambda x y . P(x) \wedge y=\langle x, f(x) \rangle)$
 $\langle proof \rangle$

lemmas $strong_replacement_separation =$
 $strong_replacement_separation_aux[OF lam_replacement_imp_strong_replacement]$

lemma id_closed : $M(A) \implies M(id(A))$
 $\langle proof \rangle$

lemma $relation_separation$: $separation(M, \lambda z. \exists x y. z = \langle x, y \rangle)$
 $\langle proof \rangle$

lemma $separation_pair$:
assumes $separation(M, \lambda y . P(fst(y), snd(y)))$
shows $separation(M, \lambda y. \exists u v . y=\langle u,v \rangle \wedge P(u,v))$
 $\langle proof \rangle$

lemma $lam_replacement_Pair$:
shows $lam_replacement(M, \lambda x. \langle fst(x), snd(x) \rangle)$
 $\langle proof \rangle$

lemma $lam_replacement_Un$: $lam_replacement(M, \lambda p. fst(p) \cup snd(p))$
 $\langle proof \rangle$

lemma $lam_replacement_cons$: $lam_replacement(M, \lambda p. cons(fst(p), snd(p)))$
 $\langle proof \rangle$

lemma *lam_replacement_sing*: $\text{lam_replacement}(M, \lambda x. \{x\})$
 ⟨proof⟩

lemmas *tag_replacement* = *lam_replacement_constant*[*unfolded lam_replacement_def*]

lemma *lam_replacement_id2*: $\text{lam_replacement}(M, \lambda x. \langle x, x \rangle)$
 ⟨proof⟩

lemmas *id_replacement* = *lam_replacement_id2*[*unfolded lam_replacement_def*]

lemma *lam_replacement_apply2*: $\text{lam_replacement}(M, \lambda p. \text{fst}(p) \text{ ‘ } \text{snd}(p))$
 ⟨proof⟩

definition *map_snd* **where**
 $\text{map_snd}(X) = \{\text{snd}(z) \mid z \in X\}$

lemma *map_sndE*: $y \in \text{map_snd}(X) \implies \exists p \in X. y = \text{snd}(p)$
 ⟨proof⟩

lemma *map_sndI* : $\exists p \in X. y = \text{snd}(p) \implies y \in \text{map_snd}(X)$
 ⟨proof⟩

lemma *map_snd_closed*: $M(x) \implies M(\text{map_snd}(x))$
 ⟨proof⟩

lemma *lam_replacement_imp_lam_replacement_RepFun*:
assumes $\text{lam_replacement}(M, f) \ \forall x[M]. M(f(x))$
 $\text{separation}(M, \lambda x. ((\forall y \in \text{snd}(x). \text{fst}(y) \in \text{fst}(x)) \wedge (\forall y \in \text{fst}(x). \exists u \in \text{snd}(x). y = \text{fst}(u))))$
and
 $\text{lam_replacement_RepFun_snd} : \text{lam_replacement}(M, \text{map_snd})$
shows $\text{lam_replacement}(M, \lambda x. \{f(y) \mid y \in x\})$
 ⟨proof⟩

lemma *lam_replacement_apply*: $M(S) \implies \text{lam_replacement}(M, \lambda x. S \text{ ‘ } x)$
 ⟨proof⟩

lemma *apply_replacement*: $M(S) \implies \text{strong_replacement}(M, \lambda x y. y = S \text{ ‘ } x)$
 ⟨proof⟩

lemma *lam_replacement_id_const*: $M(b) \implies \text{lam_replacement}(M, \lambda x. \langle x, b \rangle)$
 ⟨proof⟩

lemmas *pospend_replacement* = *lam_replacement_id_const*[*unfolded lam_replacement_def*]

lemma *lam_replacement_const_id*: $M(b) \implies \text{lam_replacement}(M, \lambda z. \langle b, z \rangle)$
 ⟨proof⟩

lemmas *prepend_replacement* = *lam_replacement_const_id*[*unfolded lam_replacement_def*]

lemma *lam_replacement_apply_const_id*: $M(f) \implies M(z) \implies$
 $\text{lam_replacement}(M, \lambda x. f \text{ ' } \langle z, x \rangle)$
 $\langle \text{proof} \rangle$

lemmas *apply_replacement2* = *lam_replacement_apply_const_id*[*unfolded lam_replacement_def*]

lemma *lam_replacement_Inl*: $\text{lam_replacement}(M, \text{Inl})$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_Inr*: $\text{lam_replacement}(M, \text{Inr})$
 $\langle \text{proof} \rangle$

lemmas *Inl_replacement1* = *lam_replacement_Inl*[*unfolded lam_replacement_def*]

lemma *lam_replacement_Diff'*: $M(X) \implies \text{lam_replacement}(M, \lambda x. x - X)$
 $\langle \text{proof} \rangle$

lemmas *Pair_diff_replacement* = *lam_replacement_Diff'*[*unfolded lam_replacement_def*]

lemma *diff_Pair_replacement*: $M(p) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, x - \{p\} \rangle)$
 $\langle \text{proof} \rangle$

lemma *swap_replacement*: $\text{strong_replacement}(M, \lambda x y. y = \langle x, (\lambda \langle x, y \rangle. \langle y, x \rangle)(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_Un_const*: $M(b) \implies \text{lam_replacement}(M, \lambda x. x \cup b)$
 $\langle \text{proof} \rangle$

lemmas *tag_union_replacement* = *lam_replacement_Un_const*[*unfolded lam_replacement_def*]

lemma *lam_replacement_csquare*: $\text{lam_replacement}(M, \lambda p. \langle \text{fst}(p) \cup \text{snd}(p), \text{fst}(p), \text{snd}(p) \rangle)$
 $\langle \text{proof} \rangle$

lemma *csquare_lam_replacement*: $\text{strong_replacement}(M, \lambda x y. y = \langle x, (\lambda \langle x, y \rangle. \langle x \cup y, x, y \rangle)(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_assoc*: $\text{lam_replacement}(M, \lambda x. \langle \text{fst}(\text{fst}(x)), \text{snd}(\text{fst}(x)), \text{snd}(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma *assoc_replacement*: $\text{strong_replacement}(M, \lambda x y. y = \langle x, (\lambda \langle \langle x, y \rangle, z \rangle. \langle x, y, z \rangle)(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_prod_fun*: $M(f) \implies M(g) \implies \text{lam_replacement}(M, \lambda x. \langle f \text{ ' } \text{fst}(x), g \text{ ' } \text{snd}(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma *prod_fun_replacement*: $M(f) \implies M(g) \implies$
 $\text{strong_replacement}(M, \lambda x y. y = \langle x, (\lambda \langle w, y \rangle. \langle f \text{ ' } w, g \text{ ' } y \rangle)(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_vimage_sing*: $\text{lam_replacement}(M, \lambda p. \text{fst}(p) \text{ -'' } \{\text{snd}(p)\})$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_vimage_sing_fun*: $M(f) \implies \text{lam_replacement}(M, \lambda x. f \text{ -'' } \{x\})$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_image_sing_fun*: $M(f) \implies \text{lam_replacement}(M, \lambda x. f \text{ '' } \{x\})$
 $\langle \text{proof} \rangle$

lemma *converse_apply_projs*: $\forall x[M]. \bigcup (\text{fst}(x) \text{ -'' } \{\text{snd}(x)\}) = \text{converse}(\text{fst}(x)) \text{ ' } (\text{snd}(x))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_converse_app*: $\text{lam_replacement}(M, \lambda p. \text{converse}(\text{fst}(p)) \text{ ' } \text{snd}(p))$
 $\langle \text{proof} \rangle$

lemmas *cardinal_lib_assms4* = *lam_replacement_vimage_sing_fun*[*unfolded lam_replacement_def*]

lemma *lam_replacement_sing_const_id*:
 $M(x) \implies \text{lam_replacement}(M, \lambda y. \{\langle x, y \rangle\})$
 $\langle \text{proof} \rangle$

lemma *tag_singleton_closed*: $M(x) \implies M(z) \implies M(\{\{\langle z, y \rangle\} \cdot y \in x\})$
 $\langle \text{proof} \rangle$

lemma *separation_eq*:
assumes $\forall x[M]. M(f(x)) \text{ lam_replacement}(M, f)$
 $\forall x[M]. M(g(x)) \text{ lam_replacement}(M, g)$
shows $\text{separation}(M, \lambda x. f(x) = g(x))$
 $\langle \text{proof} \rangle$

lemma *separation_subset*:
assumes $\forall x[M]. M(f(x)) \text{ lam_replacement}(M, f)$
 $\forall x[M]. M(g(x)) \text{ lam_replacement}(M, g)$
shows $\text{separation}(M, \lambda x. f(x) \subseteq g(x))$
 $\langle \text{proof} \rangle$

lemma *separation_ball*:
assumes $\text{separation}(M, \lambda y. f(\text{fst}(y), \text{snd}(y))) \text{ } M(X)$

shows $\text{separation}(M, \lambda y. \forall u \in X. f(y, u))$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_twist}$: $\text{lam_replacement}(M, \lambda \langle x, y \rangle, z. \langle x, y, z \rangle)$
 $\langle \text{proof} \rangle$

lemma $\text{twist_closed}[\text{intro}, \text{simp}]$: $M(x) \implies M((\lambda \langle x, y \rangle, z. \langle x, y, z \rangle)(x))$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_Lambda}$:
assumes $\text{lam_replacement}(M, \lambda y. b(\text{fst}(y), \text{snd}(y)))$
 $\forall w[M]. \forall y[M]. M(b(w, y)) \implies M(W)$
shows $\text{lam_replacement}(M, \lambda x. \lambda w \in W. b(x, w))$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_apply_Pair}$:
assumes $M(y)$
shows $\text{lam_replacement}(M, \lambda x. y \text{ ‘ } \langle \text{fst}(x), \text{snd}(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_apply_fst_snd}$:
shows $\text{lam_replacement}(M, \lambda w. \text{fst}(w) \text{ ‘ } \text{fst}(\text{snd}(w)) \text{ ‘ } \text{snd}(\text{snd}(w)))$
 $\langle \text{proof} \rangle$

lemma $\text{separation_snd_in_fst}$: $\text{separation}(M, \lambda x. \text{snd}(x) \in \text{fst}(x))$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_if_mem}$:
 $\text{lam_replacement}(M, \lambda x. \text{if } \text{snd}(x) \in \text{fst}(x) \text{ then } 1 \text{ else } 0)$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_Lambda_apply_fst_snd}$:
assumes $M(X)$
shows $\text{lam_replacement}(M, \lambda x. \lambda w \in X. x \text{ ‘ } \text{fst}(w) \text{ ‘ } \text{snd}(w))$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_Lambda_apply_Pair}$:
assumes $M(X) \implies M(y)$
shows $\text{lam_replacement}(M, \lambda x. \lambda w \in X. y \text{ ‘ } \langle x, w \rangle)$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_Lambda_if_mem}$:
assumes $M(X)$
shows $\text{lam_replacement}(M, \lambda x. \lambda xa \in X. \text{if } xa \in x \text{ then } 1 \text{ else } 0)$
 $\langle \text{proof} \rangle$

lemma $\text{lam_replacement_comp'}$:
 $M(f) \implies M(g) \implies \text{lam_replacement}(M, \lambda x. f \circ x \circ g)$
 $\langle \text{proof} \rangle$

lemma *separation_bex*:

assumes $\text{separation}(M, \lambda y. f(\text{fst}(y), \text{snd}(y))) \ M(X)$
shows $\text{separation}(M, \lambda y. \exists u \in X. f(y, u))$
 $\langle \text{proof} \rangle$

lemma *case_closed* :

assumes $\forall x[M]. M(f(x)) \ \forall x[M]. M(g(x))$
shows $\forall x[M]. M(\text{case}(f, g, x))$
 $\langle \text{proof} \rangle$

lemma *separation_fst_equal* : $M(a) \implies \text{separation}(M, \lambda x. \text{fst}(x) = a)$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_case* :

assumes $\text{lam_replacement}(M, f) \ \text{lam_replacement}(M, g)$
 $\forall x[M]. M(f(x)) \ \forall x[M]. M(g(x))$
shows $\text{lam_replacement}(M, \lambda x. \text{case}(f, g, x))$
 $\langle \text{proof} \rangle$

lemma *Pi_replacement1*: $M(x) \implies M(y) \implies \text{strong_replacement}(M, \lambda y a z. ya \in y \wedge z = \{\langle x, ya \rangle\})$
 $\langle \text{proof} \rangle$

lemma *surj_imp_inj_replacement1*:

$M(f) \implies M(x) \implies \text{strong_replacement}(M, \lambda y z. y \in f^{-1}\{x\} \wedge z = \{\langle x, y \rangle\})$
 $\langle \text{proof} \rangle$

lemmas *domain_replacement* = $\text{lam_replacement_domain}[\text{unfolded lam_replacement_def}]$

lemma *domain_replacement_simp*: $\text{strong_replacement}(M, \lambda x y. y = \text{domain}(x))$
 $\langle \text{proof} \rangle$

lemma *un_Pair_replacement*: $M(p) \implies \text{strong_replacement}(M, \lambda x y. y = x \cup \{p\})$
 $\langle \text{proof} \rangle$

lemma *diff_replacement*: $M(X) \implies \text{strong_replacement}(M, \lambda x y. y = x - X)$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_succ*:

$\text{lam_replacement}(M, \lambda z. \text{succ}(z))$
 $\langle \text{proof} \rangle$

lemma *lam_replacement_hcomp_Least*:

assumes $\text{lam_replacement}(M, g) \ \text{lam_replacement}(M, \lambda x. \mu i. x \in F(i, x))$
 $\forall x[M]. M(g(x)) \ \bigwedge x i. M(x) \implies i \in F(i, x) \implies M(i)$
shows $\text{lam_replacement}(M, \lambda x. \mu i. g(x) \in F(i, g(x)))$
 $\langle \text{proof} \rangle$

lemma *domain_mem_separation*: $M(A) \implies \text{separation}(M, \lambda x . \text{domain}(x) \in A)$
 ⟨proof⟩

lemma *domain_eq_separation*: $M(p) \implies \text{separation}(M, \lambda x . \text{domain}(x) = p)$
 ⟨proof⟩

lemma *lam_replacement_Int*:
 shows $\text{lam_replacement}(M, \lambda x. \text{fst}(x) \cap \text{snd}(x))$
 ⟨proof⟩

lemma *lam_replacement_CartProd*:
 assumes $\text{lam_replacement}(M, f) \text{ lam_replacement}(M, g)$
 $\forall x[M]. M(f(x)) \forall x[M]. M(g(x))$
 shows $\text{lam_replacement}(M, \lambda x. f(x) \times g(x))$
 ⟨proof⟩

lemma *restrict_eq_separation'*: $M(B) \implies \forall A[M]. \text{separation}(M, \lambda y. \exists x \in A. y = \langle x, \text{restrict}(x, B) \rangle)$
 ⟨proof⟩

lemmas *lam_replacement_restrict'* = *lam_replacement_restrict*[OF *restrict_eq_separation'*]

lemma *restrict_strong_replacement*: $M(A) \implies \text{strong_replacement}(M, \lambda x y. y = \text{restrict}(x, A))$
 ⟨proof⟩

lemma *restrict_eq_separation*: $M(r) \implies M(p) \implies \text{separation}(M, \lambda x . \text{restrict}(x, r) = p)$
 ⟨proof⟩

lemma *separation_equal_fst2*: $M(a) \implies \text{separation}(M, \lambda x . \text{fst}(\text{fst}(x)) = a)$
 ⟨proof⟩

lemma *separation_equal_apply*: $M(f) \implies M(a) \implies \text{separation}(M, \lambda x. f'x = a)$
 ⟨proof⟩

lemma *lam_apply_replacement*: $M(A) \implies M(f) \implies \text{lam_replacement}(M, \lambda x . \lambda n \in A. f' \langle x, n \rangle)$
 ⟨proof⟩

end — *M_replacement*

locale *M_replacement_extra* = *M_replacement* +
 assumes
 $\text{lam_replacement_minimum}: \text{lam_replacement}(M, \lambda p. \text{minimum}(\text{fst}(p), \text{snd}(p)))$
 and
 $\text{lam_replacement_RepFun_cons}: \text{lam_replacement}(M, \lambda p. \text{RepFun}(\text{fst}(p), \lambda x. \{\{\text{snd}(p), x\}\}))$
 — This one is too particular: It is for *Sigfun*. I would like greater modularity here.

begin

lemma *lam_replacement_Sigfun*:

assumes *lam_replacement*(*M*, *f*) $\forall y[M]. M(f(y))$

shows *lam_replacement*(*M*, $\lambda x. \text{Sigfun}(x, f)$)

<proof>

13.2 Particular instances

lemma *surj_imp_inj_replacement2*:

$M(f) \implies \text{strong_replacement}(M, \lambda x z. z = \text{Sigfun}(x, \lambda y. f \text{ -'' } \{y\}))$

<proof>

lemma *lam_replacement_minimum_vimage*:

$M(f) \implies M(r) \implies \text{lam_replacement}(M, \lambda x. \text{minimum}(r, f \text{ -'' } \{x\}))$

<proof>

lemmas *surj_imp_inj_replacement4* = *lam_replacement_minimum_vimage*[*unfolded*
lam_replacement_def]

lemma *lam_replacement_Pi*: $M(y) \implies \text{lam_replacement}(M, \lambda x. \bigcup_{xa \in y}. \{\langle x, xa \rangle\})$

<proof>

lemma *Pi_replacement2*: $M(y) \implies \text{strong_replacement}(M, \lambda x z. z = (\bigcup_{xa \in y}. \{\langle x, xa \rangle\}))$

<proof>

lemma *if_then_Inj_replacement*:

shows $M(A) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, \text{if } x \in A \text{ then } \text{Inl}(x) \text{ else } \text{Inr}(x) \rangle)$

<proof>

lemma *lam_if_then_replacement*:

$M(b) \implies$

$M(a) \implies M(f) \implies \text{strong_replacement}(M, \lambda y ya. ya = \langle y, \text{if } y = a \text{ then } b \text{ else } f \text{ ' } y \rangle)$

<proof>

lemma *if_then_replacement*:

$M(A) \implies M(f) \implies M(g) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, \text{if } x \in A \text{ then } f \text{ ' } x \text{ else } g \text{ ' } x \rangle)$

<proof>

lemma *ifx_replacement*:

$M(f) \implies$

$M(b) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, \text{if } x \in \text{range}(f) \text{ then } \text{converse}(f) \text{ ' } x \text{ else } b \rangle)$

<proof>

lemma *if_then_range_replacement2*:

$M(A) \implies M(C) \implies \text{strong_replacement}(M, \lambda x y. y = \langle x, \text{if } x = \text{Inl}(A) \text{ then } C \text{ else } x \rangle)$
 $\langle \text{proof} \rangle$

lemma *if_then_range_replacement*:

$M(u) \implies$
 $M(f) \implies$
 $\text{strong_replacement}$
 $(M,$
 $\lambda z y. y = \langle z, \text{if } z = u \text{ then } f \text{ ' } 0 \text{ else if } z \in \text{range}(f) \text{ then } f \text{ ' } \text{succ}(\text{converse}(f)$
 $\text{' } z) \text{ else } z \rangle)$
 $\langle \text{proof} \rangle$

lemma *Inl_replacement2*:

$M(A) \implies$
 $\text{strong_replacement}(M, \lambda x y. y = \langle x, \text{if } \text{fst}(x) = A \text{ then } \text{Inl}(\text{snd}(x)) \text{ else } \text{Inr}(x) \rangle)$
 $\langle \text{proof} \rangle$

lemma *case_replacement1*:

$\text{strong_replacement}(M, \lambda z y. y = \langle z, \text{case}(\text{Inr}, \text{Inl}, z) \rangle)$
 $\langle \text{proof} \rangle$

lemma *case_replacement2*:

$\text{strong_replacement}(M, \lambda z y. y = \langle z, \text{case}(\text{case}(\text{Inl}, \lambda y. \text{Inr}(\text{Inl}(y))), \lambda y. \text{Inr}(\text{Inr}(y)),$
 $z) \rangle)$
 $\langle \text{proof} \rangle$

lemma *case_replacement4*:

$M(f) \implies M(g) \implies \text{strong_replacement}(M, \lambda z y. y = \langle z, \text{case}(\lambda w. \text{Inl}(f \text{ ' } w),$
 $\lambda y. \text{Inr}(g \text{ ' } y), z) \rangle)$
 $\langle \text{proof} \rangle$

lemma *case_replacement5*:

$\text{strong_replacement}(M, \lambda x y. y = \langle x, (\lambda \langle x, z \rangle. \text{case}(\lambda y. \text{Inl}(\langle y, z \rangle), \lambda y. \text{Inr}(\langle y,$
 $z \rangle), x)) \langle x \rangle) \rangle)$
 $\langle \text{proof} \rangle$

end — *M_replacement_extra*

— To be used in the relativized treatment of Cohen posets

definition

— "domain collect F"

$dC_F :: i \Rightarrow i \Rightarrow i$ **where**

$dC_F(A, d) \equiv \{p \in A. \text{domain}(p) = d\}$

definition

— "domain restrict SepReplace Y"

drSR_Y :: $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i$ **where**
 $\text{drSR_Y}(B,D,A,x) \equiv \{y . r \in A , \text{restrict}(r,B) = x \wedge y = \text{domain}(r) \wedge \text{domain}(r) \in D\}$

lemma drSR_Y_equality: $\text{drSR_Y}(B,D,A,x) = \{ dr \in D . (\exists r \in A . \text{restrict}(r,B) = x \wedge dr = \text{domain}(r)) \}$
 <proof>

context $M_replacement_extra$
begin

lemma separation_restrict_eq_dom_eq: $\forall x[M]. \text{separation}(M, \lambda dr. \exists r \in A . \text{restrict}(r,B) = x \wedge dr = \text{domain}(r))$
if $M(A)$ **and** $M(B)$ **for** $A B$
 <proof>

lemma separation_is_insnd_restrict_eq_dom : $\text{separation}(M, \lambda p. \forall x \in D. x \in \text{snd}(p) \longleftrightarrow (\exists r \in A. \text{restrict}(r, B) = \text{fst}(p) \wedge x = \text{domain}(r)))$
if $M(B)$ $M(D)$ $M(A)$ **for** $A B D$
 <proof>

lemma lam_replacement_drSR_Y:
assumes
 $M(B) M(D) M(A)$
shows $\text{lam_replacement}(M, \text{drSR_Y}(B,D,A))$
 <proof>

lemma drSR_Y_closed:
assumes
 $M(B) M(D) M(A) M(f)$
shows $M(\text{drSR_Y}(B,D,A,f))$
 <proof>

lemma lam_if_then_apply_replacement: $M(f) \Longrightarrow M(v) \Longrightarrow M(u) \Longrightarrow$
 $\text{lam_replacement}(M, \lambda x. \text{if } f \text{ ' } x = v \text{ then } f \text{ ' } u \text{ else } f \text{ ' } x)$
 <proof>

lemma lam_if_then_apply_replacement2: $M(f) \Longrightarrow M(m) \Longrightarrow M(y) \Longrightarrow$
 $\text{lam_replacement}(M, \lambda z . \text{if } f \text{ ' } z = m \text{ then } y \text{ else } f \text{ ' } z)$
 <proof>

lemma lam_if_then_replacement2: $M(A) \Longrightarrow M(f) \Longrightarrow$
 $\text{lam_replacement}(M, \lambda x . \text{if } x \in A \text{ then } f \text{ ' } x \text{ else } x)$
 <proof>

lemma lam_if_then_replacement_apply: $M(G) \Longrightarrow \text{lam_replacement}(M, \lambda x. \text{if } M(x) \text{ then } G \text{ ' } x \text{ else } 0)$
 <proof>

```

lemma lam_replacement_dC_F:
  assumes M(A)
  shows lam_replacement(M, dC_F(A))
  ⟨proof⟩

lemma dCF_closed:
  assumes M(A) M(f)
  shows M(dC_F(A,f))
  ⟨proof⟩

lemma lam_replacement_min: M(f)  $\implies$  M(r)  $\implies$  lam_replacement(M,  $\lambda x .$ 
  minimum(r, f -“ {x}))
  ⟨proof⟩

lemma lam_replacement_Collect_ball_Pair:
  assumes separation(M,  $\lambda p. \forall x \in G. x \in \text{snd}(p) \longleftrightarrow (\forall s \in \text{fst}(p). \langle s, x \rangle \in Q)$ )
  M(G) M(Q)
  shows lam_replacement(M,  $\lambda x . \{a \in G . \forall s \in x. \langle s, a \rangle \in Q\}$ )
  ⟨proof⟩

lemma surj_imp_inj_replacement3:
  ( $\bigwedge x. M(x) \implies \text{separation}(M, \lambda y. \forall s \in x. \langle s, y \rangle \in Q)$ )  $\implies$  M(G)  $\implies$  M(Q)  $\implies$ 
  M(x)  $\implies$ 
  strong_replacement(M,  $\lambda y z. y \in \{a \in G . \forall s \in x. \langle s, a \rangle \in Q\} \wedge z = \{\langle x, y \rangle\}$ )
  ⟨proof⟩

lemmas replacements = Pair_diff_replacement id_replacement tag_replacement
  postpend_replacement prepend_replacement
  Inl_replacement1 diff_Pair_replacement
  swap_replacement tag_union_replacement csquare_lam_replacement
  assoc_replacement prod_fun_replacement
  cardinal_lib_assms4 domain_replacement
  apply_replacement
  un_Pair_replacement restrict_strong_replacement diff_replacement
  if_then_Inj_replacement lam_if_then_replacement if_then_replacement
  ifx_replacement if_then_range_replacement2 if_then_range_replacement
  Inl_replacement2
  case_replacement1 case_replacement2 case_replacement4 case_replacement5

end — M_replacement_extra

end

```

14 Relative, Choice-less Cardinal Numbers

```

theory Cardinal_Relative
imports
  Discipline_Cardinal

```

```

    Lambda_Replacement
    Univ_Relative
begin

hide__const (open) L

definition
  Finite_rel :: [i⇒o,i]=>o where
  Finite_rel(M,A) ≡ ∃ om[M]. ∃ n[M]. omega(M,om) ∧ n∈om ∧ eqpoll_rel(M,A,n)

definition
  banach_functor :: [i,i,i,i,i] ⇒ i where
  banach_functor(X,Y,f,g,W) ≡ X - g“( Y - f“W)

definition
  is_banach_functor :: [i⇒o,i,i,i,i,i] ⇒ o where
  is_banach_functor(M,X,Y,f,g,W,b) ≡
    ∃ fW[M]. ∃ YfW[M]. ∃ gYfW[M]. image(M,f,W,fW) ∧ setdiff(M,Y,fW,YfW)
  ∧
    image(M,g,YfW,gYfW) ∧ setdiff(M,X,gYfW,b)

lemma (in M_basic) banach_functor_abs :
  assumes M(X) M(Y) M(f) M(g)
  shows relation1(M,is_banach_functor(M,X,Y,f,g),banach_functor(X,Y,f,g))
  ⟨proof⟩

lemma (in M_basic) banach_functor_closed:
  assumes M(X) M(Y) M(f) M(g)
  shows ∀ W[M]. M(banach_functor(X,Y,f,g,W))
  ⟨proof⟩

locale M_cardinals = M_ordertype + M_trancl + M_Perm + M_replacement_extra
+
  assumes
    radd_separation: M(R) ⇒ M(S) ⇒
      separation(M, λz.
        (∃ x y. z = ⟨Inl(x), Inr(y)⟩) ∨
        (∃ x' x. z = ⟨Inl(x'), Inl(x)⟩ ∧ ⟨x', x⟩ ∈ R) ∨
        (∃ y' y. z = ⟨Inr(y'), Inr(y)⟩ ∧ ⟨y', y⟩ ∈ S))
  and
    rmult_separation: M(b) ⇒ M(d) ⇒ separation(M,
      λz. ∃ x' y' x y. z = ⟨⟨x', y'⟩, x, y⟩ ∧ (⟨x', x⟩ ∈ b ∨ x' = x ∧ ⟨y', y⟩ ∈ d))
  and
    banach_repl_iter: M(X) ⇒ M(Y) ⇒ M(f) ⇒ M(g) ⇒
      strong_replacement(M, λx y. x∈nat ∧ y = banach_functor(X, Y, f,
g)x (0))
begin

```

lemma *rvimage_separation*: $M(f) \implies M(r) \implies$
 $\text{separation}(M, \lambda z. \exists x y. z = \langle x, y \rangle \wedge \langle f \text{ ` } x, f \text{ ` } y \rangle \in r)$
 $\langle \text{proof} \rangle$

lemma *radd_closed[intro,simp]*: $M(a) \implies M(b) \implies M(c) \implies M(d) \implies M(\text{radd}(a,b,c,d))$
 $\langle \text{proof} \rangle$

lemma *rmult_closed[intro,simp]*: $M(a) \implies M(b) \implies M(c) \implies M(d) \implies M(\text{rmult}(a,b,c,d))$
 $\langle \text{proof} \rangle$

end — *M_cardinals*

lemma (**in** *M_cardinals*) *is_cardinal_iff_Least*:
assumes $M(A) \ M(\kappa)$
shows $\text{is_cardinal}(M,A,\kappa) \longleftrightarrow \kappa = (\mu \ i. M(i) \wedge i \approx^M A)$
 $\langle \text{proof} \rangle$

14.1 The Schroeder-Bernstein Theorem

See Davey and Priestly, page 106

context *M_cardinals*
begin

lemma *bnd_mono_banach_functor*: $\text{bnd_mono}(X, \text{banach_functor}(X,Y,f,g))$
 $\langle \text{proof} \rangle$

lemma *inj_Inter*:
assumes $g \in \text{inj}(Y,X) \ A \neq 0 \ \forall a \in A. a \subseteq Y$
shows $g \text{ `` } (\bigcap A) = (\bigcap a \in A. g \text{ `` } a)$
 $\langle \text{proof} \rangle$

lemma *contin_banach_functor*:
assumes $g \in \text{inj}(Y,X)$
shows $\text{contin}(\text{banach_functor}(X,Y,f,g))$
 $\langle \text{proof} \rangle$

lemma *lfp_banach_functor*:
assumes $g \in \text{inj}(Y,X)$
shows $\text{lfp}(X, \text{banach_functor}(X,Y,f,g)) =$
 $(\bigcup n \in \text{nat}. \text{banach_functor}(X,Y,f,g) \hat{\ }^n (0))$
 $\langle \text{proof} \rangle$

lemma *lfp_banach_functor_closed*:
assumes $M(g) \ M(X) \ M(Y) \ M(f) \ g \in \text{inj}(Y,X)$
shows $M(\text{lfp}(X, \text{banach_functor}(X,Y,f,g)))$
 $\langle \text{proof} \rangle$

lemma *banach_decomposition_rel*:

$\llbracket M(f); M(g); M(X); M(Y); f \in X \rightarrow Y; g \in \text{inj}(Y, X) \rrbracket \implies$
 $\exists XA[M]. \exists XB[M]. \exists YA[M]. \exists YB[M].$
 $(XA \cap XB = \emptyset) \ \& \ (XA \cup XB = X) \ \&$
 $(YA \cap YB = \emptyset) \ \& \ (YA \cup YB = Y) \ \&$
 $f''XA = YA \ \& \ g''YB = XB$
 $\langle \text{proof} \rangle$

lemma *schroeder_bernstein_closed*:

$\llbracket M(f); M(g); M(X); M(Y); f \in \text{inj}(X, Y); g \in \text{inj}(Y, X) \rrbracket \implies \exists h[M]. h \in$
 $\text{bij}(X, Y)$
 $\langle \text{proof} \rangle$

lemma *mem_Pow_rel*: $M(r) \implies a \in \text{Pow_rel}(M, r) \implies a \in \text{Pow}(r) \wedge M(a)$
 $\langle \text{proof} \rangle$

lemma *mem_bij_abs[simp]*: $\llbracket M(f); M(A); M(B) \rrbracket \implies f \in \text{bij}^M(A, B) \longleftrightarrow f \in \text{bij}(A, B)$
 $\langle \text{proof} \rangle$

lemma *mem_inj_abs[simp]*: $\llbracket M(f); M(A); M(B) \rrbracket \implies f \in \text{inj}^M(A, B) \longleftrightarrow f \in \text{inj}(A, B)$
 $\langle \text{proof} \rangle$

lemma *mem_surj_abs*: $\llbracket M(f); M(A); M(B) \rrbracket \implies f \in \text{surj}^M(A, B) \longleftrightarrow f \in \text{surj}(A, B)$
 $\langle \text{proof} \rangle$

lemma *bij_imp_eqpoll_rel*:

assumes $f \in \text{bij}(A, B) \ M(f) \ M(A) \ M(B)$
shows $A \approx^M B$
 $\langle \text{proof} \rangle$

lemma *eqpoll_rel_refl*: $M(A) \implies A \approx^M A$
 $\langle \text{proof} \rangle$

lemma *eqpoll_rel_sym*: $X \approx^M Y \implies M(X) \implies M(Y) \implies Y \approx^M X$
 $\langle \text{proof} \rangle$

lemma *eqpoll_rel_trans* [trans]:

$\llbracket X \approx^M Y; Y \approx^M Z; M(X); M(Y); M(Z) \rrbracket \implies X \approx^M Z$
 $\langle \text{proof} \rangle$

lemma *subset_imp_lepoll_rel*: $X \subseteq Y \implies M(X) \implies M(Y) \implies X \lesssim^M Y$
 $\langle \text{proof} \rangle$

lemmas *lepoll_rel_refl* = *subset_refl* [THEN *subset_imp_lepoll_rel*, *simp*]

lemmas $le_imp_lepoll_rel = le_imp_subset$ [THEN subset_imp_lepoll_rel]

lemma $eqpoll_rel_imp_lepoll_rel$: $X \approx^M Y \implies M(X) \implies M(Y) \implies X \lesssim^M Y$
 <proof>

lemma $lepoll_rel_trans$ [trans]:
assumes
 $X \lesssim^M Y \ Y \lesssim^M Z \ M(X) \ M(Y) \ M(Z)$
shows
 $X \lesssim^M Z$
 <proof>

lemma $eq_lepoll_rel_trans$ [trans]:
assumes
 $X \approx^M Y \ Y \lesssim^M Z \ M(X) \ M(Y) \ M(Z)$
shows
 $X \lesssim^M Z$
 <proof>

lemma $lepoll_rel_eq_trans$ [trans]:
assumes $X \lesssim^M Y \ Y \approx^M Z \ M(X) \ M(Y) \ M(Z)$
shows $X \lesssim^M Z$
 <proof>

lemma $eqpoll_relI$: $\llbracket X \lesssim^M Y; Y \lesssim^M X; M(X); M(Y) \rrbracket \implies X \approx^M Y$
 <proof>

lemma $eqpoll_relE$:
 $\llbracket X \approx^M Y; \llbracket X \lesssim^M Y; Y \lesssim^M X \rrbracket \implies P; M(X); M(Y) \rrbracket \implies P$
 <proof>

lemma $eqpoll_rel_iff$: $M(X) \implies M(Y) \implies X \approx^M Y \longleftrightarrow X \lesssim^M Y \ \& \ Y \lesssim^M X$
 <proof>

lemma $lepoll_rel_0_is_0$: $A \lesssim^M 0 \implies M(A) \implies A = 0$
 <proof>

lemmas $empty_lepoll_relI = empty_subsetI$ [THEN subset_imp_lepoll_rel, OF nonempty]

lemma $lepoll_rel_0_iff$: $M(A) \implies A \lesssim^M 0 \longleftrightarrow A = 0$
 <proof>

lemma $Un_lepoll_rel_Un$:
 $\llbracket A \lesssim^M B; C \lesssim^M D; B \cap D = 0; M(A); M(B); M(C); M(D) \rrbracket \implies A \cup C \lesssim^M B \cup D$
 <proof>

lemma *eqpoll_rel_0_is_0*: $A \approx^M 0 \implies M(A) \implies A = 0$
 $\langle proof \rangle$

lemma *eqpoll_rel_0_iff*: $M(A) \implies A \approx^M 0 \longleftrightarrow A = 0$
 $\langle proof \rangle$

lemma *eqpoll_rel_disjoint_Un*:
 $\llbracket A \approx^M B; C \approx^M D; A \cap C = 0; B \cap D = 0; M(A); M(B); M(C); M(D) \rrbracket$
 $\implies A \cup C \approx^M B \cup D$
 $\langle proof \rangle$

14.2 lesspoll_rel: contributions by Krzysztof Grabczewski

lemma *lesspoll_rel_not_refl*: $M(i) \implies \sim (i \prec^M i)$
 $\langle proof \rangle$

lemma *lesspoll_rel_irrefl*: $i \prec^M i \implies M(i) \implies P$
 $\langle proof \rangle$

lemma *lesspoll_rel_imp_lepoll_rel*: $\llbracket A \prec^M B; M(A); M(B) \rrbracket \implies A \lesssim^M B$
 $\langle proof \rangle$

lemma *rvimage_closed* [*intro,simp*]:
assumes
 $M(A) \ M(f) \ M(r)$
shows
 $M(rvimage(A,f,r))$
 $\langle proof \rangle$

lemma *lepoll_rel_well_ord*: $\llbracket A \lesssim^M B; well_ord(B,r); M(A); M(B); M(r) \rrbracket$
 $\implies \exists s[M]. well_ord(A,s)$
 $\langle proof \rangle$

lemma *lepoll_rel_iff_leqpoll_rel*: $\llbracket M(A); M(B) \rrbracket \implies A \lesssim^M B \longleftrightarrow A \prec^M B \mid A \approx^M B$
 $\langle proof \rangle$

end — *M_cardinals*

context *M_cardinals*
begin

lemma *inj_rel_is_fun_M*: $f \in inj^M(A,B) \implies M(f) \implies M(A) \implies M(B) \implies f \in A \rightarrow^M B$
 $\langle proof \rangle$

lemma *inj_rel_not_surj_rel_succ*:
notes *mem_inj_abs* [*simp del*]
assumes *fi*: $f \in inj^M(A, succ(m))$ **and** *fns*: $f \notin surj^M(A, succ(m))$

and types: $M(f) \ M(A) \ M(m)$
shows $\exists f[M]. f \in \text{inj}^M(A, m)$
 $\langle \text{proof} \rangle$

lemma *lesspoll_rel_trans* [trans]:
 $\llbracket X \prec^M Y; Y \prec^M Z; M(X); M(Y) ; M(Z) \rrbracket \implies X \prec^M Z$
 $\langle \text{proof} \rangle$

lemma *lesspoll_rel_trans1* [trans]:
 $\llbracket X \lesssim^M Y; Y \prec^M Z; M(X); M(Y) ; M(Z) \rrbracket \implies X \prec^M Z$
 $\langle \text{proof} \rangle$

lemma *lesspoll_rel_trans2* [trans]:
 $\llbracket X \prec^M Y; Y \lesssim^M Z; M(X); M(Y) ; M(Z) \rrbracket \implies X \prec^M Z$
 $\langle \text{proof} \rangle$

lemma *eq_lesspoll_rel_trans* [trans]:
 $\llbracket X \approx^M Y; Y \prec^M Z; M(X); M(Y) ; M(Z) \rrbracket \implies X \prec^M Z$
 $\langle \text{proof} \rangle$

lemma *lesspoll_rel_eq_trans* [trans]:
 $\llbracket X \prec^M Y; Y \approx^M Z; M(X); M(Y) ; M(Z) \rrbracket \implies X \prec^M Z$
 $\langle \text{proof} \rangle$

lemma *is_cardinal_cong*:
assumes $X \approx^M Y \ M(X) \ M(Y)$
shows $\exists \kappa[M]. \text{is_cardinal}(M, X, \kappa) \wedge \text{is_cardinal}(M, Y, \kappa)$
 $\langle \text{proof} \rangle$

lemma *cardinal_rel_cong*: $X \approx^M Y \implies M(X) \implies M(Y) \implies |X|^M = |Y|^M$
 $\langle \text{proof} \rangle$

lemma *well_ord_is_cardinal_eqpoll_rel*:
assumes $\text{well_ord}(A, r)$ **shows** $\text{is_cardinal}(M, A, \kappa) \implies M(A) \implies M(\kappa) \implies$
 $M(r) \implies \kappa \approx^M A$
 $\langle \text{proof} \rangle$

lemmas $\text{Ord_is_cardinal_eqpoll_rel} = \text{well_ord_Memrel}[THEN \text{well_ord_is_cardinal_eqpoll_rel}]$

15 Porting from *ZF.Cardinal*

The following results were ported more or less directly from *ZF.Cardinal*

lemma *well_ord_cardinal_rel_eqpoll_rel*:
assumes $r: \text{well_ord}(A, r)$ **and** $M(A) \ M(r)$ **shows** $|A|^M \approx^M A$
 $\langle \text{proof} \rangle$

lemmas $\text{Ord_cardinal_rel_eqpoll_rel} = \text{well_ord_Memrel}[THEN \text{well_ord_cardinal_rel_eqpoll_rel}]$

lemma *Ord_cardinal_rel_idem*: $\text{Ord}(A) \implies M(A) \implies ||A|^M|^M = |A|^M$
 ⟨proof⟩

lemma *well_ord_cardinal_rel_eqE*:
 assumes *woX*: $\text{well_ord}(X, r)$ and *woY*: $\text{well_ord}(Y, s)$ and *eq*: $|X|^M = |Y|^M$
 and *types*: $M(X) \ M(r) \ M(Y) \ M(s)$
 shows $X \approx^M Y$
 ⟨proof⟩

lemma *well_ord_cardinal_rel_eqpoll_rel_iff*:
 $[| \text{well_ord}(X, r); \text{well_ord}(Y, s); M(X); M(r); M(Y); M(s) |] \implies |X|^M = |Y|^M \longleftrightarrow X \approx^M Y$
 ⟨proof⟩

lemma *Ord_cardinal_rel_le*: $\text{Ord}(i) \implies M(i) \implies |i|^M \leq i$
 ⟨proof⟩

lemma *Card_rel_cardinal_rel_eq*: $\text{Card}^M(K) \implies M(K) \implies |K|^M = K$
 ⟨proof⟩

lemma *Card_relI*: $[| \text{Ord}(i); \forall j. j < i \implies M(j) \implies \sim(j \approx^M i); M(i) |] \implies \text{Card}^M(i)$
 ⟨proof⟩

lemma *Card_rel_is_Ord*: $\text{Card}^M(i) \implies M(i) \implies \text{Ord}(i)$
 ⟨proof⟩

lemma *Card_rel_cardinal_rel_le*: $\text{Card}^M(K) \implies M(K) \implies K \leq |K|^M$
 ⟨proof⟩

lemma *Ord_cardinal_rel [simp,intro!]*: $M(A) \implies \text{Ord}(|A|^M)$
 ⟨proof⟩

lemma *Card_rel_iff_initial*: assumes *types*: $M(K)$
 shows $\text{Card}^M(K) \longleftrightarrow \text{Ord}(K) \ \& \ (\forall j[M]. j < K \longrightarrow \sim(j \approx^M K))$
 ⟨proof⟩

lemma *lt_Card_rel_imp_lesspoll_rel*: $[| \text{Card}^M(a); i < a; M(a); M(i) |] \implies i \prec^M a$
 ⟨proof⟩

lemma *Card_rel_0*: $\text{Card}^M(0)$
 ⟨proof⟩

lemma *Card_rel_Un*: $[| \text{Card}^M(K); \text{Card}^M(L); M(K); M(L) |] \implies \text{Card}^M(K \cup L)$
 ⟨proof⟩

lemma *Card_rel_cardinal_rel* [iff]: **assumes** $types: M(A)$ **shows** $Card^M(|A|^M)$
 ⟨proof⟩

lemma *cardinal_rel_eq_lemma*:
assumes $i: |i|^M \leq j$ **and** $j: j \leq i$ **and** $types: M(i) M(j)$
shows $|j|^M = |i|^M$
 ⟨proof⟩

lemma *cardinal_rel_mono*:
assumes $ij: i \leq j$ **and** $types: M(i) M(j)$ **shows** $|i|^M \leq |j|^M$
 ⟨proof⟩

lemma *cardinal_rel_lt_imp_lt*: $[|i|^M < |j|^M; Ord(i); Ord(j); M(i); M(j)] \implies i < j$
 ⟨proof⟩

lemma *Card_rel_lt_imp_lt*: $[|i|^M < K; Ord(i); Card^M(K); M(i); M(K)] \implies i < K$
 ⟨proof⟩

lemma *Card_rel_lt_iff*: $[Ord(i); Card^M(K); M(i); M(K)] \implies (|i|^M < K) \longleftrightarrow (i < K)$
 ⟨proof⟩

lemma *Card_rel_le_iff*: $[Ord(i); Card^M(K); M(i); M(K)] \implies (K \leq |i|^M) \longleftrightarrow (K \leq i)$
 ⟨proof⟩

lemma *well_ord_lepoll_rel_imp_cardinal_rel_le*:
assumes $wB: well_ord(B, r)$ **and** $AB: A \lesssim^M B$
and
 $types: M(B) M(r) M(A)$
shows $|A|^M \leq |B|^M$
 ⟨proof⟩

lemma *lepoll_rel_cardinal_rel_le*: $[A \lesssim^M i; Ord(i); M(A); M(i)] \implies |A|^M \leq i$
 ⟨proof⟩

lemma *lepoll_rel_Ord_imp_eqpoll_rel*: $[A \lesssim^M i; Ord(i); M(A); M(i)] \implies |A|^M \approx^M A$
 ⟨proof⟩

lemma *lesspoll_rel_imp_eqpoll_rel*: $[A \prec^M i; Ord(i); M(A); M(i)] \implies |A|^M \approx^M A$
 ⟨proof⟩

lemma *lesspoll_cardinal_lt_rel*:
shows $[A \prec^M i; Ord(i); M(i); M(A)] \implies |A|^M < i$

$\langle proof \rangle$

lemma *cardinal_rel_subset_Ord*: $[|A \leq i; \text{Ord}(i); M(A); M(i)|] \implies |A|^M \subseteq i$
 $\langle proof \rangle$

lemma *cons_lepoll_rel_consD*:
 $[| \text{cons}(u, A) \lesssim^M \text{cons}(v, B); u \notin A; v \notin B; M(u); M(A); M(v); M(B) |] \implies A \lesssim^M B$
 $\langle proof \rangle$

lemma *cons_eqpoll_rel_consD*: $[| \text{cons}(u, A) \approx^M \text{cons}(v, B); u \notin A; v \notin B; M(u); M(A); M(v); M(B) |] \implies A \approx^M B$
 $\langle proof \rangle$

lemma *succ_lepoll_rel_succD*: $\text{succ}(m) \lesssim^M \text{succ}(n) \implies M(m) \implies M(n) \implies m \lesssim^M n$
 $\langle proof \rangle$

lemma *nat_lepoll_rel_imp_le*:
 $m \in \text{nat} \implies n \in \text{nat} \implies m \lesssim^M n \implies M(m) \implies M(n) \implies m \leq n$
 $\langle proof \rangle$

lemma *nat_eqpoll_rel_iff*: $[| m \in \text{nat}; n \in \text{nat}; M(m); M(n) |] \implies m \approx^M n$
 $\longleftrightarrow m = n$
 $\langle proof \rangle$

lemma *nat_into_Card_rel*:
assumes $n: n \in \text{nat}$ **and types** $M(n)$ **shows** $\text{Card}^M(n)$
 $\langle proof \rangle$

lemmas *cardinal_rel_0 = nat_0I* $[\text{THEN } \text{nat_into_Card_rel}, \text{ THEN } \text{Card_rel_cardinal_rel_eq}, \text{ simplified}, \text{ iff}]$

lemmas *cardinal_rel_1 = nat_1I* $[\text{THEN } \text{nat_into_Card_rel}, \text{ THEN } \text{Card_rel_cardinal_rel_eq}, \text{ simplified}, \text{ iff}]$

lemma *succ_lepoll_rel_natE*: $[| \text{succ}(n) \lesssim^M n; n \in \text{nat} |] \implies P$
 $\langle proof \rangle$

lemma *nat_lepoll_rel_imp_ex_eqpoll_rel_n*:
 $[| n \in \text{nat}; \text{nat} \lesssim^M X; M(n); M(X) |] \implies \exists Y[M]. Y \subseteq X \ \& \ n \approx^M Y$
 $\langle proof \rangle$

lemma *lepoll_rel_succ*: $M(i) \implies i \lesssim^M \text{succ}(i)$
 $\langle proof \rangle$

lemma *lepoll_rel_imp_lespoll_rel_succ*:
assumes $A: A \lesssim^M m$ **and** $m: m \in \text{nat}$
and types $M(A) \ M(m)$
shows $A \prec^M \text{succ}(m)$
 $\langle proof \rangle$

lemma *lesspoll_rel_succ_imp_lepoll_rel*:

$[| A \prec^M \text{succ}(m); m \in \text{nat}; M(A); M(m) |] \implies A \lesssim^M m$
 $\langle \text{proof} \rangle$

lemma *lesspoll_rel_succ_iff*: $m \in \text{nat} \implies M(A) \implies A \prec^M \text{succ}(m) \longleftrightarrow A \lesssim^M m$
 $\langle \text{proof} \rangle$

lemma *lepoll_rel_succ_disj*: $[| A \lesssim^M \text{succ}(m); m \in \text{nat}; M(A) ; M(m) |] \implies A \lesssim^M m \mid A \approx^M \text{succ}(m)$
 $\langle \text{proof} \rangle$

lemma *lesspoll_rel_cardinal_rel_lt*: $[| A \prec^M i; \text{Ord}(i); M(A); M(i) |] \implies |A|^M < i$
 $\langle \text{proof} \rangle$

lemma *lt_not_lepoll_rel*:

assumes $n: n < i \ n \in \text{nat}$

and $\text{types}: M(n) \ M(i)$ **shows** $i \sim i \lesssim^M n$

$\langle \text{proof} \rangle$

A slightly weaker version of *nat_eqpoll_rel_iff*

lemma *Ord_nat_eqpoll_rel_iff*:

assumes $i: \text{Ord}(i)$ **and** $n: n \in \text{nat}$

and $\text{types}: M(i) \ M(n)$

shows $i \approx^M n \longleftrightarrow i = n$

$\langle \text{proof} \rangle$

lemma *Card_rel_nat*: $\text{Card}^M(\text{nat})$

$\langle \text{proof} \rangle$

lemma *nat_le_cardinal_rel*: $\text{nat} \leq i \implies M(i) \implies \text{nat} \leq |i|^M$

$\langle \text{proof} \rangle$

lemma *n_lesspoll_rel_nat*: $n \in \text{nat} \implies n \prec^M \text{nat}$

$\langle \text{proof} \rangle$

lemma *cons_lepoll_rel_cong*:

$[| A \lesssim^M B; b \notin B; M(A); M(B); M(b); M(a) |] \implies \text{cons}(a, A) \lesssim^M \text{cons}(b, B)$

$\langle \text{proof} \rangle$

lemma *cons_eqpoll_rel_cong*:

$[| A \approx^M B; a \notin A; b \notin B; M(A); M(B); M(a) ; M(b) |] \implies \text{cons}(a, A) \approx^M \text{cons}(b, B)$

$\langle \text{proof} \rangle$

lemma *cons_lepoll_rel_cons_iff*:

$$\begin{aligned} & \llbracket a \notin A; b \notin B; M(a); M(A); M(b); M(B) \rrbracket ==> \text{cons}(a,A) \lesssim^M \text{cons}(b,B) \\ \longleftrightarrow & A \lesssim^M B \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *cons_eqpoll_rel_cons_iff*:

$$\begin{aligned} & \llbracket a \notin A; b \notin B; M(a); M(A); M(b); M(B) \rrbracket ==> \text{cons}(a,A) \approx^M \text{cons}(b,B) \\ \longleftrightarrow & A \approx^M B \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *singleton_eqpoll_rel_1*: $M(a) \implies \{a\} \approx^M 1$
 $\langle \text{proof} \rangle$

lemma *cardinal_rel_singleton*: $M(a) \implies |\{a\}|^M = 1$
 $\langle \text{proof} \rangle$

lemma *not_0_is_lepoll_rel_1*: $A \neq 0 ==> M(A) \implies 1 \lesssim^M A$
 $\langle \text{proof} \rangle$

lemma *succ_eqpoll_rel_cong*: $A \approx^M B \implies M(A) \implies M(B) ==> \text{succ}(A) \approx^M \text{succ}(B)$
 $\langle \text{proof} \rangle$

The next result was not straightforward to port, and even a different statement was needed.

lemma *sum_bij_rel*:

$$\begin{aligned} & \llbracket f \in \text{bij}^M(A,C); g \in \text{bij}^M(B,D); M(f); M(A); M(C); M(g); M(B); M(D) \rrbracket \\ & ==> (\lambda z \in A+B. \text{case}(\%x. \text{Inl}(f'x), \%y. \text{Inr}(g'y), z)) \in \text{bij}^M(A+B, C+D) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *sum_bij_rel'*:
assumes $f \in \text{bij}^M(A,C)$ $g \in \text{bij}^M(B,D)$ $M(f)$
 $M(A)$ $M(C)$ $M(g)$ $M(B)$ $M(D)$
shows
 $(\lambda z \in A+B. \text{case}(\lambda x. \text{Inl}(f'x), \lambda y. \text{Inr}(g'y), z)) \in \text{bij}(A+B, C+D)$
 $M(\lambda z \in A+B. \text{case}(\lambda x. \text{Inl}(f'x), \lambda y. \text{Inr}(g'y), z))$
 $\langle \text{proof} \rangle$

lemma *sum_eqpoll_rel_cong*:
assumes $A \approx^M C$ $B \approx^M D$ $M(A)$ $M(C)$ $M(B)$ $M(D)$
shows $A+B \approx^M C+D$
 $\langle \text{proof} \rangle$

lemma *prod_bij_rel'*:
assumes $f \in \text{bij}^M(A,C)$ $g \in \text{bij}^M(B,D)$ $M(f)$
 $M(A)$ $M(C)$ $M(g)$ $M(B)$ $M(D)$
shows
 $(\lambda \langle x,y \rangle \in A*B. \langle f'x, g'y \rangle) \in \text{bij}(A*B, C*D)$
 $M(\lambda \langle x,y \rangle \in A*B. \langle f'x, g'y \rangle)$

$\langle proof \rangle$

lemma *prod_eqpoll_rel_cong*:

assumes $A \approx^M C$ $B \approx^M D$ $M(A)$ $M(C)$ $M(B)$ $M(D)$

shows $A \times B \approx^M C \times D$

$\langle proof \rangle$

lemma *inj_rel_disjoint_eqpoll_rel*:

$\llbracket f \in \text{inj}^M(A, B); A \cap B = 0; M(f); M(A); M(B) \rrbracket \implies A \cup (B - \text{range}(f))$
 $\approx^M B$

$\langle proof \rangle$

lemma *Diff_sing_lepoll_rel*:

$\llbracket a \in A; A \lesssim^M \text{succ}(n); M(a); M(A); M(n) \rrbracket \implies A - \{a\} \lesssim^M n$

$\langle proof \rangle$

lemma *lepoll_rel_Diff_sing*:

assumes $A: \text{succ}(n) \lesssim^M A$

and types: $M(n)$ $M(A)$ $M(a)$

shows $n \lesssim^M A - \{a\}$

$\langle proof \rangle$

lemma *Diff_sing_eqpoll_rel*: $\llbracket a \in A; A \approx^M \text{succ}(n); M(a); M(A); M(n) \rrbracket \implies$
 $A - \{a\} \approx^M n$

$\langle proof \rangle$

lemma *lepoll_rel_1_is_sing*: $\llbracket A \lesssim^M 1; a \in A; M(a); M(A) \rrbracket \implies A = \{a\}$

$\langle proof \rangle$

lemma *Un_lepoll_rel_sum*: $M(A) \implies M(B) \implies A \cup B \lesssim^M A + B$

$\langle proof \rangle$

lemma *well_ord_Un_M*:

assumes $\text{well_ord}(X, R)$ $\text{well_ord}(Y, S)$

and types: $M(X)$ $M(R)$ $M(Y)$ $M(S)$

shows $\exists T[M]. \text{well_ord}(X \cup Y, T)$

$\langle proof \rangle$

lemma *disj_Un_eqpoll_rel_sum*: $M(A) \implies M(B) \implies A \cap B = 0 \implies A \cup B$
 $\approx^M A + B$

$\langle proof \rangle$

lemma *eqpoll_rel_imp_Finite_rel_iff*: $A \approx^M B \implies M(A) \implies M(B) \implies$
 $\text{Finite_rel}(M, A) \longleftrightarrow \text{Finite_rel}(M, B)$

$\langle proof \rangle$

lemma *Finite_abs[simp]*: **assumes** $M(A)$ **shows** $\text{Finite_rel}(M, A) \longleftrightarrow \text{Finite}(A)$

$\langle proof \rangle$

lemma *lepoll_rel_nat_imp_Finite_rel*:
 assumes $A: A \lesssim^M n$ and $n: n \in \text{nat}$
 and types: $M(A) \ M(n)$
 shows $\text{Finite_rel}(M, A)$
 $\langle \text{proof} \rangle$

lemma *lesspoll_rel_nat_is_Finite_rel*:
 $A \prec^M \text{nat} \implies M(A) \implies \text{Finite_rel}(M, A)$
 $\langle \text{proof} \rangle$

lemma *lepoll_rel_Finite_rel*:
 assumes $Y: Y \lesssim^M X$ and $X: \text{Finite_rel}(M, X)$
 and types: $M(Y) \ M(X)$
 shows $\text{Finite_rel}(M, Y)$
 $\langle \text{proof} \rangle$

lemma *succ_lepoll_rel_imp_not_empty*: $\text{succ}(x) \lesssim^M y \implies M(x) \implies M(y)$
 $\implies y \neq 0$
 $\langle \text{proof} \rangle$

lemma *eqpoll_rel_succ_imp_not_empty*: $x \approx^M \text{succ}(n) \implies M(x) \implies M(n)$
 $\implies x \neq 0$
 $\langle \text{proof} \rangle$

lemma *Finite_subset_closed*:
 assumes $\text{Finite}(B) \ B \subseteq A \ M(A)$
 shows $M(B)$
 $\langle \text{proof} \rangle$

lemma *Finite_Pow_abs*:
 assumes $\text{Finite}(A) \ M(A)$
 shows $\text{Pow}(A) = \text{Pow_rel}(M, A)$
 $\langle \text{proof} \rangle$

lemma *Finite_Pow_rel*:
 assumes $\text{Finite}(A) \ M(A)$
 shows $\text{Finite}(\text{Pow_rel}(M, A))$
 $\langle \text{proof} \rangle$

lemma *Pow_rel_0 [simp]*: $\text{Pow_rel}(M, 0) = \{0\}$
 $\langle \text{proof} \rangle$

lemma *eqpoll_rel_imp_Finite*: $A \approx^M B \implies \text{Finite}(A) \implies M(A) \implies M(B) \implies \text{Finite}(B)$
 $\langle \text{proof} \rangle$

lemma *eqpoll_rel_imp_Finite_iff*: $A \approx^M B \implies M(A) \implies M(B) \implies \text{Finite}(A)$

$\longleftrightarrow \text{Finite}(B)$
 $\langle \text{proof} \rangle$

end — $M_cardinals$

end

16 Relative, Choice-less Cardinal Arithmetic

theory *CardinalArith_Relative*

imports

Cardinal_Relative

begin

$\langle ML \rangle$

definition

$csquare_lam :: i \Rightarrow i$ **where**

$csquare_lam(K) \equiv \lambda \langle x, y \rangle \in K \times K. \langle x \cup y, x, y \rangle$

— Can't do the next thing because split is a missing HOC

$\langle ML \rangle$

definition

$is_csquare_lam :: [i \Rightarrow o, i] \Rightarrow o$ **where**

$is_csquare_lam(M, K, l) \equiv \exists K2[M]. \text{cartprod}(M, K, K, K2) \wedge$
 $is_lambda(M, K2, is_csquare_lam_body(M), l)$

definition $jump_cardinal_body :: [i \Rightarrow o, i] \Rightarrow i$ **where**

$jump_cardinal_body(M, X) \equiv$
 $\{z . r \in Pow^M(X \times X), M(z) \wedge M(r) \wedge well_ord(X, r) \wedge z = ordertype(X, r)\}$

lemma (**in** $M_cardinals$) $csquare_lam_closed[intro, simp]: M(K) \Longrightarrow M(csquare_lam(K))$
 $\langle proof \rangle$

locale $M_pre_cardinal_arith = M_cardinals +$

assumes

$wfrec_pred_replacement: M(A) \Longrightarrow M(r) \Longrightarrow$

$wfrec_replacement(M, \lambda x f z. z = f \text{ `` } Order.pred(A, x, r), r)$

begin

lemma $ord_iso_separation: M(A) \Longrightarrow M(r) \Longrightarrow M(s) \Longrightarrow$

$separation(M, \lambda f. \forall x \in A. \forall y \in A. \langle x, y \rangle \in r \longleftrightarrow \langle f \text{ `` } x, f \text{ `` } y \rangle \in s)$

$\langle proof \rangle$

end

locale $M_cardinal_arith = M_pre_cardinal_arith +$
assumes
 $ordertype_replacement :$
 $M(X) \implies strong_replacement(M, \lambda x z . M(z) \wedge M(x) \wedge x \in Pow_rel(M, X \times X)$
 $\wedge well_ord(X, x) \wedge z = ordertype(X, x))$
and
 $strong_replacement_jc_body :$
 $strong_replacement(M, \lambda x z . M(z) \wedge M(x) \wedge z = jump_cardinal_body(M, x))$

lemmas (in $M_cardinal_arith$) $surj_imp_inj_replacement =$
 $surj_imp_inj_replacement1\ surj_imp_inj_replacement2\ surj_imp_inj_replacement4$
 $lam_replacement_vimage_sing_fun[THEN\ lam_replacement_imp_strong_replacement]$

$\langle ML \rangle$

lemma (in $M_trivial$) $rmultP_abs\ [absolut]: \llbracket M(r); M(s); M(z) \rrbracket \implies is_rmultP(M, s, r, z)$
 \longleftrightarrow
 $(\exists x' y' x y. z = \langle \langle x', y' \rangle, x, y \rangle \wedge (\langle x', x \rangle \in r \vee x' = x \wedge \langle y', y \rangle \in s))$
 $\langle proof \rangle$

definition

$is_csquare_rel :: [i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $is_csquare_rel(M, K, cs) \equiv \exists K2[M]. \exists la[M]. \exists memK[M].$
 $\exists rmKK[M]. \exists rmKK2[M].$
 $cartprod(M, K, K, K2) \wedge is_csquare_lam(M, K, la) \wedge$
 $membership(M, K, memK) \wedge is_rmult(M, K, memK, K, memK, rmKK) \wedge$
 $is_rmult(M, K, memK, K2, rmKK, rmKK2) \wedge is_rvimage(M, K2, la, rmKK2, cs)$

context M_basic

begin

lemma $rvimage_abs[absolut]:$
assumes $M(A)\ M(f)\ M(r)\ M(z)$
shows $is_rvimage(M, A, f, r, z) \longleftrightarrow z = rvimage(A, f, r)$
 $\langle proof \rangle$

lemma $rmult_abs\ [absolut]: \llbracket M(A); M(r); M(B); M(s); M(z) \rrbracket \implies$
 $is_rmult(M, A, r, B, s, z) \longleftrightarrow z = rmult(A, r, B, s)$
 $\langle proof \rangle$

lemma $csquare_lam_body_abs[absolut]: M(x) \implies M(z) \implies$
 $is_csquare_lam_body(M, x, z) \longleftrightarrow z = \langle fst(x) \cup snd(x), fst(x), snd(x) \rangle$
 $\langle proof \rangle$

lemma $csquare_lam_abs[absolut]: M(K) \implies M(l) \implies$
 $is_csquare_lam(M, K, l) \longleftrightarrow l = (\lambda x \in K \times K. \langle fst(x) \cup snd(x), fst(x), snd(x) \rangle)$

$\langle proof \rangle$

lemma *csquare_lam_eq_lam*: $csquare_lam(K) = (\lambda z \in K \times K. \langle fst(z) \cup snd(z), fst(z), snd(z) \rangle)$
 $\langle proof \rangle$

end — *M_basic*

context *M_pre_cardinal_arith*
begin

lemma *csquare_rel_closed*[*intro,simp*]: $M(K) \implies M(csquare_rel(K))$
 $\langle proof \rangle$

lemma *csquare_rel_abs*[*absolut*]: $\llbracket M(K); M(cs) \rrbracket \implies is_csquare_rel(M, K, cs) \longleftrightarrow cs = csquare_rel(K)$
 $\langle proof \rangle$

end — *M_pre_cardinal_arith*

$\langle ML \rangle$

abbreviation

$csucc_r :: [i, i \Rightarrow o] \Rightarrow i \ (\hook'(_{}^+{}')_{} \rightarrow)$ **where**
 $csucc_r(x, M) \equiv csucc_rel(M, x)$

abbreviation

$csucc_r_set :: [i, i] \Rightarrow i \ (\hook'(_{}^+{}')_{} \rightarrow)$ **where**
 $csucc_r_set(x, M) \equiv csucc_rel(\#\#M, x)$

context *M_Perm*
begin

$\langle ML \rangle$
 $\langle proof \rangle$

$\langle ML \rangle$
 $\langle proof \rangle$

end — *M_Perm*

notation *csucc_rel* $(\hook csucc\text{--}'(_{}^+{}')_{} \rightarrow)$

context *M_cardinals*
begin

lemma *Card_rel_Union* [*simp,intro,TC*]:
assumes $A: \bigwedge x. x \in A \implies \text{Card}^M(x)$ **and**
types: $M(A)$
shows $\text{Card}^M(\bigcup(A))$
 $\langle \text{proof} \rangle$

lemma *in_Card_imp_lesspoll*: $[\mid \text{Card}^M(K); b \in K; M(K); M(b) \mid] \implies b \prec_K^M$
 $\langle \text{proof} \rangle$

16.1 Cardinal addition

Note (Paulson): Could omit proving the algebraic laws for cardinal addition and multiplication. On finite cardinals these operations coincide with addition and multiplication of natural numbers; on infinite cardinals they coincide with union (maximum). Either way we get most laws for free.

16.1.1 Cardinal addition is commutative

lemma *sum_commute_eqpoll_rel*: $M(A) \implies M(B) \implies A+B \approx^M B+A$
 $\langle \text{proof} \rangle$

lemma *cadd_rel_commute*: $M(i) \implies M(j) \implies i \oplus^M j = j \oplus^M i$
 $\langle \text{proof} \rangle$

16.1.2 Cardinal addition is associative

lemma *sum_assoc_eqpoll_rel*: $M(A) \implies M(B) \implies M(C) \implies (A+B)+C \approx^M A+(B+C)$
 $\langle \text{proof} \rangle$

Unconditional version requires AC

lemma *well_ord_cadd_rel_assoc*:
assumes $i: \text{well_ord}(i,ri)$ **and** $j: \text{well_ord}(j,rj)$ **and** $k: \text{well_ord}(k,rk)$
and
types: $M(i) \ M(ri) \ M(j) \ M(rj) \ M(k) \ M(rk)$
shows $(i \oplus^M j) \oplus^M k = i \oplus^M (j \oplus^M k)$
 $\langle \text{proof} \rangle$

16.1.3 0 is the identity for addition

lemma *case_id_eq*: $x \in \text{sum}(A,B) \implies \text{case}(\lambda z. z, \lambda z. z, x) = \text{snd}(x)$
 $\langle \text{proof} \rangle$

lemma *lam_case_id*: $(\lambda z \in 0. A. \text{case}(\lambda x. x, \lambda y. y, z)) = (\lambda z \in 0. A. \text{snd}(z))$
 $\langle \text{proof} \rangle$

lemma *sum_0_eqpoll_rel*: $M(A) \implies 0 + A \approx^M A$
 $\langle \text{proof} \rangle$

lemma *cadd_rel_0 [simp]*: $\text{Card}^M(K) \implies M(K) \implies 0 \oplus^M K = K$
 $\langle \text{proof} \rangle$

16.1.4 Addition by another cardinal

lemma *sum_lepoll_rel_self*: $M(A) \implies M(B) \implies A \lesssim^M A + B$
 $\langle \text{proof} \rangle$

lemma *cadd_rel_le_self*:
assumes $K: \text{Card}^M(K)$ **and** $L: \text{Ord}(L)$ **and**
types: $M(K) \ M(L)$
shows $K \leq (K \oplus^M L)$
 $\langle \text{proof} \rangle$

16.1.5 Monotonicity of addition

lemma *sum_lepoll_rel_mono*:
 $[| A \lesssim^M C; B \lesssim^M D; M(A); M(B); M(C); M(D) |] \implies A + B \lesssim^M C + D$
 $\langle \text{proof} \rangle$

lemma *cadd_rel_le_mono*:
 $[| K' \leq K; L' \leq L; M(K'); M(K); M(L'); M(L) |] \implies (K' \oplus^M L') \leq (K \oplus^M L)$
 $\langle \text{proof} \rangle$

16.1.6 Addition of finite cardinals is "ordinary" addition

lemma *sum_succ_eqpoll_rel*: $M(A) \implies M(B) \implies \text{succ}(A) + B \approx^M \text{succ}(A + B)$
 $\langle \text{proof} \rangle$

lemma *cadd_succ_lemma*:
assumes $\text{Ord}(m) \ \text{Ord}(n)$ **and**
types: $M(m) \ M(n)$
shows $\text{succ}(m) \oplus^M n = |\text{succ}(m \oplus^M n)|^M$
 $\langle \text{proof} \rangle$

lemma *nat_cadd_rel_eq_add*:
assumes $m: m \in \text{nat}$ **and** *[simp]*: $n \in \text{nat}$ **shows** $m \oplus^M n = m \# + n$
 $\langle \text{proof} \rangle$

16.2 Cardinal multiplication

16.2.1 Cardinal multiplication is commutative

lemma *prod_commute_eqpoll_rel*: $M(A) \implies M(B) \implies A*B \approx^M B*A$
 $\langle proof \rangle$

lemma *cmult_rel_commute*: $M(i) \implies M(j) \implies i \otimes^M j = j \otimes^M i$
 $\langle proof \rangle$

16.2.2 Cardinal multiplication is associative

lemma *prod_assoc_eqpoll_rel*: $M(A) \implies M(B) \implies M(C) \implies (A*B)*C \approx^M A*(B*C)$
 $\langle proof \rangle$

Unconditional version requires AC

lemma *well_ord_cmult_rel_assoc*:
assumes i : *well_ord*(i, ri) **and** j : *well_ord*(j, rj) **and** k : *well_ord*(k, rk)
and
types: $M(i)$ $M(ri)$ $M(j)$ $M(rj)$ $M(k)$ $M(rk)$
shows $(i \otimes^M j) \otimes^M k = i \otimes^M (j \otimes^M k)$
 $\langle proof \rangle$

16.2.3 Cardinal multiplication distributes over addition

lemma *sum_prod_distrib_eqpoll_rel*: $M(A) \implies M(B) \implies M(C) \implies (A+B)*C \approx^M (A*C)+(B*C)$
 $\langle proof \rangle$

lemma *well_ord_cadd_cmult_distrib*:
assumes i : *well_ord*(i, ri) **and** j : *well_ord*(j, rj) **and** k : *well_ord*(k, rk)
and
types: $M(i)$ $M(ri)$ $M(j)$ $M(rj)$ $M(k)$ $M(rk)$
shows $(i \oplus^M j) \otimes^M k = (i \otimes^M k) \oplus^M (j \otimes^M k)$
 $\langle proof \rangle$

16.2.4 Multiplication by 0 yields 0

lemma *prod_0_eqpoll_rel*: $M(A) \implies 0*A \approx^M 0$
 $\langle proof \rangle$

lemma *cmult_rel_0 [simp]*: $M(i) \implies 0 \otimes^M i = 0$
 $\langle proof \rangle$

16.2.5 1 is the identity for multiplication

lemma *prod_singleton_eqpoll_rel*: $M(x) \implies M(A) \implies \{x\}*A \approx^M A$
 $\langle proof \rangle$

lemma *cmult_rel_1* [simp]: $\text{Card}^M(K) \implies M(K) \implies 1 \otimes^M K = K$
 $\langle \text{proof} \rangle$

16.3 Some inequalities for multiplication

lemma *prod_square_lepoll_rel*: $M(A) \implies A \lesssim^M A * A$
 $\langle \text{proof} \rangle$

lemma *cmult_rel_square_le*: $\text{Card}^M(K) \implies M(K) \implies K \leq K \otimes^M K$
 $\langle \text{proof} \rangle$

16.3.1 Multiplication by a non-zero cardinal

lemma *prod_lepoll_rel_self*: $b \in B \implies M(b) \implies M(B) \implies M(A) \implies A \lesssim^M A * B$
 $\langle \text{proof} \rangle$

lemma *cmult_rel_le_self*:
 $[| \text{Card}^M(K); \text{Ord}(L); 0 < L; M(K); M(L) |] \implies K \leq (K \otimes^M L)$
 $\langle \text{proof} \rangle$

16.3.2 Monotonicity of multiplication

lemma *prod_lepoll_rel_mono*:
 $[| A \lesssim^M C; B \lesssim^M D; M(A); M(B); M(C); M(D) |] \implies A * B \lesssim^M C * D$
 $\langle \text{proof} \rangle$

lemma *cmult_rel_le_mono*:
 $[| K' \leq K; L' \leq L; M(K'); M(K); M(L'); M(L) |] \implies (K' \otimes^M L') \leq (K \otimes^M L)$
 $\langle \text{proof} \rangle$

16.4 Multiplication of finite cardinals is "ordinary" multiplication

lemma *prod_succ_eqpoll_rel*: $M(A) \implies M(B) \implies \text{succ}(A) * B \approx^M B + A * B$
 $\langle \text{proof} \rangle$

lemma *cmult_rel_succ_lemma*:
 $[| \text{Ord}(m); \text{Ord}(n); M(m); M(n) |] \implies \text{succ}(m) \otimes^M n = n \oplus^M (m \otimes^M n)$
 $\langle \text{proof} \rangle$

lemma *nat_cmult_rel_eq_mult*: $[| m \in \text{nat}; n \in \text{nat} |] \implies m \otimes^M n = m \# * n$
 $\langle \text{proof} \rangle$

lemma *cmult_rel_2*: $\text{Card}^M(n) \implies M(n) \implies 2 \otimes^M n = n \oplus^M n$
 $\langle \text{proof} \rangle$

lemma *sum_lepoll_rel_prod*:
assumes $C: 2 \lesssim^M C$ **and**
types: $M(C) \ M(B)$
shows $B+B \lesssim^M C*B$
 $\langle proof \rangle$

lemma *lepoll_imp_sum_lepoll_prod*: $[| A \lesssim^M B; 2 \lesssim^M A; M(A) ; M(B) |] ==>$
 $A+B \lesssim^M A*B$
 $\langle proof \rangle$

end — *M_cardinals*

16.5 Infinite Cardinals are Limit Ordinals

context *M_pre_cardinal_arith*
begin

lemma *nat_cons_lepoll_rel*: $nat \lesssim^M A \implies M(A) \implies M(u) ==> cons(u,A) \lesssim^M A$
 $\langle proof \rangle$

lemma *nat_cons_eqpoll_rel*: $nat \lesssim^M A ==> M(A) \implies M(u) \implies cons(u,A) \approx^M A$
 $\langle proof \rangle$

lemma *nat_succ_eqpoll_rel*: $nat \subseteq A ==> M(A) \implies succ(A) \approx^M A$
 $\langle proof \rangle$

lemma *InfCard_rel_nat*: $InfCard^M(nat)$
 $\langle proof \rangle$

lemma *InfCard_rel_is_Card_rel*: $M(K) \implies InfCard^M(K) \implies Card^M(K)$
 $\langle proof \rangle$

lemma *InfCard_rel_Un*:
 $[| InfCard^M(K); Card^M(L); M(K); M(L) |] ==> InfCard^M(K \cup L)$
 $\langle proof \rangle$

lemma *InfCard_rel_is_Limit*: $InfCard^M(K) ==> M(K) \implies Limit(K)$
 $\langle proof \rangle$

end — *M_pre_cardinal_arith*

lemma (**in** *M_ordertype*) *ordertype_abs[absolut]*:
 $[| wellordered(M,A,r); M(A); M(r); M(i) |] ==>$
 $otype(M,A,r,i) \longleftrightarrow i = ordertype(A,r)$

<proof>

lemma (in *M_ordertype*) *ordertype_closed*[*intro,simp*]: $\llbracket \text{wellordered}(M,A,r); M(A); M(r) \rrbracket$
 $\implies M(\text{ordertype}(A,r))$
<proof>

<ML>

lemma (in *M_trivial*) *is_transitive_iff_transitive_rel*:
 $M(A) \implies M(r) \implies \text{transitive_rel}(M, A, r) \longleftrightarrow \text{is_transitive}(M,A, r)$
<proof>

<ML>

lemma (in *M_trivial*) *is_linear_iff_linear_rel*:
 $M(A) \implies M(r) \implies \text{is_linear}(M,A, r) \longleftrightarrow \text{linear_rel}(M, A, r)$
<proof>

<ML>

lemma (in *M_trivial*) *is_wellfounded_on_iff_wellfounded_on*:
 $M(A) \implies M(r) \implies \text{is_wellfounded_on}(M,A, r) \longleftrightarrow \text{wellfounded_on}(M, A, r)$
<proof>

definition

is_well_ord :: $[i=>o, i, i] => o$ **where**
— linear and wellfounded on *A*
is_well_ord(*M,A,r*) ==
 $\text{is_transitive}(M,A,r) \wedge \text{is_linear}(M,A,r) \wedge \text{is_wellfounded_on}(M,A,r)$

lemma (in *M_trivial*) *is_well_ord_iff_wellordered*:
 $M(A) \implies M(r) \implies \text{is_well_ord}(M,A, r) \longleftrightarrow \text{wellordered}(M, A, r)$
<proof>

<ML>

context *M_pre_cardinal_arith*
begin

<ML>
<proof>

<ML>
<proof>

end — *M_pre_cardinal_arith*

$\langle ML \rangle$

lemma *is_lambda_iff_sats*[*iff_sats*]:

assumes *is_F_iff_sats*:

!!*a0 a1 a2*.

$[|a0 \in Aa; a1 \in Aa; a2 \in Aa|]$

$\implies is_F(a1, a0) \longleftrightarrow sats(Aa, is_F_fm, Cons(a0, Cons(a1, Cons(a2, env))))$

shows

$nth(A, env) = Ab \implies$

$nth(r, env) = ra \implies$

$A \in nat \implies$

$r \in nat \implies$

$env \in list(Aa) \implies$

$is_lambda(\#\#Aa, Ab, is_F, ra) \longleftrightarrow Aa, env \models lambda_fm(is_F_fm, A, r)$

$\langle proof \rangle$

lemma *sats_is_wfrec_fm'*:

assumes *MH_iff_sats*:

!!*a0 a1 a2 a3 a4*.

$[|a0 \in A; a1 \in A; a2 \in A; a3 \in A; a4 \in A|]$

$\implies MH(a2, a1, a0) \longleftrightarrow sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, Cons(a4, env))))))$

shows

$[|x \in nat; y \in nat; z \in nat; env \in list(A); 0 \in A|]$

$\implies sats(A, is_wfrec_fm(p, x, y, z), env) \longleftrightarrow$

$is_wfrec(\#\#A, MH, nth(x, env), nth(y, env), nth(z, env))$

$\langle proof \rangle$

lemma *is_wfrec_iff_sats'*[*iff_sats*]:

assumes *MH_iff_sats*:

!!*a0 a1 a2 a3 a4*.

$[|a0 \in Aa; a1 \in Aa; a2 \in Aa; a3 \in Aa; a4 \in Aa|]$

$\implies MH(a2, a1, a0) \longleftrightarrow sats(Aa, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, Cons(a4, env))))))$

$nth(x, env) = xx \wedge nth(y, env) = yy \wedge nth(z, env) = zz$

$x \in nat \wedge y \in nat \wedge z \in nat \wedge env \in list(Aa) \wedge 0 \in Aa$

shows

$is_wfrec(\#\#Aa, MH, xx, yy, zz) \longleftrightarrow Aa, env \models is_wfrec_fm(p, x, y, z)$

$\langle proof \rangle$

lemma *is_wfrec_on_iff_sats*[*iff_sats*]:

assumes *MH_iff_sats*:

!!*a0 a1 a2 a3 a4*.

$[|a0 \in Aa; a1 \in Aa; a2 \in Aa; a3 \in Aa; a4 \in Aa|]$

$\implies MH(a2, a1, a0) \longleftrightarrow sats(Aa, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, Cons(a4, env))))))$

shows

$nth(x, env) = xx \implies$

$nth(y, env) = yy \implies$

$nth(z, env) = zz \implies$

$x \in nat \implies$

$y \in nat \implies$

$z \in nat \implies$

$env \in list(Aa) \implies$
 $0 \in Aa \implies is_wfrec_on(\#\#Aa, MH, aa, xx, yy, zz) \longleftrightarrow Aa, env \models is_wfrec_fm(p, x, y, z)$
 $\langle proof \rangle$

lemma *trans_on_iff_trans*: $trans[A](r) \longleftrightarrow trans(r \cap A \times A)$
 $\langle proof \rangle$

lemma *trans_on_subset*: $trans[A](r) \implies B \subseteq A \implies trans[B](r)$
 $\langle proof \rangle$

lemma *relation_Int*: $relation(r \cap B \times B)$
 $\langle proof \rangle$

Discipline for *ordermap*

$\langle ML \rangle$

context *M_pre_cardinal_arith*
begin

lemma *wfrec_on_pred_eq*:
assumes $r \in Pow(A \times A) \ M(A) \ M(r)$
shows $wfrec[A](r, x, \lambda x f. f \text{ “ } Order.pred(A, x, r)) = wfrec(r, x, \lambda x f. f \text{ “ } Order.pred(A, x, r))$
 $\langle proof \rangle$

lemma *wfrec_on_pred_closed*:
assumes $wf[A](r) \ trans[A](r) \ r \in Pow(A \times A) \ M(A) \ M(r) \ x \in A$
shows $M(wfrec(r, x, \lambda x f. f \text{ “ } Order.pred(A, x, r)))$
 $\langle proof \rangle$

lemma *wfrec_on_pred_closed'*:
assumes $wf[A](r) \ trans[A](r) \ r \in Pow(A \times A) \ M(A) \ M(r) \ x \in A$
shows $M(wfrec[A](r, x, \lambda x f. f \text{ “ } Order.pred(A, x, r)))$
 $\langle proof \rangle$

lemma *ordermap_rel_closed'*:
assumes $wf[A](r) \ trans[A](r) \ r \in Pow(A \times A) \ M(A) \ M(r)$
shows $M(ordermap_rel(M, A, r))$
 $\langle proof \rangle$

lemma *ordermap_rel_closed[intro,simp]*:
assumes $wf[A](r) \ trans[A](r) \ r \in Pow(A \times A)$
shows $M(A) \implies M(r) \implies M(ordermap_rel(M, A, r))$
 $\langle proof \rangle$

lemma *is_ordermap_iff*:
assumes $r \in Pow(A \times A) \ wf[A](r) \ trans[A](r)$
 $M(A) \ M(r) \ M(res)$

shows $is_ordertype(M, A, r, res) \longleftrightarrow res = ordertype_rel(M, A, r)$
 $\langle proof \rangle$

end — $M_pre_cardinal_arith$

$\langle ML \rangle$

Discipline for *ordertype*

$\langle ML \rangle$

context $M_pre_cardinal_arith$
begin

lemma *is_ordertype_iff*:

assumes $r \in Pow(A \times A)$ $wf[A](r)$ $trans[A](r)$

shows $M(A) \implies M(r) \implies M(res) \implies is_ordertype(M, A, r, res) \longleftrightarrow res = ordertype_rel(M, A, r)$
 $\langle proof \rangle$

lemma *is_ordertype_iff'*:

assumes $r \in Pow_rel(M, A \times A)$ $well_ord(A, r)$

shows $M(A) \implies M(r) \implies M(res) \implies is_ordertype(M, A, r, res) \longleftrightarrow res = ordertype_rel(M, A, r)$
 $\langle proof \rangle$

lemma *is_ordertype_iff''*:

assumes $well_ord(A, r)$ $r \subseteq A \times A$

shows $M(A) \implies M(r) \implies M(res) \implies is_ordertype(M, A, r, res) \longleftrightarrow res = ordertype_rel(M, A, r)$
 $\langle proof \rangle$

end — $M_pre_cardinal_arith$

$\langle ML \rangle$

definition

$jump_cardinal' :: i \Rightarrow i$ **where**

$jump_cardinal'(K) \equiv$

$\bigcup X \in Pow(K). \{z. r \in Pow(X * X), well_ord(X, r) \ \& \ z = ordertype(X, r)\}$

$\langle ML \rangle$

definition *jump_cardinal_body'* **where**

$jump_cardinal_body'(X) \equiv \{z . r \in Pow(X \times X), \ well_ord(X, r) \wedge z = ordertype(X, r)\}$

$\langle ML \rangle$

context $M_pre_cardinal_arith$
begin

lemma *ordertype_rel_closed'*:
assumes $wf[A](r)$ $trans[A](r)$ $r \in Pow(A \times A)$ $M(r)$ $M(A)$
shows $M(ordertype_rel(M, A, r))$
 $\langle proof \rangle$

lemma *ordertype_rel_closed[intro,simp]*:
assumes $well_ord(A, r)$ $r \in Pow_rel(M, A \times A)$ $M(A)$
shows $M(ordertype_rel(M, A, r))$
 $\langle proof \rangle$

lemma *ordertype_rel_abs*:
assumes $wellordered(M, X, r)$ $M(X)$ $M(r)$
shows $ordertype_rel(M, X, r) = ordertype(X, r)$
 $\langle proof \rangle$

lemma *univalent_aux1*: $M(X) \implies univalent(M, Pow_rel(M, X \times X),$
 $\lambda r z. M(z) \wedge M(r) \wedge r \in Pow_rel(M, X \times X) \wedge is_well_ord(M, X, r) \wedge is_ordertype(M,$
 $X, r, z))$
 $\langle proof \rangle$

lemma *jump_cardinal_body_eq* :
 $M(X) \implies jump_cardinal_body(M, X) = jump_cardinal_body'_rel(M, X)$
 $\langle proof \rangle$

end — *M_pre_cardinal_arith*

context *M_cardinal_arith*
begin
lemma *jump_cardinal_closed_aux1*:
assumes $M(X)$
shows
 $M(jump_cardinal_body(M, X))$
 $\langle proof \rangle$

lemma *univalent_jc_body*: $M(X) \implies univalent(M, X, \lambda x z. M(z) \wedge M(x) \wedge z$
 $= jump_cardinal_body(M, x))$
 $\langle proof \rangle$

lemma *jump_cardinal_body_closed*:
assumes $M(K)$
shows $M(\{a . X \in Pow^M(K), M(a) \wedge M(X) \wedge a = jump_cardinal_body(M, X)\})$
 $\langle proof \rangle$

$\langle ML \rangle$
 $\langle proof \rangle$

$\langle ML \rangle$
 $\langle proof \rangle$

end

context $M_cardinal_arith$
begin

lemma (in $M_ordertype$) $ordermap_closed[intro,simp]$:
assumes $wellordered(M,A,r)$ **and** $types:M(A) \ M(r)$
shows $M(ordermap(A,r))$
 $\langle proof \rangle$

lemma $ordermap_eqpoll_pred$:
 $\llbracket well_ord(A,r); \ x \in A \ ; \ M(A);M(r);M(x) \rrbracket \implies ordermap(A,r) 'x \approx^M$
 $Order.pred(A,x,r)$
 $\langle proof \rangle$

Kunen: "each $\langle x, y \rangle \in K \times K$ has no more than $z \times z$ predecessors.." (page 29)

lemma $ordermap_csquare_le$:
assumes $K: Limit(K)$ **and** $x: x < K$ **and** $y: y < K$
and $types: M(K) \ M(x) \ M(y)$
shows $|ordermap(K \times K, csquare_rel(K))| ' \langle x,y \rangle |^M \leq |succ(succ(x \cup y))|^M \otimes^M$
 $|succ(succ(x \cup y))|^M$
 $\langle proof \rangle$

Kunen: "... so the order type is $\leq K$ "

lemma $ordertype_csquare_le_M$:
assumes $IK: InfCard^M(K)$ **and** $eq: \bigwedge y. y \in K \implies InfCard^M(y) \implies M(y) \implies$
 $y \otimes^M y = y$
— Note the weakened hypothesis $\llbracket ?y \in K; InfCard^M(?y); M(?y) \rrbracket \implies ?y \otimes^M ?y = ?y$
and $types: M(K)$
shows $ordertype(K * K, csquare_rel(K)) \leq K$
 $\langle proof \rangle$

lemma $InfCard_rel_csquare_eq$:
assumes $IK: InfCard^M(K)$ **and**
 $types: M(K)$
shows $K \otimes^M K = K$
 $\langle proof \rangle$

lemma $well_ord_InfCard_rel_square_eq$:
assumes $r: well_ord(A,r)$ **and** $I: InfCard^M(|A|^M)$ **and**
 $types: M(A) \ M(r)$
shows $A \times A \approx^M A$

$\langle proof \rangle$

lemma *InfCard_rel_square_eqpoll:*

assumes $InfCard^M(K)$ **and** $types:M(K)$ **shows** $K \times K \approx^M K$

$\langle proof \rangle$

lemma *Inf_Card_rel_is_InfCard_rel:* $[| Card^M(i); \sim Finite_rel(M,i) ; M(i) |]$
 $\implies InfCard^M(i)$

$\langle proof \rangle$

16.5.1 Toward's Kunen's Corollary 10.13 (1)

lemma *InfCard_rel_le_cmult_rel_eq:* $[| InfCard^M(K); L \leq K; 0 < L; M(K) ; M(L) |]$
 $\implies K \otimes^M L = K$

$\langle proof \rangle$

lemma *InfCard_rel_cmult_rel_eq:* $[| InfCard^M(K); InfCard^M(L); M(K) ; M(L) |]$
 $\implies K \otimes^M L = K \cup L$

$\langle proof \rangle$

lemma *InfCard_rel_cdoube_eq:* $InfCard^M(K) \implies M(K) \implies K \oplus^M K = K$

$\langle proof \rangle$

lemma *InfCard_rel_le_cadd_rel_eq:* $[| InfCard^M(K); L \leq K ; M(K) ; M(L) |]$
 $\implies K \oplus^M L = K$

$\langle proof \rangle$

lemma *InfCard_rel_cadd_rel_eq:* $[| InfCard^M(K); InfCard^M(L); M(K) ; M(L) |]$
 $\implies K \oplus^M L = K \cup L$

$\langle proof \rangle$

end — *M_cardinal_arith*

16.6 For Every Cardinal Number There Exists A Greater One

This result is Kunen's Theorem 10.16, which would be trivial using AC

locale *M_cardinal_arith_jump* = *M_cardinal_arith* + *M_ordertype*
begin

lemma *well_ord_restr:* $well_ord(X, r) \implies well_ord(X, r \cap X \times X)$

$\langle proof \rangle$

lemma *ordertype_restr_eq :*

assumes $well_ord(X, r)$

shows $\text{ordertype}(X, r) = \text{ordertype}(X, r \cap X \times X)$
 $\langle \text{proof} \rangle$

lemma *def_jump_cardinal_rel_aux*:
 $X \in \text{Pow}^M(K) \implies \text{well_ord}(X, w) \implies M(K) \implies$
 $\{z . r \in \text{Pow}^M(X \times X), M(z) \wedge \text{well_ord}(X, r) \wedge z = \text{ordertype}(X, r)\} =$
 $\{z . r \in \text{Pow}^M(K \times K), M(z) \wedge \text{well_ord}(X, r) \wedge z = \text{ordertype}(X, r)\}$
 $\langle \text{proof} \rangle$

lemma *def_jump_cardinal_rel*:
assumes $M(K)$
shows $\text{jump_cardinal_rel}(M, K) =$
 $(\bigcup X \in \text{Pow_rel}(M, K). \{z. r \in \text{Pow_rel}(M, K * K), \text{well_ord}(X, r) \ \& \ z =$
 $\text{ordertype}(X, r)\})$
 $\langle \text{proof} \rangle$

notation jump_cardinal_rel (jump_cardinal_rel)

lemma *Ord_jump_cardinal_rel*: $M(K) \implies \text{Ord}(\text{jump_cardinal_rel}(M, K))$
 $\langle \text{proof} \rangle$

declare *conj_cong* [*cong del*]
— incompatible with some of the proofs of the original theory

lemma *jump_cardinal_rel_iff_old*:
 $M(i) \implies M(K) \implies i \in \text{jump_cardinal_rel}(M, K) \longleftrightarrow$
 $(\exists r[M]. \exists X[M]. r \subseteq K * K \ \& \ X \subseteq K \ \& \ \text{well_ord}(X, r) \ \& \ i = \text{ordertype}(X, r))$
 $\langle \text{proof} \rangle$

lemma *K_lt_jump_cardinal_rel*: $\text{Ord}(K) \implies M(K) \implies K < \text{jump_cardinal_rel}(M, K)$
 $\langle \text{proof} \rangle$

lemma *Card_rel_jump_cardinal_rel_lemma*:
 $\llbracket \text{well_ord}(X, r); r \subseteq K * K; X \subseteq K;$
 $f \in \text{bij}(\text{ordertype}(X, r), \text{jump_cardinal_rel}(M, K));$
 $M(X); M(r); M(K); M(f) \rrbracket$
 $\implies \text{jump_cardinal_rel}(M, K) \in \text{jump_cardinal_rel}(M, K)$
 $\langle \text{proof} \rangle$

lemma *Card_rel_jump_cardinal_rel*: $M(K) \implies \text{Card_rel}(M, \text{jump_cardinal_rel}(M, K))$
 $\langle \text{proof} \rangle$

16.7 Basic Properties of Successor Cardinals

lemma *csucc_rel_basic*: $\text{Ord}(K) \implies M(K) \implies \text{Card_rel}(M, \text{csucc_rel}(M, K))$
 $\ \& \ K < \text{csucc_rel}(M, K)$

$\langle proof \rangle$

lemmas $Card_rel_csucc_rel = csucc_rel_basic$ [THEN conjunct1]

lemmas $lt_csucc_rel = csucc_rel_basic$ [THEN conjunct2]

lemma $Ord_0_lt_csucc_rel$: $Ord(K) \implies M(K) \implies 0 < csucc_rel(M,K)$

$\langle proof \rangle$

lemma $csucc_rel_le$: $[| Card_rel(M,L); K < L; M(K); M(L) |] \implies csucc_rel(M,K) \leq L$

$\langle proof \rangle$

lemma $lt_csucc_rel_iff$: $[| Ord(i); Card_rel(M,K); M(K); M(i) |] \implies i < csucc_rel(M,K) \longleftrightarrow |i|^M \leq K$

$\langle proof \rangle$

lemma $Card_rel_lt_csucc_rel_iff$:

$[| Card_rel(M,K'); Card_rel(M,K); M(K'); M(K) |] \implies K' < csucc_rel(M,K) \longleftrightarrow K' \leq K$

$\langle proof \rangle$

lemma $InfCard_rel_csucc_rel$: $InfCard_rel(M,K) \implies M(K) \implies InfCard_rel(M,csucc_rel(M,K))$

$\langle proof \rangle$

16.7.1 Theorems by Krzysztof Grabczewski, proofs by lcp

lemma $nat_sum_eqpoll_rel_sum$:

assumes m : $m \in nat$ **and** n : $n \in nat$ **shows** $m + n \approx^M m \# + n$

$\langle proof \rangle$

lemma $Ord_nat_subset_into_Card_rel$: $[| Ord(i); i \subseteq nat |] \implies Card^M(i)$

$\langle proof \rangle$

end — $M_cardinal_arith_jump$

end

theory $Aleph_Relative$

imports

$Univ_Relative$

$CardinalArith_Relative$

$Cardinal_Relative$

begin

definition

$HAleph :: [i,i] \Rightarrow i$ **where**

$HAleph(i,r) \equiv if(\neg(Ord(i)), i, if(i=0, nat, if(\neg Limit(i) \wedge i \neq 0, csucc(r'(\bigcup_{j \in i} i)), \bigcup_{j \in i} r'j)))$

⟨ML⟩

definition

$Aleph' :: i \Rightarrow i$ **where**
 $Aleph'(a) == transrec(a, \lambda i r. HAleph(i, r))$

⟨ML⟩

The extra assumptions $a < length(env)$ and $c < length(env)$ in this schematic goal (and the following results on synthesis that depend on it) are imposed by $\llbracket \bigwedge a0\ a1\ a2\ a3\ a4\ a5\ a6\ a7. \llbracket a0 \in ?A; a1 \in ?A; a2 \in ?A; a3 \in ?A; a4 \in ?A; a5 \in ?A; a6 \in ?A; a7 \in ?A \rrbracket \Longrightarrow ?MH(a2, a1, a0) \longleftrightarrow ?A, Cons(a0, Cons(a1, Cons(a2, Cons(a3, Cons(a4, Cons(a5, Cons(a6, Cons(a7, ?env)))))))) \models ?p; nth(?i, ?env) = ?x; nth(?k, ?env) = ?z; ?i < length(?env); ?k < length(?env); ?env \in list(?A) \rrbracket \Longrightarrow is_transrec(\#\# ?A, ?MH, ?x, ?z) \longleftrightarrow ?A, ?env \models is_transrec_fm(?p, ?i, ?k) \rrbracket$.

schematic_goal sats_is_Aleph_fm_auto:

$a \in nat \Longrightarrow c \in nat \Longrightarrow env \in list(A) \Longrightarrow$
 $a < length(env) \Longrightarrow c < length(env) \Longrightarrow 0 \in A \Longrightarrow$
 $is_Aleph(\#\# A, nth(a, env), nth(c, env)) \longleftrightarrow A, env \models ?fm(a, c)$
 ⟨proof⟩

⟨ML⟩

notation $is_Aleph_fm (\cdot \cdot \aleph'(\cdot) is \cdot \cdot)$

lemma $is_Aleph_fm_type [TC]: a \in nat \Longrightarrow c \in nat \Longrightarrow is_Aleph_fm(a, c) \in formula$

⟨proof⟩

lemma sats_is_Aleph_fm:

assumes $f \in nat\ r \in nat\ env \in list(A)\ 0 \in A\ f < length(env)\ r < length(env)$
shows $is_Aleph(\#\# A, nth(f, env), nth(r, env)) \longleftrightarrow A, env \models is_Aleph_fm(f, r)$
 ⟨proof⟩

lemma $is_Aleph_iff_sats [iff_sats]:$

assumes
 $nth(f, env) = fa\ nth(r, env) = ra\ f < length(env)\ r < length(env)$
 $f \in nat\ r \in nat\ env \in list(A)\ 0 \in A$
shows $is_Aleph(\#\# A, fa, ra) \longleftrightarrow A, env \models is_Aleph_fm(f, r)$
 ⟨proof⟩

⟨ML⟩

lemma (in $M_cardinal_arith_jump$) $is_Limit_iff:$

assumes $M(a)$
shows $is_Limit(M, a) \longleftrightarrow Limit(a)$
 ⟨proof⟩

lemma *HAleph_eq_Aleph_recursive:*

$Ord(i) \implies HAleph(i, r) = (if\ i = 0\ then\ nat$
 $\quad\quad\quad else\ if\ \exists j. i = succ(j)\ then\ csucc(r \text{ ‘ } (THE\ j. i = succ(j)))\ else\ \bigcup_{j < i}.$
 $r \text{ ‘ } j)$
 $\langle proof \rangle$

lemma *Aleph'_eq_Aleph:* $Ord(a) \implies Aleph'(a) = Aleph(a)$
 $\langle proof \rangle$

$\langle ML \rangle$

abbreviation

$Aleph_r :: [i, i \Rightarrow o] \Rightarrow i \ (\langle \aleph_ \rangle)$ **where**
 $Aleph_r(a, M) \equiv Aleph_rel(M, a)$

abbreviation

$Aleph_r_set :: [i, i] \Rightarrow i \ (\langle \aleph_ \rangle)$ **where**
 $Aleph_r_set(a, M) \equiv Aleph_rel(\#\#M, a)$

lemma *Aleph_rel_def':* $Aleph_rel(M, a) \equiv transrec(a, \lambda i\ r. HAleph_rel(M, i, r))$
 $\langle proof \rangle$

lemma *succ_mem_Limit:* $Limit(j) \implies i \in j \implies succ(i) \in j$
 $\langle proof \rangle$

locale *M_pre_aleph* = *M_eclose* + *M_cardinal_arith_jump* +
assumes
 $haleph_transrec_replacement: M(a) \implies transrec_replacement(M, is_HAleph(M), a)$

begin

lemma *aux_ex_Replace_funapply:*

assumes $M(a)\ M(f)$
shows $\exists x[M]. is_Replace(M, a, \lambda j\ y. f \text{ ‘ } j = y, x)$
 $\langle proof \rangle$

lemma *is_HAleph_zero:*

assumes $M(f)$
shows $is_HAleph(M, 0, f, res) \longleftrightarrow res = nat$
 $\langle proof \rangle$

lemma *is_HAleph_succ:*

assumes $M(f)\ M(x)\ Ord(x)\ M(res)$
shows $is_HAleph(M, succ(x), f, res) \longleftrightarrow res = csucc_rel(M, f'x)$
 $\langle proof \rangle$

lemma *is_HAleph_limit:*

assumes $M(f)\ M(x)\ Limit(x)\ M(res)$
shows $is_HAleph(M, x, f, res) \longleftrightarrow res = (\bigcup \{y. i \in x, M(i) \wedge M(y) \wedge y = f'i\})$

$\langle proof \rangle$

lemma *is_HAleph_iff*:
assumes $M(a) \ M(f) \ M(res)$
shows $is_HAleph(M, a, f, res) \longleftrightarrow res = HAleph_rel(M, a, f)$
 $\langle proof \rangle$

lemma *HAleph_rel_closed* [*intro, simp*]:
assumes *function*(*f*) $M(a) \ M(f)$
shows $M(HAleph_rel(M, a, f))$
 $\langle proof \rangle$

lemma *Aleph_rel_closed*[*intro, simp*]:
assumes $Ord(a) \ M(a)$
shows $M(Aleph_rel(M, a))$
 $\langle proof \rangle$

lemma *Aleph_rel_zero*: $\aleph_0^M = nat$
 $\langle proof \rangle$

lemma *Aleph_rel_succ*: $Ord(\alpha) \implies M(\alpha) \implies \aleph_{succ(\alpha)}^M = (\aleph_\alpha^{M+})^M$
 $\langle proof \rangle$

lemma *Aleph_rel_limit*:
assumes *Limit*(α) $M(\alpha)$
shows $\aleph_\alpha^M = \bigcup \{\aleph_j^M \mid j \in \alpha\}$
 $\langle proof \rangle$

lemma *is_Aleph_iff*:
assumes $Ord(a) \ M(a) \ M(res)$
shows $is_Aleph(M, a, res) \longleftrightarrow res = \aleph_a^M$
 $\langle proof \rangle$

end — *M_pre_aleph*

locale *M_aleph* = *M_pre_aleph* +
assumes
aleph_rel_replacement: *strong_replacement*($M, \lambda x y. Ord(x) \wedge y = \aleph_x^M$)
begin

lemma *Aleph_rel_cont*: $Limit(l) \implies M(l) \implies \aleph_l^M = (\bigcup_{i < l} \aleph_i^M)$
 $\langle proof \rangle$

lemma *Ord_Aleph_rel*:
assumes $Ord(a)$
shows $M(a) \implies Ord(\aleph_a^M)$
 $\langle proof \rangle$

lemma *Card_rel_Aleph_rel* [*simp, intro*]:

assumes $Ord(a)$ **and** *types*: $M(a)$ **shows** $Card^M(\aleph_a^M)$
 $\langle proof \rangle$

lemma *Aleph_rel_increasing*:
assumes $a < b$ **and** *types*: $M(a)$ $M(b)$
shows $\aleph_a^M < \aleph_b^M$
 $\langle proof \rangle$

end — M_aleph

end

17 Relative, Cardinal Arithmetic Using AC

theory *Cardinal_AC_Relative*
imports
CardinalArith_Relative

begin

locale $M_AC =$
fixes M
assumes
 $choice_ax: choice_ax(M)$

locale $M_cardinal_AC = M_cardinal_arith + M_AC$
begin

lemma *well_ord_surj_imp_lepoll_rel*:
assumes $well_ord(A,r)$ $h \in surj(A,B)$ **and**
types: $M(A)$ $M(r)$ $M(h)$ $M(B)$
shows $B \lesssim^M A$
 $\langle proof \rangle$

lemma *surj_imp_well_ord_M*:
assumes $wos: well_ord(A,r)$ $h \in surj(A,B)$
and
types: $M(A)$ $M(r)$ $M(h)$ $M(B)$
shows $\exists s[M]. well_ord(B,s)$
 $\langle proof \rangle$

lemma *choice_ax_well_ord*: $M(S) \implies \exists r[M]. well_ord(S,r)$
 $\langle proof \rangle$

lemma *Finite_cardinal_rel_Finite*:
assumes $Finite(|i|^M)$ $M(i)$
shows $Finite(i)$

$\langle proof \rangle$

end — $M_cardinal_AC$

locale $M_Pi_assumptions_choice = M_Pi_assumptions + M_cardinal_AC +$
assumes

$B_replacement: strong_replacement(M, \lambda x y. y = B(x))$

and

— The next one should be derivable from (some variant) of $B_replacement$.

Proving both instances each time seems inconvenient.

$minimum_replacement: M(r) \implies strong_replacement(M, \lambda x y. y = \langle x, minimum(r, B(x)) \rangle)$

begin

lemma AC_M :

assumes $a \in A \wedge x. x \in A \implies \exists y. y \in B(x)$

shows $\exists z[M]. z \in Pi^M(A, B)$

$\langle proof \rangle$

lemma AC_Pi_rel : **assumes** $\wedge x. x \in A \implies \exists y. y \in B(x)$

shows $\exists z[M]. z \in Pi^M(A, B)$

$\langle proof \rangle$

end — $M_Pi_assumptions_choice$

context $M_cardinal_AC$

begin

17.1 Strengthened Forms of Existing Theorems on Cardinals

lemma $cardinal_rel_eqpoll_rel$: $M(A) \implies |A|^M \approx^M A$

$\langle proof \rangle$

lemmas $cardinal_rel_idem = cardinal_rel_eqpoll_rel [THEN cardinal_rel_cong, simp]$

lemma $cardinal_rel_eqE$: $|X|^M = |Y|^M \implies M(X) \implies M(Y) \implies X \approx^M Y$

$\langle proof \rangle$

lemma $cardinal_rel_eqpoll_rel_iff$: $M(X) \implies M(Y) \implies |X|^M = |Y|^M \longleftrightarrow X \approx^M Y$

$\langle proof \rangle$

lemma $cardinal_rel_disjoint_Un$:

$[| |A|^M = |B|^M; |C|^M = |D|^M; A \cap C = 0; B \cap D = 0; M(A); M(B); M(C); M(D)]$

$\implies |A \cup C|^M = |B \cup D|^M$

$\langle proof \rangle$

lemma *lepoll_rel_imp_cardinal_rel_le*: $A \lesssim^M B \implies M(A) \implies M(B) \implies |A|^M \leq |B|^M$
 ⟨proof⟩

lemma *cadd_rel_assoc*: $\llbracket M(i); M(j); M(k) \rrbracket \implies (i \oplus^M j) \oplus^M k = i \oplus^M (j \oplus^M k)$
 ⟨proof⟩

lemma *cmult_rel_assoc*: $\llbracket M(i); M(j); M(k) \rrbracket \implies (i \otimes^M j) \otimes^M k = i \otimes^M (j \otimes^M k)$
 ⟨proof⟩

lemma *cadd_cmult_distrib*: $\llbracket M(i); M(j); M(k) \rrbracket \implies (i \oplus^M j) \otimes^M k = (i \otimes^M k) \oplus^M (j \otimes^M k)$
 ⟨proof⟩

lemma *InfCard_rel_square_eq*: $\text{InfCard}^M(|A|^M) \implies M(A) \implies A \times A \approx^M A$
 ⟨proof⟩

17.2 The relationship between cardinality and le-pollence

lemma *Card_rel_le_imp_lepoll_rel*:
 assumes $|A|^M \leq |B|^M$
 and types: $M(A) \ M(B)$
 shows $A \lesssim^M B$
 ⟨proof⟩

lemma *le_Card_rel_iff*: $\text{Card}^M(K) \implies M(K) \implies M(A) \implies |A|^M \leq K \longleftrightarrow A \lesssim^M K$
 ⟨proof⟩

lemma *cardinal_rel_0_iff_0 [simp]*: $M(A) \implies |A|^M = 0 \longleftrightarrow A = 0$
 ⟨proof⟩

lemma *cardinal_rel_lt_iff_lesspoll_rel*:
 assumes $i: \text{Ord}(i)$ and
 types: $M(i) \ M(A)$
 shows $i < |A|^M \longleftrightarrow i \prec^M A$
 ⟨proof⟩

lemma *cardinal_rel_le_imp_lepoll_rel*: $i \leq |A|^M \implies M(i) \implies M(A) \implies i \lesssim^M A$
 ⟨proof⟩

17.3 Other Applications of AC

We have an example of instantiating a locale involving higher order variables inside a proof, by using the assumptions of the first order, active locale.

lemma *surj_rel_implies_inj_rel*:
assumes $f: f \in \text{surj}^M(X, Y)$ **and**
types: $M(f) \ M(X) \ M(Y)$
shows $\exists g[M]. \ g \in \text{inj}^M(Y, X)$
 $\langle \text{proof} \rangle$

Kunen's Lemma 10.20

lemma *surj_rel_implies_cardinal_rel_le*:
assumes $f: f \in \text{surj}^M(X, Y)$ **and**
types: $M(f) \ M(X) \ M(Y)$
shows $|Y|^M \leq |X|^M$
 $\langle \text{proof} \rangle$

end — $M_cardinal_AC$

The set-theoretic universe.

abbreviation
 $\text{Universe} :: i \Rightarrow o \ (\langle \mathcal{V} \rangle)$ **where**
 $\mathcal{V}(x) \equiv \text{True}$

lemma *separation_absolute*: $\text{separation}(\mathcal{V}, P)$
 $\langle \text{proof} \rangle$

lemma *univalent_absolute*:
assumes $\text{univalent}(\mathcal{V}, A, P) \ P(x, b) \ x \in A$
shows $P(x, y) \implies y = b$
 $\langle \text{proof} \rangle$

lemma *replacement_absolute*: $\text{strong_replacement}(\mathcal{V}, P)$
 $\langle \text{proof} \rangle$

lemma *Union_ax_absolute*: $\text{Union_ax}(\mathcal{V})$
 $\langle \text{proof} \rangle$

lemma *upair_ax_absolute*: $\text{upair_ax}(\mathcal{V})$
 $\langle \text{proof} \rangle$

lemma *power_ax_absolute*: $\text{power_ax}(\mathcal{V})$
 $\langle \text{proof} \rangle$

locale $M_cardinal_UN = \ M_Pi_assumptions_choice \ _K \ X$ **for** $K \ X \ +$
assumes
 — The next assumption is required by $(\bigwedge x. \llbracket ?Q(x); \text{Ord}(x) \rrbracket \implies \exists y[M]. \ ?Q(y) \wedge \text{Ord}(y)) \implies M(\mu x. \ ?Q(x))$
 $X_witness_in_M: w \in X(x) \implies M(x)$

```

and
  lam_m_replacement:  $M(f) \implies \text{strong\_replacement}(M,$ 
     $\lambda x y. y = \langle x, \mu i. x \in X(i), f \text{ ` } (\mu i. x \in X(i)) \text{ ` } x \rangle)$ 
and
  inj_replacement:
     $M(x) \implies \text{strong\_replacement}(M, \lambda y z. y \in \text{inj}^M(X(x), K) \wedge z = \{\langle x, y \rangle\})$ 
     $\text{strong\_replacement}(M, \lambda x y. y = \text{inj}^M(X(x), K))$ 
     $\text{strong\_replacement}(M,$ 
       $\lambda x z. z = \text{Sigfun}(x, \lambda i. \text{inj}^M(X(i), K)))$ 
     $M(r) \implies \text{strong\_replacement}(M,$ 
       $\lambda x y. y = \langle x, \text{minimum}(r, \text{inj}^M(X(x), K)) \rangle)$ 

begin

lemma UN_closed:  $M(\bigcup_{i \in K} X(i))$ 
   $\langle \text{proof} \rangle$ 

Kunen's Lemma 10.21

lemma cardinal_rel_UN_le:
  assumes  $K: \text{InfCard}^M(K)$ 
  shows  $(\bigwedge i. i \in K \implies |X(i)|^M \leq K) \implies |\bigcup_{i \in K} X(i)|^M \leq K$ 
   $\langle \text{proof} \rangle$ 

end —  $M\_cardinal\_UN$ 

end

```

18 Relativization of Finite Functions

```

theory FiniteFun_Relative
imports
  Delta_System_Lemma.ZF_Library
  Lambda_Replacement
begin

lemma function_subset:
   $\text{function}(f) \implies g \subseteq f \implies \text{function}(g)$ 
   $\langle \text{proof} \rangle$ 

lemma FiniteFunI :
  assumes  $f \in \text{Fin}(A \times B)$   $\text{function}(f)$ 
  shows  $f \in A \text{ -}||> B$ 
   $\langle \text{proof} \rangle$ 

```

18.1 The set of finite binary sequences

We implement the poset for adding one Cohen real, the set $2^{<\omega}$ of finite binary sequences.

definition

$seqspace :: [i,i] \Rightarrow i \rightarrow [100,1]100$ **where**
 $B^{<\alpha} \equiv \bigcup_{n \in \alpha}. (n \rightarrow B)$

lemma $seqspaceI[intro]: n \in \alpha \implies f:n \rightarrow B \implies f \in B^{<\alpha}$
 $\langle proof \rangle$

lemma $seqspaceD[dest]: f \in B^{<\alpha} \implies \exists n \in \alpha. f:n \rightarrow B$
 $\langle proof \rangle$

locale $M_seqspace = M_trancl + M_replacement +$
assumes
 $seqspace_replacement: M(B) \implies strong_replacement(M, \lambda n z. n \in nat \wedge is_funspace(M, n, B, z))$
begin

lemma $seqspace_closed:$
 $M(B) \implies M(B^{<\omega})$
 $\langle proof \rangle$

end

schematic_goal $seqspace_fm_auto:$
assumes
 $i \in nat \ j \in nat \ h \in nat \ env \in list(A)$
shows
 $(\exists om \in A. omega(\#\#A, om) \wedge nth(i, env) \in om \wedge is_funspace(\#\#A, nth(i, env),$
 $nth(h, env), nth(j, env))) \longleftrightarrow (A, env \models (?sqsprp(i, j, h)))$
 $\langle proof \rangle$
 $\langle ML \rangle$

18.2 Representation of finite functions

A function $f \in A \rightarrow_{fin} B$ can be represented by a function $g \in |f| \rightarrow A \times B$. It is clear that f can be represented by any $g' = g \cdot \pi$, where π is a permutation $\pi \in dom(g) \rightarrow dom(g)$. We use this representation of $A \rightarrow_{fin} B$ to prove that our model is closed under $_ \rightarrow_{fin} _$.

A function $g \in n \rightarrow A \times B$ that is functional in the first components.

definition $cons_like :: i \Rightarrow o$ **where**

$cons_like(f) \equiv \forall i \in domain(f) . \forall j \in i . fst(f'i) \neq fst(f'j)$

$\langle ML \rangle$

lemma (in $M_seqspace$) $cons_like_abs$:
 $M(f) \implies cons_like(f) \longleftrightarrow cons_like_rel(M,f)$
 $\langle proof \rangle$

definition $FiniteFun_iso :: [i,i,i,i,i] \Rightarrow o$ **where**
 $FiniteFun_iso(A,B,n,g,f) \equiv (\forall i \in n . g'i \in f) \wedge (\forall ab \in f . (\exists i \in n . g'i = ab))$

From a function $g \in n \rightarrow A \times B$ we obtain a finite function in $A -||> B$.

definition $to_FiniteFun :: i \Rightarrow i$ **where**
 $to_FiniteFun(f) \equiv \{f'i . i \in domain(f)\}$

definition $FiniteFun_Repr :: [i,i] \Rightarrow i$ **where**
 $FiniteFun_Repr(A,B) \equiv \{f \in (A \times B)^{<\omega} . cons_like(f) \}$

locale $M_FiniteFun = M_seqspace +$
assumes
 $cons_like_separation : separation(M, \lambda f . cons_like_rel(M,f))$
and
 $separation_is_function : separation(M, is_function(M))$
begin

lemma $supset_separation$: $separation(M, \lambda x . \exists a . \exists b . x = \langle a,b \rangle \wedge b \subseteq a)$
 $\langle proof \rangle$

lemma $to_finiteFun_replacement$: $strong_replacement(M, \lambda x y . y = range(x))$
 $\langle proof \rangle$

lemma fun_range_eq : $f \in A \rightarrow B \implies \{f'i . i \in domain(f) \} = range(f)$
 $\langle proof \rangle$

lemma $FiniteFun_fst_type$:
assumes $h \in A -||> B$ $p \in h$
shows $fst(p) \in domain(h)$
 $\langle proof \rangle$

lemma $FinFun_closed$:
 $M(A) \implies M(B) \implies M(\bigcup \{n \rightarrow A \times B . n \in \omega\})$
 $\langle proof \rangle$

lemma $cons_like_lt$:
assumes $n \in \omega$ $f \in succ(n) \rightarrow A \times B$ $cons_like(f)$
shows $restrict(f,n) \in n \rightarrow A \times B$ $cons_like(restrict(f,n))$
 $\langle proof \rangle$

A finite function $f \in A -||> B$ can be represented by a function $g \in n \rightarrow A \times B$, with $n = |f|$.

lemma *FiniteFun_iso_intro1*:
assumes $f \in (A \multimap B)$
shows $\exists n \in \omega . \exists g \in n \rightarrow A \times B. \text{FiniteFun_iso}(A, B, n, g, f) \wedge \text{cons_like}(g)$
 $\langle \text{proof} \rangle$

All the representations of $f \in A \multimap B$ are equal.

lemma *FiniteFun_isoD* :
assumes $n \in \omega \ g \in n \rightarrow A \times B \ f \in A \multimap B \ \text{FiniteFun_iso}(A, B, n, g, f)$
shows $\text{to_FiniteFun}(g) = f$
 $\langle \text{proof} \rangle$

lemma *to_FiniteFun_succ_eq* :
assumes $n \in \omega \ f \in \text{succ}(n) \rightarrow A$
shows $\text{to_FiniteFun}(f) = \text{cons}(f'n, \text{to_FiniteFun}(\text{restrict}(f, n)))$
 $\langle \text{proof} \rangle$

If $g \in n \rightarrow A \times B$ is *cons_like*, then it is a representation of $\text{to_FiniteFun}(g)$.

lemma *FiniteFun_iso_intro_to*:
assumes $n \in \omega \ g \in n \rightarrow A \times B \ \text{cons_like}(g)$
shows $\text{to_FiniteFun}(g) \in (A \multimap B) \wedge \text{FiniteFun_iso}(A, B, n, g, \text{to_FiniteFun}(g))$
 $\langle \text{proof} \rangle$

lemma *FiniteFun_iso_intro2*:
assumes $n \in \omega \ f \in n \rightarrow A \times B \ \text{cons_like}(f)$
shows $\exists g \in (A \multimap B) . \text{FiniteFun_iso}(A, B, n, f, g)$
 $\langle \text{proof} \rangle$

lemma *FiniteFun_eq_range_Repr* :
shows $\{ \text{range}(h) . h \in \text{FiniteFun_Repr}(A, B) \} = \{ \text{to_FiniteFun}(h) . h \in \text{FiniteFun_Repr}(A, B) \}$
 $\langle \text{proof} \rangle$

lemma *FiniteFun_eq_to_FiniteFun_Repr* :
shows $A \multimap B = \{ \text{to_FiniteFun}(h) . h \in \text{FiniteFun_Repr}(A, B) \}$
(is ?Y=?X)
 $\langle \text{proof} \rangle$

lemma *FiniteFun_Repr_closed* :
assumes $M(A) \ M(B)$
shows $M(\text{FiniteFun_Repr}(A, B))$
 $\langle \text{proof} \rangle$

lemma *to_FiniteFun_closed*:
assumes $M(A) \ f \in A$
shows $M(\text{range}(f))$
 $\langle \text{proof} \rangle$

lemma *To_FiniteFun_Repr_closed* :

```

assumes  $M(A) \ M(B)$ 
shows  $M(\{ \text{range}(h) \mid h \in \text{FiniteFun\_Repr}(A,B) \})$ 
 $\langle \text{proof} \rangle$ 

lemma FiniteFun_closed[intro,simp] :
  assumes  $M(A) \ M(B)$ 
  shows  $M(A \rightarrow B)$ 
   $\langle \text{proof} \rangle$ 

end — M_FiniteFun

end

```

19 Library of basic ZF results

```

theory ZF_Library_Relative
imports
  Aleph_Relative — must be before Cardinal_AC_Relative!
  Cardinal_AC_Relative
  FiniteFun_Relative
begin

lemma (in M_cardinal_arith_jump) csucc_rel_cardinal_rel:
  assumes  $\text{Ord}(\kappa) \ M(\kappa)$ 
  shows  $(|\kappa|^{M+})^M = (\kappa^+)^M$ 
   $\langle \text{proof} \rangle$ 

lemma (in M_cardinal_arith_jump) csucc_rel_le_mono:
  assumes  $\kappa \leq \nu \ M(\kappa) \ M(\nu)$ 
  shows  $(\kappa^+)^M \leq (\nu^+)^M$ 
   $\langle \text{proof} \rangle$ 

lemma (in M_cardinal_AC) cardinal_rel_succ_not_0:  $|A|^M = \text{succ}(n) \implies$ 
 $M(A) \implies M(n) \implies A \neq 0$ 
   $\langle \text{proof} \rangle$ 

 $\langle \text{ML} \rangle$ 

notation Finite_to_one_rel ( $\langle \text{Finite\_to\_one\_rel} \rangle$ )

abbreviation
  Finite_to_one_r_set ::  $[i,i,i] \Rightarrow i \ (\langle \text{Finite\_to\_one\_rel} \rangle)$  where
   $\text{Finite\_to\_one}^M(X,Y) \equiv \text{Finite\_to\_one\_rel}(\#\#M,X,Y)$ 

locale M_ZF_library = M_cardinal_arith + M_aleph + M_FiniteFun + M_replacement_extra
begin

```


lemma *Finite_Collect_imp*: $Finite(\{x \in X . Q(x)\}) \implies Finite(\{x \in X . M(x) \wedge Q(x)\})$
 (is $Finite(?A) \implies Finite(?B)$)
 ⟨proof⟩

lemma *Finite_to_one_relI*[intro]:
 assumes $f: X \rightarrow^M Y \wedge y. y \in Y \implies Finite(\{x \in X . f'x = y\})$
 and $types: M(f) \ M(X) \ M(Y)$
 shows $f \in Finite_to_one^M(X, Y)$
 ⟨proof⟩

lemma *Finite_to_one_relI'*[intro]:
 assumes $f: X \rightarrow^M Y \wedge y. y \in Y \implies Finite(\{x \in X . M(x) \wedge f'x = y\})$
 and $types: M(f) \ M(X) \ M(Y)$
 shows $f \in Finite_to_one^M(X, Y)$
 ⟨proof⟩

lemma *Finite_to_one_relD*[dest]:
 $f \in Finite_to_one^M(X, Y) \implies f: X \rightarrow^M Y$
 $f \in Finite_to_one^M(X, Y) \implies y \in Y \implies M(Y) \implies Finite(\{x \in X . M(x) \wedge f'x = y\})$
 ⟨proof⟩

lemma *Diff_bij_rel*:
 assumes $\forall A \in F. X \subseteq A$
 and $types: M(F) \ M(X)$ shows $(\lambda A \in F. A - X) \in bij^M(F, \{A - X. A \in F\})$
 ⟨proof⟩

lemma *function_space_rel_nonempty*:
 assumes $b \in B$ and $types: M(B) \ M(A)$
 shows $(\lambda x \in A. b) : A \rightarrow^M B$
 ⟨proof⟩

lemma *mem_function_space_rel*:
 assumes $f \in A \rightarrow^M y \ M(A) \ M(y)$
 shows $f \in A \rightarrow y$
 ⟨proof⟩

lemmas *range_fun_rel_subset_codomain* = *range_fun_subset_codomain*[OF *mem_function_space_rel*]

end — *M_ZF_library*

context *M_Pi_assumptions*
begin

lemma *mem_Pi_rel*: $f \in Pi^M(A, B) \implies f \in Pi(A, B)$
 ⟨proof⟩

lemmas *Pi_rel_rangeD* = *Pi_rangeD*[OF *mem_Pi_rel*]

```

lemmas rel_apply_Pair = apply_Pair[OF mem_Pi_rel]

lemmas rel_apply_rangeI = apply_rangeI[OF mem_Pi_rel]

lemmas Pi_rel_range_eq = Pi_range_eq[OF mem_Pi_rel]

lemmas Pi_rel_vimage_subset = Pi_vimage_subset[OF mem_Pi_rel]

end — M_Pi_assumptions

context M_ZF_library
begin

lemma mem_bij_rel:  $\llbracket f \in \text{bij}^M(A,B); M(A); M(B) \rrbracket \implies f \in \text{bij}(A,B)$ 
  <proof>

lemma mem_inj_rel:  $\llbracket f \in \text{inj}^M(A,B); M(A); M(B) \rrbracket \implies f \in \text{inj}(A,B)$ 
  <proof>

lemma mem_surj_rel:  $\llbracket f \in \text{surj}^M(A,B); M(A); M(B) \rrbracket \implies f \in \text{surj}(A,B)$ 
  <proof>

lemmas rel_apply_in_range = apply_in_range[OF mem_function_space_rel]

lemmas rel_range_eq_image = ZF_Library.range_eq_image[OF mem_function_space_rel]

lemmas rel_Image_sub_codomain = Image_sub_codomain[OF mem_function_space_rel]

lemma rel_inj_to_Image:  $\llbracket f:A \rightarrow^M B; f \in \text{inj}^M(A,B); M(A); M(B) \rrbracket \implies f \in \text{inj}^M(A, f''A)$ 
  <proof>

lemma inj_rel_imp_surj_rel:
  fixes f b
  defines [simp]:  $\text{ifx}(x) \equiv \text{if } x \in \text{range}(f) \text{ then } \text{converse}(f) 'x \text{ else } b$ 
  assumes  $f \in \text{inj}^M(B,A)$   $b \in B$  and types:  $M(f) \ M(B) \ M(A)$ 
  shows  $(\lambda x \in A. \text{ifx}(x)) \in \text{surj}^M(A,B)$ 
  <proof>

lemma function_space_rel_disjoint_Un:
  assumes  $f \in A \rightarrow^M B$   $g \in C \rightarrow^M D$   $A \cap C = \emptyset$ 
  and types:  $M(A) \ M(B) \ M(C) \ M(D)$ 
  shows  $f \cup g \in (A \cup C) \rightarrow^M (B \cup D)$ 
  <proof>

lemma restrict_eq_imp_Un_into_function_space_rel:
  assumes  $f \in A \rightarrow^M B$   $g \in C \rightarrow^M D$   $\text{restrict}(f, A \cap C) = \text{restrict}(g, A \cap C)$ 
  and types:  $M(A) \ M(B) \ M(C) \ M(D)$ 

```

shows $f \cup g \in (A \cup C) \rightarrow^M (B \cup D)$
 $\langle \text{proof} \rangle$

lemma *lepoll_relD*[*dest*]: $A \lesssim^M B \implies \exists f[M]. f \in \text{inj}^M(A, B)$
 $\langle \text{proof} \rangle$

lemma *lepoll_relI*[*intro*]: $f \in \text{inj}^M(A, B) \implies M(f) \implies A \lesssim^M B$
 $\langle \text{proof} \rangle$

lemma *eqpollD*[*dest*]: $A \approx^M B \implies \exists f[M]. f \in \text{bij}^M(A, B)$
 $\langle \text{proof} \rangle$

lemma *bij_rel_imp_eqpoll_rel*[*intro*]: $f \in \text{bij}^M(A, B) \implies M(f) \implies A \approx^M B$
 $\langle \text{proof} \rangle$

lemma *restrict_bij_rel*:— Unused
assumes $f \in \text{inj}^M(A, B) \quad C \subseteq A$
and *types*: $M(A) \ M(B) \ M(C)$
shows $\text{restrict}(f, C) \in \text{bij}^M(C, f''C)$
 $\langle \text{proof} \rangle$

lemma *range_of_subset_eqpoll_rel*:
assumes $f \in \text{inj}^M(X, Y) \quad S \subseteq X$
and *types*: $M(X) \ M(Y) \ M(S)$
shows $S \approx^M f `` S$
 $\langle \text{proof} \rangle$

lemmas *inj_rel_is_fun* = *inj_is_fun*[*OF mem_inj_rel*]

lemma *inj_rel_bij_rel_range*: $f \in \text{inj}^M(A, B) \implies M(A) \implies M(B) \implies f \in \text{bij}^M(A, \text{range}(f))$
 $\langle \text{proof} \rangle$

lemma *bij_rel_is_inj_rel*: $f \in \text{bij}^M(A, B) \implies M(A) \implies M(B) \implies f \in \text{inj}^M(A, B)$
 $\langle \text{proof} \rangle$

lemma *inj_rel_weaken_type*: $[| f \in \text{inj}^M(A, B); \quad B \subseteq D; \ M(A); \ M(B); \ M(D) \ |] \implies f \in \text{inj}^M(A, D)$
 $\langle \text{proof} \rangle$

lemma *bij_rel_converse_bij_rel* [*TC*]: $f \in \text{bij}^M(A, B) \implies M(A) \implies M(B) \implies \text{converse}(f) \in \text{bij}^M(B, A)$
 $\langle \text{proof} \rangle$

lemma *bij_rel_is_fun_rel*: $f \in \text{bij}^M(A, B) \implies M(A) \implies M(B) \implies f \in A \rightarrow^M B$
 $\langle \text{proof} \rangle$

lemmas *bij_rel_is_fun* = *bij_rel_is_fun_rel*[*THEN mem_function_space_rel*]

lemma *comp_bij_rel*:
 $g \in \text{bij}^M(A, B) \implies f \in \text{bij}^M(B, C) \implies M(A) \implies M(B) \implies M(C) \implies (f \circ g) \in \text{bij}^M(A, C)$

$g) \in \text{bij}^M(A, C)$
 $\langle \text{proof} \rangle$

lemma $\text{inj_rel_converse_fun}$: $f \in \text{inj}^M(A, B) \implies M(A) \implies M(B) \implies \text{converse}(f)$
 $\in \text{range}(f) \rightarrow^M A$
 $\langle \text{proof} \rangle$

lemma $\text{fg_imp_bijective_rel}$:
assumes $f \in A \rightarrow^M B$ $g \in B \rightarrow^M A$ $f \circ g = \text{id}(B)$ $g \circ f = \text{id}(A)$ $M(A)$ $M(B)$
shows $f \in \text{bij}^M(A, B)$
 $\langle \text{proof} \rangle$

end — $M_ZF_library$

$\langle ML \rangle$

context $M_ZF_library$
begin

— MOVE THIS to an appropriate place

$\langle ML \rangle$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$ $\langle \text{proof} \rangle$

end — $M_ZF_library$

$\langle ML \rangle$
notation is_cexp_fm $(\langle \cdot \rangle^\uparrow \text{ is } \cdot)$
 $\langle ML \rangle$

abbreviation
 $\text{cexp_r} :: [i, i, i \Rightarrow o] \Rightarrow i$ $(\langle \cdot \rangle^\uparrow \text{ is } \cdot)$ **where**
 $\text{cexp_r}(x, y, M) \equiv \text{cexp_rel}(M, x, y)$

abbreviation
 $\text{cexp_r_set} :: [i, i, i] \Rightarrow i$ $(\langle \cdot \rangle^\uparrow \text{ is } \cdot)$ **where**
 $\text{cexp_r_set}(x, y, M) \equiv \text{cexp_rel}(\#\#M, x, y)$

context $M_ZF_library$
begin

lemma Card_rel_cexp_rel : $M(\kappa) \implies M(\nu) \implies \text{Card}^M(\kappa^\uparrow \nu, M)$
 $\langle \text{proof} \rangle$
declare $\text{conj_cong}[\text{cong}]$

lemma *eq_csucc_rel_ord*:
 $Ord(i) \implies M(i) \implies (i^+)^M = (|i|^{M+})^M$
 $\langle proof \rangle$

lemma *lesspoll_succ_rel*:
assumes $Ord(\kappa)$ $M(\kappa)$
shows $\kappa \lesssim^M (\kappa^+)^M$
 $\langle proof \rangle$

lemma *lesspoll_rel_csucc_rel*:
assumes $Ord(\kappa)$
and types: $M(\kappa)$ $M(d)$
shows $d \prec^M (\kappa^+)^M \longleftrightarrow d \lesssim^M \kappa$
 $\langle proof \rangle$

lemma *Infinite_imp_nats_lepoll*:
assumes $Infinite(X)$ $n \in \omega$
shows $n \lesssim X$
 $\langle proof \rangle$

lemma *nepoll_imp_nepoll_rel* :
assumes $\neg x \approx X$ $M(x)$ $M(X)$
shows $\neg (x \approx^M X)$
 $\langle proof \rangle$

lemma *Infinite_imp_nats_lepoll_rel*:
assumes $Infinite(X)$ $n \in \omega$
and types: $M(X)$
shows $n \lesssim^M X$
 $\langle proof \rangle$

lemma *lepoll_rel_imp_lepoll*: $A \lesssim^M B \implies M(A) \implies M(B) \implies A \lesssim B$
 $\langle proof \rangle$

lemma *zero_lesspoll_rel*: **assumes** $0 < \kappa$ $M(\kappa)$ **shows** $0 \prec^M \kappa$
 $\langle proof \rangle$

lemma *lepoll_rel_nat_imp_Infinite*: $\omega \lesssim^M X \implies M(X) \implies Infinite(X)$
 $\langle proof \rangle$

lemma *InfCard_rel_imp_Infinite*: $InfCard^M(\kappa) \implies M(\kappa) \implies Infinite(\kappa)$
 $\langle proof \rangle$

lemma *lt_surj_rel_empty_imp_Card_rel*:
assumes $Ord(\kappa)$ $\bigwedge \alpha. \alpha < \kappa \implies surj^M(\alpha, \kappa) = 0$
and types: $M(\kappa)$
shows $Card^M(\kappa)$
 $\langle proof \rangle$

end — *M_ZF_library*

$\langle ML \rangle$

notation *mono_map_rel* ($\langle \text{mono}'_map'(_,_,_,_) \rangle$)

abbreviation

mono_map_r_set :: $[i,i,i,i,i] \Rightarrow i$ ($\langle \text{mono}'_map'(_,_,_,_) \rangle$) **where**
mono_map^{*M*}(*a*,*r*,*b*,*s*) \equiv *mono_map_rel*($\#\#M,a,r,b,s$)

context *M_ZF_library*

begin

lemma *mono_map_rel_char*:

assumes *M*(*a*) *M*(*b*)

shows *mono_map*^{*M*}(*a*,*r*,*b*,*s*) = $\{f \in \text{mono_map}(a,r,b,s) . M(f)\}$

$\langle \text{proof} \rangle$

Just a sample of porting results on *mono_map*

lemma *mono_map_rel_mono*:

assumes

$f \in \text{mono_map}^M(A,r,B,s)$ $B \subseteq C$

and *types*:*M*(*A*) *M*(*B*) *M*(*C*)

shows

$f \in \text{mono_map}^M(A,r,C,s)$

$\langle \text{proof} \rangle$

lemma *nats_le_InfCard_rel*:

assumes $n \in \omega$ *InfCard*^{*M*}(κ)

shows $n \leq \kappa$

$\langle \text{proof} \rangle$

lemma *nat_into_InfCard_rel*:

assumes $n \in \omega$ *InfCard*^{*M*}(κ)

shows $n \in \kappa$

$\langle \text{proof} \rangle$

lemma *Finite_cardinal_rel_in_nat* [*simp*]:

assumes *Finite*(*A*) *M*(*A*) **shows** $|A|^M \in \omega$

$\langle \text{proof} \rangle$

lemma *Finite_cardinal_rel_eq_cardinal*:

assumes *Finite*(*A*) *M*(*A*) **shows** $|A|^M = |A|$

$\langle \text{proof} \rangle$

lemma *Finite_imp_cardinal_rel_cons*:

assumes *FA*: *Finite*(*A*) **and** *a*: $a \notin A$ **and** *types*:*M*(*A*) *M*(*a*)

shows $|\text{cons}(a,A)|^M = \text{succ}(|A|^M)$

$\langle proof \rangle$

lemma *Finite_imp_succ_cardinal_rel_Diff*:

assumes *Finite*(*A*) $a \in A$ $M(A)$

shows $\text{succ}(|A - \{a\}|^M) = |A|^M$

$\langle proof \rangle$

lemma *InfCard_rel_Aleph_rel*:

notes *Aleph_rel_zero*[*simp*]

assumes *Ord*(α)

and types: $M(\alpha)$

shows $\text{InfCard}^M(\aleph_\alpha^M)$

$\langle proof \rangle$

lemmas $\text{Limit_Aleph_rel} = \text{InfCard_rel_Aleph_rel}$ [THEN *InfCard_rel_is_Limit*]

bundle *Ord_dests* = *Limit_is_Ord*[*dest*] *Card_rel_is_Ord*[*dest*]

bundle *Aleph_rel_dests* = *Aleph_rel_cont*[*dest*]

bundle *Aleph_rel_intros* = *Aleph_rel_increasing*[*intro!*]

bundle *Aleph_rel_mem_dests* = *Aleph_rel_increasing*[*OF ltI, THEN ltD, dest*]

lemma *f_imp_injective_rel*:

assumes $f \in A \rightarrow^M B \ \forall x \in A. d(f \text{ ` } x) = x$ $M(A) \ M(B)$

shows $f \in \text{inj}^M(A, B)$

$\langle proof \rangle$

lemma *lam_injective_rel*:

assumes $\bigwedge x. x \in A \implies c(x) \in B$

$\bigwedge x. x \in A \implies d(c(x)) = x$

$\forall x[M]. M(c(x)) \ \text{lam_replacement}(M, c)$

$M(A) \ M(B)$

shows $(\lambda x \in A. c(x)) \in \text{inj}^M(A, B)$

$\langle proof \rangle$

lemma *f_imp_surjective_rel*:

assumes $f \in A \rightarrow^M B \ \bigwedge y. y \in B \implies d(y) \in A \ \bigwedge y. y \in B \implies f \text{ ` } d(y) = y$

$M(A) \ M(B)$

shows $f \in \text{surj}^M(A, B)$

$\langle proof \rangle$

lemma *lam_surjective_rel*:

assumes $\bigwedge x. x \in A \implies c(x) \in B$

$\bigwedge y. y \in B \implies d(y) \in A$

$\bigwedge y. y \in B \implies c(d(y)) = y$

$\forall x[M]. M(c(x)) \ \text{lam_replacement}(M, c)$

$M(A) \ M(B)$

shows $(\lambda x \in A. c(x)) \in \text{surj}^M(A, B)$

$\langle proof \rangle$

lemma *lam_bijective_rel*:
assumes $\bigwedge x. x \in A \implies c(x) \in B$
 $\bigwedge y. y \in B \implies d(y) \in A$
 $\bigwedge x. x \in A \implies d(c(x)) = x$
 $\bigwedge y. y \in B \implies c(d(y)) = y$
 $\forall x[M]. M(c(x)) \text{ lam_replacement}(M, c)$
 $M(A) \ M(B)$
shows $(\lambda x \in A. c(x)) \in \text{bij}^M(A, B)$
 $\langle \text{proof} \rangle$

lemma *function_space_rel_eqpoll_rel_cong*:
assumes
 $A \approx^M A' \ B \approx^M B' \ M(A) \ M(A') \ M(B) \ M(B')$
shows
 $A \rightarrow^M B \approx^M A' \rightarrow^M B'$
 $\langle \text{proof} \rangle$

lemma *curry_eqpoll_rel*:
fixes $\nu 1 \ \nu 2 \ \kappa$
assumes $M(\nu 1) \ M(\nu 2) \ M(\kappa)$
shows $\nu 1 \rightarrow^M (\nu 2 \rightarrow^M \kappa) \approx^M \nu 1 \times \nu 2 \rightarrow^M \kappa$
 $\langle \text{proof} \rangle$

lemma *Pow_rel_eqpoll_rel_function_space_rel*:
fixes $d \ X$
notes *bool_of_o_def* [simp]
defines [simp]: $d(A) \equiv (\lambda x \in X. \text{bool_of_o}(x \in A))$
— the witnessing map for the thesis:
assumes $M(X)$
shows $\text{Pow}^M(X) \approx^M X \rightarrow^M \mathcal{P}$
 $\langle \text{proof} \rangle$

lemma *Pow_rel_bottom*: $M(B) \implies 0 \in \text{Pow}^M(B)$
 $\langle \text{proof} \rangle$

lemma *cantor_surj_rel*:
assumes $M(f) \ M(A)$
shows $f \notin \text{surj}^M(A, \text{Pow}^M(A))$
 $\langle \text{proof} \rangle$

lemma *cantor_inj_rel*: $M(f) \implies M(A) \implies f \notin \text{inj}^M(\text{Pow}^M(A), A)$
 $\langle \text{proof} \rangle$

end — *M_ZF_library*

end

20 Lambda-replacements required for cardinal inequalities

theory *Replacement_Lepoll*
imports
ZF_Library_Relative
begin

definition

lepoll_assumptions1 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions1($M, A, F, S, fa, K, x, f, r$) $\equiv \forall x \in S. \text{strong_replacement}(M, \lambda y. y \in F(A, x) \wedge z = \{\langle x, y \rangle\})$

definition

lepoll_assumptions2 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions2($M, A, F, S, fa, K, x, f, r$) $\equiv \text{strong_replacement}(M, \lambda x. z. z = \text{Sigfun}(x, F(A)))$

definition

lepoll_assumptions3 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions3($M, A, F, S, fa, K, x, f, r$) $\equiv \text{strong_replacement}(M, \lambda x. y. y = F(A, x))$

definition

lepoll_assumptions4 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions4($M, A, F, S, fa, K, x, f, r$) $\equiv \text{strong_replacement}(M, \lambda x. y. y = \langle x, \text{minimum}(r, F(A, x)) \rangle)$

definition

lepoll_assumptions5 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions5($M, A, F, S, fa, K, x, f, r$) $\equiv \text{strong_replacement}(M, \lambda x. y. y = \langle x, \mu i. x \in F(A, i), f \text{ ` } (\mu i. x \in F(A, i)) \text{ ` } x \rangle)$

definition

lepoll_assumptions6 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions6($M, A, F, S, fa, K, x, f, r$) $\equiv \text{strong_replacement}(M, \lambda y. z. y \in \text{inj}^M(F(A, x), S) \wedge z = \{\langle x, y \rangle\})$

definition

lepoll_assumptions7 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions7($M, A, F, S, fa, K, x, f, r$) $\equiv \text{strong_replacement}(M, \lambda x. y. y = \text{inj}^M(F(A, x), S))$

definition

lepoll_assumptions8 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
lepoll_assumptions8($M, A, F, S, fa, K, x, f, r$) $\equiv \text{strong_replacement}(M, \lambda x. z. z = \text{Sigfun}(x, \lambda i. \text{inj}^M(F(A, i), S)))$

definition

lepoll_assumptions9 :: $[i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**

$lepoll_assumptions9(M, A, F, S, fa, K, x, f, r) \equiv strong_replacement(M, \lambda x y. y = \langle x, minimum(r, inj^M(F(A, x), S)) \rangle)$

definition

$lepoll_assumptions10 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $lepoll_assumptions10(M, A, F, S, fa, K, x, f, r) \equiv strong_replacement$
 $(M, \lambda x z. z = Sigfun(x, \lambda k. if\ k \in range(f)\ then\ F(A, converse(f)\ 'k)$
 $else\ 0))$

definition

$lepoll_assumptions11 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $lepoll_assumptions11(M, A, F, S, fa, K, x, f, r) \equiv strong_replacement(M, \lambda x y. y =$
 $(if\ x \in range(f)\ then\ F(A, converse(f)\ 'x\ else\ 0))$

definition

$lepoll_assumptions12 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $lepoll_assumptions12(M, A, F, S, fa, K, x, f, r) \equiv strong_replacement(M, \lambda y z. y \in$
 $F(A, converse(f)\ 'x) \wedge z = \{ \langle x, y \rangle \})$

definition

$lepoll_assumptions13 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $lepoll_assumptions13(M, A, F, S, fa, K, x, f, r) \equiv strong_replacement$
 $(M, \lambda x y. y = \langle x, minimum(r, if\ x \in range(f)\ then\ F(A, converse(f)\ 'x)$
 $else\ 0))$

definition

$lepoll_assumptions14 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $lepoll_assumptions14(M, A, F, S, fa, K, x, f, r) \equiv strong_replacement$
 $(M, \lambda x y. y = \langle x, \mu\ i. x \in (if\ i \in range(f)\ then\ F(A, converse(f)\ 'i\ else$
 $0),$
 $fa\ '(\mu\ i. x \in (if\ i \in range(f)\ then\ F(A, converse(f)\ 'i\ else$
 $0))\ 'x))$

definition

$lepoll_assumptions15 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $lepoll_assumptions15(M, A, F, S, fa, K, x, f, r) \equiv strong_replacement$
 $(M, \lambda y z. y \in inj^M(if\ x \in range(f)\ then\ F(A, converse(f)\ 'x\ else\ 0, K) \wedge$
 $z = \{ \langle x, y \rangle \})$

definition

$lepoll_assumptions16 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $lepoll_assumptions16(M, A, F, S, fa, K, x, f, r) \equiv strong_replacement(M, \lambda x y. y =$
 $inj^M(if\ x \in range(f)\ then\ F(A, converse(f)\ 'x\ else\ 0, K))$

definition

$lepoll_assumptions17 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $lepoll_assumptions17(M, A, F, S, fa, K, x, f, r) \equiv strong_replacement$
 $(M, \lambda x z. z = Sigfun(x, \lambda i. inj^M(if\ i \in range(f)\ then\ F(A, converse(f)$
 $'i\ else\ 0, K)))$

definition

$lepoll_assumptions18 :: [i \Rightarrow o, i, [i, i] \Rightarrow i, i, i, i, i, i] \Rightarrow o$ **where**
 $lepoll_assumptions18(M, A, F, S, fa, K, x, f, r) \equiv strong_replacement$
 $(M, \lambda x y. y = \langle x, minimum(r, inj^M(\text{if } x \in range(f) \text{ then } F(A, converse(f))$
 $\text{' } x) \text{ else } 0, K) \rangle))$

lemmas $lepoll_assumptions_defs[simp] = lepoll_assumptions1_def$
 $lepoll_assumptions2_def$ $lepoll_assumptions3_def$ $lepoll_assumptions4_def$
 $lepoll_assumptions5_def$ $lepoll_assumptions6_def$ $lepoll_assumptions7_def$
 $lepoll_assumptions8_def$ $lepoll_assumptions9_def$ $lepoll_assumptions10_def$
 $lepoll_assumptions11_def$ $lepoll_assumptions12_def$ $lepoll_assumptions13_def$
 $lepoll_assumptions14_def$ $lepoll_assumptions15_def$ $lepoll_assumptions16_def$
 $lepoll_assumptions17_def$ $lepoll_assumptions18_def$

definition if_range_F **where**

$[simp]: if_range_F(H, f, i) \equiv \text{if } i \in range(f) \text{ then } H(converse(f) \text{ ' } i) \text{ else } 0$

definition $if_range_F_else_F$ **where**

$if_range_F_else_F(H, b, f, i) \equiv \text{if } b=0 \text{ then } if_range_F(H, f, i) \text{ else } H(i)$

lemma (in M_basic) $lam_Least_assumption_general$:

assumes

separations:

$\forall A'[M]. separation(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in if_range_F_else_F(F(A), b, f, i) \rangle)$

and

$mem_F_bound: \bigwedge x c. x \in F(A, c) \implies c \in range(f) \cup U(A)$

and

types: $M(A) \ M(b) \ M(f) \ M(U(A))$

shows $lam_replacement(M, \lambda x . \mu i. x \in if_range_F_else_F(F(A), b, f, i))$

$\langle proof \rangle$

lemma (in M_basic) $lam_Least_assumption_ifM_b0$:

fixes F

defines $F \equiv \lambda x. \text{if } M(x) \text{ then } x \text{ else } 0$

assumes

separations:

$\forall A'[M]. separation(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in if_range_F_else_F(F(A), 0, f, i) \rangle)$

and

types: $M(A) \ M(f)$

shows $lam_replacement(M, \lambda x . \mu i. x \in if_range_F_else_F(F(A), 0, f, i))$

(**is** $lam_replacement(M, \lambda x . Least(?P(x)))$)

$\langle proof \rangle$

lemma (in $M_replacement_extra$) $lam_Least_assumption_ifM_bnot0$:

fixes F

defines $F \equiv \lambda x. \text{if } M(x) \text{ then } x \text{ else } 0$

assumes

separations:

```

forall A'[M]. separation(M, λy. ∃ x∈A'. y = ⟨x, μ i. x ∈ if_range_F_else_F(F(A),b,f,i)⟩)
  separation(M,Ord)
and
  types:M(A) M(f)
and
  b≠0
shows lam_replacement(M,λx . μ i. x ∈ if_range_F_else_F(F(A),b,f,i))
  (is lam_replacement(M,λx . Least(?P(x))))
⟨proof⟩

lemma (in M_replacement_extra) lam_Least_assumption_drSR_Y:
  fixes F r' D
  defines F ≡ drSR_Y(r',D)
  assumes ∀ A'[M]. separation(M, λy. ∃ x∈A'. y = ⟨x, μ i. x ∈ if_range_F_else_F(F(A),b,f,i)⟩)
    M(A) M(b) M(f) M(r')
  shows lam_replacement(M,λx . μ i. x ∈ if_range_F_else_F(F(A),b,f,i))
  ⟨proof⟩

locale M_replacement_lepoll = M_replacement_extra + M_inj +
fixes F
assumes
  F_type[simp]: M(A) ⇒ ∀ x[M]. M(F(A,x))
and
  lam_lepoll_assumption_F:M(A) ⇒ lam_replacement(M,F(A))
and
  — Here b is a Boolean.
  lam_Least_assumption:M(A) ⇒ M(b) ⇒ M(f) ⇒
    lam_replacement(M,λx . μ i. x ∈ if_range_F_else_F(F(A),b,f,i))
and
  F_args_closed: M(A) ⇒ M(x) ⇒ x ∈ F(A,i) ⇒ M(i)
and
  lam_replacement_inj_rel:lam_replacement(M, λp. injM(fst(p),snd(p)))
begin

declare if_range_F_else_F_def[simp]

lemma lepoll_assumptions1:
  assumes types[simp]:M(A) M(S)
  shows lepoll_assumptions1(M,A,F,S,fa,K,x,f,r)
  ⟨proof⟩

lemma lepoll_assumptions2:
  assumes types[simp]:M(A) M(S)
  shows lepoll_assumptions2(M,A,F,S,fa,K,x,f,r)
  ⟨proof⟩

lemma lepoll_assumptions3:
  assumes types[simp]:M(A)
  shows lepoll_assumptions3(M,A,F,S,fa,K,x,f,r)

```

$\langle proof \rangle$

lemma *lepoll_assumptions4*:
 assumes *types[simp]*: $M(A) \ M(r)$
 shows *lepoll_assumptions4*($M, A, F, S, fa, K, x, f, r$)
 $\langle proof \rangle$

lemma *lam_Least_closed* :
 assumes $M(A) \ M(b) \ M(f)$
 shows $\forall x[M]. \ M(\mu \ i. \ x \in \text{if_range_F_else_F}(F(A), b, f, i))$
 $\langle proof \rangle$

lemma *lepoll_assumptions5*:
 assumes
 types[simp]: $M(A) \ M(f)$
 shows *lepoll_assumptions5*($M, A, F, S, fa, K, x, f, r$)
 $\langle proof \rangle$

lemma *lepoll_assumptions6*:
 assumes *types[simp]*: $M(A) \ M(S) \ M(x)$
 shows *lepoll_assumptions6*($M, A, F, S, fa, K, x, f, r$)
 $\langle proof \rangle$

lemma *lepoll_assumptions7*:
 assumes *types[simp]*: $M(A) \ M(S) \ M(x)$
 shows *lepoll_assumptions7*($M, A, F, S, fa, K, x, f, r$)
 $\langle proof \rangle$

lemma *lepoll_assumptions8*:
 assumes *types[simp]*: $M(A) \ M(S)$
 shows *lepoll_assumptions8*($M, A, F, S, fa, K, x, f, r$)
 $\langle proof \rangle$

lemma *lepoll_assumptions9*:
 assumes *types[simp]*: $M(A) \ M(S) \ M(r)$
 shows *lepoll_assumptions9*($M, A, F, S, fa, K, x, f, r$)
 $\langle proof \rangle$

lemma *lepoll_assumptions10*:
 assumes *types[simp]*: $M(A) \ M(f)$
 shows *lepoll_assumptions10*($M, A, F, S, fa, K, x, f, r$)
 $\langle proof \rangle$

lemma *lepoll_assumptions11*:
 assumes *types[simp]*: $M(A) \ M(f)$
 shows *lepoll_assumptions11*($M, A, F, S, fa, K, x, f, r$)
 $\langle proof \rangle$

lemma *lepoll_assumptions12*:

```

assumes types[simp]:M(A) M(x) M(f)
shows lepoll_assumptions12(M,A,F,S,fa,K,x,f,r)
  ⟨proof⟩

lemma lepoll_assumptions13:
  assumes types[simp]:M(A) M(r) M(f)
  shows lepoll_assumptions13(M,A,F,S,fa,K,x,f,r)
    ⟨proof⟩

lemma lepoll_assumptions14:
  assumes types[simp]:M(A) M(f) M(fa)
  shows lepoll_assumptions14(M,A,F,S,fa,K,x,f,r)
    ⟨proof⟩

lemma lepoll_assumptions15:
  assumes types[simp]:M(A) M(x) M(f) M(K)
  shows lepoll_assumptions15(M,A,F,S,fa,K,x,f,r)
    ⟨proof⟩

lemma lepoll_assumptions16:
  assumes types[simp]:M(A) M(f) M(K)
  shows lepoll_assumptions16(M,A,F,S,fa,K,x,f,r)
    ⟨proof⟩

lemma lepoll_assumptions17:
  assumes types[simp]:M(A) M(f) M(K)
  shows lepoll_assumptions17(M,A,F,S,fa,K,x,f,r)
    ⟨proof⟩

lemma lepoll_assumptions18:
  assumes types[simp]:M(A) M(K) M(f) M(r)
  shows lepoll_assumptions18(M,A,F,S,fa,K,x,f,r)
    ⟨proof⟩

lemmas lepoll_assumptions = lepoll_assumptions1 lepoll_assumptions2
  lepoll_assumptions3 lepoll_assumptions4 lepoll_assumptions5
  lepoll_assumptions6 lepoll_assumptions7 lepoll_assumptions8
  lepoll_assumptions9 lepoll_assumptions10 lepoll_assumptions11
  lepoll_assumptions12 lepoll_assumptions13 lepoll_assumptions14
  lepoll_assumptions15 lepoll_assumptions16
  lepoll_assumptions17 lepoll_assumptions18

end — M_replacement_lepoll

end

```

21 Cardinal Arithmetic under Choice

theory *Cardinal_Library_Relative*

```

imports
  Replacement_Lepoll
begin

locale  $M\_library = M\_ZF\_library + M\_cardinal\_AC +$ 
  assumes
    separation_cardinal_rel_lespoll_rel:  $M(\kappa) \implies separation(M, \lambda x . |x|^M \prec^M \kappa)$ 
begin

declare eqpoll_rel_refl [simp]

```

21.1 Miscellaneous

```

lemma cardinal_rel_RepFun_apply_le:
  assumes  $S \in A \rightarrow B$   $M(S)$   $M(A)$   $M(B)$ 
  shows  $|\{S'a . a \in A\}|^M \leq |A|^M$ 
  <proof>

```

```

lemma cardinal_rel_RepFun_le:
  assumes  $lrf: lam\_replacement(M, f)$  and  $f\_closed: \forall x[M]. M(f(x))$  and  $M(X)$ 
  shows  $|\{f(x) . x \in X\}|^M \leq |X|^M$ 
  <proof>

```

```

lemma subset_imp_le_cardinal_rel:  $A \subseteq B \implies M(A) \implies M(B) \implies |A|^M \leq |B|^M$ 
  <proof>

```

```

lemma lt_cardinal_rel_imp_not_subset:  $|A|^M < |B|^M \implies M(A) \implies M(B) \implies \neg B \subseteq A$ 
  <proof>

```

```

lemma cardinal_rel_lt_succ_rel_iff:
   $Card\_rel(M, K) \implies M(K) \implies M(K') \implies |K'|^M < (K^+)^M \longleftrightarrow |K'|^M \leq K$ 
  <proof>

```

```

end —  $M\_library$ 

```

```

locale  $M\_cardinal\_UN\_nat = M\_cardinal\_UN \_ \omega$  for  $X$ 

```

```

begin
lemma cardinal_rel_UN_le_nat:
  assumes  $\bigwedge i. i \in \omega \implies |X(i)|^M \leq \omega$ 
  shows  $|\bigcup i \in \omega. X(i)|^M \leq \omega$ 
  <proof>

```

```

end —  $M\_cardinal\_UN\_nat$ 

```

```

locale  $M\_cardinal\_UN\_inj = M\_library +$ 
   $j: M\_cardinal\_UN \_ J +$ 

```

$y:M_cardinal_UN_K \lambda k. \text{ if } k \in \text{range}(f) \text{ then } X(\text{converse}(f)'k) \text{ else } 0$ **for** $J\ K$
 $f +$

assumes

$f_inj: f \in inj_rel(M, J, K)$

begin

lemma $inj_rel_imp_cardinal_rel_UN_le$:

notes $[dest] = InfCard_is_Card\ Card_is_Ord$

fixes Y

defines $Y(k) \equiv \text{if } k \in \text{range}(f) \text{ then } X(\text{converse}(f)'k) \text{ else } 0$

assumes $InfCard^M(K) \wedge i. i \in J \implies |X(i)|^M \leq K$

shows $|\bigcup_{i \in J}. X(i)|^M \leq K$

$\langle proof \rangle$

end — $M_cardinal_UN_inj$

locale $M_cardinal_UN_lepoll = M_library + M_replacement_lepoll_ \lambda_. X +$

$j:M_cardinal_UN_J$ **for** J

begin

— FIXME: this "LEQpoll" should be "LEPOLL"; same correction in Delta System

lemma $lepoll_rel_imp_cardinal_rel_UN_le$:

notes $[dest] = InfCard_is_Card\ Card_is_Ord$

assumes $InfCard^M(K) \ J \lesssim^M K \wedge i. i \in J \implies |X(i)|^M \leq K$
 $M(K)$

shows $|\bigcup_{i \in J}. X(i)|^M \leq K$

$\langle proof \rangle$

end — $M_cardinal_UN_lepoll$

context $M_library$

begin

lemma $cardinal_rel_lt_csucc_rel_iff'$:

includes Ord_dests

assumes $Card_rel(M, \kappa)$

and $types: M(\kappa) \ M(X)$

shows $\kappa < |X|^M \longleftrightarrow (\kappa^+)^M \leq |X|^M$

$\langle proof \rangle$

lemma $lepoll_rel_imp_subset_bij_rel$:

assumes $M(X) \ M(Y)$

shows $X \lesssim^M Y \longleftrightarrow (\exists Z[M]. Z \subseteq Y \wedge Z \approx^M X)$

$\langle proof \rangle$

The following result proves to be very useful when combining $cardinal_rel$ and $eqpoll_rel$ in a calculation.

lemma $cardinal_rel_Card_rel_eqpoll_rel_iff$:

$Card_rel(M, \kappa) \implies M(\kappa) \implies M(X) \implies |X|^M = \kappa \longleftrightarrow X \approx^M \kappa$

$\langle proof \rangle$
lemma *lepoll_rel_imp_lepoll_rel_cardinal_rel*:
 assumes $X \lesssim^M Y \quad M(X) \quad M(Y)$
 shows $X \lesssim^M |Y|^M$
 $\langle proof \rangle$
lemma *lepoll_rel_Un*:
 assumes $InfCard_rel(M, \kappa) \quad A \lesssim^M \kappa \quad B \lesssim^M \kappa \quad M(A) \quad M(B) \quad M(\kappa)$
 shows $A \cup B \lesssim^M \kappa$
 $\langle proof \rangle$
lemma *cardinal_rel_Un_le*:
 assumes $InfCard_rel(M, \kappa) \quad |A|^M \leq \kappa \quad |B|^M \leq \kappa \quad M(\kappa) \quad M(A) \quad M(B)$
 shows $|A \cup B|^M \leq \kappa$
 $\langle proof \rangle$
lemma *Finite_cardinal_rel_iff*: $M(i) \implies Finite(|i|^M) \longleftrightarrow Finite(i)$
 $\langle proof \rangle$
lemma *cardinal_rel_subset_of_Card_rel*:
 assumes $Card_rel(M, \gamma) \quad a \subseteq \gamma \quad M(a) \quad M(\gamma)$
 shows $|a|^M < \gamma \vee |a|^M = \gamma$
 $\langle proof \rangle$
lemma *cardinal_rel_cases*:
 includes *Ord_dests*
 assumes $M(\gamma) \quad M(X)$
 shows $Card_rel(M, \gamma) \implies |X|^M < \gamma \longleftrightarrow \neg |X|^M \geq \gamma$
 $\langle proof \rangle$
end — *M_library*

21.2 Countable and uncountable sets

definition

countable :: $i \Rightarrow o$ **where**
countable(X) $\equiv X \lesssim \omega$

$\langle ML \rangle$

notation *countable_rel* ($\langle countable_rel'(_) \rangle$)

abbreviation

countable_r_set :: $[i, i] \Rightarrow o$ ($\langle countable_rel'(_) \rangle$) **where**
countable ^{M} (i) $\equiv countable_rel(\#\#M, i)$

context *M_library*
begin

lemma *countableI*[intro]: $X \lesssim^M \omega \implies \text{countable_rel}(M, X)$
 ⟨proof⟩

lemma *countableD*[dest]: $\text{countable_rel}(M, X) \implies X \lesssim^M \omega$
 ⟨proof⟩

lemma *countable_rel_iff_cardinal_rel_le_nat*: $M(X) \implies \text{countable_rel}(M, X)$
 $\longleftrightarrow |X|^M \leq \omega$
 ⟨proof⟩

lemma *lepoll_rel_countable_rel*: $X \lesssim^M Y \implies \text{countable_rel}(M, Y) \implies M(X)$
 $\implies M(Y) \implies \text{countable_rel}(M, X)$
 ⟨proof⟩

lemma *surj_rel_countable_rel*:
 $\text{countable_rel}(M, X) \implies f \in \text{surj_rel}(M, X, Y) \implies M(X) \implies M(Y) \implies M(f)$
 $\implies \text{countable_rel}(M, Y)$
 ⟨proof⟩

lemma *Finite_imp_countable_rel*: $\text{Finite_rel}(M, X) \implies M(X) \implies \text{countable_rel}(M, X)$
 ⟨proof⟩

end — *M_library*

lemma (in *M_cardinal_UN_lepoll*) *countable_rel_imp_countable_rel_UN*:
assumes $\text{countable_rel}(M, J) \bigwedge i. i \in J \implies \text{countable_rel}(M, X(i))$
shows $\text{countable_rel}(M, \bigcup i \in J. X(i))$
 ⟨proof⟩

locale *M_cardinal_library* = *M_library* + *M_replacement* +
assumes
lam_replacement_inj_rel: $\text{lam_replacement}(M, \lambda x. \text{inj}^M(\text{fst}(x), \text{snd}(x)))$
and
cdlt_assms: $M(G) \implies M(Q) \implies \text{separation}(M, \lambda p. \forall x \in G. x \in \text{snd}(p) \longleftrightarrow$
 $(\forall s \in \text{fst}(p). \langle s, x \rangle \in Q))$
and
cardinal_lib_assms1:
 $M(A) \implies M(b) \implies M(f) \implies$
 $\text{separation}(M, \lambda y. \exists x \in A. y = \langle x, \mu i. x \in \text{if_range_F_else_F}(\lambda x. \text{if } M(x)$
 $\text{then } x \text{ else } 0, b, f, i) \rangle)$
 $\text{separation}(M, \text{Ord})$
and
cardinal_lib_assms2:
 $M(A') \implies M(G) \implies M(b) \implies M(f) \implies$
 $\text{separation}(M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if_range_F_else_F}(\lambda a. \text{if } M(a)$
 $\text{then } G'a \text{ else } 0, b, f, i) \rangle)$
and
cardinal_lib_assms3:
 $M(A') \implies M(b) \implies M(f) \implies M(F) \implies$

```

      separation( $M, \lambda y. \exists x \in A'. y = \langle x, \mu i. x \in \text{if\_range\_F\_else\_F}(\lambda a. \text{if } M(a) \text{ then } F\text{-}\langle\{a\} \text{ else } 0, b, f, i \rangle)$ )
    and
      lam_replacement_cardinal_rel : lam_replacement( $M, \text{cardinal\_rel}(M)$ )
    and
      cardinal_lib_assms6:
       $M(f) \implies M(\beta) \implies \text{Ord}(\beta) \implies$ 
      strong_replacement( $M, \lambda x y. x \in \beta \wedge y = \langle x, \text{transrec}(x, \lambda a g. f \text{ ` } (g \text{ `` } a)) \rangle$ )

begin

lemma cardinal_lib_assms5 :
   $M(\gamma) \implies \text{Ord}(\gamma) \implies \text{separation}(M, \lambda Z. \text{cardinal\_rel}(M, Z) < \gamma)$ 
  <proof>

lemma separation_dist: separation( $M, \lambda x. \exists a. \exists b. x = \langle a, b \rangle \wedge a \neq b$ )
  <proof>

lemma cdl_t_assms':  $M(x) \implies M(Q) \implies \text{separation}(M, \lambda a. \forall s \in x. \langle s, a \rangle \in Q)$ 
  <proof>

lemma countable_rel_union_countable_rel:
  assumes  $\bigwedge x. x \in C \implies \text{countable\_rel}(M, x)$ 
  shows  $\text{countable\_rel}(M, \bigcup C)$ 
  <proof>

end —  $M\_cardinal\_library$ 

abbreviation
  uncountable_rel ::  $[i \Rightarrow o, i] \Rightarrow o$  where
  uncountable_rel( $M, X$ )  $\equiv \neg \text{countable\_rel}(M, X)$ 

context  $M\_cardinal\_library$ 
begin

lemma uncountable_rel_iff_nat_lt_cardinal_rel:
   $M(X) \implies \text{uncountable\_rel}(M, X) \longleftrightarrow \omega < |X|^M$ 
  <proof>

lemma uncountable_rel_not_empty:  $\text{uncountable\_rel}(M, X) \implies X \neq 0$ 
  <proof>

lemma uncountable_rel_imp_Infinite:  $\text{uncountable\_rel}(M, X) \implies M(X) \implies \text{Infinite}(X)$ 
  <proof>

lemma uncountable_rel_not_subset_countable_rel:
  assumes  $\text{countable\_rel}(M, X) \text{ uncountable\_rel}(M, Y) \text{ } M(X) \text{ } M(Y)$ 
  shows  $\neg (Y \subseteq X)$ 
  <proof>

```

21.3 Results on Aleph_rels

lemma *nat_lt_Aleph_rel1*: $\omega < \aleph_I^M$
 $\langle proof \rangle$

lemma *zero_lt_Aleph_rel1*: $0 < \aleph_I^M$
 $\langle proof \rangle$

lemma *le_Aleph_rel1_nat*: $M(k) \implies Card_rel(M,k) \implies k < \aleph_I^M \implies k \leq \omega$
 $\langle proof \rangle$

lemma *lesspoll_rel_Aleph_rel_succ*:
assumes $Ord(\alpha)$
and $types: M(\alpha) \ M(d)$
shows $d \prec^M \aleph_{succ(\alpha)}^M \longleftrightarrow d \lesssim^M \aleph_\alpha^M$
 $\langle proof \rangle$

lemma *cardinal_rel_Aleph_rel [simp]*: $Ord(\alpha) \implies M(\alpha) \implies |\aleph_\alpha^M|^M = \aleph_\alpha^M$
 $\langle proof \rangle$

lemma *Aleph_rel_lesspoll_rel_increasing*:
includes *Aleph_rel_intros*
assumes $M(b) \ M(a)$
shows $a < b \implies \aleph_a^M \prec^M \aleph_b^M$
 $\langle proof \rangle$

lemma *uncountable_rel_iff_subset_eqpoll_rel_Aleph_rel1*:
includes *Ord_dests*
assumes $M(X)$
notes *Aleph_rel_zero [simp]* *Card_rel_nat [simp]* *Aleph_rel_succ [simp]*
shows $uncountable_rel(M,X) \longleftrightarrow (\exists S[M]. S \subseteq X \wedge S \approx^M \aleph_I^M)$
 $\langle proof \rangle$

lemma *UN_if_zero*: $M(K) \implies (\bigcup_{x \in K}. \text{if } M(x) \text{ then } G \text{ ' } x \text{ else } 0) = (\bigcup_{x \in K}. G \text{ ' } x)$
 $\langle proof \rangle$

lemma *mem_F_bound1*:
fixes $F \ G$
defines $F \equiv \lambda _ x. \text{if } M(x) \text{ then } G \text{ ' } x \text{ else } 0$
shows $x \in F(A,c) \implies c \in (range(f) \cup domain(G))$
 $\langle proof \rangle$

lemma *lt_Aleph_rel_imp_cardinal_rel_UN_le_nat*: $function(G) \implies domain(G) \lesssim^M \omega \implies$
 $\forall n \in domain(G). |G \text{ ' } n|^M < \aleph_I^M \implies M(G) \implies |\bigcup_{n \in domain(G)}. G \text{ ' } n|^M \leq \omega$
 $\langle proof \rangle$

lemma *Aleph_rel1_eq_cardinal_rel_vimage*: $f: \aleph_I^M \rightarrow^M \omega \implies \exists n \in \omega. |f \text{ ' } \{n\}|^M = \aleph_I^M$
 $\langle proof \rangle$

lemma *eqpoll_rel_Aleph_rel1_cardinal_rel_vimage*:

assumes $Z \approx^M (\aleph_1^M) f \in Z \rightarrow^M \omega M(Z)$

shows $\exists n \in \omega. |f^{-1}\{n\}|^M = \aleph_1^M$

<proof>

21.4 Applications of transfinite recursive constructions

definition

$rec_constr :: [i, i] \Rightarrow i$ **where**

$rec_constr(f, \alpha) \equiv transrec(\alpha, \lambda a. g. f'(g'a))$

The function *rec_constr* allows to perform *recursive constructions*: given a choice function on the powerset of some set, a transfinite sequence is created by successively choosing some new element.

The next result explains its use.

lemma *rec_constr_unfold*: $rec_constr(f, \alpha) = f'(\{rec_constr(f, \beta). \beta \in \alpha\})$

<proof>

lemma *rec_constr_type*:

assumes $f: Pow_rel(M, G) \rightarrow^M G$ $Ord(\alpha)$ $M(G)$

shows $M(\alpha) \implies rec_constr(f, \alpha) \in G$

<proof>

lemma *rec_constr_closed* :

assumes $f: Pow_rel(M, G) \rightarrow^M G$ $Ord(\alpha)$ $M(G)$ $M(\alpha)$

shows $M(rec_constr(f, \alpha))$

<proof>

lemma *lambda_rec_constr_closed* :

assumes $Ord(\gamma)$ $M(\gamma)$ $M(f)$ $f: Pow_rel(M, G) \rightarrow^M G$ $M(G)$

shows $M(\lambda \alpha \in \gamma. rec_constr(f, \alpha))$

<proof>

The next lemma is an application of recursive constructions. It works under the assumption that whenever the already constructed subsequence is small enough, another element can be added.

lemma *bounded_cardinal_rel_selection*:

includes *Ord_dests*

assumes

$\bigwedge Z. |Z|^M < \gamma \implies Z \subseteq G \implies M(Z) \implies \exists a \in G. \forall s \in Z. \langle s, a \rangle \in Q$ $b \in G$
Card_rel(M, γ)

$M(G)$ $M(Q)$ $M(\gamma)$

shows

$\exists S[M]. S : \gamma \rightarrow^M G \wedge (\forall \alpha \in \gamma. \forall \beta \in \gamma. \alpha < \beta \longrightarrow \langle S'\alpha, S'\beta \rangle \in Q)$

<proof>

The following basic result can, in turn, be proved by a bounded-cardinal_rel selection.

lemma *Infinite_iff_lepoll_rel_nat*: $M(Z) \implies \text{Infinite}(Z) \longleftrightarrow \omega \lesssim^M Z$
 $\langle \text{proof} \rangle$

lemma *Infinite_InfCard_rel_cardinal_rel*: $\text{Infinite}(Z) \implies M(Z) \implies \text{InfCard_rel}(M, |Z|^M)$
 $\langle \text{proof} \rangle$

lemma (*in M_trans*) *mem_F_bound2*:
fixes F A
defines $F \equiv \lambda x. \text{if } M(x) \text{ then } A \cdot \{\{x\}\} \text{ else } 0$
shows $x \in F(A, c) \implies c \in (\text{range}(f) \cup \text{range}(A))$
 $\langle \text{proof} \rangle$

lemma *Finite_to_one_rel_surj_rel_imp_cardinal_rel_eq*:
assumes $F \in \text{Finite_to_one_rel}(M, Z, Y) \cap \text{surj_rel}(M, Z, Y)$ $\text{Infinite}(Z)$ $M(Z)$
 $M(Y)$
shows $|Y|^M = |Z|^M$
 $\langle \text{proof} \rangle$

lemma *cardinal_rel_map_Un*:
assumes $\text{Infinite}(X)$ $\text{Finite}(b)$ $M(X)$ $M(b)$
shows $|\{a \cup b \mid a \in X\}|^M = |X|^M$
 $\langle \text{proof} \rangle$

21.5 Results on relative cardinal exponentiation

lemma *cexp_rel_eqpoll_rel_cong*:
assumes
 $A \approx^M A' \ B \approx^M B' \ M(A) \ M(A') \ M(B) \ M(B')$
shows
 $A^{\uparrow B, M} = A'^{\uparrow B', M}$
 $\langle \text{proof} \rangle$

lemma *cexp_rel_cexp_rel_cmult*:
assumes $M(\kappa)$ $M(\nu 1)$ $M(\nu 2)$
shows $(\kappa^{\uparrow \nu 1, M})^{\uparrow \nu 2, M} = \kappa^{\uparrow \nu 2} \otimes^M \nu 1, M$
 $\langle \text{proof} \rangle$

lemma *cardinal_rel_Pow_rel*: $M(X) \implies |\text{Pow_rel}(M, X)|^M = 2^{\uparrow X, M}$ — Perhaps
it's better with $|X|$
 $\langle \text{proof} \rangle$

lemma *cantor_cexp_rel*:
assumes $\text{Card_rel}(M, \nu)$ $M(\nu)$
shows $\nu < 2^{\uparrow \nu, M}$
 $\langle \text{proof} \rangle$

lemma *countable_iff_le_rel_Aleph_rel_one*:
notes *iff_trans*[*trans*]
assumes $M(C)$

shows $\text{countable}^M(C) \longleftrightarrow |C|^M \prec^M \aleph_I^M$
 $\langle \text{proof} \rangle$

end — $M_cardinal_library$

lemma (in $M_cardinal_library$) $\text{countable_fun_imp_countable_image}$:
assumes $f: C \rightarrow^M B$ $\text{countable}^M(C) \wedge c. c \in C \implies \text{countable}^M(f'c)$
 $M(C) \ M(B)$
shows $\text{countable}^M(\bigcup (f''C))$
 $\langle \text{proof} \rangle$

end

22 The Delta System Lemma, Relativized

theory $\Delta_System_Relative$
imports
 $Cardinal_Library_Relative$
begin

definition

$\Delta_system :: i \Rightarrow o$ **where**
 $\Delta_system(D) \equiv \exists r. \forall A \in D. \forall B \in D. A \neq B \longrightarrow A \cap B = r$

lemma $\Delta_systemI[\text{intro}]$:
assumes $\forall A \in D. \forall B \in D. A \neq B \longrightarrow A \cap B = r$
shows $\Delta_system(D)$
 $\langle \text{proof} \rangle$

lemma $\Delta_systemD[\text{dest}]$:
 $\Delta_system(D) \implies \exists r. \forall A \in D. \forall B \in D. A \neq B \longrightarrow A \cap B = r$
 $\langle \text{proof} \rangle$

lemma $\Delta_system_root_eq_Inter$:
assumes $\Delta_system(D)$
shows $\forall A \in D. \forall B \in D. A \neq B \longrightarrow A \cap B = \bigcap D$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

locale $M_delta = M_cardinal_library +$
assumes
 $\text{countable_lepoll_assms}$:
 $M(G) \implies M(A) \implies M(b) \implies M(f) \implies \text{separation}(M, \lambda y. \exists x \in A.$
 $y = \langle x, \mu i. x \in \text{if_range_F_else_F}(\lambda x. \{xa \in G . x \in xa\},$
 $b, f, i))$
begin

lemmas *cardinal_replacement* = *lam_replacement_cardinal_rel*[*unfolded lam_replacement_def*]

lemma *disjoint_separation*: $M(c) \implies \text{separation}(M, \lambda x. \exists a. \exists b. x = \langle a, b \rangle \wedge a \cap b = c)$
 ⟨*proof*⟩

lemma *insnd_ball*: $M(G) \implies \text{separation}(M, \lambda p. \forall x \in G. x \in \text{snd}(p) \longleftrightarrow \text{fst}(p) \in x)$
 ⟨*proof*⟩

lemma (*in M_trans*) *mem_F_bound6*:
 fixes $F\ G$
 defines $F \equiv \lambda x. \text{Collect}(G, (\in)(x))$
 shows $x \in F(G, c) \implies c \in (\text{range}(f) \cup \bigcup G)$
 ⟨*proof*⟩

lemma *delta_system_Aleph_rel1*:
 assumes $\forall A \in F. \text{Finite}(A) \ F \approx^M \aleph_I^M M(F)$
 shows $\exists D[M]. D \subseteq F \wedge \text{delta_system}(D) \wedge D \approx^M \aleph_I^M$
 ⟨*proof*⟩

lemma *delta_system_uncountable_rel*:
 assumes $\forall A \in F. \text{Finite}(A) \ \text{uncountable_rel}(M, F) \ M(F)$
 shows $\exists D[M]. D \subseteq F \wedge \text{delta_system}(D) \wedge D \approx^M \aleph_I^M$
 ⟨*proof*⟩

end — *M_delta*

end

23 Relative DC

theory *Pointed_DC_Relative*
imports
Cardinal_Library_Relative

begin

consts *dc_witness* :: $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i$

primrec

wit0 : $\text{dc_witness}(0, A, a, s, R) = a$

witrec : $\text{dc_witness}(\text{succ}(n), A, a, s, R) = s'\{x \in A. \langle \text{dc_witness}(n, A, a, s, R), x \rangle \in R\}$

lemmas *dc_witness_def* = *dc_witness_nat_def*

⟨*ML*⟩

schematic_goal *sats_is_dc_witness_fm_auto*:

assumes $na < \text{length}(\text{env})$ $e < \text{length}(\text{env})$
shows
 $na \in \omega \implies$
 $A \in \omega \implies$
 $a \in \omega \implies$
 $s \in \omega \implies$
 $R \in \omega \implies$
 $e \in \omega \implies$
 $\text{env} \in \text{list}(Aa) \implies$
 $0 \in Aa \implies$
 $\text{is_dc_witness}(\#\#Aa, \text{nth}(na, \text{env}), \text{nth}(A, \text{env}), \text{nth}(a, \text{env}), \text{nth}(s, \text{env}),$
 $\text{nth}(R, \text{env}), \text{nth}(e, \text{env})) \longleftrightarrow$
 $Aa, \text{env} \models ?fm(\text{nat}, A, a, s, R, e)$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$
 $\langle \text{proof} \rangle$

definition $\text{dcwit_body} :: [i,i,i,i,i] \Rightarrow o$ **where**
 $\text{dcwit_body}(A,a,g,R) \equiv \lambda p. \text{snd}(p) = \text{dc_witness}(\text{fst}(p), A, a, g, R)$

$\langle ML \rangle$

context $M_replacement$
begin

lemma $\text{dc_witness_closed}[\text{intro}, \text{simp}]$:
assumes $M(n)$ $M(A)$ $M(a)$ $M(s)$ $M(R)$ $n \in \text{nat}$
shows $M(\text{dc_witness}(n, A, a, s, R))$
 $\langle \text{proof} \rangle$

lemma $\text{dc_witness_rel_char}$:
assumes $M(A)$
shows $\text{dc_witness_rel}(M, n, A, a, s, R) = \text{dc_witness}(n, A, a, s, R)$
 $\langle \text{proof} \rangle$

lemma (**in** M_basic) $\text{first_section_closed}$:
assumes
 $M(A)$ $M(a)$ $M(R)$
shows $M(\{x \in A . \langle a, x \rangle \in R\})$
 $\langle \text{proof} \rangle$

lemma witness_into_A $[TC]$:
assumes $a \in A$
 $\forall X[M]. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in A$
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0$ $n \in \text{nat}$
 $M(A)$ $M(a)$ $M(s)$ $M(R)$
shows $\text{dc_witness}(n, A, a, s, R) \in A$
 $\langle \text{proof} \rangle$

end — $M_replacement$

locale $M_DC = M_tranc1 + M_replacement + M_eclose +$

assumes

$separation_is_dcwit_body:$

$M(A) \implies M(a) \implies M(g) \implies M(R) \implies separation(M, is_dcwit_body(M, A, a, g, R))$

and

$dcwit_replacement: Ord(na) \implies$

$M(na) \implies$

$M(A) \implies$

$M(a) \implies$

$M(s) \implies$

$M(R) \implies$

$transrec_replacement$

$(M, \lambda n f ntc.$

is_nat_case

$(M, a,$

$\lambda m bmf m.$

$\exists fm[M]. \exists cp[M].$

$is_apply(M, f, m, fm) \wedge$

$is_Collect(M, A, \lambda x. \exists fm x[M]. (M(x) \wedge fm x \in R) \wedge pair(M, fm, x, fm x), cp) \wedge$

$is_apply(M, s, cp, bmf m),$

$n, ntc), na)$

begin

lemma $is_dc_witness_iff:$

assumes $Ord(na) M(na) M(A) M(a) M(s) M(R) M(res)$

shows $is_dc_witness(M, na, A, a, s, R, res) \longleftrightarrow res = dc_witness_rel(M, na, A, a, s, R)$

$\langle proof \rangle$

lemma $dcwit_body_abs:$

$fst(x) \in \omega \implies M(A) \implies M(a) \implies M(g) \implies M(R) \implies M(x) \implies$

$is_dcwit_body(M, A, a, g, R, x) \longleftrightarrow dcwit_body(A, a, g, R, x)$

$\langle proof \rangle$

lemma $separation_eq_dc_witness:$

$M(A) \implies$

$M(a) \implies$

$M(g) \implies$

$M(R) \implies separation(M, \lambda p. fst(p) \in \omega \longrightarrow snd(p) = dc_witness(fst(p), A, a, g, R))$

$\langle proof \rangle$

lemma $Lambda_dc_witness_closed:$

assumes $g \in Pow^M(A) - \{0\} \rightarrow A \ a \in A \ \forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0$

$M(g) \ M(A) \ M(a) \ M(R)$
shows $M(\lambda n \in nat. \ dc_witness(n, A, a, g, R))$
 $\langle proof \rangle$

lemma *witness_related*:

assumes $a \in A$
 $\forall X[M]. \ X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X$
 $\forall y \in A. \ \{x \in A. \ \langle y, x \rangle \in R\} \neq 0 \ n \in nat$
 $M(a) \ M(A) \ M(s) \ M(R) \ M(n)$
shows $\langle dc_witness(n, A, a, s, R), dc_witness(succ(n), A, a, s, R) \rangle \in R$
 $\langle proof \rangle$

lemma *witness_funtype*:

assumes $a \in A$
 $\forall X[M]. \ X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in A$
 $\forall y \in A. \ \{x \in A. \ \langle y, x \rangle \in R\} \neq 0$
 $M(A) \ M(a) \ M(s) \ M(R)$
shows $(\lambda n \in nat. \ dc_witness(n, A, a, s, R)) \in nat \rightarrow A \text{ (is } ?f \in _ \rightarrow _)$
 $\langle proof \rangle$

lemma *witness_to_fun*:

assumes $a \in A$
 $\forall X[M]. \ X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in A$
 $\forall y \in A. \ \{x \in A. \ \langle y, x \rangle \in R\} \neq 0$
 $M(A) \ M(a) \ M(s) \ M(R)$
shows $\exists f \in nat \rightarrow A. \ \forall n \in nat. \ f'n = dc_witness(n, A, a, s, R)$
 $\langle proof \rangle$

end — *M_DC*

locale *M_library_DC* = *M_library* + *M_DC*

begin

lemma *AC_M_func*:

assumes $\bigwedge x. \ x \in A \implies (\exists y. \ y \in x) \ M(A)$
shows $\exists f \in A \rightarrow^M \bigcup(A). \ \forall x \in A. \ f'x \in x$
 $\langle proof \rangle$

lemma *non_empty_family*: $[| \ 0 \notin A; \ x \in A \ |] \implies \exists y. \ y \in x$
 $\langle proof \rangle$

lemma *AC_M_func0*: $0 \notin A \implies M(A) \implies \exists f \in A \rightarrow^M \bigcup(A). \ \forall x \in A. \ f'x \in x$
 $\langle proof \rangle$

lemma *AC_M_func_Pow_rel*:

assumes $M(C)$
shows $\exists f \in (Pow^M(C) - \{0\}) \rightarrow^M C. \ \forall x \in Pow^M(C) - \{0\}. \ f'x \in x$
 $\langle proof \rangle$

theorem *pointed_DC*:

assumes $\forall x \in A. \exists y \in A. \langle x, y \rangle \in R \ M(A) \ M(R)$

shows $\forall a \in A. \exists f \in \text{nat} \rightarrow^M A. f'0 = a \wedge (\forall n \in \text{nat}. \langle f'n, f'succ(n) \rangle \in R)$

<proof>

lemma *aux_DC_on_AxNat2* : $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, succ(snd(x)) \rangle \rangle \in R \implies$

$\forall x \in A \times \text{nat}. \exists y \in A \times \text{nat}. \langle x, y \rangle \in \{ \langle a, b \rangle \in R. snd(b) = succ(snd(a)) \}$

<proof>

lemma *infer_snd* : $c \in A \times B \implies snd(c) = k \implies c = \langle fst(c), k \rangle$

<proof>

corollary *DC_on_A_x_nat* :

assumes $(\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, succ(snd(x)) \rangle \rangle \in R) \ a \in A \ M(A) \ M(R)$

shows $\exists f \in \text{nat} \rightarrow^M A. f'0 = a \wedge (\forall n \in \text{nat}. \langle \langle f'n, n \rangle, \langle f'succ(n), succ(n) \rangle \rangle \in R)$ (**is**
 $\exists x \in _. ?P(x)$)

<proof>

lemma *aux_sequence_DC* :

assumes $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S'n$

$R = \{ \langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times \text{nat}) \times (A \times \text{nat}). \langle x, y \rangle \in S'm \}$

shows $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, succ(snd(x)) \rangle \rangle \in R$

<proof>

lemma *aux_sequence_DC2* : $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S'n \implies$

$\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, succ(snd(x)) \rangle \rangle \in \{ \langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times \text{nat}) \times (A \times \text{nat}).$

$\langle x, y \rangle \in S'm \}$

<proof>

lemma *sequence_DC*:

assumes $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S'n \ M(A) \ M(S)$

shows $\forall a \in A. (\exists f \in \text{nat} \rightarrow^M A. f'0 = a \wedge (\forall n \in \text{nat}. \langle f'n, f'succ(n) \rangle \in S'succ(n)))$

<proof>

end — *M_library_DC*

end

24 Cohen forcing notions

theory *Partial_Functions_Relative*

imports

FiniteFun_Relative

Cardinal_Library_Relative

begin

definition

$Fn :: [i, i, i] \Rightarrow i$ **where**

$$Fn(\kappa, I, J) \equiv \bigcup \{y . d \in Pow(I), y=(d \rightarrow J) \wedge d \prec \kappa\}$$

lemma *domain_function_lepoll* :
assumes *function(r)*
shows *domain(r) \lesssim r*
<proof>

lemma *function_lepoll*:
assumes *r:d \rightarrow J*
shows *r \lesssim d*
<proof>

lemma *function_eqpoll* :
assumes *r:d \rightarrow J*
shows *r \approx d*
<proof>

lemma *Fn_char* : *Fn(κ, I, J) = {f \in Pow(I \times J) . function(f) \wedge f \prec κ }* (**is** ?L=?R)
<proof>

lemma *zero_in_Fn*:
assumes *0 < κ*
shows *0 \in Fn(κ, I, J)*
<proof>

lemma *Fn_nat_eq_FiniteFun*: *Fn(nat, I, J) = I -||> J*
<proof>

lemma *Fn_nat_subset_Pow*: *Fn(κ, I, J) \subseteq Pow(I \times J)*
<proof>

lemma *FnI*:
assumes *p : d \rightarrow J d \subseteq I d \prec κ*
shows *p \in Fn(κ, I, J)*
<proof>

lemma *FnD[dest]*:
assumes *p \in Fn(κ, I, J)*
shows $\exists d. p : d \rightarrow J \wedge d \subseteq I \wedge d \prec \kappa$
<proof>

lemma *Fn_is_function*: *p \in Fn(κ, I, J) \implies function(p)*
<proof>

lemma *Fn_succ*:
assumes *Ord(κ)*
shows *Fn(csucc(κ), I, J) = $\bigcup \{y . d \in Pow(I), y=(d \rightarrow J) \wedge d \lesssim \kappa\}$*
<proof>

definition

$FnleR :: i \Rightarrow i \Rightarrow o$ (**infixl** \supseteq 50) **where**
 $f \supseteq g \equiv g \subseteq f$

lemma $FnleR_iff_subset$ [*iff*]: $f \supseteq g \longleftrightarrow g \subseteq f$
<proof>

definition

$Fnlerel :: i \Rightarrow i$ **where**
 $Fnlerel(A) \equiv Rrel(\lambda x y. x \supseteq y, A)$

definition

$Fnle :: [i, i, i] \Rightarrow i$ **where**
 $Fnle(\kappa, I, J) \equiv Fnlerel(Fn(\kappa, I, J))$

lemma $FnleI$ [*intro*]:

assumes $p \in Fn(\kappa, I, J)$ $q \in Fn(\kappa, I, J)$ $p \supseteq q$
shows $\langle p, q \rangle \in Fnle(\kappa, I, J)$
<proof>

lemma $FnleD$ [*dest*]:

assumes $\langle p, q \rangle \in Fnle(\kappa, I, J)$
shows $p \in Fn(\kappa, I, J)$ $q \in Fn(\kappa, I, J)$ $p \supseteq q$
<proof>

definition $PFun_Space_Rel :: [i, i \Rightarrow o, i] \Rightarrow i$ ($_ \multimap _$)
where $A \multimap^M B \equiv \{f \in Pow(A \times B) . M(f) \wedge function(f)\}$

lemma (**in** $M_library$) $PFun_Space_subset_Powrel$:

assumes $M(A)$ $M(B)$
shows $A \multimap^M B = \{f \in Pow^M(A \times B) . function(f)\}$
<proof>

lemma (**in** $M_library$) $PFun_Space_closed$:

assumes $M(A)$ $M(B)$
shows $M(A \multimap^M B)$
<proof>

lemma $Un_filter_fun_space_closed$:

assumes $G \subseteq I \rightarrow J \wedge f g . f \in G \implies g \in G \implies \exists d \in I \rightarrow J . d \supseteq f \wedge d \supseteq g$
shows $\bigcup G \in Pow(I \times J)$ $function(\bigcup G)$
<proof>

lemma $Un_filter_is_fun$:

assumes $G \subseteq I \rightarrow J \wedge f g . f \in G \implies g \in G \implies \exists d \in I \rightarrow J . d \supseteq f \wedge d \supseteq g \ G \neq 0$
shows $\bigcup G \in I \rightarrow J$
<proof>

context $M_cardinals$
begin

lemma $mem_function_space_relD$:
assumes $f \in function_space_rel(M, A, y)$ $M(A)$ $M(y)$
shows $f \in A \rightarrow y$ **and** $M(f)$
 $\langle proof \rangle$

lemma $pfunI$:
assumes $C \subseteq A$ $f \in C \rightarrow^M B$ $M(C)$ $M(B)$
shows $f \in A \rightarrow^M B$
 $\langle proof \rangle$

lemma $zero_in_PFun_rel$:
assumes $M(I)$ $M(J)$
shows $0 \in I \rightarrow^M J$
 $\langle proof \rangle$

lemma $pfun_subsetI$:
assumes $f \in A \rightarrow^M B$ $g \subseteq f$ $M(g)$
shows $g \in A \rightarrow^M B$
 $\langle proof \rangle$

lemma $pfun_is_function$:
 $f \in A \rightarrow^M B \implies function(f)$
 $\langle proof \rangle$

lemma $pfun_Un_filter_closed$:
assumes $G \subseteq I \rightarrow^M J \bigwedge f g . f \in G \implies g \in G \implies \exists d \in I \rightarrow^M J . d \supseteq f \wedge d \supseteq g$
shows $\bigcup G \in Pow(I \times J)$ $function(\bigcup G)$
 $\langle proof \rangle$

lemma $pfun_Un_filter_closed''$:
assumes $G \subseteq I \rightarrow^M J \bigwedge f g . f \in G \implies g \in G \implies \exists d \in G . d \supseteq f \wedge d \supseteq g$
shows $\bigcup G \in Pow(I \times J)$ $function(\bigcup G)$
 $\langle proof \rangle$

lemma $pfun_Un_filter_closed'$:
assumes $G \subseteq I \rightarrow^M J \bigwedge f g . f \in G \implies g \in G \implies \exists d \in G . d \supseteq f \wedge d \supseteq g$ $M(G)$
shows $\bigcup G \in I \rightarrow^M J$
 $\langle proof \rangle$

lemma $pfunD$:
assumes $f \in A \rightarrow^M B$
shows $\exists C[M]. C \subseteq A \wedge f \in C \rightarrow B$
 $\langle proof \rangle$

lemma $pfunD_closed$:
assumes $f \in A \rightarrow^M B$

shows $M(f)$
 $\langle proof \rangle$

lemma *pfun_singletonI* :
assumes $x \in A \ b \in B \ M(A) \ M(B)$
shows $\{\langle x, b \rangle\} \in A \multimap^M B$
 $\langle proof \rangle$

lemma *pfun_unionI* :
assumes $f \in A \multimap^M B \ g \in A \multimap^M B \ domain(f) \cap domain(g) = 0$
shows $f \cup g \in A \multimap^M B$
 $\langle proof \rangle$

lemma (*in M_library*) *pfun_restrict_eq_imp_compat*:
assumes $f \in I \multimap^M J \ g \in I \multimap^M J \ M(J)$
 $restrict(f, domain(f) \cap domain(g)) = restrict(g, domain(f) \cap domain(g))$
shows $f \cup g \in I \multimap^M J$
 $\langle proof \rangle$

lemma *FiniteFun_pfunI* :
assumes $f \in A \multimap B \ M(A) \ M(B)$
shows $f \in A \multimap^M B$
 $\langle proof \rangle$

lemma *PFun_FiniteFunI* :
assumes $f \in A \multimap B \ Finite(f)$
shows $f \in A \multimap B$
 $\langle proof \rangle$

end

definition
 $Fn_rel :: [i \Rightarrow o, i, i, i] \Rightarrow i \ (\langle Fn_rel'(_, _, _) \rangle)$ **where**
 $Fn_rel(M, \kappa, I, J) \equiv \{f \in I \multimap^M J \ . \ |f|^M \prec^M \kappa\}$

context *M_library*
begin

lemma *Fn_rel_subset_PFun_rel* : $Fn^M(\kappa, I, J) \subseteq I \multimap^M J$
 $\langle proof \rangle$

lemma *Fn_relI[intro]*:
assumes $f : d \rightarrow J \ d \subseteq I \ |f|^M \prec^M \kappa \ M(d) \ M(J) \ M(f)$
shows $f \in Fn_rel(M, \kappa, I, J)$
 $\langle proof \rangle$

lemma *Fn_relD[dest]*:
assumes $p \in Fn_rel(M, \kappa, I, J)$

shows $\exists C[M]. C \subseteq I \wedge p : C \rightarrow J \wedge |p|^M \prec^M \kappa$
 $\langle proof \rangle$

lemma *Fn_rel_is_function*:
assumes $p \in Fn_rel(M, \kappa, I, J)$
shows $function(p) \ M(p) \ |p|^M \prec^M \kappa \ p \in I \multimap^M J$
 $\langle proof \rangle$

lemma *Fn_rel_mono*:
assumes $p \in Fn_rel(M, \kappa, I, J) \ \kappa \prec^M \kappa' \ M(\kappa) \ M(\kappa')$
shows $p \in Fn_rel(M, \kappa', I, J)$
 $\langle proof \rangle$

lemma *Fn_rel_mono'*:
assumes $p \in Fn_rel(M, \kappa, I, J) \ \kappa \lesssim^M \kappa' \ M(\kappa) \ M(\kappa')$
shows $p \in Fn_rel(M, \kappa', I, J)$
 $\langle proof \rangle$

lemma *Fn_csucc*:
assumes $Ord(\kappa) \ M(\kappa)$
shows $Fn_rel(M, (\kappa^+)^M, I, J) = \{p \in I \multimap^M J . |p|^M \lesssim^M \kappa\} \quad (\text{is } ?L = ?R)$
 $\langle proof \rangle$

lemma *Finite_imp_lesspoll_nat*:
assumes $Finite(A)$
shows $A \prec nat$
 $\langle proof \rangle$

lemma *FinD_Finite* :
assumes $a \in Fin(A)$
shows $Finite(a)$
 $\langle proof \rangle$

lemma *Fn_rel_nat_eq_FiniteFun*:
assumes $M(I) \ M(J)$
shows $I -||> J = Fn_rel(M, \omega, I, J)$
 $\langle proof \rangle$

lemma *Fn_nat_abs*:
assumes $M(I) \ M(J)$
shows $Fn(nat, I, J) = Fn_rel(M, \omega, I, J)$
 $\langle proof \rangle$
end

lemma (in *M_library*) *Fn_rel_singletonI*:
assumes $x \in I \ j \in J \ InfCard^M(\kappa) \ M(\kappa) \ M(I) \ M(J)$
shows $\{\langle x, j \rangle\} \in Fn^M(\kappa, I, J)$
 $\langle proof \rangle$

definition

$Fnle_rel :: [i \Rightarrow o, i, i, i] \Rightarrow i \ (\langle Fnle_('(_, _, _)) \rangle)$ **where**
 $Fnle_rel(M, \kappa, I, J) \equiv Fnlerel(Fn^M(\kappa, I, J))$

abbreviation

$Fn_r_set :: [i, i, i, i] \Rightarrow i \ (\langle Fn_('(_, _, _)) \rangle)$ **where**
 $Fn_r_set(M) \equiv Fn_rel(\#\#M)$

abbreviation

$Fnle_r_set :: [i, i, i, i] \Rightarrow i \ (\langle Fnle_('(_, _, _)) \rangle)$ **where**
 $Fnle_r_set(M) \equiv Fnle_rel(\#\#M)$

context $M_library$

begin

lemma $Fnle_relI[intro]$:

assumes $p \in Fn_rel(M, \kappa, I, J)$ $q \in Fn_rel(M, \kappa, I, J)$ $p \supseteq q$
shows $\langle p, q \rangle \in Fnle_rel(M, \kappa, I, J)$
 $\langle proof \rangle$

lemma $Fnle_relD[dest]$:

assumes $\langle p, q \rangle \in Fnle_rel(M, \kappa, I, J)$
shows $p \in Fn_rel(M, \kappa, I, J)$ $q \in Fn_rel(M, \kappa, I, J)$ $p \supseteq q$
 $\langle proof \rangle$

end

context $M_library$

begin

lemma $Fn_rel_closed[intro, simp]$:

assumes $M(\kappa)$ $M(I)$ $M(J)$
shows $M(Fn^M(\kappa, I, J))$
 $\langle proof \rangle$

lemma $Fn_rel_subset_Pow$:

assumes $M(\kappa)$ $M(I)$ $M(J)$
shows $Fn^M(\kappa, I, J) \subseteq Pow(I \times J)$
 $\langle proof \rangle$

lemma $Fnle_rel_closed[intro, simp]$:

assumes $M(\kappa)$ $M(I)$ $M(J)$
shows $M(Fnle^M(\kappa, I, J))$
 $\langle proof \rangle$

lemma $zero_in_Fn_rel$:

assumes $0 < \kappa$ $M(\kappa)$ $M(I)$ $M(J)$

```

shows  $0 \in Fn^M(\kappa, I, J)$ 
 $\langle proof \rangle$ 

lemma zero_top_Fn_rel:
assumes  $p \in Fn^M(\kappa, I, J)$   $0 < \kappa$   $M(\kappa)$   $M(I)$   $M(J)$ 
shows  $\langle p, 0 \rangle \in Fnle^M(\kappa, I, J)$ 
 $\langle proof \rangle$ 

lemma preorder_on_Fnle_rel:
assumes  $M(\kappa)$   $M(I)$   $M(J)$ 
shows preorder_on( $Fn^M(\kappa, I, J)$ ,  $Fnle^M(\kappa, I, J)$ )
 $\langle proof \rangle$ 

end — M_library

end
theory M_Basic_No_Repl
imports ZF-Constructible.Relative
begin

This locale is exactly M_basic without its only replacement instance.

locale M_basic_no_repl = M_trivial +
assumes Inter_separation:
 $M(A) \implies separation(M, \lambda x. \forall y[M]. y \in A \longrightarrow x \in y)$ 
and Diff_separation:
 $M(B) \implies separation(M, \lambda x. x \notin B)$ 
and cartprod_separation:
 $[| M(A); M(B) |]$ 
 $\implies separation(M, \lambda z. \exists x[M]. x \in A \ \& \ (\exists y[M]. y \in B \ \& \ pair(M, x, y, z)))$ 
and image_separation:
 $[| M(A); M(r) |]$ 
 $\implies separation(M, \lambda y. \exists p[M]. p \in r \ \& \ (\exists x[M]. x \in A \ \& \ pair(M, x, y, p)))$ 
and converse_separation:
 $M(r) \implies separation(M,$ 
 $\lambda z. \exists p[M]. p \in r \ \& \ (\exists x[M]. \exists y[M]. pair(M, x, y, p) \ \& \ pair(M, y, x, z)))$ 
and restrict_separation:
 $M(A) \implies separation(M, \lambda z. \exists x[M]. x \in A \ \& \ (\exists y[M]. pair(M, x, y, z)))$ 
and comp_separation:
 $[| M(r); M(s) |]$ 
 $\implies separation(M, \lambda xz. \exists x[M]. \exists y[M]. \exists z[M]. \exists xy[M]. \exists yz[M].$ 
 $pair(M, x, z, xz) \ \& \ pair(M, x, y, xy) \ \& \ pair(M, y, z, yz) \ \&$ 
 $xy \in s \ \& \ yz \in r)$ 
and pred_separation:
 $[| M(r); M(x) |] \implies separation(M, \lambda y. \exists p[M]. p \in r \ \& \ pair(M, y, x, p))$ 
and Memrel_separation:
 $separation(M, \lambda z. \exists x[M]. \exists y[M]. pair(M, x, y, z) \ \& \ x \in y)$ 
and is_recfun_separation:
— for well-founded recursion: used to prove is_recfun_equal
 $[| M(r); M(f); M(g); M(a); M(b) |]$ 

```

$\Rightarrow separation(M,$
 $\lambda x. \exists xa[M]. \exists xb[M].$
 $pair(M,x,a,xa) \ \& \ xa \in r \ \& \ pair(M,x,b,xb) \ \& \ xb \in r \ \&$
 $(\exists fx[M]. \exists gx[M]. fun_apply(M,f,x,fx) \ \& \ fun_apply(M,g,x,gx) \ \&$
 $fx \neq gx))$
and $power_ax:$ $power_ax(M)$

lemma (in $M_basic_no_repl$) $cartprod_iff$:
 $[| M(A); M(B); M(C) |]$
 $\Rightarrow cartprod(M,A,B,C) \longleftrightarrow$
 $(\exists p1[M]. \exists p2[M]. powerset(M,A \cup B,p1) \ \& \ powerset(M,p1,p2) \ \&$
 $C = \{z \in p2. \exists x \in A. \exists y \in B. z = \langle x,y \rangle\})$
 $\langle proof \rangle$

lemma (in $M_basic_no_repl$) $cartprod_closed_lemma$:
 $[| M(A); M(B) |] \Rightarrow \exists C[M]. cartprod(M,A,B,C)$
 $\langle proof \rangle$

All the lemmas above are necessary because Powerset is not absolute. I should have used Replacement instead!

lemma (in $M_basic_no_repl$) $cartprod_closed [intro,simp]$:
 $[| M(A); M(B) |] \Rightarrow M(A*B)$
 $\langle proof \rangle$

lemma (in $M_basic_no_repl$) $sum_closed [intro,simp]$:
 $[| M(A); M(B) |] \Rightarrow M(A+B)$
 $\langle proof \rangle$

lemma (in $M_basic_no_repl$) $sum_abs [simp]$:
 $[| M(A); M(B); M(Z) |] \Rightarrow is_sum(M,A,B,Z) \longleftrightarrow (Z = A+B)$
 $\langle proof \rangle$

lemma (in $M_basic_no_repl$) $M_converse_iff$:
 $M(r) \Rightarrow$
 $converse(r) =$
 $\{z \in \bigcup (\bigcup (r)) * \bigcup (\bigcup (r)).$
 $\exists p \in r. \exists x[M]. \exists y[M]. p = \langle x,y \rangle \ \& \ z = \langle y,x \rangle\}$
 $\langle proof \rangle$

lemma (in $M_basic_no_repl$) $converse_closed [intro,simp]$:
 $M(r) \Rightarrow M(converse(r))$
 $\langle proof \rangle$

lemma (in $M_basic_no_repl$) $converse_abs [simp]$:
 $[| M(r); M(z) |] \Rightarrow is_converse(M,r,z) \longleftrightarrow z = converse(r)$
 $\langle proof \rangle$

24.0.1 image, preimage, domain, range

lemma (in *M_basic_no_repl*) *image_closed* [*intro,simp*]:
 $[[M(A); M(r)] \implies M(r^{-1}A)$
 $\langle proof \rangle$

lemma (in *M_basic_no_repl*) *vimage_abs* [*simp*]:
 $[[M(r); M(A); M(z)] \implies pre_image(M,r,A,z) \longleftrightarrow z = r^{-1}A$
 $\langle proof \rangle$

lemma (in *M_basic_no_repl*) *vimage_closed* [*intro,simp*]:
 $[[M(A); M(r)] \implies M(r^{-1}A)$
 $\langle proof \rangle$

24.0.2 Domain, range and field

lemma (in *M_basic_no_repl*) *domain_closed* [*intro,simp*]:
 $M(r) \implies M(domain(r))$
 $\langle proof \rangle$

lemma (in *M_basic_no_repl*) *range_closed* [*intro,simp*]:
 $M(r) \implies M(range(r))$
 $\langle proof \rangle$

lemma (in *M_basic_no_repl*) *field_abs* [*simp*]:
 $[[M(r); M(z)] \implies is_field(M,r,z) \longleftrightarrow z = field(r)$
 $\langle proof \rangle$

lemma (in *M_basic_no_repl*) *field_closed* [*intro,simp*]:
 $M(r) \implies M(field(r))$
 $\langle proof \rangle$

24.0.3 Relations, functions and application

lemma (in *M_basic_no_repl*) *apply_closed* [*intro,simp*]:
 $[[M(f); M(a)] \implies M(f'a)$
 $\langle proof \rangle$

lemma (in *M_basic_no_repl*) *apply_abs* [*simp*]:
 $[[M(f); M(x); M(y)] \implies fun_apply(M,f,x,y) \longleftrightarrow f'x = y$
 $\langle proof \rangle$

lemma (in *M_basic_no_repl*) *injection_abs* [*simp*]:
 $[[M(A); M(f)] \implies injection(M,A,B,f) \longleftrightarrow f \in inj(A,B)$
 $\langle proof \rangle$

lemma (in *M_basic_no_repl*) *surjection_abs* [*simp*]:
 $[[M(A); M(B); M(f)] \implies surjection(M,A,B,f) \longleftrightarrow f \in surj(A,B)$
 $\langle proof \rangle$

lemma (in *M_basic_no_repl*) *bijection_abs* [simp]:

$$[\![M(A); M(B); M(f)]\!] \implies \text{bijection}(M, A, B, f) \longleftrightarrow f \in \text{bij}(A, B)$$
 $\langle \text{proof} \rangle$

24.0.4 Composition of relations

lemma (in *M_basic_no_repl*) *M_comp_iff*:

$$[\![M(r); M(s)]\!] \implies r \circ s = \{xz \in \text{domain}(s) * \text{range}(r). \exists x[M]. \exists y[M]. \exists z[M]. xz = \langle x, z \rangle \ \& \ \langle x, y \rangle \in s \ \& \ \langle y, z \rangle \in r\}$$
 $\langle \text{proof} \rangle$

lemma (in *M_basic_no_repl*) *comp_closed* [intro, simp]:

$$[\![M(r); M(s)]\!] \implies M(r \circ s)$$
 $\langle \text{proof} \rangle$

lemma (in *M_basic_no_repl*) *composition_abs* [simp]:

$$[\![M(r); M(s); M(t)]\!] \implies \text{composition}(M, r, s, t) \longleftrightarrow t = r \circ s$$
 $\langle \text{proof} \rangle$

no longer needed

lemma (in *M_basic_no_repl*) *restriction_is_function*:

$$[\![\text{restriction}(M, f, A, z); \text{function}(f); M(f); M(A); M(z)]\!] \implies \text{function}(z)$$
 $\langle \text{proof} \rangle$

lemma (in *M_basic_no_repl*) *restrict_closed* [intro, simp]:

$$[\![M(A); M(r)]\!] \implies M(\text{restrict}(r, A))$$
 $\langle \text{proof} \rangle$

lemma (in *M_basic_no_repl*) *Inter_closed* [intro, simp]:

$$M(A) \implies M(\bigcap(A))$$
 $\langle \text{proof} \rangle$

lemma (in *M_basic_no_repl*) *Int_closed* [intro, simp]:

$$[\![M(A); M(B)]\!] \implies M(A \cap B)$$
 $\langle \text{proof} \rangle$

lemma (in *M_basic_no_repl*) *Diff_closed* [intro, simp]:

$$[\![M(A); M(B)]\!] \implies M(A - B)$$
 $\langle \text{proof} \rangle$

24.0.5 Some Facts About Separation Axioms

lemma (in *M_basic_no_repl*) *separation_conj*:

$$[\![\text{separation}(M, P); \text{separation}(M, Q)]\!] \implies \text{separation}(M, \lambda z. P(z) \ \& \ Q(z))$$
 $\langle \text{proof} \rangle$

lemma (in *M_basic_no_repl*) *separation_disj*:

$$[[\text{separation}(M,P); \text{separation}(M,Q)]] \implies \text{separation}(M, \lambda z. P(z) \mid Q(z))$$

 $\langle \text{proof} \rangle$

lemma (in *M_basic_no_repl*) *separation_neg*:

$$\text{separation}(M,P) \implies \text{separation}(M, \lambda z. \sim P(z))$$

 $\langle \text{proof} \rangle$

lemma (in *M_basic_no_repl*) *separation_imp*:

$$[[\text{separation}(M,P); \text{separation}(M,Q)]] \implies \text{separation}(M, \lambda z. P(z) \longrightarrow Q(z))$$

 $\langle \text{proof} \rangle$

This result is a hint of how little can be done without the Reflection Theorem. The quantifier has to be bounded by a set. We also need another instance of Separation!

lemma (in *M_basic_no_repl*) *separation_rall*:

$$[[M(Y); \forall y[M]. \text{separation}(M, \lambda x. P(x,y)); \\ \forall z[M]. \text{strong_replacement}(M, \lambda x y. y = \{u \in z . P(u,x)\})]] \implies \text{separation}(M, \lambda x. \forall y[M]. y \in Y \longrightarrow P(x,y))$$

 $\langle \text{proof} \rangle$

24.0.6 Functions and function space

lemma (in *M_basic_no_repl*) *succ_fun_eq2*:

$$[[M(B); M(n \rightarrow B)]] \implies \text{succ}(n) \rightarrow B = \bigcup \{z. p \in (n \rightarrow B) * B, \exists f[M]. \exists b[M]. p = \langle f, b \rangle \ \& \ z = \{\text{cons}(\langle n, b \rangle, f)\}\}$$

 $\langle \text{proof} \rangle$

lemma (in *M_basic_no_repl*) *list_case'_closed* [*intro,simp*]:

$$[[M(k); M(a); \forall x[M]. \forall y[M]. M(b(x,y))]] \implies M(\text{list_case}'(a,b,k))$$

 $\langle \text{proof} \rangle$

lemma (in *M_basic_no_repl*) *tl'_closed*: $M(x) \implies M(\text{tl}'(x))$
 $\langle \text{proof} \rangle$

end

References

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- [2] L.C. PAULSON, The relative consistency of the axiom of choice mechanized using Isabelle/ZF, *LMS J. Comput. Math.* **6**: 198–248 (2003). Appendix A available electronically at <http://www.lms.ac.uk/jcm/6/lms2003-001/appendix-a/>.